MENAS'S CONJECTURE REVISITED

PIERRE MATET

Abstract. In an article published in 1974, Menas conjectured that any stationary subset of $P_{\kappa}(\lambda)$ can be split in $\lambda^{\leq \kappa}$ many pairwise disjoint stationary subsets. Even though the conjecture was shown long ago by Baumgartner and Taylor to be consistently false, it is still haunting papers on $P_{\kappa}(\lambda)$. In which situations does it hold? How much of it can be proven in ZFC? We start with an abridged history of the conjecture, then we formulate a new version of it, and finally we keep weakening this new assertion until, building on the work of Usuba, we hit something we can prove.

§1. The original conjecture. Throughout the paper *κ* will denote a regular uncountable cardinal, and λ a cardinal greater than κ . We let $P_{\kappa}(\lambda)$ denote the collection of all subsets of λ of size less than κ , and $I_{\kappa,\lambda}$ the noncofinal ideal on $P_{\kappa}(\lambda)$. An ideal *J* on $P_{\kappa}(\lambda)$ is *fine* if $I_{\kappa,\lambda} \subseteq J$. For further definitions see the end of this section.

According to Jech [\[18,](#page-49-0) p. 409], one of the key concepts in the theory of large cardinals is saturation of ideals. The starting point of our story is Solovay's seminal result $[58]$ that for any value of κ , the nonstationary ideal on κ is nowhere weakly κ -saturated. There are no large cardinals involved, so how does this fit with Jech's statement? The first remark to make is that the proof of Solovay's result needs choice. In fact, by another result of Solovay, under the Axiom of Determinacy, the nonstationary ideal on ω_1 is prime (i.e., weakly 2-saturated). Returning to ZFC, we have Solovay's result at one end, and measurable cardinals with their normal measures at the other. And in between? For each cardinal ρ between 2 and κ , set-theorists carefully determined the exact consistency strength of *κ* carrying a (normal or at least) κ -complete, weakly ρ -saturated ideal.

In the glorious early days of the study of $P_{\kappa}(\lambda)$ (which was seen as a twocardinal generalization of κ), it was systematically attempted to establish $P_{\kappa}(\lambda)$ versions of known results on κ (see, for instance, the problems listed in Section 0 of [\[24\]](#page-49-1)). Failures were no problem, since they were seen as

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productive. By analyzing what went wrong in the attempted generalization, one acquired a better understanding of $P_{\kappa}(\lambda)$ and its specificity (for example, see the work of Solovay, Menas [\[47\]](#page-50-1), and Kunen and Pelletier [\[24\]](#page-49-1) on normal measures on $P_{\kappa}(\lambda)$ without the partition property). Thus Menas [\[46\]](#page-50-2) boldly conjectured that $MC_1(\kappa, \lambda)$ holds for any possible values of κ and λ , where $MC_1(\kappa, \lambda)$ asserts that the nonstationary ideal $NS_{\kappa, \lambda}$ on $P_{\kappa}(\lambda)$ is nowhere weakly $\lambda^{<\kappa}$ -saturated.

Progress on this two-cardinal version of Solovay's splitting result was initially slow. As observed by Kanamori in [\[21\]](#page-49-2), most results were about $MC_1(\kappa, \lambda)$ with $\lambda^{<\kappa}$ replaced by λ . For example, an early result of Jech [\[17\]](#page-49-3) stated that if κ is a successor and λ regular, then $NS_{\kappa,\lambda}$ is nowhere weakly -saturated. This was later improved by Baumgartner who proved that for any possible values of κ and λ , $NS_{\kappa,\lambda}$ { $x : |x| = |x \cap \kappa|$ } is nowhere weakly λ -saturated.

The conjecture was finally refuted by Baumgartner and Taylor [\[3\]](#page-48-0) who showed the consistency of the failure of $MC_1(\omega_1, \omega_2)$. Their result can be revisited as follows. We put $u(\kappa, \lambda) = \text{non}(I_{\kappa, \lambda})$ (= the least size of any subset of $P_{\kappa}(\lambda)$ not in $I_{\kappa,\lambda}$).

OBSERVATION 1.1. *If* $u(\kappa, \lambda) < \lambda^{<\kappa}$, then $MC_1(\kappa, \lambda)$ fails.

PROOF. By a result of Shelah [\[49\]](#page-50-3), $\text{non}(NS_{\kappa,\lambda}) = u(\kappa,\lambda)$. Thus there is a stationary subset *S* of $P_{\kappa}(\lambda)$ of size $u(\kappa, \lambda)$. Obviously, *S* can be partitioned into no more than $u(\kappa, \lambda)$ stationary subsets.

COROLLARY 1.2. Suppose that
$$
\lambda < \kappa^{+\omega}
$$
 and $\lambda < 2^{<\kappa}$. Then $MC_1(\kappa, \lambda)$ fails.
PROOF. If $\lambda < \kappa^{+\omega}$, then $u(\kappa, \lambda) = \lambda$ (see, e.g., [50, p. 86]).

The conjecture somehow survived its refutation by Baumgartner and Taylor, as the so-called splitting problem, the general problem of computing the degree of weak saturation of ideals on $P_{\kappa}(\lambda)$. In how many stationary pieces can this or that stationary subset of $P_{\kappa}(\lambda)$ be partitioned? Given a cardinal $\rho \leq \lambda^{<\kappa}$, what is the consistency strength of the existence of a (normal or maybe just) κ -complete, weakly ρ -saturated, fine ideal on $P_{\kappa}(\lambda)$?

Recall that for a fine ideal *J* on $P_{\kappa}(\lambda)$, Jensen's diamond principle $\Diamond_{\kappa,\lambda}[J]$ asserts the existence of s_x for $x \in P_{\kappa}(\lambda)$ such that $Z_B = \{x : B \cap x = s_x\}$ lies in *J*⁺ for all $B \subseteq \lambda$. Since $\{x \in Z_a : a \subseteq x\} \cap \{x \in Z_b : b \subseteq x\} = \emptyset$ for any two distinct members a, b of $P_{\kappa}(\lambda)$, $\Diamond_{\kappa,\lambda}[J]$ implies that J is not weakly $\lambda^{\leq \kappa}$ -saturated. In [\[43\]](#page-50-5) Matsubara established the consistency of the conjecture by proving that it holds in *L*. As observed by Shioya [\[56\]](#page-50-6), one way to proceed would have been to appeal to the fact that in L , $(\diamondsuit_{\kappa,\lambda}^*$ and hence) $\bigcirc_{\kappa,\lambda}[NS_{\kappa,\lambda}|S]$ holds for all $S \in NS^+_{\kappa,\lambda}$. Instead, Matsubara relied on the result of Baumgartner in the case when $\lambda^k \tilde{\lambda}^k = \lambda$, and completed his proof by showing that $MC_1(\kappa, \lambda)$ follows from $2^{<\kappa} < \lambda^{<\kappa} = 2^{\lambda}$. A new proof of this result can be found in [\[45\]](#page-50-7). Let us recall that an ideal *J* on $P_{\kappa}(\lambda)$ is

precipitous if for all generic $G \subseteq P(P_{\kappa}(\lambda))/J$, the ultrapower $V^{P_{\kappa}(\lambda)}/G$ is well-founded. By a result of Foreman [\[10\]](#page-48-1), any countably complete λ^+ saturated ideal on $P_{\kappa}(\lambda)$ is precipitous. As is well-known, any normal, fine, weakly λ -saturated ideal on $P_{\kappa}(\lambda)$ is λ^+ -saturated (in fact λ -saturated) and hence precipitous. In [\[45\]](#page-50-7) Matsubara and Shioya establish that no countably complete ideal *J* on $P_{\kappa}(\lambda)$ with $cof(J) = non(J)$ is precipitous. It follows that if $2^{< \kappa} < \lambda^{< \kappa} = 2^{\lambda}$, then no restriction of $NS_{\kappa,\lambda}$ is precipitous (and hence $MC_1(\kappa, \lambda)$ holds). Let us observe that by pushing the counting argument used in [\[43\]](#page-50-5), one obtains the following.

OBSERVATION 1.3. Let *J* be a fine ideal on $P_{\kappa}(\lambda)$ such that $co f(J) \leq 2^{\lambda}$ *the least size of any C in* J^* *. Then* $\Diamond_{\kappa,\lambda}[J]$ *holds.*

PROOF. Select a bijection $F: 2^{\lambda} \times 2^{\lambda} \to 2^{\lambda}$. Let $\langle A_i : i < 2^{\lambda} \rangle$ be a oneto-one enumeration of $P(\lambda)$, and pick $C_i \in J^*$ for $i < 2^{\lambda}$ such that $J^* =$ $\bigcup_{i<2} \{D \subseteq P_{\kappa}(\lambda) : C_i \subseteq D\}$. Inductively construct $a_k \in P_{\kappa}(\lambda)$ and $t_{a_k} \subseteq \lambda$ for $k < 2^{\lambda}$ as follows. Suppose that a_r and t_{a_r} have already been constructed for each $r < k$. Let $k = F(i, j)$. Now select a_k in $C_i \setminus \{a_r : r < k\}$, and put $t_{a_k} = A_j$. Clearly, for any $A \subseteq \lambda$, $\{a \in P_{\kappa}(\lambda) : t_a = A\}$ (and hence $\{a \in$ $P_{\kappa}(\lambda)$: $t_a \cap a = A \cap a$ } lies in J^+ .

Since $cof(NS_{\kappa,\lambda}) \leq 2^{\lambda}$ (see [\[40\]](#page-50-8) for the exact value of $cof(NS_{\kappa,\lambda})$), it follows that if $u(\kappa, \lambda) = 2^{\lambda}$, then $(\diamondsuit_{\kappa, \lambda}[NS_{\kappa, \lambda}|S]$ holds for every $S \in NS^+_{\kappa, \lambda}$, and hence) $MC_1(\kappa, \lambda)$ holds.

If λ is a strong limit cardinal with $cf(\lambda) < \kappa$, then $2^{<\kappa} < \lambda^{<\kappa} = 2^{\lambda} (=$ $u(\kappa, \lambda)$, so Matsubara's result shows that for any value of κ , there are always many values of λ (of cofinality less than κ) for which $MC_1(\kappa, \lambda)$ holds. Further, Matsubara and Shelah [\[44\]](#page-50-9) (see also [\[41\]](#page-50-10)) have shown that if λ is a strong limit cardinal with $\kappa \leq cf(\lambda) < \lambda$, then no restriction of $NS_{\kappa,\lambda}$ is precipitous (and hence $MC_1(\kappa, \lambda)$ holds). To sum up the results of this section, $MC_1(\kappa, \lambda)$ may consistently fail. On the other hand, we have the following.

FACT 1.4. Assuming GCH, the following hold:

- (i) Suppose that either κ is a successor cardinal, or λ is singular. Then $MC_1(\kappa, \lambda)$ holds.
- (ii) Suppose that κ is weakly inaccessible, λ is regular, and $\{x \in P_{\kappa}(\lambda)$: $|x| = |x \cap \kappa|$ $\in NS_{\kappa,\lambda}^*$. Then $MC_1(\kappa, \lambda)$ holds.

A large cardinal is needed to obtain situations when $\{x \in P_{\kappa}(\lambda) : |x| =$ *|x* ∩ κ *|*} ∉ *NS*^{*}_{κ}, [\[8\]](#page-48-2) (see also [\[21,](#page-49-2) p. 345]). Thus Fact [1.4](#page-2-0) shows that if GCH holds and there are no large cardinals in an inner model, then $MC_1(\kappa, \lambda)$ holds. However the large cardinals in question are of a modest size, since Donder, Koepke, and Levinski [\[8\]](#page-48-2) showed that if $κ < λ$ and $λ$ is $κ$ -Erd_{os}, then the set $\{x \in P_{\kappa}(\lambda) : o.t. (x) = |x \cap \kappa|^+\}$ is stationary. We can do better

than this. Recall that any normal, fine, weakly λ -saturated ideal on $P_{\kappa}(\lambda)$ is precipitous. Hence if GCH holds, κ is weakly inaccessible, cf(λ) $\geq \kappa$, and there is no normal, precipitous, fine ideal on $P_{\kappa}(\lambda)$, then no normal, fine ideal on $P_{\kappa}(\lambda)$ is weakly $\lambda^{\leq \kappa}$ -saturated. Now if $P_{\kappa}(\lambda)$ carries a precipitous ideal, then by a result of Magidor (see [\[43\]](#page-50-5)), there is a cardinal σ of Mitchell order σ^{++} in some inner model. Thus the following formulation seems preferable.

FACT 1.5. Assuming GCH, the following hold:

- (i) Suppose that either κ is a successor cardinal, or λ is singular. Then $MC_1(\kappa, \lambda)$ holds.
- (ii) Suppose that κ is weakly inaccessible, λ is regular, and $P_{\kappa}(\lambda)$ carries no precipitous ideal. Then $MC_1(\kappa, \lambda)$ holds.

This discussion is continued in Section [9](#page-29-0) where we show that if there are no large cardinals in an inner model, then Menas's conjecture is equivalent to a weak form of GCH.

Let us at last provide the missing definitions. By an *ideal on* an infinite set *X*, we mean a nonempty collection *J* of subsets of *X* such that (a) $X \notin J$, (b) $P(A) \subset J$ for all $A \in J$, (c) $A \cup B \in J$ whenever $A, B \in J$, and (d) $\{x\} \in J$ for all $x \in X$. Given an ideal *J* on *X*, we let $J^+ = P(X) \setminus J$, $J^* =$ ${A \subseteq X : X \setminus A \in J}$, and $J|A = {B \subseteq X : B \cap A \in J}$ for each $A \in J^+$. For a cardinal ρ , *J* is ρ -*complete* if $\bigcup Q \in J$ for every $Q \subseteq J$ with $|Q| < \rho$. We let $non(J)$ = the least size of a set in J^+ . $cof(J)$ denotes the least cardinality of any $Q \subseteq J$ such that $J = \bigcup_{A \in Q} P(A)$. Given an infinite set *Y* and $f: X \to Y$, we let $f(J) = \{ B \subseteq Y : f^{-1}(B) \in J \}$. For a cardinal σ, J is *weakly* σ -saturated (respectively, σ -saturated) if there is no $Q \subseteq J^+$ with $|Q|$ = σ such that $A \cap B = \emptyset$ (respectively, $A \cap B \in J$) for any two distinct members *A*, *B* of *Q*. *J* is *nowhere weakly* σ -saturated if for any $A \in J^+$, $J|A$ is not weakly σ -saturated.

Fact 1.6.

- (i) (Folklore) Suppose that σ is singular, and *J* is nowhere weakly τ -saturated for every cardinal $\tau < \sigma$. Then *J* is nowhere weakly σ -saturated.
- (ii) [\[40,](#page-50-8) (proof of) Proposition 2.6] Letting $\gamma = \min\{|C| : C \in J^*\},$ suppose that $cof(J) \leq \chi$. Then χ = the largest cardinal τ such that *J* is not weakly τ -saturated.
- (iii) *J* is weakly σ -saturated if and only if for every $A \in J^+$, $J|A$ is weakly σ -saturated.

For a regular uncountable cardinal τ , I_{τ} (respectively, NS_{τ}) denotes the noncofinal (respectively, nonstationary) ideal on τ . For each regular cardinal $\mu < \tau$, E^{τ}_{μ} , (respectively, $E^{\tau}_{\leq \mu}$) denotes the set of all infinite limit ordinals $\alpha < \tau$ such that cf(α) = μ (respectively, cf(α) < μ).

§2. Second and third versions of the conjecture. In view of the Baumgartner–Taylor result, it is tempting to repair the conjecture by replacing $MC_1(\kappa, \lambda)$ with the (weaker) assertion $MC_2(\kappa, \lambda)$ that *NS_{K,* λ is nowhere weakly $u(\kappa, \lambda)$ -saturated (notice that since $\lambda^{\leq \kappa}$ =} max(2^{$<\kappa$}, $u(\kappa, \lambda)$), $MC_1(\kappa, \lambda)$ and $MC_2(\kappa, \lambda)$ are equivalent for $\lambda \geq 2^{<\kappa}$). This would be more in line with Solovay's result, which after all does not assert that NS_{κ} is nowhere κ^{κ} -saturated (this holds if and only if $2^{<\kappa} = \kappa$), but only that it is nowhere *cof*(I_{κ})-saturated. However, Gitik [\[12\]](#page-49-4) proved that it is consistent relative to a large cardinal that " κ is inaccessible and $NS_{\kappa,\kappa^+}|W|$ is weakly κ^+ -saturated for some W (and hence $MC_2(\kappa, \kappa^+)$ fails)." Krueger [\[23\]](#page-49-5) showed that one could take $W = \{x : o.t(x) = |x \cap \kappa|^+\}$, and Shioya [\[56\]](#page-50-6) obtained a new proof of Gitik's result starting from the hypothesis that κ is κ^+ -supercompact. Magidor (see [\[7\]](#page-48-3)) had shown that for all values of κ and λ , $NS_{\kappa,\lambda}$ is nowhere weakly *κ*-saturated, which is thus optimal. Thus Solovay's result that *NSκ* is nowhere weakly κ -saturated does generalize, but the generalization only asserts that $NS_{\kappa,\lambda}$ is nowhere weakly κ -saturated.

Notice that if κ is λ -Shelah and $\lambda = \sigma^+$, then, as shown by Johnson [\[20\]](#page-49-6), the set $W = \{x : o.t.(x) = |x \cap \sigma|^+\}$ lies in $NSh_{\kappa,\lambda}^+$ (and hence in $NS_{\kappa,\lambda}^+$), but, by another result of Johnson [\[19\]](#page-49-7), $NS_{\kappa,\lambda}|W$ is not weakly λ -saturated. Thus the stationarity of $W = \{x : o.t.(x) = |x \cap \kappa|^+\}$ does not guarantee by itself that $NS_{\kappa,\kappa^+}|W$ is weakly κ^+ -saturated.

By Gitik's result, $MC_2(\kappa, \lambda)$ is still too strong. One way to weaken it would be to require only that $NS_{\kappa,\lambda}|S$ is nowhere weakly $u(\kappa,\lambda)$ -saturated for some *S*. Now Usuba [\[60\]](#page-50-11) established that if $cf(\lambda) < \kappa$, then there is a stationary subset *S* of $P_{\kappa}(\lambda)$ with the property that no normal, fine ideal *J* on $P_{\kappa}(\lambda)$ with $S \in J^+$ is weakly λ^+ -saturated. Taking our cue from this, we let $MC_3(\kappa, \lambda)$ assert the existence of *S* in $NS^+_{\kappa, \lambda}$ such that no normal, fine ideal *J* on $P_{\kappa}(\lambda)$ with $S \in J^+$ is weakly $u(\kappa, \lambda)$ -saturated. Then any normal extension of $NS_{\kappa,\lambda}|S$ will be nowhere weakly $u(\kappa,\lambda)$ -saturated. Clearly, the larger *S* is, the better.

§3. Pcf theory. In this section we start working on $MC_3(\kappa, \lambda)$ using tools of Shelah's pcf theory.

3.1. Pseudo-Kurepa families. For two cardinals σ and π , $\mathcal{A}_{\kappa\lambda}(\sigma,\pi)$ asserts the existence of $X \subseteq P_{\sigma}(\lambda)$ with $|X| = \pi$ such that $|X \cap P(b)| < \kappa$ for all $b \in$ $P_{\kappa}(\lambda)$. It is simple to see that $(A_{\kappa,\lambda}(2,\lambda))$, and hence) $A_{\kappa,\lambda}(\kappa,\lambda)$ holds. These families can be used to strengthen the result of Baumgartner mentioned above. First the case when κ is a successor cardinal:

FACT 3.1 [\[26\]](#page-49-8). Suppose that κ is a successor cardinal and $A_{\kappa,\lambda}(\kappa,\pi)$ holds. Then no *κ*-complete, fine ideal on $P_{\kappa}(\lambda)$ is weakly π -saturated.

PROOF. The proof is an easy modification of that of Baumgartner. Let J be a *κ*-complete, fine ideal on $P_{\kappa}(\lambda)$. Put $\kappa = v^{+}$. We can assume that π is greater than or equal to κ (since we have seen that $(\mathcal{A}_{\kappa,\lambda}(\kappa,\lambda))$ and hence) $\mathcal{A}_{\kappa,\lambda}(\kappa,\kappa)$ always holds) and (by Fact [1.6\(](#page-3-0)i)) regular. Select $X \subseteq P_{\kappa}(\lambda)$ with $|X| = \pi$ such that $|X \cap P(b)| \leq v$ for all $b \in P_{\kappa}(\lambda)$. For $b \in P_{\kappa}(\lambda)$, pick a one-to-one function $f_b: X \cap P(b) \to v$. By *κ*-completeness and fineness of *J*, for each $x \in X$, we may find $S_x \in J^+ \cap P({b : x \subseteq b})$ and $\gamma_x < v$ such that $f_b(x) = \gamma_x$ for all $b \in S_x$. There must be $\gamma < \nu$ and $W \subseteq X$ with $|W| = \pi$ such that $\gamma_x = \gamma$ for any $x \in W$. Then clearly $S_x \cap S_y = \emptyset$ for any two distinct members x, y of *W*.

In particular, as observed by Matsubara [\[42\]](#page-50-12) long ago, if *κ* is a successor cardinal, then no κ -complete, fine ideal on $P_{\kappa}(\lambda)$ is weakly λ -saturated.

We use more in the case when κ is weakly inaccessible. Given an ideal *J* on *P_κ*(λ) and a cardinal *τ* with $\kappa \leq \tau \leq \lambda$, *J* is *τ*-*normal* if for any $A \in J^+$ and any $f : A \to \tau$ such that $f(a) \in a$ for all $a \in A$, there is $B \in J^+ \cap P(A)$ such that f is constant on *B*. Notice that λ -normality is the same as normality.

FACT 3.2 [\[28\]](#page-49-9). Suppose that τ and π are two cardinals with $\kappa \leq \tau \leq \lambda$ and $\tau < \pi$, and *J* is a τ -normal, fine ideal on $P_{\kappa}(\lambda)$. Suppose further that there is $X \subseteq P_{\kappa}(\lambda)$ with $|X| = \pi$ such that $\{b \in P_{\kappa}(\lambda) : |X \cap P(b)| \leq |b \cap \tau|\} \in J^+$. Then *J* is not weakly π -saturated.

Note that if *X* is as in the statement of the fact, then $|X \cap P(c)| < \kappa$ for all $c \in P_{\kappa}(\lambda)$ (so *X* witnesses that $\mathcal{A}_{\kappa,\lambda}(\kappa,\pi)$ holds). Further note that it follows from Fact [3.2](#page-5-0) that if *κ* is weakly inaccessible, then no *κ*-normal, fine ideal *J* on $P_{\kappa}(\lambda)$ with $\{b \in P_{\kappa}(\lambda) : |b| = |b \cap \kappa|\} \in J^+$ is weakly λ -saturated. Thus by combining Facts [3.1](#page-4-0) and [3.2,](#page-5-0) we obtain the following.

PROPOSITION 3.3. *If* $u(\kappa, \lambda) = \lambda$, then $MC_3(\kappa, \lambda)$ holds.

Let us return to the special case of Fact [3.2](#page-5-0) when $\pi = \lambda$ and $X = \{\{\alpha\} :$ $\alpha < \lambda$. If *κ* is weakly inaccessible, cf(λ) $< \kappa$, and *J* is a normal, fine, weakly λ -saturated ideal on $P_{\kappa}(\lambda)$, then it gives us that the set of all $b \in P_{\kappa}(\lambda)$ such that $(|b| > |b \cap \tau|$ for every cardinal τ with $\kappa \leq \tau < \lambda$, and hence) cf $(|b|)$ = cf(λ) lies in *J*^{*}. In the special case when $\lambda = \kappa^{+\sigma}$ for some regular cardinal $\sigma < \kappa$, we can even conclude that $\{b \in P_{\kappa}(\lambda) : |b| = |b \cap \kappa|^{+\sigma}\} \in J^*$, since it is simple to see that for any cardinal χ with $\kappa \leq \chi < \lambda$, $\{b \in P_{\kappa}(\lambda)$: $|b \cap \chi^+| \leq |b \cap \chi|^+\} \in NS_{\kappa,\lambda}^*$.

For another remark, letting *C* denote the set of all $b \in P_{\kappa}(\lambda)$ such that (a) *o.t.*(*b*) is an infinite limit ordinal, and (b) $b \setminus \tau \neq \emptyset$, then clearly $C \in NS_{\kappa,\lambda}^*$, and moreover

$$
cf(o.t.(b)) \le |b| = |b \cap \tau| \le o.t.(b \cap \tau) < o.t.(b)
$$

for all $b \in C$ with $|X \cap P(b)| \leq |b \cap \tau|$. For normal ideals there is the following related result of Usuba (see the proof of Proposition 6.1 in [\[59\]](#page-50-13)) who proved it using generic embeddings.

FACT 3.4. Suppose that λ is regular, and let *J* be a normal, fine ideal on $P_{\kappa}(\lambda)$ such that $S \in J^+$, where *S* is the set of all $b \in P_{\kappa}(\lambda)$ such that $(o.t.(b))$ is an infinite limit ordinal with) $cf(o.t.(b)) < o.t.(b)$. Then *J* is not weakly -saturated.

