# ON THE TRIPLE CHARACTERIZATION FOR STONE ALGEBRAS

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**1. Introduction.** In [1], C. C. Chen and G. Grätzer developed a method for studying Stone algebras by associating with each Stone algebra L, a uniquely determined triple  $(C(L), D(L), \phi(L))$ , consisting of a Boolean algebra C(L), a distributive lattice D(L), and a connecting map  $\phi(L)$ . This approach has been successfully exploited by various investigators to determine properties of Stone algebras (e.g. H. Lakser [9] characterized the injective hulls of Stone algebras by means of this technique). The present paper is a continuation of this program.

After summarizing the properties of the category of triples, the epimorphisms in this category are determined confirming a conjecture of G. Grätzer. The prime ideals,  $\mathscr{P}(L)$ , of a Stone algebra L are characterized in terms of its triple. As a first application of this result it is shown that

$$|\mathscr{P}(L)| = |\mathscr{P}(C(L))| + |\mathscr{P}(D(L))|.$$

Another application yields a construction for the Stone algebra having a given triple. In the last section necessary and sufficient conditions are given in order that a Boolean algebra and a distributive lattice with 1 uniquely determine a triple.

**2. Preliminaries.** Let **B** be the class of Boolean algebras,  $\mathbf{D}_{01}$  the class of distributive lattices with 0, 1 and  $\mathbf{D}_1$  the class of distributive lattices with  $1(\mathcal{B}, \mathcal{D}_{01}, \text{and } \mathcal{D}_1 \text{ are the corresponding categories respectively})$ . For  $L \in \mathbf{D}_{01}$ , let C(L) be the Boolean algebra of complemented elements of L. If  $L \in \mathbf{D}_1$ ,  $\overline{D}(L)$  is the lattice of filters of L. Recall that  $\overline{D}(L) \in \mathbf{D}_{01}$ ; in fact, for  $F_1$ ,  $F_2 \in \overline{D}(L)$ ,  $F_1 \cdot F_2 = F_1 \cap F_2$ ,  $F_1 + F_2 = \{x + y | x \in F_1, y \in F_2\}$ ,  $0_{\overline{D}(L)} = [1)$  and  $1_{\overline{D}(L)} = L$ . The poset of prime ideals of a distributive lattice L is  $\mathcal{P}(L)$  and we set  $\mathcal{P}_0(L) = \mathcal{P}(L) \cup \{\emptyset\}$ . Let **n** be the *n*-element chain  $0 < 1 < \ldots < n - 1$ . For  $J \in \mathcal{P}(L)$ ,  $f_J : L \to \mathbf{2}$  is the  $\mathbf{D}_{01}$ -homomorphism defined by

$$xf_J = \begin{cases} 1, & \text{if } x \notin J \\ 0, & \text{if } x \in J. \end{cases}$$

We introduce the category  $\mathscr{H}$ , called the category of triples, as follows. The objects of  $\mathscr{H}$  are triples  $(C, D, \phi)$  where  $C \in \mathbf{B}, D \in \mathbf{D}_1$  and  $\phi : C \to \overline{D}(D)$  is a  $\mathbf{D}_{01}$ -homomorphism. The morphisms in  $[(C, D, \phi), (C_1, D_1, \phi_1)]_{\mathscr{H}}$ 

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are the pairs (f, g) where  $f \in [C, C_1]_{\mathscr{B}}$ ,  $g \in [D, D_1]_{\mathscr{D}_1}$  and  $(a\phi)g \subseteq af\phi_1$  for each  $a \in C$ . The composition of morphisms is defined by  $(f_1, g_1)(f_2, g_2) =$  $(f_1f_2, g_1g_2)$  for  $(f_i, g_i) \in [(C_i, D_i, \phi_i), (C_{i+1}, D_{i+1}, \phi_{i+1})]_{\mathscr{K}}$  for i = 1, 2. We see that  $(1_c, 1_D)$  is the identity on  $(C, D, \phi)$  where  $1_A$  is the identity on a set A. Moreover  $(f, g) \in [(C, D, \phi), (C_1, D_1, \phi_1)]_{\mathscr{K}}$  is an isomorphism  $(in \mathscr{K})$  if and only if f is an isomorphism in  $\mathscr{B}$ , g is an isomorphism in  $\mathscr{D}_{01}$  and  $(a\phi)g = af\phi'$ for each  $a \in C$ .