PROOF. For each infinite limit ordinal δ , select an increasing function t_{δ} : cf(δ) \rightarrow δ such that sup(*ran*(t_{δ})) = δ . For $b \in S$, let g_b : *o.t.*(b) \rightarrow b enumerate *b* in increasing order, and set $\tau_b = cf(o.t.(b))$ and $\xi_b = g_b(\tau_b)$. By normality of *J*, we may find $Y \in J^+ \cap P(S)$ and $\xi < \lambda$ such that $\xi_b = \xi$ for all $b \in Y$. For $\alpha \in b \in Y$, put:

- $F(\alpha, b) =$ the least $\zeta < \tau_b$ such that $\alpha \leq g_b(t_{o,t,(b)}(\zeta))$;
- $\beta_{\alpha}^{b} = g_{b}(t_{o.t.(b)}(F(\alpha, b)))$;
- $\gamma^b_\alpha = g_b(F(\alpha, b)).$

Thus $F(\alpha, b) < \tau_b$, $\beta^b_\alpha \in b$ and $\gamma^b_\alpha \in b \cap \xi$. For $\alpha < \lambda$, there must be, by normality of *J*, $T_{\alpha} \in J^+ \cap P(\{b \in Y : \alpha \in b\})$, $\beta_{\alpha} < \lambda$, and $\gamma_{\alpha} < \xi$ such that for all $b \in T_\alpha$, $\beta_\alpha^b = \beta_\alpha$ and $\gamma_\alpha^b = \gamma_\alpha$. Since λ is regular, we may find $d \in [\lambda]^{\lambda}$ and $\gamma < \xi$ such that $\gamma_{\alpha} = \gamma$ for all $\alpha \in d$. Finally, pick $e \in [d]^{\lambda}$ with the property that $\beta_{\alpha} < \alpha'$ whenever $\alpha < \alpha'$ are in *e*. Given $\alpha < \alpha'$ in *e*, we claim that $T_{\alpha} \cap T_{\alpha'} = \emptyset$. Suppose otherwise, and pick $b \in T_{\alpha} \cap T_{\alpha'}$. Then $F(\alpha, b) = F(\alpha', b)$ (since

$$
g_b(F(\alpha, b)) = \gamma_\alpha^b = \gamma_\alpha = \gamma = \gamma_{\alpha'} = \gamma_{\alpha'}^b = g_b(F(\alpha', b))),
$$

and therefore $g_b(t_{o,t,(b)}(F(\alpha, b))) = \beta_{\alpha}^b = \beta_{\alpha} < \alpha' \leq g_b(t_{o,t,(b)}(F(\alpha', b)))$ = $g_b(t_{o.t.(b)}(F(\alpha, b))).$ Contradiction.

3.2. Scales. To handle the case when $u(\kappa, \lambda) > \lambda$, we will use pcf theory scales. We will first study the effect of one specific scale on weak saturation of ideals on $P_{\kappa}(\lambda)$, which will keep us busy for a long while (up to the end of Section [5\)](#page-17-0).

Let *A* be an infinite set of regular cardinals, and let *I* be an ideal on *A* such that $\{A \cap a : a \in A\} \subseteq I$. We let $\prod A = \prod_{a \in A} a$. For $f, g \in \prod A$, we let *f* <*I g* if {*a* ∈ *A* : *f*(*a*) ≥ *g*(*a*)} ∈ *I*.

Let $\xi \in On$, and $\langle f_\alpha : \alpha < \xi \rangle$ be an increasing, cofinal sequence in $(\prod A, \leq I)$. For $X \subseteq \xi$, the sequence $\langle f_\alpha : \alpha \in X \rangle$ is *strongly increasing* if there is $Z_{\xi} \in I$ for $\xi \in X$ such that $f_{\beta}(a) < f_{\xi}(a)$ whenever $\beta < \xi$ are in X and $a \in A \setminus (Z_\beta \cup Z_\xi)$.

An infinite limit ordinal $\delta < \xi$ is a *good point for* $\langle f_\alpha : \alpha < \xi \rangle$ if there is a cofinal subset *X* of δ such that $\langle f_\alpha : \alpha \in X \rangle$ is strongly increasing.

Fact 3.5.

- (i) [\[6,](#page-48-4) [29\]](#page-49-10) If *I* is cf(δ)-complete, then δ is a good point for $\langle f_\alpha : \alpha < \xi \rangle$.
- (ii) [\[1,](#page-48-5) Lemma 2.7] Suppose that δ is a good point for $\langle f_\alpha : \alpha < \xi \rangle$. Then any cofinal subset e of δ has a cofinal subset X such that the sequence $\langle f_\alpha : \alpha \in X \rangle$ is strongly increasing.

Let π be a regular cardinal greater than sup *A*. An increasing, cofinal sequence $f = \langle f_\alpha : \alpha < \pi \rangle$ in $\left(\prod A, \leq_I \right)$ is said to be a *scale for* sup *A of length* π . If there is such a sequence, we set tcf($\prod A/I$) = π .

For a singular cardinal χ and a cardinal σ with $cf(\chi) \leq \sigma < \chi$, the *pseudopower* $pp_{\sigma}(\chi)$ is defined as the supremum of the set *X* of all cardinals π for which one may find *A* and *I* such that:

- *A* is a set of regular cardinals smaller than γ ;
- sup $A = \chi$;
- \bullet $|A| \leq \sigma$;
- *I* is an ideal on *A* such that $\{A \cap a : a \in A\} \subseteq I$;
- $\pi = \text{tcf}(\prod A/I)$.

The definition is robust in the sense that it will not be affected by minor modifications (such as requiring the ideal *I* to be prime) (see [\[16,](#page-49-11) p. 270]).

We let $pp(\chi) = pp_{cf(\chi)}(\chi)$.

FACT 3.6 [\[50,](#page-50-4) Theorem 1.5, p. 50]. Let χ be a singular cardinal. Then there is a set *A* of regular cardinals such that $o.t.(A) = cf(\chi) < \min A$, sup $A = \chi$ and tcf($\prod A/I$) = χ^{+} , where *I* is the noncofinal ideal on *A*.

Thus $pp(\chi) \geq \chi^+$ for any singular cardinal χ .

3.3. Large pseudo-Kurepa families from scales. *Throughout the remainder of this section and Sections* [4](#page-11-0) *and* [5](#page-17-0), we let θ , π , A, and I be such that:

- θ and π are two cardinals such that $\kappa < \theta \leq \lambda \leq \pi$ and $\theta < \pi$;
- *A* is a set of regular cardinals smaller than θ such that $|A| < \kappa$ and $\sup A = \theta$;
- *I* is an ideal on *A* with $\{A \cap a : a \in A\} \subseteq I$;
- $\pi = \text{tcf}(\prod A/I).$

Further let *f*- = *fα* : *α<* be an increasing, cofinal sequence in (*A,* $\langle \zeta_I \rangle$.

Let Φ denote a one-to-one onto function from $On \times On$ to On such that $\Phi^{(0)}(\sigma \times \sigma) = \sigma$ for any infinite cardinal σ . We let $\vec{y} = \langle y_{\alpha} : \alpha < \pi \rangle$ be defined by: y_α equals $\{\alpha\}$ if $\alpha < \theta$, and $\{\Phi(a, f_\alpha(a)) : a \in A\}$ otherwise.

OBSERVATION 3.7. Let $\delta > \theta$ be a good point for \vec{f} , and let *e* be a cofinal *subset of* δ *of order-type* $cf(\delta)$ *. Then* $|\bigcup_{\alpha \in e} y_{\alpha}| = \max(|A|, cf(\delta))$ *.*

PROOF. By Fact [3.5\(](#page-7-0)ii), we may find a subset *X* of $e \setminus \theta$ of order-type cf(δ) such that the sequence $\langle f_{\alpha} : \alpha \in X \rangle$ is strongly increasing. Pick $Z_{\xi} \in I$ for $\xi \in X$ so that $f_{\beta}(a) < f_{\xi}(a)$ whenever $\beta < \xi$ are in X and $a \in A \setminus \mathbb{R}$ $(Z_\beta \cup Z_\xi)$. Now select $k \in \prod_{\xi \in X} (A \setminus Z_\xi)$, and put $t = \{\Phi(k(\xi), f_\xi(k(\xi)))$: $\xi \in X$. It is simple to see that $|t| = |X| = \text{cf}(\delta)$. It follows that

$$
|\bigcup_{\alpha \in e} y_{\alpha}| \geq |\bigcup_{\alpha \in X} y_{\alpha}| \geq \max(|A|, cf(\delta)).
$$

On the other hand, it is readily verified that $|\bigcup_{\alpha \in e} y_{\alpha}| \leq \max(|A|, cf(\delta))$.

Fact 3.8.

- (i) [\[37\]](#page-50-14) There is a closed unbounded subset $C_{\vec{f}}$ of π , consisting of infinite limit ordinals, with the property that any δ in $C_{\vec{f}}$ satisfying one of the following conditions, where ρ denotes the largest limit cardinal less than or equal to $cf(\delta)$, is a good point for f:
	- (a) $(\max(\rho, |A|))^{+3} < \text{cf}(\delta)$.
	- (b) $\rho^{|A|} < cf(\delta)$.
	- (c) $|A| < cf(\rho)$.
	- (d) $|A| < \rho$ and *I* is $(cf(\rho))^+$ -complete.
	- (e) $cf(\rho) \leq |A| < \rho$ and $pp(\rho) < cf(\delta)$.
- (ii) [\[28\]](#page-49-9) Suppose that $\lambda < \pi$ and there is a closed unbounded subset *C* of π such that every $\delta \in C$ of cofinality κ is a good point for f. Then $\mathcal{A}_{\kappa,\lambda}(|A|^+, \pi)$ holds, as witnessed by $X = \{y_\alpha : \alpha < \pi\}.$

Thus, for instance, $\mathcal{A}_{\omega_4,\sigma}(\omega_1,\sigma^+)$ holds for every uncountable cardinal σ of cofinality ω . On the other hand by a result of Todorcevic (see [\[28\]](#page-49-9)), if $cf(\lambda) < \kappa = \nu^+$, $u(\kappa, \tau) < \lambda$ for every cardinal τ with $\kappa \leq \tau < \lambda$, and $(\lambda^+, \lambda) \rightarrow (\kappa, \nu)$, then $\mathcal{A}_{\kappa, \lambda}(\kappa, \lambda^+)$ fails.

The scale $f = \langle f_\alpha : \alpha < \pi \rangle$ is *good* if there is a closed unbounded subset *C* of π with the property that every infinite limit ordinal δ in *C* such that $|A| < \text{cf}(\delta) < \sup A$ is a good point for f.

If π is the successor of a cardinal τ (possibly greater than sup *A*) at which the weak square principle \Box^*_{τ} holds, then [\[6,](#page-48-4) [31\]](#page-49-12) the scale \dot{f} is good. Let us recall that the failure of \Box^*_{τ} for singular τ has, in the words of Jech [\[18,](#page-49-0) p. 702] (see also [\[5\]](#page-48-6)), the consistency strength of (roughly) at least one Woodin cardinal.

3.4. The case $\lambda = \pi$. We have seen that if $u(\kappa, \lambda) = \lambda$, then $MC_3(\kappa, \lambda)$ holds. We give a second proof of this fact now, under the extra assumption that λ is the length of a scale.

LEMMA 3.9. *Suppose that* $\lambda = \pi$ *, and let* $b \in P_{\kappa}(\lambda)$ *be such that*:

• sup $b \notin b$.

- *Either* sup *b is a good point for f of cofinality greater than* |*A*|*, or I is* cf(sup *b*)*-complete.*
- $ran(f_\alpha) \subset b$ *for all* $\alpha \in b$.

Then cf(sup *b*) \leq *o.t.*(*b* \cap *θ*).

PROOF. Set $\tau = cf(\sup b)$. Select an order type τ subset c of b with supremum sup *b*. Let us first suppose that sup *b* is a good point of f of cofinality greater than |A|. By Fact [3.5\(](#page-7-0)ii), we may find $d \in [c]^{\tau}$ and $w_{\gamma} \in I$ for $\gamma \in d$ such that $f_{\beta}(a) < f_{\alpha}(a)$ whenever $\beta < \alpha$ are in *d* and $a \in A \setminus (w_{\beta} \cup w_{\alpha})$. For $\alpha \in d$, pick $a_{\alpha} \in A \setminus w_{\alpha}$. There must be $a \in A$ and $e \in [d]^r$ such that $a_\alpha = a$ for all $\alpha \in e$. Then $\langle f_\alpha(a) : \alpha \in e \rangle$ is an increasing sequence of length τ with all its terms in *b* ∩ θ .

Next suppose that *I* is τ -complete. For $\beta < \alpha$ in *c*, select $u_{\beta \alpha} \in I$ such that $f_{\beta}(a) < f_{\alpha}(a)$ whenever $a \in A \setminus u_{\beta\alpha}$. For each $\gamma \in c$, pick a_{γ} in $A \setminus (\bigcup \{u_{\beta\alpha} : \beta < \alpha < \gamma \text{ and } \beta, \alpha \in c\})$. Then $\langle f_\alpha(a_\gamma) : \alpha \in c \rangle$ is an increasing sequence of length $o.t.(c \cap \gamma)$ with all its terms in $b \cap \theta$, so $o.t.(c \cap \gamma) \le$ *o.t.*(*b* ∩ θ). It follows that $\tau \leq$ *o.t.*(*b* ∩ θ). →

PROPOSITION 3.10. *Suppose that* $\lambda = \pi$, *and J is a normal, fine ideal on* $P_{\kappa}(\lambda)$ *such that either* $S_1 \in J^+$ *, or* $S_2 \in J^+$ *, where* S_1 *is the set of all* $b \in P_{\kappa}(\lambda)$ *such that* sup *b is a good point for f*- *of cofinality greater than* |*A*|*, and S*² *the set of all* $b \in P_{\kappa}(\lambda)$ *such that I is* cf(sup *b*)*-complete. Then J is not weakly -saturated.*

PROOF. Let *C* be the set of all $b \in P_{\kappa}(\lambda)$ such that:

- sup $b \notin b$;
- $ran(f_\alpha) \subseteq b$ for all $\alpha \in b$;
- $\bullet \ b \setminus \theta \neq \emptyset.$

Then clearly, $C \in NS_{\kappa,\lambda}^*$. Hence, for $i = 1, 2, C \cap S_i \in J^+$. Moreover by Lemma [3.9,](#page-8-0) $cf(o.t.(b)) < o.t.(b)$ for all $b \in C \cap S_i$. The result is now immediate from Fact [3.4.](#page-6-0)

3.5. The isomorphism method. One way to show that an ideal *J* on $P_{\kappa}(\lambda)$ is not weakly π -saturated is to establish that it is isomorphic to some ideal K on $P_{\kappa}(\pi)$ that is itself not weakly π -saturated. In this subsection we consider some situations when this can (or cannot) be done.

For $i = 1, 2$, let X_i be an infinite set, and let K_i be an ideal on X_i . We say that K_1 is *isomorphic to* K_2 if there are $W_1 \in K_1^*$, $W_2 \in K_2^*$, and a bijection $k: W_1 \to W_2$ such that $K_1^* = \{ D \subseteq W_1 : k^*D \in K_2^* \}.$

Notice that K_1 is isomorphic to K_2 if and only if there are $W_1 \in K_1^*$, *W*₂ ∈ *K*^{*}₂^{*z*}, and a bijection *k* : *W*₁ → *W*₂ such that (a) {*k*"*D* : *D* ∈ *K*^{*}₁^{*n*} ∩ $P(W_1)$ } ⊆ *K*^{*}₂, and (b) { $k^{-1}(B)$: *B* ∈ *K*^{*}₂</sub> ∩ *P*(*W*₂)} ⊆ *K*^{*}₁. It easily follows that K_1 is isomorphic to K_2 just in case K_2 is isomorphic to K_1 .

For a cardinal $\sigma > \kappa$ and a κ -complete ideal *G* on $P_{\kappa}(\sigma)$, cof(*G*) denotes the least cardinality of any $Q \subseteq G$ such that for any $B \in G$, there is $Z \in$ $P_{\kappa}(Q)$ with $B \subseteq \bigcup Z$.

OBSERVATION 3.11. *For* $i = 0, 1$, let σ_i be a cardinal greater than κ , and let K_i *be a fine ideal on* $P_{\kappa}(\sigma_i)$ *. Suppose that* K_1 *is* κ *-complete, and* K_1 *and* K_2 *are isomorphic. Then* K_2 *is* κ *-complete, and moreover* $\text{cof}(K_1) = \text{cof}(K_2)$ *.*

FACT 3.12 [\[36\]](#page-50-15). The following are equivalent:

- (i) $f(K_1) = K_2$ for some one-to-one $f: X_1 \to X_2$.
- (ii) There are $W_2 \in K_2^*$ and a bijection $k: X_1 \to W_2$ such that $K_1^* =$ $\{D \subseteq W_1 : k^*D \in K_2^*\}.$

We use the isomorphism method to give new versions of Facts [3.1](#page-4-0) and [3.2.](#page-5-0) Let us start with the situation when κ is a successor cardinal. There is a more informative proof of Fact [3.1](#page-4-0) which runs as follows. For the first case, when $\pi = \lambda$, proceed as in the original proof. Now for the second case, suppose that κ is a successor cardinal, *J* is a κ -complete, fine ideal on $P_{\kappa}(\lambda)$, $\pi > \lambda$, and *X* is a size π subset of $P_{\kappa}(\lambda)$ with the property that $|X \cap P(b)| < \kappa$ for all $b \in P_{\kappa}(\lambda)$. Letting $\langle x_{\alpha} : \lambda \leq \alpha < \pi \rangle$ be a one-to-one enumeration of *X*, define $f: P_{\kappa}(\lambda) \to P_{\kappa}(\pi)$ by $f(b) = b \cup \{\alpha : x_{\alpha} \subseteq b\}.$ Clearly, *f* is one-to-one, so by Fact [3.12,](#page-10-0) *J* and $f(J)$ are isomorphic. Since by the first case, $f(J)$ is not weakly π -saturated, *J* is not weakly π -saturated either. It is worth stressing that, as the following shows, there are situations when the condition on the existence of an *X* as above cannot be dispensed with.

Observation 3.13. *Suppose that κ is a successor cardinal, and some κ*-complete, fine ideal *J* on $P_{\kappa}(\lambda)$ with $\text{cof}(J) \leq \lambda$ is isomorphic to some *fine ideal K on* $P_{\kappa}(\pi)$ *. Then* $\mathcal{A}_{\kappa,\lambda}(\kappa,\pi)$ *holds.*

PROOF. By Observation [3.11,](#page-10-1) *K* is *κ*-complete, and moreover cof(K) $\leq \lambda$. By Proposition 5.7 in [\[39\]](#page-50-16), the desired conclusion follows.

Observation [3.13](#page-10-2) can be applied with $J = I_{\kappa,\lambda}$. More interestingly, suppose that λ is a strong limit cardinal of cofinality less than κ . Then by a result of Shelah [\[53\]](#page-50-17), $NS_{\kappa,\lambda} = I_{\kappa,\lambda} | C$ for some $C \in NS_{\kappa,\lambda}^*$, so $\overline{\text{cof}}(NS_{\kappa,\lambda}) \leq$ $\overline{\text{cof}}(I_{\kappa,\lambda}) \leq \lambda$ and moreover since $I_{\kappa,\lambda}$ is nowhere $u(\kappa,\lambda)$ -saturated by Fact [1.6\(](#page-3-0)ii), so is $NS_{\kappa,\lambda}$. Thus if GCH holds, κ is a successor cardinal, cf(λ) < κ , and $A_{\kappa,\lambda}(\kappa,\lambda^+)$ fails, we cannot use the isomorphism trick to show that $NS_{\kappa,\lambda}$ is not weakly λ^+ saturated.

Let us now turn to the case when κ is weakly inaccessible.

OBSERVATION 3.14. *Suppose that* $\lambda < \pi$, κ *is weakly inaccessible,* σ *is a cardinal with* $\theta \le \sigma \le \lambda$, and *J* is a σ -normal, fine ideal on $P_{\kappa}(\lambda)$. Suppose *further that there exist a closed unbounded subset* C *of* π *and* $D \in J^+$ *such*

that δ is a good point for \overline{f} whenever $\delta \in C \cap E_{|b \cap \sigma |^+}^{\pi}$ for some $b \in D$. Then J *is not weakly* π -saturated.

PROOF. Recall that $\vec{y} = \langle y_\alpha : \alpha < \pi \rangle$ is defined by: y_α equals $\{\alpha\}$ if $\alpha < \theta$, and $\{\Phi(a, f_{\alpha}(a)) : a \in A\}$ otherwise. Define $g : P_{\kappa}(\lambda) \to P(\pi)$ by

$$
g(b)=(b\cap\sigma)\cup\{\alpha\in C\setminus\sigma:y_{\alpha}\subseteq b\}.
$$

Notice that $g(b) \cap \theta = b \cap \theta$. It follows that *g* is one-to-one in case $\sigma = \lambda.$

CLAIM. Let $b \in D$. Then $|g(b)| = |b \cap \sigma|$.

PROOF OF THE CLAIM. Suppose otherwise. Pick a subset *e* of $g(b) \setminus \sigma$ of order-type $|b \cap \sigma|^+$, and put $\delta = \sup e$. Then clearly, $\delta \in C \cap E_{|b \cap \sigma|^+}^{\pi}$, and therefore δ is a good point for f. But then by Observation [3.7,](#page-7-1)

$$
|\bigcup_{\alpha \in e} y_{\alpha}| \geq cf(\delta) > |b \cap \sigma| \geq |b \cap \theta|.
$$

This is a contradiction, since $\bigcup_{\alpha \in e} y_{\alpha} \subseteq b \cap \theta$, which completes the proof of the claim.

Set $K = g(J|D)$ and $Z = g''(D)$. Then, as is readily checked, *K* is a *σ*-normal, fine ideal on $P_κ(π)$, and moreover $Z ∈ K[*]$. By the claim, $|x| = |x \cap \sigma|$ for all $x \in Z$, so by Fact [3.2,](#page-5-0) *K* is not weakly π -saturated, and hence neither is *J*.

COROLLARY 3.15. *Suppose that* $\lambda < \pi$, κ *is weakly inaccessible,* σ *is a cardinal with* $\theta \leq \sigma \leq \lambda$, and *J* is a weakly π -saturated, σ -normal, fine ideal *on* $P_{\kappa}(\lambda)$. Then $\Upsilon \in J^*$, where Υ denotes the set of all $b \in P_{\kappa}(\lambda)$ for which there are stationarily many $\delta < \pi$ such that $(a)\, \delta$ is not a good point for f , and (b) cf(δ) = $|b \cap \sigma|^+$.

PROOF. Suppose otherwise, and let $D = P_{\kappa}(\lambda) \setminus \Upsilon$. Let *T* be the set of all $\delta < \pi$ such that either $\delta \notin E_{|\delta \cap \sigma|^+}^{\pi}$ for every $b \in D$, or δ is a good point for f. Then $\pi \setminus T$ must be stationary. Hence we may find $\mu < \pi$, and a stationary subset *W* of $\pi \setminus T$, such that (a) $\mu = |b \cap \sigma|^+$ for some $b \in D$, and (b) $W \subseteq E_{\mu}^{\pi}$. Notice that for any $\delta \in W$, δ is not a good point for f . Thus $b \in \Upsilon$. Contradiction.

The corollary extends several known results, including one by Shelah (see [\[9,](#page-48-7) Theorem 4.63]) asserting that above a supercompact χ , there is no good scale at any cardinal ρ of cofinality smaller than χ , and a more detailed result of Sinapova [\[57,](#page-50-18) Lemma 8] for the special case when $\rho < \chi^{+\chi}$.

§4. The function ψ . Throughout the section it is assumed that $\pi > \lambda$. We need a new method to handle situations when the results established so far

do not apply. In this quest the function ψ considered in this section will play a key role.

Define φ : $P_{\theta}(\pi) \to \Pi A$ by: $\varphi(w)(a)$ equals $\sup(w \cap a)$ if $\sup(w \cap a)$ *a*, and 0 otherwise. Further define ψ : $P_{\theta}(\pi) \to \pi$ by $\psi(w) =$ the least α such that $\varphi(w) \leq I f_\alpha$.

To show that an ideal *K* on $P_{\kappa}(\lambda)$ is not weakly π -saturated, it will suffice to prove that the ideal $(\psi|P_{\kappa}(\lambda))(K)$ on π is (included in some ideal on π that is) not weakly π -saturated. As a first step, in this section we compare $\psi|P_{\kappa}(\pi)$ and the sup function on $P_{\kappa}(\pi)$. The main results are Observation [4.7,](#page-15-0) which will be used in Section [5,](#page-17-0) and Observation [4.3.](#page-13-0)

A subset *C* of $P_{\kappa}(\pi)$ is *strongly closed* if $\bigcup X \in C$ for all $X \in P_{\kappa}(C) \setminus \{\emptyset\}.$ *SNS_{κ,π}* denotes the collection of all $B \subseteq P_{\kappa}(\pi)$ such that $B \cap C = \emptyset$ for some strongly closed *C* in $I_{\kappa,\pi}^+$. It is easy to see that $SNS_{\kappa,\pi}$ is a κ -complete, fine ideal on $P_{\kappa}(\pi)$. Furthermore, $SNS_{\kappa,\pi} \subset NS_{\kappa,\pi}$.

Observation 4.1. { $x \in P_{\kappa}(\pi) : \psi(x) \ge \sup x$ } $\in SNS^*_{\kappa,\pi}$.

PROOF. It suffices to note that $\{x \in P_{\kappa}(\pi) : \forall \xi \in x (ran(f_{\xi}) \subseteq x)\}\in$ $SNS^*_{\kappa,\pi}$. \star **k**, π **c**

Let μ be a regular cardinal less than κ . An ideal K on $P_{\kappa}(\pi)$ is (μ, κ) *normal* if for any $G \in K^+$ and any $k : G \to P_\mu(\pi)$ with the property that $k(x) \subseteq x$ for every $x \in G$, there is *d* in $P_{\kappa}(\pi)$ and $H \in K^+ \cap P(G)$ such that $f(x) \subseteq d$ for all $x \in H$. We let $NS_{\mu,\kappa,\lambda}$ denote the smallest fine, κ -complete, (μ, κ) -normal ideal on $P_{\kappa}(\lambda)$. As observed in [\[33\]](#page-49-13), the set of all $x \in P_{\kappa}(\pi)$ such that $\sup e \in x$ for all $e \in P_\mu(x)$ lies in $NS^*_{\mu,\kappa,\pi}$. Furthermore, the set of all $x \in P_{\kappa}(\pi)$ such that sup *x* is a limit ordinal of cofinality μ lies in $NS^+_{\mu,\kappa,\pi}$.

A subset *C* of $P_{\kappa}(\pi)$ is *µ*-closed if $\bigcup_{i \leq \mu} c_i \in C$ for every increasing sequence $\langle c_i : i < \mu \rangle$ in (C, \subset) . A subset *D* of $P_\kappa(\pi)$ is a μ *-club* if it is a μ -closed, cofinal subset of $P_{\kappa}(\pi)$. We let $N\mu$ - $S_{\kappa,\pi}$ be the set of all $H \subseteq P_{\kappa}(\pi)$ such that $H \cap D = \emptyset$ for some μ -club $D \subseteq P_{\kappa}(\pi)$. Let $Y_{\mu}^{\kappa,\pi}$ denote the set of all nonempty $x \in P_{\kappa}(\pi)$ such that:

- For any $\alpha \in x$, $\alpha + 1 \in x$.
- For any regular infinite cardinal $\sigma \in \kappa \setminus \{u\}$, and any increasing sequence $\langle \beta_{\xi} : \xi < \sigma \rangle$ of elements of *x*, $\sup \{ \beta_{\xi} : \xi < \sigma \} \in x$.

Notice that for any $x \in Y^{\kappa,\pi}_{\mu}$ and any cardinal $\tau \leq \pi$ of cofinality greater than or equal to κ , sup $(x \cap \tau)$ is a limit ordinal of cofinality μ . It is remarked in [\[30\]](#page-49-14) that $Y_{\mu}^{\kappa,\pi}$ and the set $\{x \in P_{\kappa}(\pi) : |x| = |x \cap \kappa| \ge \mu\}$ are both μ -clubs.

Lemma 4.2.

- (i) *Suppose that* $|A| < \mu$. Then $\{x \in P_{\kappa}(\pi) : \psi(x) \leq \sup x\} \in NS_{\mu,\kappa,\pi}^*$.
- (ii) *Suppose that I* is μ^+ -complete. Then $\{x \in P_{\kappa}(\pi) : \psi(x) \leq \sup x\}$ $(N\mu - S_{\kappa\pi})^*$.

PROOF. (i): Suppose toward a contradiction that the set $X = \{x \in$ $P_{\kappa}(\pi) : \psi(x) > \sup x$ } lies in $NS_{\mu,\kappa,\pi}^+$. For $x \in X$, set $e_x = \{a \in A : \sup(x \cap A)$. $a)$ *>* $f_{\text{sup }x}(a)$ }, and select $s_x : e_x \to x$ so that $f_{\text{sup }x}(a) < s_x(a) < a$ for all $a \in e_x$. There must be $T \in NS_{\mu,\kappa,\pi}^+ \cap P(X)$ and $w \in P_{\kappa}(\lambda)$ such that *ran*(s_x) ⊆ *w* for all $x \in T$. Pick $x \in T$ such that $\psi(w)$ ≤ sup *x*. Then ${a \in A : \sup(w \cap a) \le f_{\psi(w)}(a) \le f_{\sup x}(a)} \in I^*$. On the other hand for any $a \in e_x$, sup $(w \cap a) \geq s_x(a) > f_{\sup x}(a)$. This is a contradiction, since $e_x \in I^+$.

(ii): We first establish the following.

CLAIM. Let $\langle c_i : i < \mu \rangle$ be an increasing sequence in $(P_\kappa(\pi), \subset)$. Then $\psi(\bigcup_{i<\mu} c_i) \leq \sup\{\psi(c_i) : i < \mu\}.$

PROOF OF THE CLAIM. For $i < \mu$, put $v_i = \{a \in A : \sup(c_i \cap a) > a_i\}$ $f_{\psi(c_i)}(a)$ } and $w_i = \{a \in A : f_{\psi(c_i)}(a) > f_{\sup{\{\psi(c_r): r < \mu\}}}(a)\}$. Then $\bigcup_{i < \mu}(v_i)$ ∪ w_i) ∈ *I*, and moreover for any $a \in A \setminus (\bigcup_{i \leq \mu} (v_i \cup w_i))$, sup $((\bigcup_{i \leq \mu} c_i) \cap$ $a) = \sup(\bigcup_{i \le \mu} (c_i \cap a)) \le \sup\{f_{\psi(c_i)}(a) : i < \mu\} \le f_{\sup\{\psi(c_i): i < \mu\}}(a)$, which completes the proof of the claim.

By the claim, the set $C = \{x \in P_{\kappa}(\pi) : \psi(x) \leq \sup x\}$ is μ -closed. To show that it is cofinal, fix $z \in P_{\kappa}(\pi)$. Inductively define $c_i \in P_{\kappa}(\pi)$ for $i < \mu$ so that:

- $c_0 = z$.
- $c_i \cup \{\psi(c_i)\} \subset c_{i+1}$ for all $i < \mu$.

Put $c = \bigcup_{i \leq \mu} c_i$. Then clearly, $z \subseteq c$. Moreover by the claim, $\psi(c) \leq c$ $\sup\{\psi(c_i): i < \mu\} \leq \sup c, \text{ so } c \in \mathbb{C}.$

OBSERVATION 4.3.

- (i) *Suppose that* $|A| < \mu$. Then $\{x \in P_{\kappa}(\pi) : \psi(x) = \sup x\} \in NS_{\mu,\kappa,\pi}^*$.
- (ii) *Suppose that I* is μ^+ -complete. Then $\{x \in P_{\kappa}(\pi) : \psi(x) = \sup x\}$ $(N\mu-S_{\kappa,\pi})^*$.

PROOF. By Observation [4.1](#page-12-0) and Lemma [4.2.](#page-12-1)

Observation [4.3](#page-13-0) is of no use in the (critical) case when $|A| = \mu$, which must be handled separately. In what follows we present Shelah's approach, which is based on Namba combinatorics (that is, properties of trees of height ω). So from here on to Observation [4.7](#page-15-0) we suppose that $(cf(\theta) = \omega$ and) $|A| = \aleph_0$. Let $\langle \theta_i : i \langle \omega \rangle$ be a one-to-one enumeration of *A*, and set $\mathcal{I} = u(I)$, where $u : A \to \omega$ is defined by: $u(\theta_i) = i$.

An ideal *H* on ω is a *P-point* if for any $F : \omega \to H$, there is $G \in H^*$ such that $G \cap F(j)$ is finite for all $j < \omega$.

Assume that *I* is a P-point (i.e., that given $B_n \in I$ for $n < \omega$, there is *C* ∈ *I*^{*} that meets each *B_n* in a finite set). For $\alpha < \pi$, define $F_{\alpha} \in \prod_{i < \omega} \theta_i$ by $F_\alpha(i) = f_\alpha(\theta_i)$.

 \mathcal{T}_{π} denotes the collection of all nonempty $T \subseteq \bigcup_{n < \omega} n_{\pi}$ such that for any $t \in T$, $\{t | n : n < \text{dom}(t)\} \subseteq T$ and $|\{\alpha < \pi : t \cup \{(\text{dom}(t), \alpha)\} \in T\}| = \pi$. Let $T \in \mathcal{T}_{\pi}$. Set $[T] = \{f \in {}^{\omega}\pi : \forall n < \omega(f | n \in T)\}$. For $t \in T$, put $T *$ $t = \{s \in T : s \subseteq t \text{ or } t \subseteq s\}$. [*T*] is endowed with the topology obtained by taking as basic open sets the members of the family $\{[T * t] : t \in T\}$.

FACT 4.4 [\[48,](#page-50-19) Lemma 2.14]. Suppose that $T \in \mathcal{T}_{\pi}$, $\sigma < \pi$ is a cardinal, and $[T] = \bigcup_{\beta < \sigma} H_{\beta}$, where each H_{β} is Borel. Then there is $T' \in \mathcal{T}_{\pi} \cap P(T)$ and $\beta < \sigma$ such that $[T'] \subseteq H_{\beta}$.

Let σ be a cardinal greater than κ , and let μ be a regular cardinal less than κ . For $Q \subseteq P_{\kappa}(\sigma)$, $G_{\kappa,\sigma}^{\mu}(Q)$ denotes the following two-person game consisting of μ moves. At step $\alpha < \mu$, player I selects $a_{\alpha} \in P_{\kappa}(\sigma)$, and II replies by playing $b_{\alpha} \in P_{\kappa}(\sigma)$. The players must follow the rule that for $\beta < \alpha < \mu$, $b_{\beta} \subseteq a_{\alpha} \subseteq b_{\alpha}$. II wins if and only if $\bigcup_{\alpha < \mu} a_{\alpha} \in \mathcal{Q}$.

NG^{μ}_{*k*, σ} denotes the collection of all $Q \subseteq P_{\kappa}(\sigma)$ such that II has a winning strategy in $G_{\kappa,\sigma}^{\mu}(P_{\kappa}(\sigma) \setminus Q)$.

Fact 4.5 [\[25,](#page-49-15) [30,](#page-49-14) [35\]](#page-50-20).

- (i) $NG_{\kappa,\sigma}^{\mu}$ is a (μ,κ) -normal ideal on $P_{\kappa}(\sigma)$.
- (ii) $N\mu$ - $S_{\kappa,\sigma} \subseteq NG_{\kappa,\sigma}^{\mu}$.
- (iii) Let $\tau > \sigma$ be a cardinal. Then $NG_{\kappa,\sigma}^{\mu} = q(NG_{\kappa,\tau}^{\mu})$, where $q : P_{\kappa}(\tau) \to$ $P_{\kappa}(\sigma)$ is defined by $q(x) = x \cap \sigma$.
- (iv) If $\kappa = \omega_1$ (and $\mu = \omega$), then $NG_{\kappa,\sigma}^{\mu} = NS_{\kappa,\sigma}$.
- (v) There is a one-to-one function $y : \sigma^{<\kappa} \to P_{\kappa}(\sigma)$ such that (a) for any $\beta \in \sigma$, $\beta \in y(\beta)$, and (*b*) $NG_{\kappa,\sigma}^{\omega} = \overline{y}(NS_{\omega_1,\sigma^{\langle \kappa \rangle}})$, where $\overline{y}: P_{\omega_1}(\sigma^{\leq \kappa}) \to P_{\kappa}(\sigma)$ is defined by $\overline{y}(x) = \bigcup_{\delta \in x} y(\delta)$.

The following generalizes a result of Shelah [\[54\]](#page-50-21) (in which $\pi = \theta^+$ and $\mathcal{I} = I_{\omega}$).

LEMMA 4.6. *Let* $S \in NS_{\pi}^+ \cap P(E_{\omega}^{\pi})$ *. Then*

$$
\{b \in P_{\omega_1}(\pi) : \sup b \in S \text{ and } \psi(b) = \sup b)\} \in NS_{\omega_1, \pi}^+.
$$

PROOF. Fix a closed unbounded subset *C* of $P_{\omega_1}(\pi)$. Pick

$$
k: \bigcup_{r \leq \omega} {r \pi} \to \{ x \in C : \forall \alpha \in x (ran(F_{\alpha}) \subseteq x) \}
$$

so that:

- For $h : \omega \to \pi$, $k(h) = \bigcup_{n < \omega} k(h|n)$.
- For $t : m + 1 \rightarrow \pi$, $\{t(m)\} \cup k(t|m) \subseteq k(t)$.

Clearly, if $h \in \mathcal{F}, i \in \omega$, and $\beta \in \theta_i$ are such that $\varphi(k(h))(\theta_i) > \beta$, then $\varphi(k(h|m))(\theta_i) > \beta$ for some *m* with $i \leq m < \omega$. Hence, using Fact [4.4,](#page-14-0) we

may construct inductively $T \in \mathcal{T}_{\pi}$ and $\chi : T \to \theta$ such that for any $t \in T$ and any $h \in [T * t], \varphi(k(h))(\theta_{dom(t)}) \leq \chi(t) < \theta_{dom(t)}$.

We define a strategy τ for player II in $G_{\kappa,\pi}^{\omega}(P_{\kappa}(\pi))$ as follows. Consider a play of the game where I's successive moves are d_0, d_1, \ldots . Using her strategy τ , II will successively play e_0, e_1, \ldots so that:

- \bullet $d_j \cup \{\psi(d_j)\} \subseteq e_j$.
- sup e_j is an infinite limit ordinal greater than sup d_j .
- For any $t \in T$ with ran $(t) \subseteq e_j$, $\chi(t) \in e_j$, and moreover

$$
\sup\{\gamma \in e_j : t \cup \{(\text{dom}(t), \gamma)\} \in T\} = \sup e_j.
$$

Now ${b \in P_{\omega_1}(\pi) : \sup b \in S} \in NS_{\omega_1, \pi}^+$, so by Fact [4.5\(](#page-14-1)iv), τ is not a winning strategy for II in $G_{\kappa,\pi}^{\omega}(\{b \in P_{\omega_1}(\pi): \sup b \notin S\})$. Hence there must be a play of this game where I's successive moves are d_0, d_1, \ldots , II successively plays e_0, e_1, \ldots using her strategy τ , and I wins. Thus sup $e \in S$, where $e = \bigcup_{j < \omega} e_j$. Put $\delta = \sup e$. Notice that for any $j < \omega, \psi(e_j) \leq \psi(d_{j+1}).$ It follows that $\psi(e_j) \leq \delta$, since $\psi(d_{j+1}) \in e_{j+1}$. Define $l : \omega \to \mathcal{I}$ by $l(j) =$ ${i < \omega : \varphi(e_i)(\theta_i) > F_\delta(i)}$. By P-pointness of *I*, there must be $G \in \mathcal{I}^*$ such that $G \cap l(j)$ is finite for all $j < \omega$. Pick an increasing sequence $\langle n_i : j \rangle \langle \omega \rangle$ of elements of ω so that $\varphi(e_i)(\theta_i) \leq F_\delta(i)$ whenever $j \leq \omega$ and $i \in G \setminus n_i$. Now construct $h \in [T]$ so that $\{h(i) : i < n_0\} \subseteq e_0$, and for any $j < \omega$:

- $h(i) \in e_i$ whenever $n_i \leq i \leq n_{i+1}$;
- $h(n_{i+1}) > \sup(e_i)$.

Put $b = k(h)$. Then clearly, $b \in C$, and moreover ran(h) $\subseteq b$. It follows that $\delta \leq \sup(\text{ran}(h)) \leq \sup b$.

CLAIM. Let $j < \omega$ and $i \in G$ with $n_j \leq i < n_{j+1}$. Then sup($b \cap \theta_i$) ≤ $F_{\delta}(i)$.

PROOF OF THE CLAIM. Since $\text{ran}(h|i) \subseteq e_i$, $\chi(h|i) \in e_i \cap \theta_i$. Hence, $\varphi(b)(\theta_i) \leq \chi(h|i) \leq \sup(e_i \cap \theta_i) \leq F_\delta(i)$, which completes the proof of the claim.

It follows from the claim that $\varphi(b) \leq I$ *f*_{δ}. Thus, $\delta \leq \sup b \leq \psi(b) \leq \delta$, so $\sup b = \psi(b)$.

Observation 4.7. Let $S \in NS_{\pi}^+ \cap P(E_{\omega}^{\pi})$. Then

 $\{w \in P_{\kappa}(\pi) : \sup w \in S \text{ and } \psi(w) = \sup w\} \in (NG_{\kappa,\pi}^{\omega})^+.$

PROOF. For $\kappa = \omega_1$, this is just Lemma [4.6.](#page-14-2) Let us now assume that $\kappa > \omega_1$. Set

$$
Q = \{x \in P_{\omega_1}(\pi^{\leq \kappa}) : \sup(x \cap \pi) \in S \text{ and } \psi(x \cap \pi) = \sup(x \cap \pi)\}.
$$

Then by Fact [4.5\(](#page-14-1)iii) and (iv) and Lemma [4.6,](#page-14-2) $Q \in NS_{\omega_1, \pi<\kappa}^+$. By Fact [4.5\(](#page-14-1)v), we may find $y : \pi^{\leq \kappa} \to P_{\kappa}(\pi)$ such that (a) for any $\beta \in \pi, \beta \in y(\beta)$, and (b) $NG_{\kappa,\pi}^{\omega} = \overline{y}(NS_{\omega_1,\pi^{\kappa}})$, where $\overline{y}: P_{\omega_1}(\pi^{\kappa}) \to P_{\kappa}(\pi)$ is defined by $\overline{y}(x) = \bigcup_{\delta \in x} y(\delta)$. Note that $x \cap \pi \subseteq \overline{y}(x)$ for all $x \in P_{\omega_1}(\pi^{{< \kappa}})$. Put $c =$ $\{i < \omega : \theta_i \ge \kappa\}$. Note that $c \in \mathcal{I}^*$. Let *C* denote the set of all infinite $x \in$ $P_{\omega_1}(\pi^{{<}\kappa})$ such that:

- For any $\eta \in x$ and any $i \in c$, there is $\beta \in x \cap \theta_i$ with $\sup(y(\eta) \cap \theta_i)$ $\langle \beta$.
- For any $\eta \in x$, there is $\beta \in x \cap \pi$ with $\sup(y(\eta)) < \beta$.

Then clearly, *C* is a closed unbounded subset of $P_{\omega_1}(\pi^{{<};\kappa})$. Furthermore for any $x \in C$, $\psi(x \cap \pi) = \psi(\overline{y}(x))$ and $\sup(x \cap \pi) = \sup(\overline{y}(x))$. Thus \overline{y} ["]($Q \cap C$) lies in $(NG_{\kappa,\pi}^{\omega})^+$, and moreover it is included in the set $\{w \in P_{\kappa}(\pi) : \sup w \in S \text{ and } \psi(w) = \sup w\}.$

For any $S \in (NS_{\pi} | E_{\omega}^{\pi})^{+}$, $\{w \in P_{\kappa}(\pi) : \psi(w) \in S\} \in (NG_{\kappa,\pi}^{\omega})^{+}$ by Obser-vation [4.7,](#page-15-0) and therefore $\{v \in P_{\kappa}(\lambda) : \psi(v) \in S\} \in (NG_{\kappa,\lambda}^{\omega})^+$ by Fact [4.5\(](#page-14-1)iii). Thus $(\psi|P_{\kappa}(\lambda))(NG_{\kappa,\lambda}^{\omega}) \subseteq NS_{\pi}|E_{\omega}^{\pi}$. Let us observe that it is not necessarily the case that $\psi^* W \in NS_\pi^+$ for all $W \in NS_{\kappa,\lambda}^+$. To see this, recall that the bounding number \mathfrak{b}_{π} denotes the least cardinality of any $F \subseteq \pi_{\pi}$ with the property that there is no $g \in \pi \pi$ such that $|\{\beta \leq \pi : f(\beta) \geq g(\beta\}| < \pi$ for all $f \in F$.

FACT 4.8 [\[2\]](#page-48-8). \mathfrak{b}_{π} is the least cardinality of any collection *F* of closed unbounded subsets of π such that for any $B \in [\pi]^{\pi}$, there is $C \in F$ with $|B \setminus C| = \pi$.

OBSERVATION 4.9. *Let K be an ideal on* $P_{\kappa}(\lambda)$ *such that* $K \subseteq NG_{\kappa,\lambda}^{\omega}$ *and* $\overline{\text{cof}}(K) < \mathfrak{b}_{\pi}$. Then there is $D \in NS_{\pi}^*$ such that $(\psi | P_{\kappa}(\lambda))(K) \subseteq I_{\pi} | (D \cap$ E^{π}_{ω}).

PROOF. Put $\sigma = \overline{\text{cof}}(K)$. We have that $(\psi | P_{\kappa}(\lambda))(K) \subseteq NS_{\pi} | E_{\omega}^{\pi}$. Now $\overline{\text{cof}}((\psi|P_{\kappa}(\lambda))(K)) \leq \sigma$, so we may find $C_{\alpha} \in NS_{\pi}^*$ for $\alpha < \sigma$ such that for any $X \in ((\psi | P_{\kappa}(\lambda)) (K))^*$, there is $z \in P_{\kappa}(\sigma) \setminus \{ \emptyset \}$ with the property that $(\bigcap_{\alpha \in \mathbb{Z}} C_{\alpha}) \cap E_{\alpha}^{\pi} \subseteq X$. Using Fact [4.8,](#page-16-0) select $D \in NS_{\pi}^{*}$ so that $|D \setminus C_{\alpha}| < \pi$ for every $\alpha < \sigma$. Now fix $T \in I_{\pi}^+ \cap P(D \cap E_{\omega}^{\pi})$. Clearly $T \setminus \bigcup_{\alpha \in \sigma} (D \setminus E_{\alpha}^{\pi})$. C_{α}) $\subseteq \bigcap_{\alpha \in \mathbb{Z}} C_{\alpha}$ for every $z \in P_{\kappa}(\sigma) \setminus \{ \emptyset \}$, so $T \cap X \neq \emptyset$ for all $X \in$ $((\psi|P_{\kappa}(\lambda))\tilde{K})^*$. Hence $T \notin (\psi|P_{\kappa}(\lambda))(K)$.

Set $\pi = 2^{\lambda}$ and $K = NS_{\kappa,\lambda}$. Then $\overline{\text{cof}}(K) \leq \text{cof}(K) \leq 2^{\lambda} = \pi < \mathfrak{b}_{\pi}$, so by Observation [4.9,](#page-16-1) there must be $D \in NS_{\pi}^*$ such that $(\psi | P_{\kappa}(\lambda))(K) \subseteq I_{\pi} | (D \cap \mathbb{R})$ *E*^{π}_{ω}). Select *T* in $I_{\pi}^+ \cap NS_{\pi} \cap P(D \cap E_{\omega}^{\pi})$, and put $W = (\psi | P_{\kappa}(\lambda))^{-1}(T)$. Then clearly, $W \in NS_{\kappa,\lambda}^+$, and moreover $\psi^{\kappa} W \in NS_{\pi}$.

Another comment is in order. In contrast to Observation [4.3,](#page-13-0) the conclusion of Observation [4.7](#page-15-0) does not assert that the set

 $\{w \in P_{\kappa}(\pi) : \sup w \in S \text{ and } \psi(w) = \sup w\}$ lies in the dual filter $((NG_{\kappa,\pi}^\omega)^*)$, but only that it does not lie in the ideal $((NG_{\kappa,\pi}^\omega))$. There is a good reason for this. In fact if $\mu = |A| = \text{cf}(\theta)$, $\theta^{<\mu} < \pi$, and $Z \in NS_{\pi}^+ \cap P(E_{\mu}^{\pi})$ lies in the approachable ideal $I[\pi]$, then by a result of [\[30\]](#page-49-14), for any $\ell : \pi \to \pi$,

$$
\{x \in P_{\kappa}(\pi) : \sup x \in Z \text{ and } \psi(x) > \ell(\sup x)\} \in (NG_{\kappa,\pi}^{\mu})^+.
$$

On the other hand, we have the following.

OBSERVATION 4.10. Let $X \subseteq P_{\kappa}(\pi)$ be such that $|\{x \in X : \sup x = \beta\}| < \pi$ *for all* $\beta < \pi$ *. Then there is* $\ell : \pi \to \pi$ *with the property that* $\psi(x) \leq \ell(\sup x)$ *for all* $x \in X$ *.*

PROOF. For $\beta < \pi$, put $e_{\beta} = \{x \in X : \sup x = \beta\}$. Now define $\ell : \pi \to \pi$ by: $\ell(\beta)$ equals $\sup\{\psi(x) : x \in e_{\beta}\}\$ if $e_{\beta} \neq \emptyset$, and 0 otherwise.

Note that if $V = L$, then by a result of Dieter Donder, for any stationary *Y* \subseteq *P_K*(π), there exists a stationary *X* \subseteq *Y* such that $\mid \{x \in X : \text{sup } x = x\}$ $|\beta\rangle| \leq 1$ for all $\beta < \pi$.

Let us finally observe that if $x = ran(f_\alpha)$, where $\alpha < \pi$, then obviously $\psi(x) = \alpha$. This shows that $\psi(x)$ needs not be a limit ordinal. On the other hand, by Observation [4.7](#page-15-0) (respectively, Observation [4.3\)](#page-13-0), if $|A| = \mu = \omega$ (respectively, $|A| < \mu$ or *I* is μ^+ -complete), the set of all *x* such that $\psi(x)$ is a limit ordinal of cofinality $cf(|x \cap \theta|)(=\mu)$ lies in $(NG_{\kappa,\pi}^{\mu})^+$ (respectively, $(NG_{\kappa,\pi}^{\mu})^*$). One intriguing question which is left unanswered by the results of the next section is whether the set of all *x* such that $\psi(x)$ is a limit ordinal of cofinality $(cf(|x \cap \theta|))$ ⁺ lies in *J*^{*} for any weakly π -saturated, normal, fine ideal *J* on $P_{\kappa}(\lambda)$.

§5. The way of Usuba. As in the preceding section, we assume in this one that $\lambda < \pi$, and we look for strategies to deal with situations when our ideal *J* on $P_{\kappa}(\lambda)$ cannot be shown to be isomorphic to a nice ideal on $P_{\kappa}(\pi)$. Sticking with ideals, we could attempt to bypass $P_{\kappa}(\pi)$ and associate *J* with an ideal on π of the form $h(J)$ for some $h : P_{\kappa}(\lambda) \to \pi$. But there are obvious difficulties. One is that $h(J)$ will be κ -complete (when we would be more comfortable with π -completeness). Another is that if $\pi < u(\kappa, \lambda)$, then *J* and $h(J)$ will not be isomorphic. So instead we will follow Usuba [\[60\]](#page-50-11) whose method consists in moving from *J* (to its projection on $P_{\kappa}(\theta)$ and then) to an ideal *K* on $P_{\kappa}(\theta)$ with which is associated a function *h* taking its values in π . The crux of the matter is that if *J* is weakly π -saturated, then so is *K*.

An ideal on $P_{\kappa}(\sigma)$, where σ is a cardinal greater than or equal to θ , is *adequate* if it is κ -complete in case κ is a successor cardinal, and θ -normal otherwise.

Let *J* be an adequate, fine ideal on $P_{\kappa}(\lambda)$. We put $\mathcal{J} = p(J)$, where $p: P_{\kappa}(\lambda) \to P_{\kappa}(\theta)$ is defined by $p(c) = c \cap \theta$.

OBSERVATION 5.1.

- (i) $\mathcal J$ *is an adequate, fine ideal on* $P_{\kappa}(\theta)$ *.*
- (ii) If J is θ -normal, then J is normal.
- (iii) If *J* is weakly π -saturated, then so is \mathcal{J} .