Recall from [1] that for a Stone algebra L, we can associate the triple  $(C(L), D(L), \phi(L))$  where D(L) is the member of  $\mathbf{D}_1$  consisting of the dense elements of L and  $\phi(L) : C(L) \to \overline{D}(D(L))$  is the  $\mathbf{D}_{01}$ -homomorphism defined by  $a\phi(L) = \{d \in D(L) | d \ge a^*\}$  for each  $a \in C(L)$ .

The assignment  $L \mapsto (C(L), D(L), \phi(L))$  can be extended to a functor (implicit in [1]) which establishes an equivalence from the category of Stone algebras and Stone homomorphisms to the category  $\mathscr{H}$ . Indeed the functor takes the Stone homomorphism  $f: L \to L_1$  into (f|C(L), g|D(L)) – the codomain of f|C(L) and g|D(L) are taken to be  $C(L_1)$  and  $D(L_1)$  respectively. The following result from [1] will be needed.

LEMMA 2.1. If  $(C, C, \phi) \in Ob \mathscr{K}$  then for each  $a \in C$  and  $d \in D$ , there is an element  $d_{\rho_a} \in D$  such that  $[d_{\rho_a}] = a\phi \cap [d]$ . Moreover  $(d_{\rho_a})(d_{\rho_a}) = d$ .

*Proof.* For  $a \in C$  and  $d \in D$ , we have  $d \in a\phi + \bar{a}\phi$  so d = xy for some  $x \in a\phi$ ,  $y \in \bar{a}\phi$ . It is easy to see that d + x is the required element,  $d_{\rho_a}$ .

For  $(C, D, \phi) \in Ob \mathscr{K}$  and  $J \in \mathscr{P}(D)$ , define  $I(J) = \{c \in C | \bar{c}\phi \cap J \neq \emptyset\}$ .

LEMMA 2.2. If  $(C, D, \phi) \in \mathcal{K}$  then for each  $J \in \mathcal{P}(D)$ ,  $I(J) \in \mathcal{P}(C)$  and  $(f_{I(J)}, f_J) \in [(C, D, \phi), (\mathbf{2}, \mathbf{2}, \phi_{\overline{2}})]_{\mathcal{K}}$ , where  $\phi_{\overline{2}} : \mathbf{2} \to \overline{D}(\mathbf{2})$  is defined by  $0\phi_{\overline{2}} = [1)$  and  $1\phi_{\overline{2}} = [0)$ .

*Proof.* It is routine to verify that I(J) is a proper ideal. If  $c_1 \in I(J)$  and  $c_2 \notin I(J)$  then  $\bar{c}_1 \phi \cap J = \bar{c}_2 \phi \cap J = \emptyset$  so  $\bar{c}_1 \phi \subseteq D \sim J$  and  $\bar{c}_2 \phi \subseteq D \sim J$ . But  $D \sim J \in \bar{D}(D)$  so  $\bar{c}_1 \bar{c}_2 \phi = \bar{c}_1 \phi + \bar{c}_2 \phi \subseteq D \sim J$  and hence  $c_1 c_2 \notin I(J)$ . Thus  $I(J) \in \mathscr{P}(C)$ .

It follows that  $f_J \in [D, 2]_{D_1}$  and  $f_{I(J)} \in [C, 2]_{\mathscr{B}}$ . To prove that  $(a\phi)f_J \subseteq af\phi_{I(J)}\phi_{\overline{2}}$ , first suppose  $a \notin I(J)$  then  $af_{I(J)} = 1$  so  $(a\phi)f_J \subseteq [0) = (af_{I(J)})\phi_{\overline{2}}$ . Next suppose  $a \in I(J)$ . So there is an element  $x \in \overline{a}\phi \cap J$ . Now if  $d \in a\phi$ then  $df_J = 1$ . Indeed if  $df_J = 0$  then  $d \in J$  so  $d + x \in a\phi \cap \overline{a}\phi = 0\phi = \{1\}$ and hence  $1 = d + x \in J$ , a contradiction. Thus,  $(a\phi)f_J = \{df_J | d \in a\phi\} = \{1\} \subseteq af_{I(J)}\phi_{\overline{2}}$ .

We close the section with an application of Lemma 2.2.

THEOREM 2.3. A morphism  $(f, g) \in [(C, D, \phi), (C_1, D_1, \phi_1)]_{\mathcal{K}}$  is an epimorphism if and only if f is an epimorphism in  $\mathcal{B}$  and g is epimorphism in  $\mathcal{D}_1$ .