Fact 5.2 [\[60\]](#page-50-11).

- (i) There exist a function $h: P_{\kappa}(\theta) \to On$ and an adequate ideal K on $P_{\kappa}(\theta)$ extending \mathcal{J} such that:
	- For any $X \in K^+$ and any $g \in \prod_{b \in X} h(b)$, there is $\beta < \pi$ with ${b \in X : g(x) \leq \beta} \in K^+.$
	- For each $\gamma < \pi$, $\{b \in P_{\kappa}(\theta) : h(b) > \gamma\} \in K^*$.
- (ii) *K* is weakly π -saturated if and only if for any $X \in K^*$ and any $g \in$ $\prod_{b \in X} h(b)$, there is $\beta < \pi$ with $\{b \in X : g(b) \le \beta\} \in K^*$.
- (iii) If $\mathcal J$ is weakly π -saturated, then so is *K*.
- (iv) If (κ is a successor and) $\mathcal J$ is normal, then so is K.

We should stress that ψ (or, for that matter, the fact that θ is singular) does not play any role in the definition of *h* and *K*.

OBSERVATION 5.3.

- $(i) \{b \in P_{\kappa}(\theta) : h(b) \leq \psi(b)\} \in K^*$.
- (ii) Let *C* be a closed unbounded subset of π . Then $h^{-1}(C) \in K^*$.
- (iii) Let $C_{\vec{f}}$ be as in the statement of Fact [3.8\(](#page-8-1)*i*). Then $\{b \in P_\kappa(\theta): h(b) \in$ $C_{\vec{f}}\}\in K^*$.
- (iv) *Suppose that* K *is weakly* π -saturated, and let W be a stationary subset *of* π *such that* $cf(\gamma) < \kappa$ *for all* $\gamma \in W$ *. Then the set of all* $b \in P_{\kappa}(\theta)$ *such that* $W \cap h(b)$ *is stationary in* $h(b)$ *lies in* K^* *.*

PROOF. For (i) (respectively, (ii) and (iv)), the proof is a straightforward modification of that of Proposition 4.1 (respectively, 4.2 and 4.3) of [\[60\]](#page-50-11). As for (iii), it is immediate from (ii).

Concerning (iv), notice that by κ -completeness of *K*, we can actually simultaneously reflect less than κ many stationary sets. By Theorem 2.13 in [\[15\]](#page-49-16), it follows that if *J* (and hence *K*) is weakly π -saturated, then the square principle $\Box(\pi, <\sigma)$ fails for every regular cardinal $\sigma < \kappa$.

OBSERVATION 5.4. Suppose that K is weakly π -saturated. Then the set of all $b \in P_{\kappa}(\theta)$ *such that* $cf(h(b)) > o.t.(b)$ *lies in* K^* *.*

PROOF. Suppose otherwise. Then the set *Q* of all $b \in P_{\kappa}(\theta)$ with $cf(h(b)) \le |b|$ lies in K^+ . Define $r: P_{\kappa}(\theta) \to P_{\kappa}(\theta)$ by: $r(b)$ equals *b* if *κ* is weakly inaccessible, and *v* if $\kappa = v^+$. For $b \in Q$, pick $t_b : r(b) \to h(b)$ such that $\sup(\text{ran}(t_b)) = h(b)$. Let $\chi = \theta$ if κ is weakly inaccessible, and $\chi = \nu$ if $\kappa = \nu^{+}$. We define $s : \chi \to \pi$, and $Q_{\nu} \in (K|Q)^{*} \cap P(Q)$ for $\gamma < \chi$,

as follows. Put $W = \{b \in P_{\kappa}(\theta) : h(b) > 0\}$. Notice that $W \in K^*$. Given $\gamma < \chi$, set $W_{\gamma} = W \cap \{b \in P_{\kappa}(\theta) : \gamma \in b\}$, and define $g_{\gamma} \in \prod_{b \in W_{\gamma}} h(b)$ by: $g_{\gamma}(b)$ equals $t_b(\gamma)$ if $b \in Q$, and 0 otherwise. Since *K* is weakly π -saturated, we may find $\xi_{\gamma} < \pi$ such that $X_{\gamma} \in K^*$, where $X_{\gamma} = \{b \in W_{\gamma} : g_{\gamma}(b) \leq \xi_{\gamma}\}.$ We now let $s(\gamma) = \xi_{\gamma}$. Notice that $t_b(\gamma) \leq s(\gamma)$ for all $b \in Q \cap X_{\gamma}$.

Since *K* is adequate, the set of all $b \in P_{\kappa}(\theta)$ such that $b \in X_{\gamma}$ for all $\gamma \in b \cap$ χ lies in *K*^{*}. Hence we may select $b \in Q$ such that (a) $h(b) > \sup(ran(s))$, and (b) $b \in X_\gamma$ for all $\gamma \in r(b)$. Then

$$
h(b) \le \sup\{t_b(\gamma) : \gamma \in r(b)\} \le \sup\{s(\gamma) : \gamma \in r(b)\} < h(b).
$$

Contradiction.

 $\mathcal{L}^{\mathcal{L}}$

OBSERVATION 5.5.

(i) Let
$$
z_{\alpha} \in P_{\kappa}(\theta)
$$
 for $\alpha < \pi$. Then
\n
$$
\{b \in P_{\kappa}(\theta) : \sup\{\alpha < h(b) : z_{\alpha} \subseteq b\} = h(b)\} \in K^*.
$$

(ii) *Suppose that there is* $z_\alpha \in P_\kappa(\theta)$ *for* $\alpha < \pi$ *so that the set of all* $b \in$ $P_{\kappa}(\theta)$ *such that* $|\{\alpha < \pi : z_{\alpha} \subseteq b\}| \leq |b|$ *lies in* K^* *. Then the set of all* $b \in P_{\kappa}(\theta)$ *such that* $|b| \geq cf(h(b))$ *lies in* K^* *.*

OBSERVATION 5.6. Suppose that K is weakly π -saturated. Then the set of all $b \in P_{\kappa}(\theta)$ *such that* $h(b)$ *is not a good point for* f *lies in* K^* *.*

Proof. Recall from Section [3](#page-4-1) that for $\alpha < \pi$, y_α equals $\{\alpha\}$ if $\alpha < \theta$, and $\{\Phi(a, f_{\alpha}(a)) : a \in A\}$ otherwise. Assume toward a contradiction that the desired conclusion fails. Then by Observations [5.4](#page-18-0) and [5.5\(](#page-19-0)i), we may find $b \in P_{\kappa}(\theta)$ such that $cf(h(b)) > o.t.(b)$, $h(b)$ is a good point for f, and $\sup z = h(b)$, where $z = \{ \alpha < h(b) : y_{\alpha} \subseteq b \}$. Then, by Observation [3.7,](#page-7-1) $|\bigcup_{\alpha \in \mathbb{Z}} y_{\alpha}| \ge \text{cf}(h(b)) > |b|$. Contradiction.

OBSERVATION 5.7. Suppose that K is weakly π -saturated. Then the set of all $b \in P_{\kappa}(\theta)$ *such that* $cf(h(b)) \leq |b|^{+3}$ *lies in* K^* *.*

Proof. Assume that the conclusion fails. By [\[28,](#page-49-9) Lemmas 4.2 and 4.7], there is an increasing, cofinal sequence $k = \langle k_\alpha : \alpha < \pi \rangle$ in $(\prod A, \lt_I)$ with the property that for any cardinal σ with $|A| < \sigma < \theta$, and any order-type σ^{+3} subset *v* of π , there is an order-type σ subset *w* of *v* such that the sequence $\langle k_\alpha : \alpha \in w \rangle$ is strongly increasing. For $\alpha < \pi$, put $z_\alpha = {\Phi(a, k_\alpha(a))}$: $a \in A$. By Observation [5.5\(](#page-19-0)i), there must be $b \in P_{\kappa}(\theta)$ such that:

- \bullet |*b*| ≥ |*A*|.
- cf $(h(b)) > |b|^{+3}$.
- $\sup{\{\alpha < h(b) : z_{\alpha} \subset b\}} = h(b).$

Now select an order-type $(|b|^{+})^{+3}$ subset *v* of $\{\alpha < h(b) : z_{\alpha} \subseteq b\}$. We may find an order-type $|b|^+$ subset *w* of *v*, and $Z_\xi \in I$ for $\xi \in w$ such that $k_{\xi_1}(a) <$

 $k_{\xi_2}(a)$ whenever $a \in A \setminus (Z_{\xi_1} \cup Z_{\xi_2})$. Pick $t \in \prod_{\xi \in w} (A \setminus Z_{\xi})$, and set $c =$ $\{\Phi(t(\xi), k_{\xi}(t(\xi))) : \xi \in w\}$. Then clearly, $c \subseteq b$. However $|c| = |b|^{+}$, which is a contradiction.

The ideal $K = h(K)$ does have some interesting properties, but it is not clear what can be gained by considering it. By Fact [5.2](#page-18-1) and Observations [5.3](#page-18-2) and [5.6,](#page-19-1) the following hold:

- K is a κ -complete ideal on π extending NS_{π} .
- If *K* is weakly π -saturated, then so is K .
- For any $X \in \mathcal{K}^+$ and any $g: X \to \pi$ such that $g(y) < y$ for all $y \in X$, there is $\beta < \pi$ with $\{b \in X : g(x) \leq \beta\} \in \mathcal{K}^+$.
- Suppose that *K* is weakly π -saturated. Then (a) for any $X \in \mathcal{K}^*$ and any $g: X \to \pi$ such that $g(y) < y$ for all $y \in X$, there is $\beta < \pi$ with ${b \in X : g(x) \leq \beta} \in \mathcal{K}^*$, and (b) the set of all $\gamma \in \pi$ such that γ is not a good point for f lies in K^* .

A function $g \in \prod A$ is an *exact upper bound* for some $F \subseteq \{f_\alpha : \alpha < \pi\}$ if (a) $f \leq I$ *g* for all $f \in F$, and (b) for any $k \in \prod A$ with $k \leq I$ *g*, there is $f \in F$ with $k \leq I$ f .

Fact 5.8 ([\[50,](#page-50-4) Claim 1.6, p. 52] (see also [\[1,](#page-48-5) Exercise 2.6])). Let *e* be a subset of π such that sup $e \notin e$ and cf(sup e) > |A|. Then the following are equivalent:

- (i) sup *e* is a good point for f .
- (ii) The sequence $\langle f_\alpha : \alpha \in e \rangle$ has an exact upper bound *g* such that the set of all $a \in A$ such that $g(a)$ is a limit ordinal of cofinality cf(sup *e*) lies in I^* .

An infinite limit ordinal $\delta < \pi$ is a *more-than-good point for* f if there is a cofinal subset *X* of δ , and $Z_{\xi} \in I$ for $\xi \in X$ such that $f_{\beta}(a) < f_{\xi}(a)$ whenever $\beta < \xi$ are in *X* and $a \in A \setminus Z_{\xi}$. By results of Shelah, for any regular cardinal μ with $|A| < \mu < \theta$, the set of all good points for f of cofinality μ is stationary in π . This is also true of more-than-good points.

OBSERVATION 5.9. Let μ be a regular cardinal with $|A| < \mu < \kappa$. Then the *set of all* $x \in P_{\kappa}(\pi)$ *such that* $\sup x$ *is a more-than-good point for* f *lies in* $(NS_{\mu,\kappa,\pi}|\{x : \text{cf}(\sup x) = \mu\}\)^*$.

Proof. For $e \in P_\mu(\pi)$, define $g_e \in \prod A$ by: $g_e(a)$ equals $\sup\{f_\beta(a) : \beta \in$ *e*} if $a \geq \mu$, and 0 otherwise. Let *X* be the set of all $x \in P_{\kappa}(\pi)$ such that for any $e \in P_\mu(x)$, there is $\alpha \in x$ with $g_e \leq I f_\alpha$.

CLAIM. $X \in NS^*_{\mu,\kappa,\pi}$.

PROOF OF THE CLAIM. Suppose otherwise. For $x \in P_{\kappa}(\pi) \setminus X$, select $e_x \in$ $P_\mu(x)$ such that there is no $\alpha \in x$ with $g_{e_x} < I$ f_α . We may find $W \in NS_{\mu,\kappa,\pi}^+$ and $b \in P_{\kappa}(\pi)$ such that $e_x \subseteq b$ for all $x \in W$. Define $l \in \prod A$ by: $l(a)$

equals $\sup\{f_\gamma(a): \gamma \in b\}$ if $a \geq \kappa$, and 0 otherwise. Pick $\alpha < \pi$ with $l < I$ *f_α*. Now there must be $x \in W$ with $\alpha \in x$. This contradiction completes the proof of the claim.

Given $x \in X$ with cf(sup x) = μ , pick an increasing sequence $\langle \xi_i : i < \mu \rangle$ of ordinals with supremum sup *x*. Inductively define $\alpha_i \in x \setminus \xi_i$ and $Z_i \in I$ for $i < \mu$ so that for any $i < \mu$ and any $a \in A \setminus Z_i$, $g_{\{\alpha_i : j < i\}}(a) < f_{\alpha_i}(a)$. Then clearly, $f_{\alpha_i}(a) < f_{\alpha_i}(a)$ whenever $j < i < \mu$ and $a \in A \setminus Z_i$.

COROLLARY 5.10. Let μ be a regular cardinal with $|A| < \mu < \theta$. Then there are stationarily many more-than-good points for f of cofinality μ .

For $b \in P_{\kappa}(\theta)$, let d_b be the set of all $\delta \in b$ such that $o.t.(b \cap \delta)$ is a regular cardinal greater than |A|. For $\delta \in d_b$, let G_{δ}^b be the set of all $\beta < h(b)$ with $cf(\beta) = o.t.(b \cap \delta)$ with the property that β is a good point for $\langle f_\alpha : \alpha \rangle$ *h*(*b*)). Let Ω be the set of all $b \in P_{\kappa}(\theta)$ such that G_{δ}^{b} is stationary in *h*(*b*) for all $\delta \in d_b$.

LEMMA 5.11. *Suppose that K is weakly* π -saturated. Then $\Omega \in K^*$.

PROOF. The proof is a modification of that of Claim 5.2 in [\[60\]](#page-50-11). Suppose that the conclusion fails. Then we may find $X \in K^+$, $\tau < \theta$, and c_b for $b \in X$ such that for each $b \in X$, (a) $\tau \in d_b$, (b) c_b is a closed unbounded subset of *h*(*b*) of order type cf(*h*(*b*)), and (c) $G_r^b \cap c_b = \emptyset$.

CLAIM. τ is a regular cardinal.

PROOF OF THE CLAIM. It suffices to observe the following:

Case when κ is a successor cardinal, say $\kappa = v^+$. Pick $x \in X$ with $v + 1$ \subseteq *x*. We must have $\tau \leq \nu$, since otherwise $\nu < \rho$. t . $(x \cap \tau) = |x \cap \tau| = \nu$. But then $x \cap \tau = \tau = o.t.(x \cap \tau)$.

Case when κ is weakly inaccessible. Given $q \subseteq \tau$ and an increasing function $k : q \to \tau$ with the property that $\sup(ran(k)) = \sup \tau$, the set of all $b \in P_{\kappa}(\theta)$ with $\sup(k \cap q) = \sup(b \cap \tau)$ lies in $NS_{\kappa,\theta}^*$.

It is simple to see that $\tau > |A|$. Inductively construct $u_{\xi} \in P_{\tau}(\pi)$, $\delta_{\xi} < \pi$ and $T_{\xi} \in (K|X)^*$ for $\xi < \tau$ such that:

- $\bullet u_{\xi} \subseteq u_{\xi+1}.$
- $u_{\xi} = \bigcup_{\zeta < \xi} u_{\zeta}$ in case ξ is an infinite limit ordinal.
- $\varphi(u_{\xi}) < I$ $f_{\delta_{\xi}}$.
- $ran(f_{\delta_{\xi}}) \subseteq u_{\xi+1}.$
- For any $b \in X \cap T_{\xi}, h(b) > \sup u_{\xi}$, and moreover the least $\eta \in c_b$ with $\eta \ge \sup u_{\xi}$ is less than $\sup u_{\xi+1}$.

We may find $b \in X$ such that (1) cf $(h(b)) > o.t.(b)$, and $(2) b \in T_{\xi}$ for all $\xi \in$ *b* ∩ τ . Set $\beta = \sup(\bigcup_{\xi < \sup(b \cap \tau)} u_{\xi})$. Clearly, cf(β) = *o.t.*(*b* ∩ τ). Moreover, since $o.t.(c_b) = cf(h(b)) > o.t.(b) \geq o.t.(b \cap \tau)$, we have that $\beta \in c_b$. Pick

 $e \subseteq \sup(b \cap \tau)$ so that $o.t.(e) = \text{cf}(\beta)$ and $\sup e = \sup(b \cap \tau)$. For $\xi \in e$, $\varphi(u_{\xi}) \leq I$ $f_{\delta_{\xi}} \leq I$ $\varphi(u_{\xi+1})$, so there is $Z_{\xi} \in I$ such that $A \cap \kappa \subseteq Z_{\xi}$, and moreover $\varphi(u_{\xi})(a) < f_{\delta_{\xi}}(a) \leq \varphi(u_{\xi+1})(a)$ for all $a \in A \setminus Z_{\xi}$. Now let $\zeta < \xi$ in *e*. Then for any $a \in A \setminus (Z_{\delta} \cup Z_{\xi}),$ $f_{\delta_{\zeta}}(a) \leq \varphi(u_{\zeta+1})(a) \leq$ $\varphi(u_{\xi})(a) < f_{\delta_{\xi}}(a)$. Thus β is a good point for $\langle f_{\alpha} : \alpha < h(b) \rangle$. Contradiction.

For $b \in P_{\kappa}(\theta)$, let w_b be the set of all those $\delta < h(b)$ of cofinality |b|⁺ that are good points for $\langle f_\alpha : \alpha < h(b) \rangle$. Assuming that *K* is weakly π -saturated, it is easy to show that, in contrast to Lemma [5.11,](#page-21-0) the set of all $b \in P_{\kappa}(\theta)$ such that w_b is stationary in $h(b)$ lies in *K*. Suppose otherwise. By Observations [5.4](#page-18-0) and [5.5\(](#page-19-0)i), there must be $b \in P_{\kappa}(\theta)$ such that (a) w_b is stationary in $h(b)$, (b) sup $\{\alpha < h(b) : y_\alpha \subseteq b\} = h(b)$ (where $\langle y_\alpha : \alpha < \pi \rangle$ is the sequence defined in Section [3\)](#page-4-1), and (c) $cf(h(b)) > |b| \ge |A|$. Pick $z \subseteq \{ \alpha < h(b) : y_{\alpha} \subseteq b \}$ with $o.t.(z) = cf(h(b))$ and $\sup z = h(b)$. We may find $\delta \in w_b$ such that $\sup(z \cap \delta) = \delta$. But then by Observation [3.7,](#page-7-1) $\bigcup \{y_\alpha :$ $\alpha \in \mathbb{Z} \cap \delta \}$ \geq cf(δ) $>$ |b|, which yields the desired contradiction.

Let Ξ be the set of all $b \in P_{\kappa}(\theta)$ with the property that $\langle f_{\alpha} : \alpha < h(b) \rangle$ has an exact upper bound *g* such that $\{a : cf(g(a)) \leq \sigma\} \in I$ for each regular cardinal σ with $|A| < \sigma \leq |b|$.

LEMMA 5.12. *Suppose that* $|A|^+ < \kappa$ *and K is weakly* π *-saturated. Then* $\Xi \in K^*.$

PROOF. Let *B* be the set of all $b \in \Omega$ such that $\sup b = \theta$ and $|A| < |b| < \Omega$ cf($h(b)$). By Observation [5.4](#page-18-0) and Lemma [5.11,](#page-21-0) $B \in K^*$. We will show that $B \subseteq \Xi$. Thus let $b \in B$.

CLAIM. Let σ be a regular cardinal with $|A| < \sigma < |b|$. Then there are stationarily many good points for $\langle f_\alpha : \alpha < h(b) \rangle$ of cofinality σ .

PROOF OF THE CLAIM. We have that $cf(o.t.(b)) = cf(\theta) \leq |A| < \sigma \leq |b| \leq$ $o.t.(b)$, so $\sigma < o.t.(b)$. Hence there must be $\delta \in b$ such that $o.t.(b \cap \delta) = \sigma$. Since $b \in \Omega$, the claim follows.

By Lemmas 15 and 16 of [\[22\]](#page-49-17), it follows from the claim that $b \in \Xi$. \Box

LEMMA 5.13. $\Theta \in K^*$, where Θ *denotes the set of all* $b \in P_{\kappa}(\theta)$ *such that if* g is an exact upper bound for $\langle f_\alpha : \alpha < h(b) \rangle$, then

$$
\{a \in A : \sup(g(a) \cap b) = g(a)\} \in I^*.
$$

PROOF. The proof is a straightforward modification of that of Lemma 4.5 in [\[60\]](#page-50-11). \Box

OBSERVATION 5.14. *Suppose that* $|A|^+ < \kappa$ *and K is weakly* π -saturated. *Then* $\mathfrak{A} \in K^*$, where $\mathfrak A$ *denotes the set of all* $b \in P_{\kappa}(\theta)$ *such that* (a) |*b*| *is a singular cardinal of cofinality at most* |*A*|*,* (*b*) *I is not* (cf(|*b*|))+*-complete, and* (c) $pp(|b|) \geq cf(h(b)).$

PROOF. Let *B* be the set of all $b \in \Xi \cap \Theta$ such that:

- $|A| < |b| < \text{cf}(h(b)) \leq |b|^{+3}$.
- $h(b) \in C_{\vec{f}}$.
- $h(b)$ is not a good point for f .

Then by Observations [5.3\(](#page-18-2)iii), [5.4,](#page-18-0) [5.6,](#page-19-1) and [5.7](#page-19-2) and Lemmas [5.12](#page-22-0) and [5.13,](#page-22-1) $B \in K^*$. Let us show that $B \subseteq \mathfrak{A}$. Thus fix $b \in B$. Since $b \in \Xi$, $\langle f_\alpha : \alpha \langle$ *h*(*b*)) has an exact upper bound *g* such that $\{a : cf(g(a)) \leq \sigma\} \in I$ for each regular cardinal σ with $|A| < \sigma \leq |b|$. On the other hand, $b \in \Theta$, so the set of all *a* such that $cf(g(a)) \le |g(a) \cap b| \le |a \cap b| \le |b|$ lies in *I*^{*}. It follows that $|b|$ is not regular. As $|b| < cf(h(b)) \leq |b|^{+3}$, $|b|$ must be the largest limit cardinal less than or equal to $cf(h(b))$. Now $h(b)$ lies in $C_{\vec{f}}$, and moreover it is not a good point for f. Hence $cf(|b|) \leq |A|$, I is not $(cf(|b|))$ ⁺-complete, and (c) cf $(h(b)) \leq pp(|b|)$.

Theorem 5.15.

- (i) *Suppose that* κ *is weakly inaccessible and J is weakly* π -saturated. Then $\mathcal{X} \in J^*$, where $\mathcal X$ *denotes the set of all* $x \in P_{\kappa}(\lambda)$ *such that* $(a) |x \cap \theta|$ *is a singular cardinal of cofinality less than or equal to* |*A*| *and* (*b*) *I is not* $(cf(|x \cap \theta|))$ ⁺-complete.
- (ii) *Suppose that* κ *is a successor cardinal, say* $\kappa = v^+$ *, and J is weakly* π *saturated. Then either* $v = |A|$ *, or* $cf(v) \leq |A| < v$ *and I is not* $(cf(v))$ ⁺*complete.*

PROOF.

- (i): By Fact [1.6\(](#page-3-0)iii) and (the proof of) Observation [5.14,](#page-22-2) $\mathcal{X} \in (J|X)^+$ for every $X \in J^+$. It follows that $\mathcal{X} \in J^*$.
- (ii): By Observation [5.14.](#page-22-2)

Corollary 5.16.

- (i) *Suppose that* κ *is weakly inaccessible, J is weakly* π -saturated, and $(|A| = cf(\theta)$ *and I is* $|A|$ *-complete. Then the set of all* $x \in P_{\kappa}(\lambda)$ *such that* $cf(|x \cap \theta|) = cf(\theta)$ *lies in* J^* .
- (ii) *Suppose that* κ *is a successor cardinal, say* $\kappa = v^+$ *, J is weakly* π *saturated, and* $(|A| = cf(\theta)$ *and* $|Iis|A$ *-complete. Then* cf(v) = cf(θ).

Here is one result that specifically addresses the situation when $pp(\theta)$ $> \theta^+$.

Observation 5.17. *Suppose that κ is weakly inaccessible, J is normal, and* $M \in J^+$, where *M* denotes the set of all $x \in P_{\kappa}(\lambda)$ such that $|x| \geq |x \cap \theta|^{+3}$. *Then* J *is not weakly* π -saturated.

CLAIM. There is a cardinal σ such that the set N_{σ} of all $x \in M$ with $|x \cap \sigma| = |x \cap \theta|^{+3}$ lies in J^+ .

PROOF OF THE CLAIM. Suppose that $N_{\lambda} \in J$. Then by normality of *J*, we may find $X \in J^+ \cap P(M)$ and $\gamma < \lambda$ such that for any $x \in X$, $\gamma \in \mathcal{X}$, and moreover $o.t. (x \cap \gamma) = |x \cap \theta|^{+3}$. Put $\tau_1 = |\gamma|$ and $\tau_2 = \tau_1^+$. Since $\{x \in P_{\kappa}(\lambda) : |x \cap \tau_2| \leq |x \cap \tau_1|^+\} \in NS_{\kappa,\lambda}^*$, there must be $j \in \{1,2\}$ and $D \in J^+ \cap P(X)$ such that $|x \cap \gamma| = |x \cap \tau_j|$ for all $x \in D$. Set $\sigma = \tau_j$. Then clearly, $D \subseteq N_{\sigma}$, which completes the proof of the claim.

By Theorem [5.15\(](#page-23-0)i), the set *Q* of all $x \in P_{\kappa}(\lambda)$ such that $|x \cap \theta|$ is a singular cardinal greater than $|A|$ lies in J^* . By Corollary [3.15,](#page-11-1) we may find $x \in Q \cap N_{\sigma}$ and $\delta \in C_{\vec{f}}$ such that (a) δ is not a good point for *f*, and (b) cf(δ) = $|x \cap \sigma|$ ⁺ = $|x \cap \theta|$ ⁺⁴. But clearly, $|x \cap \theta|$ is the largest limit cardinal less than or equal to cf(δ). By Fact [3.8\(](#page-8-1)i), this yields the desired contradiction.

In situations when the scale is not good and $cf(|x \cap \theta|) = |A|$, the following approach will help.

Pick a sequence $\langle W_{\xi} : \xi < \theta \rangle$ of pairwise disjoint stationary subsets of $\{\alpha < \pi : \text{cf}(\alpha) < \kappa\}$. For $\beta < \pi$ with $\text{cf}(\beta) < \theta$, let e_{β} be the set of all $\xi < \theta$ such that $W_{\xi} \cap \beta$ is stationary in β . Note that $|e_{\beta}| \leq cf(\beta)$. Let C_{ψ} be the set of all $x \in P_{\kappa}(\pi)$ such that $\psi(e_{\beta}) < \sup x$ for all $\beta < \sup x$ with $cf(\beta) < \theta$. Strictly speaking, C_{ψ} also depends on the sequence $\langle W_{\xi} : \xi < \theta \rangle$. The reason that this is not reflected in our notation is that in the proofs below, it does not matter which specific sequence we choose. The same remark applies to *W*_{ψ} and *S*_{ψ} to be introduced shortly. It is simple to see that $C_{\psi} \in NS^*_{\kappa,\pi}$. Let *T*^{ψ} be the set of all $x \in P_{\kappa}(\pi)$ such that (a) sup $x \notin x$, (b) sup $x = \psi(x)$, and (c) cf(sup x) $\leq |x \cap \theta|$.

FACT 5.18 [\[46\]](#page-50-2). $NS_{\kappa,\theta} = q(NS_{\kappa,\pi})$, where $q: P_{\kappa}(\pi) \to P_{\kappa}(\theta)$ is defined by $q(x) = x \cap \theta$.

Set $W_{\psi} = \{x \cap \theta : x \in T_{\psi} \cap C_{\psi}\}\$. Note that by Fact [5.18,](#page-24-0) if $T_{\psi} \in NS_{\kappa,\pi}^+$, then $W_{\psi} \in NS_{\kappa,\theta}^+$.

OBSERVATION 5.19. Suppose that J is normal, and K is weakly π -saturated. *Then* $W_w \in K$.

Proof. Suppose otherwise. Then by Observations [5.3,](#page-18-2) [5.4,](#page-18-0) and [5.7,](#page-19-2) we may find $b \in W_w$ such that:

- $h(b) \leq \psi(b)$.
- $\theta > cf(h(b)) > |b|.$
- \bullet *b* \subseteq *e*_{*h*(*b*)}.

Select $x \in T_w \cap C_w$ such that $b = x \cap \theta$. Then

$$
cf(h(b)) > |b| \ge cf(\sup x) = cf(\psi(x)) = cf(\psi(b)),
$$

so $h(b) < \psi(b) = \sup x$. Hence, $\psi(b) \leq \psi(e_{h(b)}) < \sup x$. Contradiction. $\overline{}$

Set $S_{\psi} = \{x \cap \lambda : x \in T_{\psi} \cap C_{\psi}\}\$. Notice that if T_{ψ} lies in $NS_{\kappa,\pi}^+$ (respectively, $(NG_{\kappa,\pi}^{\mu})^+$, $(NG_{\kappa,\pi}^{\mu})^*$ for some regular cardinal $\mu < \kappa$), then by Facts [5.18](#page-24-0) and [4.5\(](#page-14-1)iii), S_{ψ} lies in $NS_{\kappa,\lambda}^+$ (respectively, $(NG_{\kappa,\lambda}^{\mu})^+$, $(NG_{\kappa,\lambda}^{\mu})^*$).

COROLLARY 5.20. Suppose that *J* is θ -normal, and $S_{\psi} \in J^*$. Then *J* is not *weakly* π -saturated.

PROOF. Suppose otherwise. By Observation [5.1,](#page-18-3) J is normal. Moreover, it is weakly π -saturated, and by Fact [5.2\(](#page-18-1)iii), so is *K*. Now clearly, W_w lies in \mathcal{J}^* , and hence in K^* . This contradicts Observation [5.19.](#page-24-1) →

How large is S_{ψ} ? The following provides some answer.

OBSERVATION 5.21. Let μ be a regular cardinal less than κ , and let q : $P_{\kappa}(\pi) \to P_{\kappa}(\theta)$ *be defined by* $q(x) = x \cap \theta$. Then the following hold:

- (i) *Suppose that* $|A| < \mu$. Then S_{ψ} lies in $(q(NS_{\mu,\kappa,\pi} | \{x : |x| = |x \cap \mathbb{R}\})$ $(\theta|\})^*$ (and hence in $(NG_{\kappa,\lambda}^{\mu})^*$ by Fact [4.5](#page-14-1) (*i*) and (*iii*)).
- (ii) *Suppose that I* is μ^+ -complete. Then S_{ψ} lies in $(q(N\mu-S_{\kappa,\pi}))^*$ (and *hence in* $(NG_{\kappa,\lambda}^{\mu})^*$ *by Fact* [4.5](#page-14-1) (*ii*) *and* (*iii*)).

PROOF. By Observation [4.3.](#page-13-0)

§6. Recapitulation. We have been working so far with a fixed scale *f*-. In this brief section we let the scale vary and recapitulate the corresponding results. For this we need to introduce some more members of the large family of pp functions.

Given two infinite cardinals η and χ such that cf(χ) $\leq \eta < \chi$, and an ideal *I* on η , we put $pp^*(\chi) = \sup Y$, where *Y* is the set of all cardinals π for which one can find a sequence $\langle \chi_i : i \langle \eta \rangle$ of regular infinite cardinals less than χ with supremum χ such that $\{i < \eta : \chi_i \leq \xi\} \in I$ for all $\xi < \chi$, and $\text{tcf}(\prod_{i<\eta}\chi_i/I)=\pi.$

FACT 6.1 (See, e.g., [\[16,](#page-49-11) Lemma 9.1.1]). $pp_{\sigma}(\chi) = \sup T$, where *T* is the set of all cardinals *v* for which one may find an infinite cardinal $\eta \leq \sigma$ and an ideal *I* on η such that $v = pp_I^*(\chi)$.

Given three infinite cardinals ρ , τ , and χ with $\rho \leq cf(\chi) < \tau < \chi$, let $PP_{\Gamma(\tau,\rho)}(\chi)$ be the collection of all cardinals π such that $\pi = \text{tcf}(\prod A/I)$ for some set *A* of regular cardinals smaller than χ with $|A| < \tau$ and $\sup A = \chi$, and some ρ -complete ideal *I* on *A* with $\{A \cap a : a \in A\} \subseteq I$. We let $pp_{\Gamma(\tau,\rho)}(\chi) = \sup PP_{\Gamma(\tau,\rho)}(\chi)$.

We let $pp^+_{\Gamma(\tau,\rho)}(\chi)$ equal $(pp_{\Gamma(\tau,\rho)}(\chi))^+$ if $pp_{\Gamma(\tau,\sigma)}(\chi) \in PP_{\Gamma(\tau,\rho)}(\chi)$, and $pp_{\Gamma(\tau,\rho)}(\chi)$ otherwise. Notice that $pp^+_{\Gamma(\tau,\rho)}(\chi)$ equals $(pp_{\Gamma(\tau,\rho)}(\chi))^+$ if $pp_{\Gamma(\tau,\sigma)}(\chi)$ is a successor cardinal, and $pp_{\Gamma(\tau,\sigma)}(\chi)$ if $pp_{\Gamma(\tau,\sigma)}(\chi)$ is a singular cardinal.

 $pp_I^{*+}(\chi)$, $pp_\sigma^{+}(\chi)$, and $pp^{+}(\chi)$ are defined in the same way.

PROPOSITION 6.2. *Suppose that* κ *is a successor cardinal, say* $\kappa = v^+$ *, and* θ *and* π *are two cardinals such that* $cf(\theta) < \kappa < \theta \leq \lambda \leq \pi$. Then the following *hold:*

- (i) *Suppose that* $cf(\theta) < cf(v)$ *and* $\pi < pp^+(\theta)$ *. Then there is no κ-complete, fine, weakly* $π$ *-saturated ideal on* $P_κ(λ)$ *.*
- (ii) *Suppose that* $cf(\theta) \neq cf(v)$ *and* $\pi < pp^+_{\Gamma((cf(\theta))^+, (cf(v))^+)}(\theta)$ *. Then there is no* κ *-complete, fine, weakly* π *-saturated ideal on* $P_{\kappa}(\lambda)$ *.*
- (iii) *Suppose that* $cf(\theta) = cf(v) > \omega$. Then for any regular cardinal μ $cf(\theta)$ *such that* $\pi < pp_{\Gamma((cf(\theta))^+, \mu^+)}^+(\theta)$, *there is* $S \in (NG_{\kappa, \lambda}^{\mu})^*$ *such that no* θ *-normal, fine ideal* H *on* $P_{\kappa}(\lambda)$ *with* $S \in H^+$ *is weakly -saturated.*
- (iv) *Suppose that* $cf(\theta) = cf(v) < v$ *and* $\pi < pp^+(\theta)$ *. Then for any regular cardinal* μ *with* $cf(\theta) < \mu < \nu$, *there is* $S \in (NG_{\kappa,\lambda}^{\mu})^*$ *such that no* θ *-normal, fine ideal H on* $P_{\kappa}(\lambda)$ *with* $S \in H^+$ *is weakly* π -saturated.
- (v) *Suppose that* $cf(\theta) = cf(v) = \omega$, and $\pi < pp_1^{*+}(\theta)$ for some *P*-point *ideal I on* ω *. Then there is* $S \in (NG_{\kappa,\lambda}^{\omega})^+$ *such that no* θ -normal, fine *ideal H on* $P_{\kappa}(\lambda)$ *with* $S \in H^+$ *is weakly* π -saturated.

PROOF. (*i*) and (*ii*): By Theorem [5.15\(](#page-23-0)*i*).

(*iii*) and (*iv*): By Corollary [5.20](#page-25-0) and Observation [5.21.](#page-25-1)

(*v*): By Observation [4.7](#page-15-0) and Corollary [5.20.](#page-25-0)

PROPOSITION 6.3. *Suppose that* κ *is weakly inaccessible, and* θ *and* π *are two cardinals such that* $cf(\theta) < \kappa < \theta \leq \lambda \leq \pi$. *Then the following hold:*

- (i) *Suppose that* $\pi < pp^+(\theta)$ *, and let S be the set of all* $x \in P_{\kappa}(\lambda)$ *such that* $cf(|x \cap \theta|) > cf(\theta)$ *. Then no* θ -normal, fine ideal H on $P_{\kappa}(\lambda)$ with $S \in H^+$ *is weakly* π -saturated.
- (ii) *Suppose that* $\pi < \text{pp}^+_{\Gamma((cf(\theta))^+, \tau)}(\theta)$ *, where* τ *is an uncountable cardinal less than or equal to* $cf(\theta)$ *, and let S be the set of all* $x \in P_{\kappa}(\lambda)$ *such that* $\mathcal{E}[c(|x \cap \theta|) < \tau$. Then no θ -normal, fine ideal H on $P_{\kappa}(\lambda)$ with $S \in H^+$ *is weakly* π -saturated.

PROOF. By Theorem [5.15\(](#page-23-0)*i*).

§7. More on $pp(\theta)$ and weak saturation. In this section, we have a closer look at the situation when $cf(\theta) < \kappa < \theta \leq \lambda < \pi \leq pp(\theta)$ and describe some cases when no adequate, fine ideal on $P_{\kappa}(\lambda)$ is weakly π -saturated.

FACT 7.1 [\[31\]](#page-49-12). Let τ , θ , and π be three infinite cardinals such that cf(θ) \leq $\tau < \theta < \pi$, and let *I* be an ideal on τ . Suppose that $\pi \leq pp_I^*(\theta)$, and π is either singular, or the successor of a regular cardinal. Then there is $k_{\alpha} : \tau \to \theta$ for $\alpha < \pi$ with the property that for any $e \in P_{\theta+}(\pi)$, there is $g : e \to I$ such that $k_{\alpha}(i) < k_{\beta}(i)$ whenever $\alpha < \beta$ are in *e* and $i \in \tau \setminus (g(\alpha) \cup g(\beta)).$

OBSERVATION 7.2. Let σ , θ , and π be three infinite cardinals such that $cf(\theta) \leq \sigma < \kappa < \theta \leq \lambda < \pi \leq pp_{\sigma}(\theta)$. Suppose that π is either singular, or *the successor of a regular cardinal. Then there is* $z_\alpha \subseteq \theta$ *with* $\aleph_0 \leq |z_\alpha| \leq \sigma$ *for* $\theta \leq \alpha < \pi$ *with the property that* $|\{\alpha \in \pi \setminus \theta : z_\alpha \subseteq b\}| \leq |b|$ *for any* $b \in P_{\kappa}(\theta)$.

PROOF. Let us first suppose that π is the successor of a regular cardinal. By Fact [6.1,](#page-25-2) we may find an infinite cardinal $\tau \leq \sigma$ and an ideal *I* on τ such that $\pi \leq pp_I^*(\theta)$. By Fact [7.1,](#page-27-0) there must be $k_\alpha : \tau \to \theta$ for $\alpha < \pi$ with the property that for any $e \in P_{\theta^+}(\pi)$, there is $g : e \to I$ such that $k_\alpha(i) <$ $k_{\beta}(i)$ whenever $\alpha < \beta$ are in *e* and $i \in \tau \setminus (g(\alpha) \cup g(\beta))$. For $\theta \leq \alpha < \pi$, let $z_{\alpha} = {\Phi(i, k_{\alpha}(i)) : i < \tau}$ (recall that Φ denotes a one-to-one onto function from $On \times On$ to On such that $\Phi^{(0)}(\sigma \times \sigma) = \sigma$ for any infinite cardinal *σ*). Let *b* ∈ *P_κ*(*θ*). Assume toward a contradiction that $\{\alpha \in \pi \setminus \theta : z_\alpha \subseteq$ $|b\rangle| > |b|$. Pick $e \subseteq \pi \setminus \theta$ with $\kappa \geq |e| > |b|$ such that $\bigcup_{\alpha \in e} z_{\alpha} \subseteq b$. There must be $g: e \to I$ such that $k_\alpha(i) < k_\beta(i)$ whenever $\alpha < \beta$ are in *e* and $i \in \tau \setminus (g(\alpha) \cup g(\beta))$. For $\alpha \in e$, select i_{α} in $\tau \setminus g(\alpha)$. Then the function $s : e \to b \cap \theta$ defined by $s(\alpha) = \Phi(i_\alpha, k_\alpha(i_\alpha))$ is one-to-one. Contradiction.

Now suppose that π is singular. Pick an increasing sequence $\langle \pi_j : j \rangle$ $cf(\pi)$ of successors of regular cardinals greater than λ with supremum π . For each $j <$ cf(π), there must be $z_\alpha^j \subseteq \theta$ with $|z_\alpha^j| \leq \sigma$ for $\theta \leq \alpha < \pi_j$ with the property that $|\{\alpha : z_\alpha^j \subseteq c\}| \leq |c|$ for any $c \in P_\kappa(\theta)$. Hence by Proposition 3.3 of [\[28\]](#page-49-9), we may find $z_\alpha \subseteq \theta$ with $\aleph_0 \leq |z_\alpha| \leq \sigma$ for $\theta \leq \alpha < \pi$ with the property that $|\{\alpha : z_{\alpha} \subseteq d\}| \leq |d|$ for any $d \in P_{\kappa}(\theta)$.

PROPOSITION 7.3. Let σ , θ , and π be as in the statement of Observation [7.2,](#page-27-1) *and let J be an adequate (in the sense given to this term in Section [5\)](#page-17-0), fine ideal on* $P_{\kappa}(\lambda)$. Then *J* is not weakly π -saturated.

PROOF. We use the isomorphism method of Section [3.](#page-4-1) Set $H = p(J)$, where $p: P_{\kappa}(\lambda) \to P_{\kappa}(\theta)$ is defined by $p(x) = x \cap \theta$. By Observation [7.2,](#page-27-1) there must be $z_\alpha \subseteq \theta$ with $\aleph_0 \leq |z_\alpha| \leq \sigma$ for $\theta \leq \alpha < \pi$ so that $|\{\alpha \in \pi \setminus \theta\}|$ $\{\theta : z_\alpha \subseteq b\} \le |b|$ for any $b \in P_\kappa(\theta)$. Put $z_\alpha = \{\alpha\}$ for $\alpha < \theta$. Define *g*: $P_{\kappa}(\theta) \to P(\pi)$ by $g(b) = {\alpha < \pi : z_{\alpha} \subseteq b}$. Notice that $|g(b)| = |b|$ for any $b \in P_{\kappa}(\theta)$. Now $g(H)$ is an adequate, fine ideal on $P_{\kappa}(\pi)$, which is not

weakly π -saturated by Facts [3.1](#page-4-0) and [3.2.](#page-5-0) It follows that *H* (and hence *J*) is not weakly π -saturated.

COROLLARY 7.4. Let σ , θ , and τ be three infinite cardinals such that $cf(\theta) \leq$ $\sigma < \kappa < \theta \leq \lambda < \tau < \text{pp}_{\sigma}(\theta)$. Then no adequate, fine ideal on $P_{\kappa}(\lambda)$ is weakly *-saturated.*

PROOF. Apply Proposition [7.3](#page-27-2) with $\pi = \tau$ if τ is singular, and $\pi = \tau^+$ otherwise. \Box

Proposition [7.3](#page-27-2) can also be used to show that weak saturation makes scales short.

COROLLARY 7.5. Let σ , θ , and τ be three infinite cardinals such that $cf(\theta) \leq$ $\sigma < \kappa < \theta \leq \lambda \leq \tau$. Suppose that there exists an adequate, fine, weakly τ^{++} -saturated ideal on $P_{\kappa}(\lambda)$. Then $pp_{\sigma}(\theta) \leq \tau^{+}$.

PROOF. Apply Proposition [7.3](#page-27-2) with $\pi = \tau^{++}$.

§8. More of Usuba. As indicated in its title, [\[60\]](#page-50-11) is mostly concerned with the case when λ has small cofinality. However its techniques can also be used in the situation when λ is regular. Take, for instance, the result of Burke and Matsubara [\[4\]](#page-48-9) that if λ is regular and there exists a λ -saturated, κ -complete, fine ideal on $P_{\kappa}(\lambda)$, then for each regular cardinal $\mu < \kappa$, any stationary subset of E_{μ}^{λ} reflects.

Observation 8.1. *Suppose that (κ is weakly inaccessible,) is regular, and J* is a weakly λ -saturated, κ -complete, fine ideal on $P_{\kappa}(\lambda)$. Then the following *hold.*

- (i) *Any stationary subset of* $E_{\leq \kappa}^{\lambda}$ *reflects (and in fact, we can simultaneously reflect less than* κ *many stationary subsets of* $E_{\leq \kappa}^{\lambda}$.
- (ii) *Suppose that (<i>J* is normal or just that) for any $X \in J^+$ and any g : *X* → λ such that $g(b)$ < sup *b* for all $b \in X$, there is β < λ with { $b \in$ $X: g(b) \leq \beta$ \in *J*⁺. *Then* (*a*) $NS_{\lambda} \subseteq \text{sup}(J)$ (*that is,* { $b \in P_{\kappa}(\lambda)$: sup *b* ∈ *B*} ∈ *J for any B* ∈ *NS*_{$λ$)*, and* (*b*) *for any stationary subset*} *T of* $E_{\leq \kappa}^{\lambda}$, the set of all $b \in P_{\kappa}(\lambda)$ *with the property that* $T \cap \text{sup } b$ *is stationary in* sup *b lies in J* [∗]*.*

PROOF. (*i*): By Propositions 3.7 and 3.10 of $[60]$, we may find a function $k: P_{\kappa}(\lambda) \to On$ and a κ -complete, fine ideal H on $P_{\kappa}(\lambda)$ extending *J* such that (1) $\{b \in P_{\kappa}(\lambda) : \beta < k(b)\} \in H^*$ for all $\beta < \lambda$ and (2) for any $g: P_{\kappa}(\lambda) \to \lambda$ such that $\{b: g(b) < k(b)\} \in H^*$, there is $\beta < \lambda$ with ${b : g(b) \leq \beta} \in H^*$. It is simple to see that

$$
\{b : k(b) \le \sup\{\delta < \lambda : \sup(\delta \cap b) = \delta\}\} \in H^*.
$$

Now follow the proof of Proposition 4.2 of [\[60\]](#page-50-11) to establish that $NS_{\lambda} \subseteq$ $k(H)$, and that of Proposition 4.3 of [\[60\]](#page-50-11) to establish that for any stationary subset *T* of $E_{\leq \kappa}^{\lambda}$, the set of all $b \in P_{\kappa}(\lambda)$ with the property that $T \cap k(b)$ is stationary in $k(b)$ lies in H^* .

(*ii*): Notice that (1) $\{b \in P_{\kappa}(\lambda) : \beta < \sup b \} \in J^*$ for all $\beta < \lambda$, and (2) { $b \in P_{\kappa}(\lambda)$: sup $b \leq g(b)$ } $\in J^*$ for each $g : P_{\kappa}(\lambda) \to \lambda$ with the property that $\{b \in P_{\kappa}(\lambda) : \beta < g(b)\} \in J^*$ for all $\beta < \lambda$. Hence by Proposition 3.10 of [\[60\]](#page-50-11), for any $g : P_{\kappa}(\lambda) \to \lambda$ such that $\{b : g(b) < \sup b\} \in J^*$, there is $\beta < \lambda$ with $\{b : g(x) \leq \beta\} \in J^*$. Now proceed as in the proof of Proposition 4.2 of [\[60\]](#page-50-11) for (a) , and as in the proof of Proposition 4.3 of [60] for (b) .

Thus, appealing again to Theorem 2.13 in [\[15\]](#page-49-16) (see also [\[11\]](#page-49-18)), if λ is regular and there exists a weakly λ -saturated, κ -complete, fine ideal on $P_{\kappa}(\lambda)$, then $\square(\lambda, <\sigma)$ fails for every cardinal $\sigma < \kappa$.

Usuba also proved the following.

FACT 8.2 [\[59\]](#page-50-13). Suppose that λ is regular, and let *J* be a normal, fine ideal on $P_{\kappa}(\lambda)$ such that $T \in J^+$, where *T* is the set of all $x \in P_{\kappa}(\lambda)$ such that (sup *x* is an infinite limit ordinal and) *x* is not stationary in sup *x*. Then *J* is not weakly λ -saturated.

§9. The case when $\kappa \leq cf(\lambda) < \lambda$. For the case when λ is singular of cofinality greater than or equal to κ , the following can be used.

Observation 9.1. Suppose that λ is singular, $\langle \lambda_i : i < \text{cf}(\lambda) \rangle$ is an increasing *sequence of regular cardinals greater than* κ *with supremum* λ , *and J is an ideal on* $P_{\kappa}(\lambda)$ *. Suppose further that for any* $i < cf(\lambda)$ *and any* $S \in J^+$ *, p_i*(*J*|*S*) *is not weakly* λ_i -saturated, where p_i : $P_{\kappa}(\lambda) \to P_{\kappa}(\lambda_i)$ *is defined by* $p_i(b) =$ $b \cap \lambda_i$. Then *J* is not weakly λ -saturated.

PROOF. By our assumption, for any $i < cf(\lambda)$, *J* is nowhere weakly λ_i -saturated. By Fact [1.6\(](#page-3-0)*i*), the desired conclusion follows.

§10. Paradise in heaven. *Shelah's Strong Hypothesis* (SSH) asserts that $pp(\gamma) = \gamma^+$ for every singular cardinal γ .

Shelah [\[49\]](#page-50-3) (see also [\[27\]](#page-49-19)) showed SSH to be equivalent to the statement that for any value of κ and any value of λ , $u(\kappa, \lambda)$ equals λ if cf(λ) $\geq \kappa$, and λ^+ otherwise.

Large cardinals are needed in order to negate SSH. The exact consistency strength of the failure of SSH is not known, but it is conjectured to be roughly the same as that of the failure of SCH (the Singular Cardinal Hypothesis) that has been shown by Gitik [\[13\]](#page-49-20) to be equiconsistent with the existence of a measurable cardinal χ of Mitchell order χ^{++} .

On the other hand, just as GCH, SSH may fail everywhere (that is, it is consistent relative to a large large cardinal that $pp(\sigma) > \sigma^+$ for every singular cardinal σ [\[38\]](#page-50-22)).

Observation 10.1. *Suppose that the following hold:*

- *SSH.*
- *If κ is weakly inaccessible and regular, then there is no normal, fine, precipitous ideal on* $P_{\kappa}(\lambda)$.
- *If* $cf(\lambda) < \kappa$, then \Box_{λ}^{*} holds (or just there is a good scale for λ).

Then no normal, fine ideal on $P_{\kappa}(\lambda)$ *is weakly* $u(\kappa, \lambda)$ *-saturated.*

PROOF. Case when κ is a successor and $u(\kappa, \lambda) = \lambda$: By Fact [3.1.](#page-4-0)

Case when κ is weakly inaccessible and λ is regular: Recall that any normal, fine, weakly λ -saturated ideal on $P_{\kappa}(\lambda)$ is precipitous.

Case when κ is weakly inaccessible and $\kappa \leq cf(\lambda) < \lambda$: For any regular cardinal χ with $\kappa < \chi < \lambda$, we have by (the proof of) the preceding case that no normal, fine ideal on $P_{\kappa}(\chi)$ is weakly χ -saturated. Now apply Observation [9.1.](#page-29-1)

Case when $u(\kappa, \lambda) > \lambda$: Then cf(λ) < κ , and moreover $u(\kappa, \lambda) = \lambda^+$. Now appeal to Fact $3.8(ii)$ $3.8(ii)$ if κ is a successor, and to Observation [3.14](#page-10-3) otherwise. $\overline{}$

Corollary 10.2. *Suppose that there are no inner models with large cardinals. Then no normal, fine ideal on* $P_{\kappa}(\lambda)$ *is weakly* $u(\kappa, \lambda)$ -saturated.