*Proof.* The sufficiency of the condition is trivial. Conversely, suppose that (f, g) is epic in  $\mathscr{H}, f_1, f_1' \in [C_1, C_2]_{\mathscr{B}}$  and  $ff_1 = ff_1'$ . Let  $g_1 \in [D_1, \mathbf{1}]_{\mathscr{B}}$  and  $\phi_2 \in [C_1, C_2]_{\mathscr{B}}$  and  $ff_1 = ff_1'$ .

 $[C_2, \overline{D}(\mathbf{1})]_{\mathscr{B}}$  be constant maps. Then  $(C_2, \mathbf{1}, \phi_2) \in Ob \mathscr{H}$  and  $(f_1, g_1), (f_1', g_1) \in [(C_1, D_1, \phi_1), (C_2, \mathbf{1}, \phi_2)]_{\mathscr{H}}$ . But then  $(f, g)(f_1, g_1) = (ff_1, gg_1) = (ff_1', gg_1) = (f, g)(f_1', g_1)$  so  $(f_1, g_1) = (f_1', g_1)$  and hence  $f_1 = f_1'$ .

Again suppose that (f, g) is epic in  $\mathscr{H}$  but that g is not epic in  $\mathscr{D}_1$ . Since **2** is the only subdirectly irreducible in  $\mathscr{D}_1$ , there exist distinct prime ideals  $J_1, J_1'$  in  $D_1$  such that  $J_1 \cap Dg = J_1' \cap Dg$ . We first show:

(1) For each  $x \in D_1$  there exists  $d \in D$  such that  $dg \leq x$ .

In order to verify (1), suppose that for some  $x \in D$ ,  $dg \leq x$  for any  $d \in D$ . Then  $(x] \cap [(D)g) = \emptyset$  and hence there exists  $J \in \mathscr{P}(D_1)$  with  $x \in J$  and  $J \cap (D)g = \emptyset$ . Let  $g_1 : D_1 \to \mathbf{2}$  be the constant map with value 1, then  $(f_{I(J)}, g_1), (f_{I(J)}, f_J) \in [(C_1, D_1, \phi_1), (\mathbf{2}, \mathbf{2}, \phi_2)]_{\mathscr{K}}$  and  $(f, g)(f_{I(J)}, f_J) = (f, g)(f_{I(J)}, g_1)$ , contradicting the fact that (f, g) is an epimorphism. Next we prove:

(2) If  $x \in a\phi_1$  then there exist  $d \in D$  and  $c \in C$  such that

 $(d_{\rho_c})g \leq x \text{ and } cf = a.$ 

Indeed, since f is epic in  $\mathscr{B}$  (and hence onto) there exists  $c \in C$  such that cf = a. By (1) we obtain an element  $d \in D$  such that  $dg \leq x$ . Now  $(d_{\rho_{\overline{c}}})g \in (\overline{c}\phi)g \subseteq (\overline{c}f)\phi_1 = (\overline{c}\overline{f})\phi_1 = \overline{a}\phi_1$ , so  $x + (d_{\rho_{\overline{c}}})g \in a\phi_1 \cap \overline{a}\phi_1 = \{1\}$  and hence  $x + (d_{\rho_{\overline{c}}})g = 1$ . Thus,

$$(d_{\rho_c})g = x((d_{\rho_c})g) + ((d_{\rho_c})g)((d_{\rho_c})g) \leq x + dg \leq x.$$

We can now show that  $(f_{I(J_1)}, f_{J_2}) \in [(C_1, D_1, \phi_1), (\mathbf{2}, \mathbf{2}, \phi_{\overline{2}})]_{\mathscr{K}}$ . It suffices to prove that  $(a\phi_1)f_{J_2} \subseteq (af_{I(J_1)})\phi_{\overline{2}}$  for  $a \in I(J_1)$ . But  $a \in I(J_1)$  implies the existence of an element  $y \in \overline{a}\phi_1 \cap J_1$ . We will prove that  $x \in a\phi_1$  implies  $x \notin J_2$ .