Corollary 10.3. *Suppose that there are no inner models with large cardinals. Then the following are equivalent:*

- (i) *For any values of* κ *and* λ , *no normal*, *fine ideal on* $P_{\kappa}(\lambda)$ *is weakly <κ-saturated.*
- (ii) *Menas's conjecture.*
- (iii) $2^{\tau} < \tau^{++}$ *for any infinite cardinal* τ *.*

PROOF.

- $(i) \rightarrow (ii)$: Trivial.
- (ii) \rightarrow (iii): Assume Menas's conjecture, and let τ be an infinite cardinal. Set $\kappa = \tau^+$ and $\lambda = \kappa^+$. Then by Observation [1.1,](#page-1-0)

$$
\tau^{++} = \lambda = u(\kappa, \lambda) = \lambda^{<\kappa} = (\tau^{++})^{\tau} = \max\{2^{\tau}, \tau^{++}\},\,
$$

so $2^{\tau} < \tau^{++}$.

(iii) \rightarrow (i): Assume (*iii*). By Corollary [10.2,](#page-30-0) it suffices to show that for any values of κ and λ , $\lambda^{<\kappa} = u(\kappa, \lambda)$, or equivalently $2^{<\kappa} \leq u(\kappa, \lambda)$, since $\lambda^{<\kappa} = \max\{2^{<\kappa}, u(\kappa, \lambda)\}\.$ Now if κ is a successor, say $\kappa = \tau^+$, then $2^{<\kappa} = 2^{\tau} \leq \tau^{++} = \kappa^+ \leq \lambda \leq u(\kappa, \lambda)$. And if κ is weakly inaccessible, then

$$
2^{<\kappa} = \sup\{2^{\rho} : \rho < \kappa\} \leq \sup\{\rho^{++} : \rho < \kappa\} = \kappa < \lambda \leq u(\kappa, \lambda). \qquad \exists
$$

SSH is the hard core of GCH, in the sense that $[36]$ GCH = SSH + GCH at regular cardinals (i.e., $2^{\sigma} = \sigma^+$ for any regular cardinal σ (this is the soft part, easily destroyed by forcing)). Thus we would expect SSH to substitute for GCH in many articles in contemporary set-theoretic mathematics. But this is not the case, one possible explanation being that authors are often frightened of pcf theory (who isn't?). But, to take a similar situation, the fact that they are intimidated by forcing does not prevent researchers to use it in their proofs, encouraged as they are by black box presentations of forcing that make it more accessible. Maybe something analogous should be done for pcf theory.

§11. Paradise on earth (but only for the shortsighted). In this section we show (in ZFC) that if κ is greater than ω_1 and λ close enough to κ , then $MC_3(\kappa, \lambda)$ holds. The crucial point is that in this setting, we have, just like under SSH, that if $u(\kappa, \lambda)$ is regular and greater than λ , then it is the length of some scale. We start with the easier case, when *κ* is a successor cardinal.

For a cardinal k , $FP(k)$ denotes the least fixed point of the aleph function greater than *k*.

FACT 11.1 [\[34,](#page-49-21) Theorem 3.9 and Corollary 3.13]. Suppose that κ is a successor cardinal, say $\kappa = v^+$, and $\lambda < \min\{FP(\kappa), u(\kappa, \lambda)\}\)$. Then $u(\kappa, \lambda)$ is not a weakly inaccessible cardinal, and moreover there is a cardinal $\theta_{\kappa\lambda}$ such that:

- $\kappa < \theta_{\kappa,\lambda} \leq \lambda$ and $cf(\theta_{\kappa,\lambda}) \leq \nu$.
- $u(\kappa, \lambda) = u(\kappa, \theta_{\kappa, \lambda}) = pp(\theta_{\kappa, \lambda}) = pp_{\nu}(\theta_{\kappa, \lambda}) = cov(\theta_{\kappa, \lambda}, \theta_{\kappa, \lambda})$ $(cf(\theta_{\kappa,\lambda}))^+$, $cf(\theta_{\kappa,\lambda})$).
- $\text{pp}_{\nu}(\chi) < \theta_{\kappa,\lambda}$ for any cardinal χ with $\text{cf}(\chi) \leq \nu < \chi < \theta_{\kappa,\lambda}$.
- $\operatorname{pp}_{\nu}(\theta_{\kappa,\lambda}) = \max\{\operatorname{pp}_{\nu}(\chi) : \operatorname{cf}(\chi) \leq \nu < \chi \leq \lambda\}.$
- If $cf(\theta_{\kappa,\lambda}) = \max\{\mathbb{P}_{\mathbb{P}}(\lambda) : \text{or } \lambda \geq \lambda\}$,

If $cf(\theta_{\kappa,\lambda}) \neq \omega$, then $pp(\theta_{\kappa,\lambda}) = pp_{\Gamma((cf(\theta_{\kappa,\lambda})^+),cf(\theta_{\kappa,\lambda}))}(\theta_{\kappa,\lambda}) =$ $\text{pp}^*_{I_{\text{cf}(\theta_{\kappa,\lambda})}}(\theta_{\kappa,\lambda}).$

THEOREM 11.2. *Suppose that* κ *is a successor cardinal greater than* ω_1 *, and* λ < FP(κ). Then $MC_3(\kappa, \lambda)$ holds.

PROOF. Let $\kappa = v^+$.

Case when $u(\kappa, \lambda) = \lambda$. Then $\mathcal{A}_{\kappa, \lambda}(2, u(\kappa, \lambda))$ holds, so by Fact [3.1,](#page-4-0) no *κ*-complete, fine ideal on $P_{\kappa}(\lambda)$ is weakly $u(\kappa, \lambda)$ -saturated.

Notice that if $u(\kappa, \lambda)$ is a regular cardinal greater than λ , then by Fact [11.1](#page-31-0) it is a successor cardinal.

Case when $u(\kappa, \lambda) > \lambda$ and $u(\kappa, \lambda)$ is either singular, or the successor of a regular cardinal. Then by Observation [7.2,](#page-27-1) $\mathcal{A}_{\kappa,\lambda}((cf(\theta_{\kappa,\lambda}))^+, u(\kappa,\lambda))$ holds, so by Fact [3.1,](#page-4-0) no κ -complete, fine ideal on $P_{\kappa}(\lambda)$ is weakly $u(\kappa, \lambda)$ -saturated.

Case when $u(\kappa, \lambda) > \lambda$, $u(\kappa, \lambda)$ is the successor of a singular cardinal and $cf(\theta_{\kappa\lambda}) \neq cf(\nu)$. Then by Proposition [6.2\(](#page-26-0)*i*) and (*ii*), no κ -complete, fine ideal on $P_{\kappa}(\lambda)$ is weakly $u(\kappa, \lambda)$ -saturated.

Case when $u(\kappa, \lambda) > \lambda$, $u(\kappa, \lambda)$ is the successor of a singular cardinal, and $cf(\theta_{\kappa\lambda}) = cf(v)$. Then by Proposition [6.2\(](#page-26-0)(*iv*) if $cf(v) = \omega$, and (*iii*) and (*iv*) otherwise), for any regular cardinal $\mu \in \kappa \setminus \{cf(\theta_{\kappa,\lambda})\}$, there is $S \in (NG_{\kappa,\lambda}^{\mu})^*$ such that no $\theta_{\kappa,\lambda}$ -normal, fine ideal *H* on $P_{\kappa}(\lambda)$ with $S \in H^+$ is weakly $u(\kappa, \lambda)$ -saturated.

Our results are far more modest in the case when κ is weakly inaccessible. This is due to our approach in [\[34\]](#page-49-21) where this case is reduced to the successor case (with the successor cardinal in question being at the first stage κ^+).

FACT 11.3 [\[34\]](#page-49-21). Suppose that κ is weakly inaccessible, and let $n < \omega$. Then the following hold:

- (i) $u(\kappa, \kappa^{+(\kappa \cdot n)}) = \kappa^{+(\kappa \cdot n)}.$
- (ii) Suppose that $\kappa^{+(k \cdot n)} \leq \lambda < \kappa^{+(k \cdot (n+1))}$ and $u(\kappa, \lambda) > \lambda$. Then $u(\kappa, \lambda)$ is a successor cardinal, and moreover $u(\kappa, \lambda) = u(\kappa^{+(\kappa \cdot n)+1}, \lambda)$.

THEOREM 11.4. *Suppose that* κ *is weakly inaccessible, and* $\lambda < \kappa^{+(\kappa \cdot \omega)}$ *. Then* $MC_3(\kappa, \lambda)$ *holds.*

PROOF. Let $n < \omega$ be such that $\kappa^{+(\kappa \cdot n)} \leq \lambda < \kappa^{+(\kappa \cdot (n+1))}$.

Case when $u(\kappa, \lambda) = \lambda$ and λ is regular. Let *S* be the set of all $x \in P_{\kappa}(\lambda)$ such that $(o.t.(x)$ is an infinite limit ordinal and) either $cf(o.t.(x)) < o.t.(x)$, or *x* is not stationary in sup *x*. Then by Facts [3.4](#page-6-0) and [8.2,](#page-29-2) no normal, fine ideal *J* on $P_{\kappa}(\lambda)$ with $S \in J^+$ is weakly $u(\kappa, \lambda)$ -saturated.

Case when $u(\kappa, \lambda) = \lambda$ and $\kappa \leq cf(\lambda) < \lambda$. Then $\lambda = \kappa^{+(\kappa \cdot n)}$. For $i < \kappa$, set $\alpha_i = \kappa \cdot (n-1) + i$ and $\lambda_i = \kappa^{+(\alpha_i+1)}$. Put $S = \{x \in P_{\kappa}(\lambda) : \forall i < \kappa (x \cap$ $\lambda_i \in S_i$, where S_i is the set of all $a \in P_{\kappa}(\lambda_i)$ with the property that *o.t.*(*a*) is an infinite limit ordinal such that either $cf(o.t.(a)) < o.t.(a)$, or *a* is not stationary in sup *a*.

CLAIM. $S \in NS_{\kappa,\lambda}^+$.

PROOF OF THE CLAIM. Fix a closed unbounded subset *C* of $P_{\kappa}(\lambda)$. For $x \in P_{\kappa}(\lambda)$, set $e_x = \{i \le \kappa : x \setminus \kappa^{+\alpha_i} \ne \emptyset\}$. Inductively define $x_n \in P_{\kappa}(\lambda)$ and $c_n \in C$ for $n < \omega$ so that:

- $x_0 = \omega_1$.
- \bullet $x_n \subseteq c_n$.
- $x_{n+1} = c_n ∪ e_{x_n} ∪ {β + 1 : β ∈ x_n} ∪ {κ⁺α_i : i ∈ x ∩ κ} ∪ sup(x_n ∩ x_n)$ κ) \cup {sup($x_n \cap \lambda_i$) : $i \in x_n$ }.

Finally, set $x = \bigcup_{n < \omega} x_n$. The following are readily checked:

- $\bullet x \in C$.
- $e_x = x \cap \kappa$.

- $x \cap \kappa$ is a limit ordinal of cofinality ω .
- sup $x = \kappa^{+\alpha_x \cap \kappa}$.
- cf(sup($x \cap \lambda_i$)) = ω for all $i \in e_x$.
- For any $i \in \kappa \setminus e_x$, sup $(x \cap \lambda_i) = \sup x$ (and hence $cf(\sup(x \cap \lambda_i)) =$ $cf(x \cap \kappa) = \omega$).

Thus $x \in C \cap S$, which completes the proof of the claim.

Finally by Observation [9.1,](#page-29-1) no normal, fine ideal *J* on $P_{\kappa}(\lambda)$ with $S \in J^+$ is weakly λ -saturated.

Case when $u(\kappa, \lambda) > \lambda$. Then by Facts [11.1](#page-31-0) and [11.3,](#page-32-0) $u(\kappa, \lambda)$ is a successor cardinal, and moreover $u(\kappa, \lambda) = u(\chi, \lambda) = pp(\theta_{\chi, \lambda})$, where χ is the successor cardinal $\kappa^{+(\kappa \cdot n)+1}$. Notice that $\chi < \theta_{\gamma,\lambda} \leq \lambda < \chi^{+\kappa}$, so $cf(\theta_{\chi,\lambda}) < \kappa$. Let *S* be the set of all $b \in P_{\kappa}(\lambda)$ such that $cf(|b \cap \theta_{\chi,\lambda}|) \neq$ cf($\hat{\theta}_{\chi,\lambda}$). Then by Proposition [6.3,](#page-26-1) no $\theta_{\chi,\lambda}$ -normal, fine ideal *J* on $P_{\kappa}(\lambda)$ with $S \in J^+$ is weakly $u(\kappa, \lambda)$ -saturated.

To conclude this section we remark that if $u(\kappa, \lambda) > \lambda$, λ is close to κ and there are no inner models with large large cardinals, then no normal, fine ideal *J* on $P_{\kappa}(\lambda)$ is weakly $u(\kappa, \lambda)$ -saturated.

OBSERVATION 11.5. *Suppose that* $u(\kappa, \lambda) > \lambda$, and \Box^* holds for every *singular cardinal* τ *with* $\kappa < \tau \leq \lambda$. *Then the following hold:*

- (i) If κ *is a successor cardinal, and* $\lambda < FP(\kappa)$ *, then no* κ *-complete, fine ideal on* $P_{\kappa}(\lambda)$ *is weakly* $u(\kappa, \lambda)$ -saturated.
- (ii) If κ *is weakly inaccessible, and* $\lambda < \kappa^{+(\kappa \cdot \omega)}$, then no normal, fine ideal *on* $P_{\kappa}(\lambda)$ *is weakly* $u(\kappa, \lambda)$ -saturated.

PROOF. To start with we define χ by: χ equals κ if κ is a successor cardinal, and $\kappa^{+(\kappa \cdot n+1)}$, where $\kappa^{+(\kappa \cdot n)} \leq \lambda \leq \kappa^{+(\kappa \cdot n+1)}$, otherwise. By Fact [11.1](#page-31-0) and the proof of Theorem [11.4,](#page-32-1) the following hold:

- cf $(\theta_{\gamma\lambda}) < \kappa$.
- $u(\kappa, \lambda) = \text{pp}(\theta_{\chi, \lambda}).$
- $pp(\theta_{\chi,\lambda})$ is not a weakly inaccessible cardinal.

Case when $pp(\theta_{\chi,\lambda})$ is either singular, or the successor of a regular cardinal. Then by Proposition [7.3,](#page-27-2) we have the following:

- If κ is a successor cardinal, then no κ -complete, fine ideal on $P_{\kappa}(\lambda)$ is weakly $pp(\theta_{\gamma,\lambda})$ -saturated.
- If κ is weakly inaccessible, then no $\theta_{\chi,\lambda}$ -normal, fine ideal on $P_{\kappa}(\lambda)$ is weakly $pp(\theta_{\gamma,\lambda})$ -saturated.

Case when $pp(\theta_{\chi,\lambda})$ is the successor of a singular cardinal τ . Then $pp(\theta_{\gamma,\lambda}) = \text{tcf}(\prod A/I)$ for some *A* and *I* such that:

- *A* is a set of regular cardinals smaller than $\theta_{\gamma,\lambda}$ such that $|A| = cf(\theta_{\gamma,\lambda})$ and $\sup A = \theta_{\gamma,\lambda}$.
- *I* is an ideal on *A* with $\{A \cap a : a \in A\} \subseteq I$.

Select an increasing, cofinal sequence *f* in ($\prod A$, <I, We know that \Box^*_τ holds, so by the remark at the end of Section [3.3,](#page-7-2) the scale *f*- is good. Now if κ is a successor cardinal, then $\mathcal{A}_{\kappa,\lambda}((cf(\theta_{\gamma,\lambda}))^+$, pp $(\theta_{\gamma,\lambda}))$ holds by Fact [3.8\(](#page-8-1)*ii*), and consequently by Fact [3.1,](#page-4-0) no *κ*-complete, fine ideal on $P_{\kappa}(\lambda)$ is weakly $pp(\theta_{\chi,\lambda})$ -saturated. Finally, if κ is weakly inaccessible, then by Observation [3.14,](#page-10-3) no $\theta_{\chi,\lambda}$ -normal, fine ideal on $P_{\kappa}(\lambda)$ is weakly pp $(\theta_{\chi,\lambda})$ -saturated saturated. \Box

§12. A poor man's version. The top-down approach (stating an optimallooking result and then trying to prove it) did not work so well, so let us see whether we fare better with the bottom-up approach (formulating a result corresponding to the available proofs). We have already seen how to handle the case when $u(\kappa, \lambda) = \lambda$. So our starting point is a situation in which $u(\kappa, \lambda) > \lambda$ and we have a normal, fine ideal *J* on $P_{\kappa}(\lambda)$. The way we see it, our first problem is to produce large disjoint families in J^+ . Once this is achieved, it will be time to see whether we can actually find such families of size $u(\kappa, \lambda)$.

Adding yet a new pp function to the already long list of existing ones, we let

$$
pp(\kappa, \lambda) = \max(\lambda, \sup\{pp_\tau(\chi): cf(\chi) \leq \tau < \kappa < \chi \leq \lambda\}).
$$

We let $MC_4(\kappa, \lambda)$ assert the existence of *S* in $NS_{\kappa, \lambda}^+$ with the property that no normal, fine ideal *J* on $P_{\kappa}(\lambda)$ with $S \in J^+$ is weakly pp (κ, λ) -saturated.

Given four cardinals $\rho_1, \rho_2, \rho_3, \rho_4$ with $\rho_1 \ge \rho_2 \ge \rho_3 \ge \omega$ and $\rho_3 \ge \rho_4$ \geq 2, the *covering number* cov(ρ_1 , ρ_2 , ρ_3 , ρ_4) denotes the least cardinality of any $X \subseteq P_{\rho_2}(\rho_1)$ such that for any $a \in P_{\rho_3}(\rho_1)$, there is $Q \in P_{\rho_4}(X)$ with $a ⊆ ∪ Q.$

Note that $u(\kappa, \lambda) = \text{cov}(\lambda, \kappa, \kappa, 2) = \text{cov}(\lambda, \kappa, \kappa, \omega)$.

FACT 12.1. Let τ and χ be two cardinals such that cf(χ) $\leq \tau < \chi$. Then the following hold:

(i) [\[50,](#page-50-4) 15.7] $cf(pp_\tau(\chi)) > \tau$.

(ii) [\[50,](#page-50-4) Theorem 5.4, p. 87] $pp_{\tau}(\chi) \leq cov(\chi, \chi, \tau^+, 2)$.

OBSERVATION 12.2. $pp(\kappa, \lambda) < u(\kappa, \lambda)$.

Proof. Let τ and χ be two cardinals such that $cf(\chi) \leq \tau < \kappa <$ $\chi \leq \lambda$. Then by Fact [12.1\(](#page-34-0)*ii*), $pp_{\tau}(\chi) \leq cov(\chi, \chi, \tau^+, 2) \leq cov(\chi, \chi, \kappa, 2) \leq$ $cov(\chi, \kappa, \kappa, 2) = u(\kappa, \chi) \leq u(\kappa, \lambda).$

Thus $MC_4(\kappa, \lambda)$ is a weaker variant of $MC_3(\kappa, \lambda)$. Too little is known about how $pp(\kappa, \lambda)$ and $u(\kappa, \lambda)$ compare. For instance it is tempting to think that $pp(\kappa, \lambda) > \lambda$ if and only if $u(\kappa, \lambda) > \lambda$, but we do not know whether this holds.

§13. Which way to paradise, please? In the next section we will attempt to establish that $MC_4(\kappa, \lambda)$ holds for any values of κ and λ , but will fail to do so, and will end up proving something weaker. The case we have trouble with is when $u(\kappa, \lambda)$, which, we recall, is $cov(\lambda, \kappa, \kappa, \sigma)$ with $\sigma = \omega$, is greater than λ and weakly inaccessible. In the present section we show that, as often in pcf theory, the situation is more manageable when σ is uncountable.

OBSERVATION 13.1. Let θ , k, ρ , and σ be four cardinals with $\theta \ge k \ge \rho$ $\sigma = cf(\sigma) > \omega$. Suppose that $cov(\theta, k, \rho, \sigma)$ is weakly inaccessible and greater *than* θ . Then there is a cardinal χ such that $\rho < \chi \leq \theta$ and $\sigma \leq cf(\chi) < \rho$ and *a cardinal* $\pi \geq \text{cov}(\theta, k, \rho, \sigma)$ *such that* $\pi \in \text{PP}_{\Gamma(\rho, \sigma)}(\chi)$ *.*

PROOF. By [\[50,](#page-50-4) Theorem 5.4, pp. 87–88], we may find an infinite cardinal $\tau < \rho$, a σ -complete ideal *J* on τ , and a sequence $\langle v_i : i < \tau \rangle$ of regular cardinals greater than ρ and less than or equal to θ , and a cardinal $\pi \geq$ $cov(\theta, k, \rho, \sigma)$ such that $\pi = \text{tcf}(\prod_{i \leq \tau} v_i/J)$. Select an increasing, cofinal sequence $\langle f_{\alpha} : \alpha < \pi \rangle$ in $\prod_{i < \tau} v_i / J$.

Let γ be the least cardinal μ such that $\{i < \tau : v_i \leq \mu\} \in J^+$. Note that $\rho < \chi \leq \theta$. Set $e = \{i < \tau : v_i = \chi\}.$

CLAIM 1. $e \in J$.

PROOF OF CLAIM 1. Suppose otherwise. Then clearly, χ is regular. For $\alpha < \pi$, put $\delta_{\alpha} = \sup\{f_{\alpha}(i) : i \in e\}$. Note that $\delta_{\alpha} < \chi$, since $|e| \leq \tau < \rho <$ χ . Define $g_{\alpha}: e \to \chi$ by: $g_{\alpha}(i) = \delta_{\alpha}$ for all $i \in e$. Since $\chi \leq \theta < \pi$, we may find $\delta < \chi$ and $d \subseteq \pi$ with $|d| = \pi$ such that $\delta_{\alpha} = \delta$ for all $\alpha \in d$. Now setting $K = J | e, \langle f_\alpha | e : \alpha < \pi \rangle$ is cofinal in $\prod_{i \in e} v_i / K$, and hence so are $\langle f_\alpha | e : \alpha \in d \rangle$ and $\langle g_\alpha : \alpha \in d \rangle$. But if we define $k : e \to \chi$ by: $k(i) = \delta + 1$ for all $i \in e$, then for all $\alpha \in d$ and all $i \in e$, $g_{\alpha}(i) < k(i)$. This contradiction completes the proof of the claim.

Set $w = \{i \leq \tau : v_i \leq \chi\} \setminus e$ and $K = J|w$. Then by Lemma 3.1.7 in [\[16\]](#page-49-11), $\pi = \text{tcf}(\prod_{i \in w} v_i / K)$. Note that by the definition of χ , $\sup\{v_i : i \in w\} = \chi$. It follows that $cf(\chi) \leq \tau < \rho$. Furthermore, $\sigma \leq cf(\chi)$ by σ -completeness of *K*.

Put $A = \{v_i : i \in w\}$, and for each $a \in A$, $t_a = \{i \in w : v_i = a\}$. Define $s: w \to A$ by $s(i) = v_i$, and let $I = s(K)$. Then clearly, *I* is a σ -complete ideal on *A*. Note that by the minimality of χ , $\{A \cap a : a \in A\} \subseteq I$. Define $G: \prod_{i \in w} v_i \to \prod A$ by: $G(f)(a) = \sup\{f(i) : i \in t_a\}.$

CLAIM 2. Let $r \in \prod A$. Then there is $u \in \prod_{i \in w} v_i$ with the property that $r < I$ $G(q)$ for any $q \in \prod_{i \in w} v_i$ with $u \leq_K q$.

PROOF OF CLAIM 2. Define $u \in \prod_{i \in w} v_i$ by $u(i) = r(v_i) + 1$. Now fix *q* ∈ $\prod_{i \in w} v_i$ with *u* ≤*K q*. Set *b* = {*i* ∈ *w* : *u*(*i*) ≤ *q*(*i*)} and *c* = *s*"*b*. Then $c \in I^*$, since $b \in K^*$. It is simple to see that $r(a) < G(f)(a)$ for all $a \in c$. Thus $r \leq I$ $G(f)$, which completes the proof of the claim.

By Lemma 3.1.10 of [\[16\]](#page-49-11), it follows from Claim 2 that tcf($\prod A/I$) exists and is equal to tcf($\prod_{i \in w} v_i/K$). Thus $\pi \in \text{PP}_{\Gamma(\rho,\sigma)}(\chi)$.

OBSERVATION 13.2. *Suppose that* $cov(\lambda, \kappa, \kappa, \omega_1)$ *is greater than* λ *and weakly inaccessible. Then there is* $S \in (NG_{\kappa,\lambda}^{\omega})^*$ *such that no normal, fine ideal H on* $P_{\kappa}(\lambda)$ *with* $S \in H^+$ *is weakly* cov($\lambda, \kappa, \kappa, \omega_1$)-saturated.

PROOF. By Observation [13.1,](#page-35-0) we may find two cardinals χ and π such that:

- $\omega_1 \leq cf(\chi) < \kappa < \chi \leq \lambda$.
- $\bullet \pi > \text{cov}(\lambda, \kappa, \kappa, \omega_1).$
- $\pi \in \text{PP}_{\Gamma(\kappa,\omega_1)}(\chi)$.

Case when *κ* is weakly inaccessible. Put $S = \{x \in P_{\kappa}(\lambda) : cf(|x \cap \chi|)$ $= \omega$. Then by Corollary [5.16,](#page-23-1) no γ -normal, fine ideal *H* on $P_{\kappa}(\lambda)$ with $S \in H^+$ is weakly π -saturated.

Case when κ is a successor cardinal. By Corollary [5.20](#page-25-0) and Observation [5.21,](#page-25-1) there is $S \in (NG_{\kappa,\lambda}^{\omega})^*$ with the property that no χ -normal, fine ideal *H* on $P_{\kappa}(\lambda)$ with $S \in H^+$ is weakly π -saturated.

§14. Paradise not found. In this section we establish that $MC_4(\kappa, \lambda)$ holds for many values of κ and λ . We start by computing pp(κ , λ). We will show that if $pp(\kappa, \lambda) > \lambda$, then $pp(\kappa, \lambda) = pp(\theta)$ for some cardinal θ with $cf(\theta) <$ $\kappa < \theta \leq \lambda$. We need some facts from pcf theory.

FACT 14.1 [\[50,](#page-50-4) Conclusion 2.3(2), p. 57]. Let σ, τ and χ be three infinite cardinals such that $\max\{cf(\sigma), cf(\chi)\}\leq \tau < \sigma < \chi \leq pp_{\tau}(\sigma)$. Then $pp_\tau(\chi) \leq pp_\tau(\sigma)$.

FACT 14.2 [\[34\]](#page-49-21). Let θ , A, I, and π be such that:

- θ is a singular cardinal of cofinality ω .
- *A* is a set of regular cardinals less than θ with sup $A = \theta$ and $|A|$ min *A*.
- *I* is an ideal on *A* with $\{A \cap a : a \in A\} \subseteq I$.
- tcf($\prod A/I$) = π .
- $pp_{|A|}(\chi) < \theta$ for any singular cardinal χ with $cf(\chi) \leq |A|$ and min $A < \theta$ $\chi < \theta$.

Then tcf($\prod B/J$) = π for some countable set *B* of regular cardinals less than θ with min $A \leq \min B$ and sup $B = \theta$, and some ideal *J* on *B* with ${B \cap b : b \in B} \subseteq J$.

FACT 14.3 [\[50,](#page-50-4) Conclusion 1.6(3), p. 321]. Let τ and θ be two cardinals such that $\omega < \text{cf}(\theta) \leq \tau < \theta$. Suppose that $pp_{\tau}(\gamma) < \theta$ for any large enough singular cardinal $\chi < \theta$ with cf(χ) $\leq \tau$. Then

$$
pp_{\tau}(\theta) = pp(\theta) = pp_{\Gamma((cf(\theta)^+, cf(\theta))}(\theta) = pp_{I_{cf(\theta)}}^*(\theta).
$$

FACT 14.4 [\[50,](#page-50-4) Theorem 5.4, pp. 87–88]. Let θ , k , ρ , and σ be four cardinals with $\theta > k > \rho > \sigma = cf(\sigma) > \omega$. Then

 $\max{\{\theta, \text{cov}(\theta, k, \rho, \sigma)\}} = \max{\{\theta, \text{sup}\{\text{pp}_{\Gamma(\rho, \sigma)}(\chi) : \chi \in \mathcal{Q}\}}$

where *Q* denotes the set of all cardinals χ such that $k \leq \chi \leq \theta$ and $\sigma \leq$ $cf(\gamma) < \rho$.

OBSERVATION 14.5. *Suppose that* $pp(\kappa, \lambda) > \lambda$. Let $\theta(\kappa, \lambda)$ be the least *cardinal* χ *such that for some* τ , $cf(\chi) \leq \tau < \kappa < \chi \leq \lambda$ *and* $pp_{\tau}(\chi) > \lambda$, *and let* $\eta(\kappa, \lambda)$ *be the least such* τ . *Then the following hold*:

- (i) Let τ and χ be two cardinals such that $\max{\{\eta(\kappa, \lambda), \text{cf}(\chi)\}} \leq \tau < \kappa$ *and* $\theta(\kappa, \lambda) < \chi \leq \lambda$. Then $pp_\tau(\chi) \leq pp_\tau(\theta(\kappa, \lambda))$.
- (ii) Let τ and χ be two cardinals such that $\max\{cf(\theta(\kappa,\lambda)), cf(\chi)\}\leq \tau$ $\kappa < \chi < \theta(\kappa, \lambda)$. Then $pp_\tau(\chi) < \theta(\kappa, \lambda)$.
- (iii) *Suppose* cf($\theta(\kappa, \lambda)$) = ω . *Then* (*a*) $\eta(\kappa, \lambda)$ = cf($\theta(\kappa, \lambda)$), (*b*) pp(κ, λ) $= pp(\theta(\kappa, \lambda)) \leq cov(\theta(\kappa, \lambda), \theta(\kappa, \lambda), \omega_1, 2),$ (*c*) $cf(pp(\kappa, \lambda)) \geq \kappa$, *and* (*d*) $pp_{\tau}(\theta(\kappa, \lambda)) = pp(\theta(\kappa, \lambda))$ *for any cardinal* τ *with* $cf(\theta(\kappa, \lambda)) \leq \tau < \kappa$.
- (iv) *Suppose* cf($\theta(\kappa, \lambda)$) > ω . Then (*a*) $\eta(\kappa, \lambda) =$ cf($\theta(\kappa, \lambda)$), (*b*) pp(κ, λ) $= {\rm pp}(\theta(\kappa,\lambda)) = {\rm pp}_{\Gamma((\text{cf}(\theta(\kappa,\lambda))^\text{+},\text{cf}(\theta(\kappa,\lambda)))}(\theta(\kappa,\lambda)) = {\rm pp}^\text{*}_{I_{\text{cf}(\theta(\kappa,\lambda))}}(\theta(\kappa,\lambda))$ (λ)) = cov($(\theta(\kappa, \lambda), \kappa, \kappa, \text{cf}(\theta(\kappa, \lambda))),$ (*c*) cf(pp(κ, λ)) $\geq \kappa$, and (*d*) $pp_{\tau}(\theta(\kappa, \lambda)) = pp(\theta(\kappa, \lambda))$ *for any cardinal* τ *with* $cf(\theta(\kappa, \lambda)) \le$ $\tau < \kappa$.

PROOF.

- (i): This follows from Fact [14.1,](#page-36-0) since $\chi \leq \lambda < pp_{n(\kappa,\lambda)}(\theta(\kappa,\lambda)) \leq$ $pp_\tau(\theta(\kappa,\lambda)).$
- (ii): Suppose otherwise. Then, by Fact [14.1,](#page-36-0) $pp_\tau(\chi) \geq pp_\tau(\theta(\kappa, \lambda)) > \lambda$. Contradiction.
- (iii): Let us first show that $\eta(\kappa, \lambda) = \omega$. Suppose otherwise. Then we may find *A* and *I* such that:
	- *A* is a set of regular infinite cardinals smaller than $\theta(\kappa, \lambda)$.
	- $\sup A = \theta(\kappa, \lambda)$.
	- min $A > \kappa$.

- $|A| = \eta(\kappa, \lambda).$
- *I* is a prime ideal on *A* such that $\{A \cap a : a \in A\} \subseteq I$
- tcf($\prod A/I$) > λ .

By (ii) , $pp_{|A|}(\chi) < \theta(\kappa, \lambda)$ for any singular cardinal χ with $cf(\chi) \leq |A|$ and min $A < \chi < \theta(\kappa, \lambda)$. Hence, by Fact [14.2,](#page-36-1) tcf($\prod B/J$) = tcf($\prod A/I$) for some countable set *B* of regular cardinals less than $\theta(\kappa, \lambda)$ with min $A \leq$ min *B* and sup $B = \theta(\kappa, \lambda)$, and some ideal *J* on *B* with $\{B \cap b : b \in B\}$ ⊆ *J*. Contradiction.

Clearly, $pp(\theta(\kappa, \lambda)) \leq pp(\kappa, \lambda)$. By Fact [12.1\(](#page-34-0)*ii*), $pp(\theta(\kappa, \lambda)) \leq$ cov($\theta(\kappa, \lambda), \theta(\kappa, \lambda), \omega_1, 2$). Furthermore by (*ii*), $pp_\tau(\chi) < \theta(\kappa, \lambda)$ pp(κ , λ) whenever τ and γ are two cardinals such that $cf(\gamma) \leq \tau \leq \kappa$ and $\kappa < \chi < \theta(\kappa, \lambda)$.

CLAIM. Let τ be a cardinal such that $\omega < \tau < \kappa$. Then $pp_{\tau}(\theta(\kappa, \lambda)) =$ $pp(\theta(\kappa, \lambda)).$

PROOF OF THE CLAIM. Suppose otherwise. Then we may find A and I such that:

- *A* is a set of regular infinite cardinals smaller than θ .
- $\sup A = \theta(\kappa, \lambda)$.
- min $A > \kappa$.
- \bullet $|A| > \aleph_0$.
- *I* is a prime ideal on *A* such that $\{A \cap a : a \in A\} \subseteq I$.
- tcf($\prod A/I$) > pp($\theta(\kappa, \lambda)$).

By (*ii*), $pp_{|A|}(\chi) < \theta(\kappa, \lambda)$ for any singular cardinal χ with $cf(\chi) \leq |A|$ and min $A < \chi < \theta(\kappa, \lambda)$. Hence by Fact [14.2,](#page-36-1) tcf($\prod B/J$) = tcf($\prod A/I$) for some countable set *B* of regular cardinals less than $\theta(\kappa, \lambda)$ with min *A* \leq min *B* and sup *B* = $\theta(\kappa, \lambda)$, and some ideal *J* on *B* with {*B* \cap *b* : $b \in B$ \subseteq *J*. This contradiction completes the proof of the claim.

Note that by Fact $12.1(i)$ $12.1(i)$, we can deduce from the claim that $cf(pp(\theta(\kappa,\lambda))) \geq \kappa$. Finally, by the claim and (*i*), $pp_\tau(\chi) \leq pp(\theta(\kappa,\lambda))$ whenever τ and χ are two cardinals such that $cf(\chi) \leq \tau < \kappa$ and $\theta(\kappa, \lambda) \leq \chi \leq \lambda$.

(iv): Let τ be an infinite cardinal less than κ . Then $pp_\tau(\chi) < \lambda < pp(\kappa, \lambda)$ for any cardinal χ such that cf(χ) $\leq \tau$ and $\kappa < \chi < \theta(\kappa, \lambda)$. If $\tau \geq$ cf($\theta(\kappa, \lambda)$), then by (*ii*) and Fact [14.3,](#page-37-0) $pp_\tau(\theta(\kappa, \lambda)) = pp(\theta(\kappa, \lambda)) =$ $\mathrm{pp}_{\Gamma((\mathrm{cf}(\theta(\kappa,\lambda)) ^{+},\mathrm{cf}(\theta(\kappa,\lambda)))}(\theta(\kappa,\lambda))=\mathrm{pp}^*_{I_{\mathrm{cf}(\theta(\kappa,\lambda))}}(\theta(\kappa,\lambda)).$

It follows that $\eta(\kappa, \lambda) = cf(\theta(\kappa, \lambda))$, that $cf(pp(\theta(\kappa, \lambda))) \ge \kappa$ (by Fact [12.1\(](#page-34-0)*i*)), and also (by (*i*)) that $pp_{\tau}(\chi) \leq pp(\theta(\kappa, \lambda))$ for any cardinal χ such that $\theta(\kappa, \lambda) < \chi \leq \lambda$ and cf(χ) $\leq \tau$. Now suppose that $\tau < \text{cf}(\theta(\kappa, \lambda))$, and χ is a cardinal such that $\theta(\kappa, \lambda) < \chi \leq \lambda$ and $cf(\chi) \leq \tau$. Then

 $pp_{\tau}(\chi) \leq pp_{cf(\theta(\kappa,\lambda))}(\chi) \leq pp(\theta(\kappa,\lambda))$. We can now conclude that $pp(\kappa,\lambda) =$ $pp(\theta(\kappa, \lambda)).$

Finally, let Q be the set of all cardinals χ such that $\kappa < \chi \leq \theta(\kappa, \lambda)$ and $cf(\theta(\kappa, \lambda)) \leq cf(\chi) < \kappa$. Then for any $\chi \in \mathcal{Q}$, $pp_{\Gamma(\kappa, cf(\theta(\kappa, \lambda)))}(\chi)$ \leq sup $\{pp_{\nu}(\chi): cf(\chi) \leq \nu < \kappa\} \leq pp(\theta(\kappa,\lambda)) = pp_{\Gamma((cf(\theta(\kappa,\lambda))^{+},cf(\theta(\kappa,\lambda)))}(\theta(\kappa,\lambda))$ (λ)) \leq pp_{$\Gamma((\kappa, \mathrm{cf}(\theta(\kappa,\lambda)))}(\theta(\kappa,\lambda))$. Hence by Fact [14.4,](#page-37-1) cov $(\theta(\kappa,\lambda), \kappa, \kappa, \mathrm{cf}(\theta(\kappa,\lambda)))$} $(\lambda))$) = pp_{$\Gamma((cf(\theta(\kappa,\lambda))^{+},cf(\theta(\kappa,\lambda)))(\theta(\kappa,\lambda)).$}

Thus $pp(\kappa, \lambda)$ can be defined more simply by

$$
pp(\kappa, \lambda) = \max\{\lambda, \sup\{pp(\chi): cf(\chi) < \kappa < \chi \leq \lambda\}\}.
$$

Let us next see how $pp(\kappa, \lambda)$ and $cov(\lambda, \kappa, \kappa, \omega_1)$ compare.

OBSERVATION 14.6. *Suppose that* $pp(\kappa, \lambda) > \lambda$. *Then the following hold:*

- (i) *Suppose* cf($\theta(\kappa, \lambda)$) = ω . *Then* cov($\lambda, \kappa, \kappa, \omega_1$) < pp(κ, λ).
- (ii) *Suppose* $cf(\theta(\kappa, \lambda)) > \omega$. Then $cov(\lambda, \kappa, \kappa, \omega_1) = pp(\kappa, \lambda)$.

PROOF. It is simple to see that since $\lambda > \kappa$, $cov(\lambda, \kappa, \kappa, \omega_1) > \lambda$.

CLAIM.

- (a) Let τ and χ be two cardinals such that cf(χ) $\leq \tau < \kappa < \chi < \theta(\kappa, \lambda)$. Then $pp_{\tau}(\chi) < pp(\kappa, \lambda)$.
- (b) Let τ and γ be two cardinals such that $cf(\gamma) \leq \tau \leq \kappa$ and $\theta(\kappa, \lambda)$ $\chi \leq \lambda$. Then $pp_{\tau}(\chi) \leq pp(\kappa, \lambda)$.

PROOF OF THE CLAIM.

- (a): By the definition of $\theta(\kappa, \lambda)$, $pp_\tau(\chi) \leq \lambda < pp(\kappa, \lambda)$.
- (b): This is immediate from the definition of $pp(\kappa, \lambda)$, which completes the proof of the claim.

Let Q denote the set of all cardinals χ such that $\omega_1 \leq cf(\chi) < \kappa \leq \chi \leq \lambda$. By the claim, $pp_{\Gamma(\kappa,\omega_1)}(\chi) \leq pp(\kappa,\lambda)$ for all $\chi \in \mathcal{Q}$. Hence by Fact [14.4,](#page-37-1)

$$
cov(\lambda, \kappa, \kappa, \omega_1) = \max\{\lambda, \sup\{pp_{\Gamma(\kappa, \omega_1)}(\chi) : \chi \in \mathcal{Q}\}\} \leq pp(\kappa, \lambda).
$$

Now suppose that $cf(\theta(\kappa, \lambda)) > \omega$. Then $pp(\kappa, \lambda) = cov(\theta(\kappa, \lambda), \kappa, \kappa, \lambda)$ $cf(\theta(\kappa, \lambda))) \leq \text{cov}(\theta(\kappa, \lambda), \kappa, \kappa, \omega_1) \leq \text{cov}(\lambda, \kappa, \kappa, \omega_1)$, and consequently $cov(\lambda, \kappa, \kappa, \omega_1) = pp(\kappa, \lambda).$

OBSERVATION 14.7. *Suppose that* $pp(\kappa, \lambda) = \lambda$ *. Then* $cov(\lambda, \kappa, \kappa, \omega_1) = \lambda$ *.*

Proof. Suppose otherwise. Then by Fact [14.4,](#page-37-1) we may find a cardinal x such that $\omega_1 \leq cf(\chi) < \kappa < \chi \leq \lambda$ and $pp_{\Gamma(\kappa,\omega_1)}(\chi) > \lambda$. Hence there must exist a cardinal τ such that $cf(\chi) \leq \tau < \kappa$ and $pp_\tau(\chi) > \lambda$. But then $pp(\kappa, \lambda) > \lambda$. Contradiction.

THEOREM 14.8

- (i) *Suppose that either* $pp(\kappa, \lambda) = \lambda$, *or* $pp(\kappa, \lambda)$ *is singular, or* $pp(\kappa, \lambda)$ *is the successor of a regular cardinal. Then* $MC_4(\kappa, \lambda)$ *holds.*
- (ii) *Suppose that* $\kappa > \omega_1$, $pp(\kappa, \lambda) > \lambda$, and either $pp(\kappa, \lambda)$ is the suc*cessor of a singular cardinal, or* $pp(\kappa, \lambda)$ *is weakly inaccessible and* $cf(\theta(\kappa, \lambda)) > \omega$. Then $MC_4(\kappa, \lambda)$ holds.
- (iii) *For any cardinal* $\pi <$ pp(κ , λ), no $\theta(\kappa, \lambda)$ -normal, fine ideal on $P_{\kappa}(\lambda)$ *is weakly* π -saturated.

PROOF. (*i*) and (*ii*): Let us first assume that κ is a successor cardinal, say $\kappa = v^{+}$. The proof is in large part similar to that of Theorem [11.2,](#page-31-1) so we will skip some details.

Claim 1. Suppose that one of the following holds:

- (a) $pp(\kappa, \lambda) = \lambda$.
- (b) $pp(\kappa, \lambda) > \lambda$ and $pp(\kappa, \lambda)$ is either singular, or the successor of a regular cardinal.
- (c) $pp(\kappa, \lambda) > \lambda$, $pp(\kappa, \lambda)$ is the successor of a singular cardinal and $cf(\theta(\kappa, \lambda)) < cf(\nu)$.
- (d) $pp(\kappa, \lambda) > \lambda$, $pp(\kappa, \lambda)$ is the successor of a singular cardinal, and $cf(v) < cf(\theta(\kappa, \lambda)).$

Then no *κ*-complete, fine ideal on $P_{\kappa}(\lambda)$ is weakly $pp(\kappa, \lambda)$ -saturated.

PROOF OF CLAIM 1.

- (a): By Fact [3.1,](#page-4-0) since $A_{\kappa\lambda}(2, pp(\kappa,\lambda))$ holds.
- (b): By Proposition [7.3.](#page-27-2)
- (c): By Proposition $6.2(i)$ $6.2(i)$.
- (d): By Proposition [6.2\(](#page-26-0)*ii*).

This completes the proof of the claim.

CLAIM 2. Suppose that $pp(\kappa, \lambda) > \lambda$, $pp(\kappa, \lambda)$ is the successor of a singular cardinal, and $cf(\theta(\kappa, \lambda)) = cf(\nu)$. Let μ be a regular cardinal less than κ with $\mu \neq cf(\theta(\kappa, \lambda))$. Then there is $S \in (NG_{\kappa, \lambda}^{\mu})^*$ such that no $\theta(\kappa, \lambda)$ -normal, fine ideal *H* on $P_{\kappa}(\lambda)$ with $S \in H^+$ is weakly $pp(\kappa, \lambda)$ -saturated.

PROOF OF CLAIM 2. By Proposition [6.2\(](#page-26-0)*iv*) if $\mu > cf(\theta(\kappa, \lambda))$ (note that then $v \ge \mu > cf(v)$, so *v* is singular), and Proposition [6.2\(](#page-26-0)*iii*)) otherwise.

CLAIM 3. Suppose that $pp(\kappa, \lambda) > \lambda$, $pp(\kappa, \lambda)$ is weakly inaccessible, and $cf(\theta(\kappa, \lambda)) > \omega$. Then there is $S \in (NG_{\kappa, \lambda}^{\omega})^*$ such that no normal, fine ideal *H* on $P_{\kappa}(\lambda)$ with $S \in H^+$ is weakly $pp(\kappa, \lambda)$ -saturated.

PROOF OF CLAIM 3. By Observation [14.6,](#page-39-0) $pp(\kappa, \lambda) = cov(\lambda, \kappa, \kappa, \omega_1)$. Now apply Observation [13.2.](#page-36-2) This completes the proof of Claim [3.](#page-40-0)

Let us now assume that κ is weakly inaccessible.

Claim 4.

- (a) Suppose that $pp(\kappa, \lambda) = \lambda$ and λ is regular. Let *S* be the set of all $x \in P_{\kappa}(\lambda)$ such that $(o.t.(x)$ is an infinite limit ordinal and) either $cf(o.t.(x)) < o.t.(x)$, or *x* is not stationary in sup *x*. Then no normal, fine ideal *J* on $P_{\kappa}(\lambda)$ with $S \in J^+$ is weakly pp (κ, λ) -saturated.
- (b) Suppose that $pp(\kappa, \lambda) = \lambda$ and $\kappa \leq cf(\lambda) < \lambda$. Select an increasing sequence $\langle \lambda_i : i < \text{cf}(\lambda) \rangle$ of regular cardinals greater than κ with supremum λ , and set $S = \{x \in P_{\kappa}(\lambda) : \forall i < cf(\lambda)(x \cap \lambda_i \in S_i)\},\$ where S_i is the set of all $a \in P_{\kappa}(\lambda_i)$ with the property that $o.t.(a)$ is an infinite limit ordinal such that either $cf(o.t.(a)) < o.t.(a)$, or *a* is not stationary in sup *a*. Then no normal, fine ideal *J* on $P_{\kappa}(\lambda)$ with $S \in J^+$ is weakly pp (κ, λ) -saturated.

PROOF OF CLAIM 4. As in the proof of Theorem [11.4.](#page-32-1)

CLAIM 5. Suppose that $pp(\kappa, \lambda) > \lambda$, and $pp(\kappa, \lambda)$ is either singular, or the successor of a regular cardinal. Then no $\theta(\kappa, \lambda)$ -normal, fine ideal on $P_{\kappa}(\lambda)$ is weakly $pp(\kappa, \lambda)$ -saturated.

PROOF OF CLAIM 5. By Proposition [7.3.](#page-27-2)

CLAIM 6. Suppose that $pp(\kappa, \lambda) > \lambda$ and $pp(\kappa, \lambda)$ is the successor of a singular cardinal. Let *S* be the set of all $b \in P_{\kappa}(\lambda)$ such that cf($|b \cap$ $(\theta(\kappa, \lambda)) \neq cf(\theta(\kappa, \lambda))$. Then no $\theta(\kappa, \lambda)$ -normal, fine ideal *H* on $P_{\kappa}(\lambda)$ with $S \in H^+$ is weakly $pp(\kappa, \lambda)$ -saturated.

PROOF OF CLAIM 6. Case when $cf(\theta(\kappa, \lambda)) = \omega$. Use Corollary [5.16\(](#page-23-1)*i*).

Case when $cf(\theta(\kappa, \lambda)) > \omega$. By Observation [14.5,](#page-37-2) $pp(\kappa, \lambda) = pp^*_{I_{cf(\theta(\kappa, \lambda))}}(\theta(\kappa, \lambda))$ *λ*)). Since pp(*κ*, *λ*) is a successor cardinal, we have that $pp_{I_{cf}(\theta(\kappa,\lambda))}^{*+}(\theta(\kappa,\lambda))$ = $(pp^*_{I_{cf(\theta(\kappa,\lambda))}}(\theta(\kappa,\lambda)))^+ = (pp(\kappa,\lambda))^+$. Now apply Proposition [6.3.](#page-26-1)

CLAIM 7. Suppose that $pp(\kappa, \lambda) > \lambda$, $pp(\kappa, \lambda)$ is weakly inaccessible and cf($\theta(\kappa, \lambda)$) > ω . Let *S* be the set of all $b \in P_{\kappa}(\lambda)$ such that $|b \cap \theta(\kappa, \lambda)|$ is a singular cardinal of cofinality ω . Then no normal, fine ideal *H* on $P_{\kappa}(\lambda)$ with $S \in H^+$ is weakly $pp(\kappa, \lambda)$ -saturated.

PROOF OF CLAIM 7. By Observation [14.6](#page-39-0) and (the proof of) Observation [13.2.](#page-36-2)

(*iii*): By Corollary [7.4.](#page-28-0)

For models with a singular cardinal θ such that pp(θ) is a weakly inaccessible cardinal, see [\[14\]](#page-49-22) (note however that in these models, $pp(\theta)$) is the length of some scale, so that $pp^+(\theta) = (pp(\theta))^+$.

Theorem [14.8](#page-40-1) says little in the case when $\kappa = \omega_1$, to which we will return in Section [16.](#page-46-0) Assuming $\kappa > \omega_1$, the theorem tells us that $MC_4(\kappa, \lambda)$ holds unless $pp(\kappa, \lambda)$ is weakly inaccessible and $cf(\theta(\kappa, \lambda)) = \omega$, and even this one case could be claimed to be a near miss, since for any $\pi < pp(\kappa, \lambda)$, no normal, fine ideal on $P_κ(λ)$ is weakly π-saturated. However these are mere theoretical considerations. In practice it is not that easy to compute either $pp(\kappa, \lambda)$, or $\theta(\kappa, \lambda)$, so Theorem [14.8](#page-40-1) is of limited applicability. In this respect the following result, with only one, easy to check, condition, is more appealing.

THEOREM 14.9. *Suppose that* $\kappa > \omega_1$. *Then there is S in* $NS_{\kappa,\lambda}^+$ *with the property that no normal, fine ideal J on* $P_{\kappa}(\lambda)$ with $S \in J^+$ *is weakly* $cov(\lambda, \kappa, \kappa, \omega_1)$ -saturated.

PROOF. Case when $pp(\kappa, \lambda) = \lambda$. Then by Observation [14.7,](#page-39-1) $cov(\lambda, \kappa, \kappa, \lambda)$ ω_1) = pp(κ , λ). Now apply Theorem [14.8\(](#page-40-1)*i*).