Indeed suppose  $x \in a\phi_1 \cap J_2$ . But by (2) there exists  $d \in D$  and  $c \in C$  such that  $(d_{\rho_c})g \leq x$  so  $(d_{\rho_c})g \in J_2$ . Hence  $(d_{\rho_c})g \in J_2 \cap Dg \subseteq J_1$  and therefore  $(d_{\rho_c})g + y \in J_1$ . Now  $(d_{\rho_c})g \in (c\phi)g \subseteq (cf)\phi_1 = a\phi_1$  so  $y + (d_{\rho_c})g \in \bar{a}\phi_1 \cap a\phi_1 = \{1\}$  which implies the contradiction  $1 = y + (d_{\rho_c})g \in J_1$ . Thus  $x \in a\phi_1$  implies  $x \notin J_2$  so

 $(a\phi_1)f_{J_2} = \{xf_{J_2}|x \in a\phi_1\} = \{1\} \subseteq (af_{J_2})\phi_2.$ 

Finally,  $J_1 \cap Dg = J_2 \cap Dg$  implies  $gf_{J_1} = gf_{J_2}$  so  $(f, g)(f_{I(J_1)}, f_{J_2}) = (ff_{I(J_1)}, gf_{J_2}) = (ff_{I(J_1)}, gf_{J_1}) = (f, g)(f_{I(J_1)}, f_{J_1})$ . But (g, f) is epic so  $f_{J_2} = f_{J_1}$ , a contradiction.

This establishes a conjecture of G. Grätzer that a Stone homomorphism  $f: L \to L_1$  is an epimorphism if and only if  $(C(L))f = C(L_1)$  and f | D(L), with codomain restricted to  $D(L_1)$ , is an epimorphism in  $\mathcal{D}_1$ .

**3. Prime ideals.** We begin by characterizing  $\mathscr{P}(L)$  in terms of the triple  $(C(L), D(L), \phi(L))$ .

THEOREM 3.1. Let L be a Stone algebra. Then

(1) 
$$\mathscr{P}(L) \cong \{(I,J) | I \in \mathscr{P}(C(L)), J \in \mathscr{P}_0(D(L)), a^*\phi(L) \cap J = \emptyset$$
  
or  $a \in I$  for all  $a \in C(L)\}.$ 

*Proof.* Let P be the poset on the right side of (1). For  $K \in \mathscr{P}(L)$  it is easily verified that  $K \cap C(L) \in \mathscr{P}(C(L))$  and  $K \cap D(L) \in \mathscr{P}_0(D(L))$ . If  $d \in a^*\phi(L) \cap K \cap D(L)$  then  $d \ge a^{**} = a$  so  $a \in K$ .

Thus, the map  $h: \mathscr{P}(L) \to P$  given by  $Kh = (K \cap C(L), K \cap D(L))$  is well defined and obviously preserves order. Suppose  $K, K_1 \in \mathscr{P}(L), K \cap C(L) \subseteq K_1 \cap C(L)$  and  $K \cap D(L) \subseteq K_1 \cap D(L)$ . For  $x \in K, x = x^{**}(x + x^*)$  so  $x^{**} \in K$  or  $x + x^* \in K$ . In the first case,  $x^{**} \in K \cap C(L) \subseteq K_1$ so  $x \in K_1$ . Otherwise,  $x + x^* \in K \cap D(L) \subseteq K_1$  so  $x \in K_1$ .

Suppose that  $(I, J) \in P$ . Let  $K = (I \cup J]_L$ . Since  $I \neq \emptyset$ , K is an ideal. If K = L then 1 = a + d for some  $a \in I$ ,  $d \in J \cup \{0\}$ . But  $d \neq 0$  since I is proper so  $d \in J$ . Thus  $d \ge a^*$  implies  $d \in a\phi(L) \cap J$ . Since  $(I, J) \in P$ ,  $a^* \in I$  which leads to the contradiction  $1 = a + a^* \in I$ . To prove that  $K \in \mathscr{P}(L)$ , suppose  $uv \in K$ . Then there exists  $a \in I$ ,  $d \in J \cup \{0\}$  such that  $uv \le a + d$ . If d = 0 then  $u^{**}v^{**} \le a^{**} = a$  so  $u^{**} \in I$  or  $v^{**} \in I$ , in which case  $u \in K$  or  $v \in K$ . On the other hand suppose  $d \in J$ . Then  $uva^* \le d$  so  $(u + d)(v + d)(a^* + d) \le d$ . But  $d \in J$  and  $\{u + d, v + d, a^* + d\} \subseteq D(L)$  so one of the three elements is in J. If  $a^* + d \in J$  then  $a^* + d \in a\phi(L) \cap J$  and hence the contradiction  $a^* \in I$ . Thus  $u + d \in J$  or  $v + d \in J$ . It follows that  $u \in K$  or  $v \in K$ .