Case when $cov(\lambda, \kappa, \kappa, \omega_1) < pp(\kappa, \lambda)$. Use Theorem [14.8\(](#page-40-1)*iii*).

Case when $cov(\lambda, \kappa, \kappa, \omega_1) = pp(\kappa, \lambda) > \lambda$ and $cov(\lambda, \kappa, \kappa, \omega_1)$ is not weakly inaccessible. Use Theorem [14.8\(](#page-40-1)*i*) and (*ii*).

Case when $cov(\lambda, \kappa, \kappa, \omega_1) = pp(\kappa, \lambda) > \lambda$ and $cov(\lambda, \kappa, \kappa, \omega_1)$ is weakly inaccessible. Use Observation [13.2.](#page-36-2)

On the other hand, $cov(\lambda, \kappa, \kappa, \omega_1)$ may be quite small. For example, if $\lambda < \kappa^{+\omega_1}$, then by results of Shelah [\[50,](#page-50-4) pp. 86–88], cov($\lambda, \kappa, \kappa, \omega_1$) = λ .

Finally, let us compare the results of this section with those of Section [11.](#page-31-2)

OBSERVATION 14.10.

- (i) *Suppose that* κ *is a successor cardinal and* $\lambda < FP(\kappa)$ (*recall that* $FP(\kappa)$) *denotes the least fixed point of the aleph function greater than κ). Then* $pp(\kappa, \lambda) = u(\kappa, \lambda)$. Furthermore if $pp(\kappa, \lambda) > \lambda$, then $\theta(\kappa, \lambda) = \theta_{\kappa, \lambda}$.
- (ii) *Suppose that* κ *is weakly inaccessible and* $\lambda < \kappa^{+(\kappa \cdot \omega)}$ *. Then* $pp(\kappa, \lambda)$ = $u(\kappa, \lambda)$.

PROOF. (*i*): If $u(\kappa, \lambda)$ equals λ , then so does $pp(\kappa, \lambda)$ by Observation [12.2.](#page-34-1) Now assume that $u(\kappa, \lambda) > \lambda$. Then by Fact [11.1,](#page-31-0) $u(\kappa, \lambda) = pp(\theta_{\kappa, \lambda})$, so $\theta(\kappa, \lambda) \leq \theta_{\kappa, \lambda}$. If this inequality were strict, then setting $\kappa = \nu^+$, we would have

$$
\operatorname{pp}_{\eta(\kappa,\lambda)}(\theta(\kappa,\lambda)) \le \operatorname{pp}_{\nu}(\theta(\kappa,\lambda)) < \theta_{\kappa,\lambda} \le \lambda.
$$

Contradiction.

(*ii*): If $u(\kappa, \lambda)$ equals λ , then as above so does pp(κ, λ). Suppose now that $u(\kappa, \lambda) > \lambda$, and let $n < \omega$ be such that $\kappa^{+(\kappa \cdot n)} \leq \lambda < \kappa^{+(\kappa \cdot (n+1))}$. Then by definition,

 $pp(\kappa, \lambda) = \max{\lambda, \sup\{pp_\tau(\chi) : cf(\chi) \leq \tau < \kappa < \chi \leq \lambda\}} = \max{\lambda, \sigma_1, \sigma_2\},$

where

$$
\sigma_1 = \sup \{ \operatorname{pp}_\tau(\chi) : \operatorname{cf}(\chi) \leq \tau < \kappa < \chi \leq \kappa^{+(\kappa \cdot n)} \},
$$

and

$$
\sigma_2 = \sup \{ \operatorname{pp}_\tau(\chi) : \operatorname{cf}(\chi) \leq \tau < \kappa \text{ and } \kappa^{+(\kappa \cdot (n+1))} < \chi \leq \lambda \}.
$$

Now by Fact [11.3](#page-32-0) and Observation [12.2,](#page-34-1)

$$
\sigma_1 \le \text{pp}(\kappa, \kappa^{+(\kappa \cdot n)}) \le u(\kappa, \kappa^{+(\kappa \cdot n)}) = \kappa^{+(\kappa \cdot n)} \le \lambda.
$$

Furthermore by Facts [11.1](#page-31-0) and [11.3,](#page-32-0) $u(\kappa, \lambda) = u(\kappa^{+(\kappa \cdot n)+1}, \lambda) = \sigma_2$, so $pp(\kappa, \lambda) = \sigma_2 = u(\kappa, \lambda).$

This leaves us confused, since it implies that $MC_3(\kappa, \lambda)$ and $MC_4(\kappa, \lambda)$ are one and the same statement in case λ is close to κ , so that results of this section can be seen as generalizations of those of Section [11.](#page-31-2) Now is it because we are missing the very last step, which would establish that $pp(\kappa, \lambda)$ is always equal to $u(\kappa, \lambda)$? Or rather because the general statement should indeed be formulated in terms of $pp(\kappa, \lambda)$ which, so to speak by accident, happens to be equal to $u(\kappa, \lambda)$ when λ is close to κ ?

§15. Situations when $\theta(\kappa, \lambda) = \lambda$. We have already observed that in the case when $pp(\kappa, \lambda) > \lambda$, it was not easy to compute $\theta(\kappa, \lambda)$, and thus to determine its cofinality (which is crucial for applying Theorem [14.8\)](#page-40-1), or whether it is a fixed point of the aleph function (if it is not, then by a result of Shelah [\[50,](#page-50-4) Theorem 2.2, p. 373], $pp(\theta(\kappa, \lambda))$ cannot be weakly inaccessible). Of course the computation is easy if, for instance, λ is a strong limit cardinal, or under SSH. In this section we show that there are many situations when $\theta(\kappa, \lambda) = \lambda$. We start by recalling a few facts.

FACT 15.1 [\[50,](#page-50-4) pp. 85–86]. Let ρ_1 , ρ_2 , ρ_3 , and ρ_4 be four cardinals such that $\rho_1 \ge \rho_2 \ge \rho_3 \ge \omega$ and $\rho_3 \ge \rho_4 \ge 2$. Then the following hold:

- (i) $cov(\rho_1, \rho_2, \rho_3, \rho_4) = cov(\rho_1, \rho_2, \rho_3, \max{\omega, \rho_4}).$
- (ii) If $\rho_3 > \rho_4 \geq \omega$, then

 $cov(\rho_1, \rho_2, \rho_3, \rho_4) = sup\{cov(\rho_1, \rho_2, \rho^+, \rho_4) : \rho_4 \leq \rho \leq \rho_3\}.$

(iii) Suppose that $\rho_3 > cf(\rho_2) \ge \rho_4$ and $cf(\rho_3) \ne cf(\rho_2)$. Then $cov(\rho_1, \rho_2, \rho_3)$ $\hat{\rho}_3$, $\hat{\rho}_4$) = cov($\hat{\rho}_1$, $\hat{\rho}_3$, $\hat{\rho}_4$) for some cardinal ρ with $\rho_2 > \rho \ge \rho_3$.

Given a cardinal σ and a set Q of regular cardinals, sup $Q =^+ \sigma$ means that sup $Q = \sigma$ and moreover either $\sigma \in Q$, or sup Q is a singular cardinal.

Fact 15.2 [\[55,](#page-50-23) Lemma 18.13], [\[32\]](#page-49-23). Assume that:

(1) θ is a cardinal such that $\omega < cf(\theta) < \theta$.

- (2) $\langle \theta_i : i < \text{cf}(\theta) \rangle$ is an increasing, continuous sequence of cardinals greater than cf(θ) with supremum θ .
- (3) $\text{cov}(\theta_i, \theta_i, (\text{cf}(\theta))^+, 2) < \theta$ for all $i < \text{cf}(\theta)$.

Then the following hold:

- $pp(\theta) = +\text{cov}(\theta, \theta, (\text{cf}(\theta))^+, 2).$
- $pp_{I_{cf}(\theta)}^*(\theta) = \text{cov}(\theta, \theta, (\text{cf}(\theta))^+, 2)).$
- $\{i < cf(\theta) : \text{pp}^*_{I_{cf(i)}}(\theta_i) = \text{cov}(\theta_i, \theta_i, (cf(\theta))^+, 2)\}\)$ contains a closed unbounded set.

OBSERVATION 15.3. *Suppose that* $\omega < cf(\lambda) < \kappa$, and $cov(\gamma, \gamma, \tau^+, 2) < \lambda$ *for any cardinal* $\tau < \kappa$ *and any cardinal* χ *with* $cf(\chi) < \kappa < \chi < \lambda$. Then the *following hold:*

- (i) $\theta(\kappa, \lambda) = \lambda$.
- (ii) $pp(\kappa, \lambda) =^+ \text{cov}(\lambda, \lambda, (\text{cf}(\lambda))^+, 2)$.
- (iii) *There is a closed unbounded subset* D *of* λ *with the following properties:*
	- (1) *D* consists of cardinals ρ with $cf(\rho) < \kappa < \rho = \theta(\kappa, \rho)$.

(2) For any
$$
\rho \in D
$$
, pp(κ , ρ) = pp^{*} _{Γ} _{Γ} _{(ρ) = cov(ρ , ρ , (cf(λ))⁺, 2).}

PROOF.

CLAIM 1. Let γ be a cardinal such that $cf(\gamma) < \kappa < \gamma < \lambda$. Then $cov(\chi, \chi, \kappa, 2) < \lambda$.

PROOF OF CLAIM 1. Let us assume that κ is weakly inaccessible, since otherwise the result is trivial. By Fact [15.1,](#page-43-0)

$$
cov(\chi, \chi, \kappa, 2) = sup\{cov(\chi, \chi, \sigma^+, 2) : \sigma < \kappa\} \leq \lambda.
$$

Now the sequence $\langle \text{cov}(\chi, \chi, \sigma^+, 2) : \sigma < \kappa \rangle$ is nondecreasing, so its supremum must be less than λ , which completes the proof of Claim [1.](#page-44-0)

Pick an increasing, continuous sequence $\langle \lambda_i : i < \text{cf}(\lambda) \rangle$ of cardinals greater than κ with supremum λ .

CLAIM 2. There is a closed unbounded subset C_1 of cf(λ) with the property that $cov(\lambda_i, \lambda_i, \kappa, 2) < \lambda_i$ whenever $j < i$ are in C_1 .

PROOF OF CLAIM 2. Suppose otherwise. Then the set

$$
T = \{i < \text{cf}(\lambda) : \exists j < i(\text{cov}(\lambda_j, \lambda_j, \kappa, 2) \ge \lambda_i)\}
$$

is stationary, so we may find $k < cf(\lambda)$ and a stationary $W \subseteq T$ such that $cov(\lambda_k, \lambda_k, \kappa, 2) \geq \lambda_i$ for all $i \in W$ with $i > k$. But then $cov(\lambda_k, \lambda_k, \kappa, 2) \geq \lambda$. This contradiction completes the proof of Claim [2.](#page-44-1)

CLAIM 3. There is a stationary subset *S* of C_1 such that $cov(\lambda_k, \lambda_k, \kappa, 2)$ = $cov(\lambda_k, \lambda_{\min S}, \kappa, 2)$ for all $k \in S$.

PROOF OF CLAIM 3. By Fact [15.1,](#page-43-0) for any limit point *i* of C_1 , there is $j < i$ such that $cov(\lambda_i, \lambda_i, \kappa, 2) = cov(\lambda_i, \lambda_i, \kappa, 2)$. Claim [3](#page-44-2) easily follows.

CLAIM 4. There is a closed unbounded subset C_2 of C_1 consisting of limit ordinals such that $cov(\chi, \chi, \kappa, 2) < \lambda_i$ whenever $j < i$ are in C_2 and χ is a cardinal with $\lambda_i \leq \chi < \lambda_i$ and cf(χ) < κ .

PROOF OF CLAIM 4. Let C_2 be the set of all limit points of S. Now suppose that $j < i$ are in C_2 , and χ is a cardinal with $\lambda_j \leq \chi < \lambda_i$ and $cf(\chi) < \kappa$. There must be $k \in S$ such that $\chi \leq \lambda_k < \lambda_i$. Then cov $(\chi, \chi, \kappa, 2) \leq$ $cov(\chi, \lambda_{\min S}, \kappa, 2) \le cov(\lambda_k, \lambda_{\min S}, \kappa, 2) = cov(\lambda_k, \lambda_k, \kappa, 2) < \lambda_i$, which completes the proof of Claim [4.](#page-45-0)

Notice that for any $i \in C_2$, $cf(\lambda_i) = cf(i) < cf(\lambda) < \kappa$, so $\lambda_i < pp(\lambda_i) \le$ pp (κ, λ_i) .

CLAIM 5. Let τ be an infinite cardinal less than κ . Then there is $j \in C_2$ such that $pp_{\tau}(\chi) < \lambda_j$ for each cardinal χ with $\kappa < \chi < \lambda_{\min C}$, and cf(χ) $\leq \tau$.

PROOF OF CLAIM 5. Suppose otherwise, and let ρ be the least cardinal χ such that $\kappa < \chi < \lambda_{\min C}$, $cf(\chi) \leq \tau$ and $pp_\tau(\chi) \geq \lambda_{\min C}$. By Fact [12.1\(](#page-34-0)*ii*), $pp_{\tau}(\rho) \leq cov(\rho, \rho, \tau^+, 2) < \lambda$, so we may find $j \in C_2$ with $pp_{\tau}(\rho) < \lambda_j$. Now by Fact [14.1,](#page-36-0) for each cardinal σ with $\rho < \sigma < \lambda_{\min C_2}$ and $cf(\sigma) \leq \tau$, $pp_{\tau}(\sigma) \leq pp_{\tau}(\rho) < \lambda_i$. This contradiction completes the proof of the claim.

CLAIM 6. There is $k \in C_2$ such that $pp_\tau(\gamma) < \lambda_k$ for any infinite cardinal $\tau < \kappa$ and any cardinal χ with $\kappa < \chi < \lambda_{\min C}$ and cf(χ) $\leq \tau$.

PROOF OF CLAIM 6. We can assume that κ is weakly inaccessible, since otherwise the result is immediate from Claim 5. For each infinite cardinal *<* κ , set i_{τ} = the least $j \in C_2$ such that $pp_{\tau}(\chi) < \lambda_j$ for each cardinal χ with κ < $\chi < \lambda_{\min C_2}$ and cf(χ) $\leq \tau$. Since the sequence $\langle i_\tau : \tau < \kappa \rangle$ is nondecreasing, its supremum k must be less than $cf(\lambda)$, which completes the proof of the claim.

Set $C_3 = C_2 \setminus k$.

CLAIM 7. Let $i \in C_3$. Then $\theta(\kappa, \lambda_i) = \lambda_i$.

PROOF OF CLAIM 7. Let τ be an infinite cardinal less than κ , and let χ be a cardinal such that $\kappa < \chi < \lambda_i$ and cf(χ) $\leq \tau$. If $\chi < \lambda_{\min C_2}$, then $pp_\tau(\chi) <$ $\lambda_k \leq \lambda_i$. Otherwise, put $\ell = \sup\{j \in C_2 : \lambda_j \leq \chi\}$ and $r = \min(C_2 \setminus (\ell + 1))$ 1)). Then by Fact [12.1\(](#page-34-0)*ii*),

$$
pp_{\tau}(\chi) \leq cov(\chi, \chi, \tau^+, 2) \leq cov(\chi, \chi, \kappa, 2) < \lambda_r \leq \lambda_i,
$$

which completes the proof of Claim [7.](#page-45-1)

It easily follows from Claim [7](#page-45-1) that $\theta(\kappa, \lambda) = \lambda$. Furthermore by Observation [14.5](#page-37-2) and Fact [15.2,](#page-43-1)

$$
pp(\kappa,\lambda)=pp^*_{I_{cf(\lambda)}}(\lambda)=pp(\lambda)=^+cov(\lambda,\lambda,(cf(\lambda))^+,2).
$$

By Fact [15.2,](#page-43-1) there is a closed unbounded subset C_4 of C_3 with the property that $pp^*_{I_{cf(i)}}(\lambda_i) = \text{cov}(\lambda_i, \lambda_i, (\text{cf}(\lambda))^+, 2)$ for all $i \in C_4$. Now by Observation [14.5\(](#page-37-2)*iv*), for any $i \in C_4$ of uncountable cofinality,

$$
pp(\kappa, \lambda_i) = pp^*_{I_{cf(i)}}(\lambda_i) = cov(\lambda_i, \lambda_i, (cf(\lambda))^+, 2).
$$

Finally, given *i* $\in C_4$ of cofinality ω , we have by Fact [6.1](#page-25-2) that $pp^*_{I_{\omega}}(\lambda_i) \leq$ $pp(\lambda_i) \leq pp(\kappa, \lambda_i)$. Furthermore by Observation [14.5\(](#page-37-2)*iii*),

$$
\operatorname{pp}(\kappa,\lambda_i) \leq \operatorname{cov}(\lambda_i,\lambda_i,\omega_1,2) \leq \operatorname{cov}(\lambda_i,\lambda_i,(\operatorname{cf}(\lambda))^+,2) = \operatorname{pp}^*_{I_{\omega}}(\lambda_i).
$$

Thus, in this case too,

$$
pp(\kappa, \lambda_i) = pp^*_{I_{cf(i)}}(\lambda_i) = cov(\lambda_i, \lambda_i, (cf(\lambda))^+, 2).
$$

Let us make some comments. First, notice that if λ and D are as in the statement of Observation [15.3,](#page-44-3) then by Theorem [14.8,](#page-40-1) $MC_4(\kappa, \lambda)$ holds, and so does $MC_4(\kappa, \rho)$ for every $\rho \in D$ of uncountable cofinality. Furthermore if λ is not a fixed point of the aleph function, then for any large enough ρ in *D* (of cofinality ω), (ρ is not a fixed point of the aleph function and) $\text{pp}^*_{I_{cf(\rho)}}(\rho)$ is not weakly inaccessible, and hence $MC_4(\kappa, \rho)$ holds. Notice further that if in the statement of the observation, we make the stronger assumption that $\chi^{< \kappa}$ < λ for any cardinal χ < λ , then we may find a closed unbounded subset *D'* of *D* such that $\chi^{< \kappa} < \rho$ whenever $\rho \in D'$ and χ is a cardinal less than ρ . Now by results of Shelah (see, e.g., Theorems 9.1.2 and 9.1.3 in [\[16\]](#page-49-11)), if τ and σ are two cardinals such that (a) cf $(\sigma) \leq \tau < \sigma$, (b) $\chi^{\tau} < \sigma$ for any cardinal $\chi < \sigma$, and (*c*) either cf(σ) $> \omega$, or σ is not a fixed point of the aleph function, then $pp_r(\sigma) = \sigma^{\tau}$. Hence for any $\sigma \in D' \cup {\lambda}$, *(a)* $pp(\kappa, \sigma) =$ $\sigma^{<\kappa} = u(\kappa, \sigma)$ and (*b*) $MC_3(\kappa, \sigma)$ holds if $cf(\sigma) > \omega$. Moreover if λ is not a fixed point of the aleph function, then $MC_3(\kappa, \sigma)$ holds for any large enough σ in *D'* (of cofinality ω).

§16. The case $\kappa = \omega_1$. What is so special about the case $\kappa = \omega_1$ is that there is only one possible cofinality (for, e.g., $\theta(\kappa, \lambda)$), namely ω , which is thus unavoidable.

As we have seen, one problem we have to deal with for any value of *κ* is the possibility of the weak inaccessibility of $pp(\kappa, \lambda)$ (with $pp(\kappa, \lambda) > \lambda$). For $\kappa = \omega_1$, we may appeal to Theorem [14.8\(](#page-40-1)*i*) and (*iii*). Further, Observation [4.7](#page-15-0) and Corollary [5.20](#page-25-0) give us the following.

OBSERVATION 16.1. *Suppose that* $(a) \kappa = \omega_1$, (b) $pp(\kappa, \lambda) > \lambda$, (c) $pp(\kappa, \lambda)$ *is the successor of a singular cardinal, and* (d) $pp(\kappa, \lambda) = pp_I^*(\theta(\kappa, \lambda))$ *for*

some P-point ideal I on ω . Then $MC_4(\kappa, \lambda)$ holds, and in fact there is $S \in$ $(NG_{\kappa,\lambda}^{\omega})^+$ *such that no* $\theta(\kappa,\lambda)$ -normal, fine ideal H on $P_{\kappa}(\lambda)$ with $S \in H^+$ is *weakly* pp(*κ,*)*-saturated.*

Here we have a second problem, due to the P-pointness condition. It turns out that if $pp(\theta(\kappa, \lambda))$ is close to κ , this condition can be removed. Recall that the pseudointersection number p is the least size of any collection *Z* of infinite subsets of ω such that $(a) | \bigcap w | = \aleph_0$ for any $w \subseteq Z$ with $0 < |w| < \aleph_0$, and (*b*) there is no infinite $A \subseteq \omega$ such that $|A \setminus B| < \aleph_0$ for all $B \in \mathbb{Z}$. The following, which was pointed out to the author by Todd Eisworth, is largely due to Shelah (see [\[50,](#page-50-4) Remark 1.6B, p. 322] and [\[52\]](#page-50-24)).

FACT 16.2 [\[32\]](#page-49-23). Let θ and π be two infinite cardinals such that $\omega = cf(\theta)$ < $\theta < \pi = cf(\pi) < min(pp^{+}(\theta), \theta^{+p})$. Then there is a set *u* of regular cardinals such that $\sup u = \theta$, $|u| = \aleph_0 < \min u$ and $\text{tcf}(\prod u/I_u) = \pi$.

OBSERVATION 16.3. Suppose that $\kappa = \omega_1$ and $p p(\kappa, \lambda) < \min\{FP(\kappa), \kappa^{+p}\}.$ *Then* $MC_3(\kappa, \lambda)$ *holds.*

PROOF. Case when $u(\kappa, \lambda) = \lambda$. Then by Fact [3.1,](#page-4-0) no κ -complete, fine ideal on $P_{\kappa}(\lambda)$ is weakly $u(\kappa, \lambda)$ -saturated.

Case when $u(\kappa, \lambda) > \lambda$. By Observation [14.10\(](#page-42-0)*i*), $pp(\kappa, \lambda) = u(\kappa, \lambda)$ (and hence $MC_3(\kappa, \lambda)$ and $MC_4(\kappa, \lambda)$ assert the same thing). Furthermore by Fact [11.1,](#page-31-0) $pp(\kappa, \lambda)$ is not weakly inaccessible. Now $MC_4(\kappa, \lambda)$ holds by Observation [16.1](#page-46-1) and Fact [16.2](#page-47-0) if $pp(\kappa, \lambda)$ is the successor of a singular cardinal, and by Theorem $14.8(i)$ $14.8(i)$ otherwise.

§17. A small, private, devotional altar to pcf theory. The original conjecture of Menas is not only consistently false, by the result of Baumgartner and Taylor [\[3\]](#page-48-0), but it is also, as seen in Corollary [10.3,](#page-30-1) heavily dependent on cardinal arithmetic. A weaker statement, which looks more reasonable, asserts that the nonstationary ideal $NS_{\kappa,\lambda}$ is nowhere weakly $u(\kappa,\lambda)$ saturated (where $u(\kappa, \lambda)$) denotes the least size of any cofinal subset of $P_{\kappa}(\lambda)$, but by the result of Gitik [\[12\]](#page-49-4), this assertion too is relative to a (large) large cardinal, consistently false. If there are no large cardinals in an inner model, and either $u(\kappa, \lambda) > \lambda$, or λ is the successor of a singular cardinal of cofinality less than κ , the assertion does hold by Observation [10.1](#page-30-2) and Proposition 3.8, and in fact no normal, fine ideal on $P_{\kappa}(\lambda)$ is weakly $u(\kappa, \lambda)$ -saturated (so, contrary to Gitik, we are not taking advantage of the specificity of $NS_{\kappa\lambda}$). By Facts [3.4](#page-6-0) and [8.2,](#page-29-2) and Observations [8.1](#page-28-1) and [10.1,](#page-30-2) a similar result holds in the case when (κ is weakly inaccessible,) $u(\kappa, \lambda) = \lambda$ (and λ is not the successor of a cardinal of cofinality less than κ). However with such statements we are far from the (unconditional) spirit of Menas's conjecture. So how much of the original conjecture is true *in ZFC*? By

Theorems [11.2](#page-31-1) and [11.4,](#page-32-1) if $\kappa > \omega_1$ and λ is close to κ , then there is $S \in NS_{\kappa,\lambda}^+$ such that no normal extension of $NS_{\kappa,\lambda}|S$ is weakly $u(\kappa,\lambda)$ -saturated. This can be generalized to some extent. If $\kappa > \omega_1$ and $pp(\kappa, \lambda)$ is not weakly inaccessible (where $pp(\kappa, \lambda) = \max(\lambda, \sup\{pp(\chi) : cf(\chi) < \kappa < \chi \le \lambda\})$), then by Theorem [14.8,](#page-40-1) there is $S \in NS_{\kappa,\lambda}^+$ such that no normal extension of $NS_{\kappa\lambda}|S$ is weakly $pp(\kappa,\lambda)$ -saturated. Much remains to be clarified. What about the case when $\kappa = \omega_1$? Is it consistent that $pp(\kappa, \lambda) < u(\kappa, \lambda)$? That pp(κ , λ) is weakly inaccessible and greater than λ ?

There are problems that are intractable in ZFC alone, but have a shadow version that can be established using the tools of pcf theory. Some people are not impressed, pointing out that the original problems remain unresolved. For others it is a way of showing that ZFC is not so desperately incomplete as it may seem. The most prominent such problem is the Generalized Continuum Hypothesis revisited by Shelah in [\[51\]](#page-50-25). Our goal in the present paper was to show, on a more modest scale, that Menas's Conjecture is also amenable to an analysis of this type. Hopefully, future will tell which is the correct formulation for its shadow variant: should it be $MC_3(\kappa, \lambda)$, $MC_4(\kappa, \lambda)$ or maybe some other assertion?

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LLABORATOIRE DE MATHEMATIQUES ´ UNIVERSITE DE CAEN—CNRS ´ BP 5186 14032 CAEN CEDEX, FRANCE *E-mail:* pierre.matet@unicaen.fr