Since  $I \subseteq K \cap C(L)$ ,  $J \subseteq K \cap D(L)$  it remains to verify that  $K \cap C(L)$  $\subseteq I$  and  $K \cap D(L) \subseteq J$ . First let  $a \in K \cap C(L)$  so  $a \leq b + d$  where  $b \in I$ ,  $d \in J \cup \{0\}$ . We can assume  $d \neq 0$ . Then  $ab^* \leq d$  so  $d \in (ab^*)^*\phi(L) \cap J$ and hence  $ab^* \in I$ . But I is prime so  $a \in I$ . Finally let  $d \in K \cap D(L)$ ,  $d \leq a + d_1$ , where  $a \in I$ ,  $d_1 \in J \cup \{0\}$ . If  $d_1 = 0$ ,  $1 = a \in I$  so assume  $d_1 \in J$ . Then  $(a^* + d_1)(d + d_1) \leq d_1$  so  $a^* + d_1 \in J$  or  $d + d_1 \in J$ . Now  $a^* + d_1 \in J$ implies  $a^* + d_1 \in a\phi(L) \cap J$  which means  $a^* \in I$ . So we can assume  $d + d_1 \in J$ and hence  $d \in J$ .

It is well known (and can easily be seen from (1)) that the poset of minimal prime ideals of L is isomorphic with  $\mathscr{P}(C(L))$ . Moreover, recalling the definition of I(J) preceding Lemma 2.2, we have:

COROLLARY 3.2. For a Stone algebra L,

 $\mathscr{P}(L) \cong \{ (I, \emptyset) | I \in \mathscr{P}(C(L)) \} \cup \{ (I(J), J) | J \in \mathscr{P}(D(L)) \}.$ 

In particular  $|\mathscr{P}(L)| = |\mathscr{P}(C(L))| + |\mathscr{P}(D(L))|.$ 

*Proof.* Again let P represent the right side of (1). For  $I \in \mathscr{P}(C(L))$  it is obvious that  $(I, \emptyset) \in P$ . Next let  $J \in \mathscr{P}(D(L))$ . By Lemma 2.2,  $I(J) \in \mathscr{P}(C(L))$  and if  $a \notin I(J)$  then  $a^*\phi(L) \cap J = \emptyset$ . Conversely, let  $(I, J) \in P$ . We can assume  $J \neq \emptyset$  so  $J \in \mathscr{P}(D(L))$ . But then  $I(J) \subseteq I$  for if  $a \in I(J)$  then  $a^*\phi(L) \cap J \neq \emptyset$  so  $a \in I$ . By Nachbin's theorem, I(J) = I.

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In showing that the functor in Section 2 is an equivalence, it is necessary to prove that for  $(C, D, \phi) \in \mathscr{H}$ , there exists a Stone algebra L such that  $(C, D, \phi) \cong (C(L), D(L), \phi(L))$ . This was accomplished in Section 4 of [1]. Recently, in [7], T. Katrinák has given a new shorter construction of L (see [3, Problem 55]). Theorem 3.1 also leads to a more direct construction of L by replacing each abstract symbol  $\langle a, d \rangle$ , used in [1], by a set. Specifically we obtain, for the objects of  $\mathscr{H}$ , the analogue of the Stone representation theorem.

Let  $(C, D, \phi) \in \operatorname{Ob} \mathscr{H}$  and set

$$P = \{ (I, J) | I \in \mathscr{P}(C), J \in \mathscr{P}_0(D), \bar{a}\phi \cap J = \emptyset \text{ or } a \in I \text{ for all } a \in C \}.$$

For each  $a \in C$  and  $d \in a\phi$ , let  $\langle a, d \rangle = \{(I, J) \in P | a \notin I, d \notin J\}$  and  $R = \{\langle a, d \rangle | a \in C, d \in a\phi\}$ . It follows immediately from Lemma 2.1 that  $d \in a\phi, e \in b\phi$  implies  $e(d_{p_{\overline{b}}}) + d(e_{p_{\overline{a}}}) \in (a + b)\phi$  and  $(d_{pb})(e_{pa}) \in (ab)\phi$ . We will show that R is a ring of sets by establishing:

- (2)  $\langle a, d \rangle \cup \langle b, e \rangle = \langle a + b, e(d_{\rho_{\overline{b}}}) + d(e_{\rho_{\overline{a}}}) \rangle$ , and
- (3)  $\langle a, d \rangle \cap \langle b, e \rangle = \langle ab, (d_{\rho b})(e_{\rho a}) \rangle.$

For (2), suppose  $(I, J) \in \langle a, d \rangle$ . Then  $a \notin I, d \notin J$ . Now  $e_{\rho_{\overline{a}}} \notin J$  since  $e_{\rho_{\overline{a}}} \in J \cap \overline{a}\phi$  implies  $a \in I$ , so  $d(e_{\rho_{\overline{a}}}) \notin J$  and hence  $(I, J) \in \langle a + b, e(d_{\rho_{\overline{b}}}) + d(e_{\rho_{\overline{a}}}) \rangle$ . Similarly  $\langle b, e \rangle \subseteq \langle a + b, e(d_{\rho_{\overline{b}}}) + d(e_{\rho_{\overline{a}}}) \rangle$ . Conversely, suppose  $a + b \notin I$  and  $e(d_{\rho_{\overline{b}}}) + d(e_{\rho_{\overline{a}}}) \notin J$ . Without loss of generality, assume  $a \notin I$ . First suppose  $b \in I$ . Then  $d_{\rho_{\overline{b}}} \notin J$ . Indeed,  $d_{\rho_{\overline{b}}} \in b\phi \cap J$  implies  $\overline{b} \in I$ , a contradiction. But  $d_{\rho_{\overline{b}}} \geq e(d_{\rho_{\overline{b}}}) + d(e_{\rho_{\overline{a}}})$  so  $d_{\rho_{\overline{b}}} \notin J$ . Since  $d \geq (d_{\rho_{\overline{b}}})(d_{\rho_{\overline{b}}})$  we conclude that  $d \notin J$  and hence  $(I, J) \in \langle a, d \rangle$ . On the other hand suppose  $b \notin I$ . Then  $e + d \geq e(d_{\rho_{\overline{b}}}) + d(e_{\rho_{\overline{a}}})$  implies  $d \notin J$  or  $e \notin J$  so  $(I, J) \in \langle a, d \rangle$  of  $(I, J) \in \langle b, e \rangle$ . (3) is verified in a similar manner.

It is obvious that  $\langle a, 1 \rangle$  and  $\langle 1, d \rangle$  are members of R for all  $a \in C$  and  $d \in D$  and that  $\emptyset = \langle 0, 1 \rangle = 0_R$  and  $P = \langle 1, 1 \rangle = 1_R$ . Moreover R is pseudocomplemented with

(4)  $\langle a, d \rangle^* = \langle \bar{a}, 1 \rangle.$ 

Indeed it is clear that  $\langle a, d \rangle \cap \langle \bar{a}, 1 \rangle = \emptyset$ . Conversely suppose  $\langle a, d \rangle \cap \langle b, e \rangle = \emptyset$  but  $b \leq \bar{a}$ . Then there exists  $(I, \emptyset) \in P$  such that  $(I, \emptyset) \in \langle a, d \rangle \cap \langle b, e \rangle$ , a contradiction, so  $\langle b, e \rangle \subseteq \langle \bar{a}, 1 \rangle$ .

Since  $\langle a, d \rangle^* \cup \langle a, d \rangle^{**} = 1_R$ , *R* is a Stone algebra with  $C(R) = \{ \langle \bar{a}, 1 \rangle | a \in C \}$ and  $D(R) = \{ (1, d) | d \in D \}$ .

To show  $(C, D, \phi) \cong (C(R), D(R), \phi(R))$ , we note that it is easy to verify that the map  $f: C \to C(R)$ , defined by  $af = \langle a, 1 \rangle$  is an isomorphism in  $\mathscr{B}$ . It is clear that the map  $g: D \to D(R)$  defined by  $dg = \langle 1, d \rangle$  preserves order and is onto. Suppose  $d \leq d_1, \{d, d_1\} \subseteq D$ . Then there exists  $J \in \mathscr{P}(D)$  such that  $d_1 \in J, d \notin J$ . But  $(I(J), J) \in P$  and  $(I(J), J) \in \langle 1, d \rangle \sim \langle 1, d_1 \rangle$  so g is an isomorphism in  $\mathscr{D}$ . It remains to verify that for  $a \in C$ ,  $(a\phi)g = (af)\phi(R)$ . First suppose  $\langle 1, d \rangle \in (af)\phi(R)$ . Then  $\langle \bar{a}, 1 \rangle \subseteq \langle 1, d \rangle$  but suppose  $d \notin a\phi$ .

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Then there exists  $J \in P(D)$  such that  $a\phi \cap J = \emptyset$  and  $d \in J$ . Then  $(I(J), J) \in P$  and  $(I(J), J) \in \langle \bar{a}, 1 \rangle$  for if  $\bar{a} \in I(J)$  then  $a \notin I(J)$  implies  $\bar{a}\phi \cap J = \emptyset$ . But  $a\phi \cap J = \emptyset$  and hence the contradiction  $J = \emptyset$ . We conclude that  $(I(J), J) \in \langle 1, d \rangle$ , contradicting  $d \in J$ . For the converse, assume  $d \in a\phi$  and  $(I, J) \in \langle \bar{a}, 1 \rangle$  then  $\bar{a} \notin I$  so  $a\phi \cap J = \emptyset$ . But  $d \in a\phi$  so  $d \notin J$  and hence  $(I, J) \in \langle 1, d \rangle$ .

We close by noting that the above construction is a concrete representation of the Chen-Grätzer construction. This follows from the fact that for  $\langle a, d \rangle$  and  $\langle b, e \rangle \in R$ ,  $\langle a, d \rangle \subseteq \langle b, e \rangle$  if and only if  $a \leq b$  and  $d \leq e_{pa}$  (cf. [1, p. 887]).

**4.** Uniqueness of  $\phi$ . In [1], it is shown that for any  $C \in \mathbf{B}$ ,  $C \neq 1$  and any  $D \in \mathbf{D}_1$  there exists  $\phi : C \to \overline{D}(D)$  such that  $(C, D, \phi) \in \operatorname{Ob} \mathscr{H}$ ; if  $C = \mathbf{1}$ then there exists  $\phi$  such that  $(C, D, \phi) \in \operatorname{Ob} \mathscr{H}$  if and only if  $D = \mathbf{1}$ . Thus, for a given C and D the existence of a  $\phi$  for which  $(C, D, \phi) \in \mathscr{H}$  is completely settled. In this section we will answer the corresponding uniqueness question. There are three trivial cases to handle first: if  $(C, D, \phi) \in \mathscr{H}$  and  $C = \mathbf{1}$  or  $C = \mathbf{2}$  or  $D = \mathbf{1}$  then  $\phi$  is uniquely determined (as well as D in the first case) since  $\phi$  preserve 0, 1. We now proceed to the general case.

THEOREM 4.1. Let  $C \in \mathbf{B}$ ,  $D \in \mathbf{D}_1$  and  $C \neq \mathbf{2}$ ,  $C \neq \mathbf{1}$ ,  $D \neq \mathbf{1}$ . There exists exactly one member (up to isomorphism) of Ob  $\mathscr{K}$  of the form  $(C, D, \phi)$  if and only if

(i)  $C(\bar{D}(D)) = \{0_{\bar{D}(D)}, 1_{\bar{D}(D)}\}, and$ 

(ii) If  $I_1$ ,  $I_2$  are prime ideals in C then there exists a **B**-automorphism f of L such that  $I_1 f = I_2$ .

*Proof.* ( $\Rightarrow$ ) Suppose  $\overline{D}(D)$  contains a complemented element d, other than  $0_{\overline{D}(D)}$  and  $1_{\overline{D}(D)}$ . Since  $C \neq \mathbf{1}$ ,  $\mathbf{2}$ , there exist distinct prime ideals  $I_1$ ,  $I_2$  in C. Then the maps  $\phi_i : C \rightarrow \overline{D}(D)$ , i = 1, 2, defined by

$$c\phi_1 = \begin{cases} 1_{\overline{D}(D)}, & \text{if } c \notin I_1 \cup I_2 \\ \overline{d}, & \text{if } c \in I_2 \sim I_1 \\ d, & \text{if } c \in I_1 \sim I_2 \\ 0_{\overline{D}(D)}, & \text{if } c \in I_1 \cap I_2 \end{cases} \text{ and } c\phi_2 = \begin{cases} 1_{\overline{D}(D)}, & \text{if } c \notin I_2 \\ 0_{\overline{D}(D)}, & \text{if } c \in I_2 \end{cases}$$

are  $\mathbf{D}_{01}$ -homomorphisms. But then  $(C, D, \phi_i)$ , i = 1, 2 are objects in  $\mathscr{K}$  and by hypothesis there is an isomorphism  $(f, g) \in [(C, D, \phi_1), (C, D, \phi_2)]_{\mathscr{K}}$ . Now choose  $b \in I_2 \sim I_1$ . Then  $b\phi_1 = \overline{d}$  so  $(\overline{d})g = (b\phi_1)g = (bf)\phi_2 \in \{0_{\overline{D}(D)}, 1_{\overline{D}(D)}\}$ . Since g is an automorphism of D, the map  $F \to (F)g$  is an automorphism of  $\overline{D}(D)$  and hence  $(\overline{d})g \in \{0_{\overline{D}(D)}, 1_{\overline{D}(D)}\}$ , implies  $\overline{d} \in \{0_{\overline{D}(D)}, 1_{\overline{D}(D)}\}$ .

In order to prove (ii), let  $I_1, I_2$  be prime ideals in C. Define  $\phi_i : C \to \overline{D}(D)$  by

$$c\boldsymbol{\phi}_{i}' = \begin{cases} 1_{\overline{D}(D)}, & \text{if } c \notin I_{i}, \\ 0_{\overline{D}(D)}, & \text{if } c \in I_{i} \end{cases}$$

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for i = 1, 2. Then  $(C, D, \phi_i)$ , i = 1, 2 are objects in  $\mathscr{K}$  so there is an isomorphism  $(f', g') \in [(C, D, \phi), (C, D, \phi')]_{\mathscr{K}}$ . But then  $f' : C \to C$  is the required automorphism.

 $(\Leftarrow)$  Suppose  $(C, D, \phi_1)$ ,  $(C, D, \phi_2) \in Ob \mathscr{H}$ . Set  $I_i = \{c \in C | c\phi_i = 0_{\overline{D}(D)}\}$ . Since  $\phi_i$  is a  $\mathbf{D}_{01}$ -homomorphism, it preserves complemented elements. It follows from (i) that  $C\phi_i \subseteq \{0_{\overline{D}(D)}, 1_{\overline{D}(D)}\}$  and, in particular that  $I_i$  is a prime ideal for i = 1, 2. But then by (ii) there is a **B**-automorphism  $f : C \to C$  such that  $(I_1)f = I_2$ . It can be verified that  $(f, 1_D)$  is an isomorphism in  $\mathscr{H}$  from  $(C, D, \phi_1)$  to  $(C, D, \phi_2)$ .

For any finite Boolean algebra C, condition (ii) holds: we can extend to a **B**-automorphism, any map which permutes the coatoms of C. However, in the infinite case, condition (ii) does not hold in general. For example there exist Boolean algebras with no non-trivial automorphisms (e.g., see [6]). On the other hand, if C is any free Boolean algebra, the condition is satisfied. Indeed if S freely **B**-generates C and  $\{I_1, I_2\} \subseteq \mathscr{P}(C)$  define  $f: S \to C$  by

$$f(s) = \begin{cases} s, & \text{if } s \in (I_1 \cap I_2) \cup (\tilde{I}_1 \cap \tilde{I}_2) \\ \bar{s}, & \text{otherwise.} \end{cases}$$

Then f extends to a homomorphism g such that  $g^2 = 1$ ,  $I_1g = I_2$ .

It is easy to verify that for a distributive lattice D, with 0, 1, condition (i) is equivalent to:  $C(D) = \{0, 1\}$ . We have:

COROLLARY. Let  $C \in \mathbf{B}$ ,  $D \in \mathbf{D}_1$ ,  $2 < |C| < \infty$ ,  $1 < |D| < \infty$ . Then  $(C, D, \phi)$  is uniquely determined by C and D if and only if  $C(D) = \{0, 1\}$ . Thus, the finite Stone algebras which are uniquely determined by their center  $2^n$ ,  $2 \leq n < \infty$  and set of dense elements D, are the algebras of the form  $2^{n-1} \times (1 \oplus D)$ , where the symbol  $\oplus$  denotes ordinal sum and D is a finite distributive lattice with  $C(D) = \{0, 1\}$ .

The "smallest" non-isomorphic Stone algebras with isomorphic centers and dense elements are  $3 \times 3$  and  $(1 \oplus 2^2) \times 2$ .

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