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CURVES WITH CONTINUOUS CURVATURES IN EUCLIDEAN SPACES

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A class of curves of minimal smoothness for which one can build the Frenet frame, Frenet's formulae and osculating planes is described.

1. Smoothness

To construct the classical Frenet frame and Frenet equations for a curve $\gamma \subset E^n$, one needs the curve to be C^n smooth. Indeed, given γ in natural (arc-length) parametrisation r(s) (throughout the paper s denotes the natural parameter of a curve), the Frenet frame $\{e_i(s)\}_1^n$ and the curvature functions $\{k_i(s)\}_1^{n-1}$ satisfy the Frenet equations

(1)
$$\begin{pmatrix}
\dot{e}_1 = k_1 e_2 \\
\dot{e}_2 = -k_1 e_1 + k_2 e_3 \\
\vdots \\
\dot{e}_i = -k_{i-1} e_{i-1} + k_i e_{i+1} \\
\vdots \\
\dot{e}_{n-1} = -k_{n-2} e_{n-2} + k_{n-1} e_n \\
\dot{e}_n = -k_{n-1} e_{n-1}
\end{cases}$$

with $e_1 = \dot{r}$ (see for example, [2, pp.61-63]). Hence $\{e_i(s)\}_1^n$ and $\{k_i(s)\}_1^{n-1}$ can be

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evaluated from r(s) by the formulae:

$$k_{1} = \|\dot{e}_{1}\| \qquad e_{2} = \frac{1}{k_{1}}\dot{e}_{1}$$

$$k_{2} = \|\dot{e}_{2} + k_{1}e_{1}\| \qquad e_{3} = \frac{1}{k_{2}}(\dot{e}_{2} + k_{1}e_{1})$$

$$(2) \qquad k_{3} = \|\dot{e}_{3} + k_{2}e_{2}\| \qquad e_{4} = \frac{1}{k_{3}}(\dot{e}_{3} + k_{2}e_{2})$$

$$\vdots \qquad \vdots$$

$$k_{n-2} = \|\dot{e}_{n-2} + k_{n-3}e_{n-3}\| \qquad e_{n-1} = \frac{1}{k_{n-2}}(\dot{e}_{n-2} + k_{n-3}e_{n-3})$$

$$k_{n-1} = \|\dot{e}_{n-1} + k_{n-2}e_{n-2}\| \qquad e_{n} = \frac{1}{k_{n-1}}(\dot{e}_{n-1} + k_{n-2}e_{n-2}).$$

Naturally, we assume that the curve is essentially *n*-dimensional, that is, all the curvatures are positive. Therefore, the radius vector r(s) has to be differentiated *n* times and it seems reasonable to require the curve to be C^n smooth. In such a case we have

(3)
$$k_1 \in C^{n-2}, \dots, k_i \in C^{n-i-1}, \dots, k_{n-1} \in C^0, \\ e_1 \in C^{n-1}, \dots, e_i \in C^{n-i}, \dots, e_{n-1} \in C^1, e_n \in C^0,$$

but in fact $e_n \in C^1$, if we notice that e_n can be evaluated as the direction of the orthogonal complement of span $\{e_1, \ldots, e_{n-1}\}$ which is C^1 .

On the other hand, given n-1 positive continuous functions $\{k_i(s)\}_1^{n-1}$, one can recover a curve (unique up to motions) in E^n with the curvatures $k_i(s)$ and the natural parameter s. It can be done by employment of the theorem of existence and uniqueness of the solution of a system of linear ordinary differential equations to the Frenet system (1). Since the functions $\{k_i\}_1^{n-1}$ are continuous, given an arbitrary initial orthonormal frame $\{e_i(0)\}_1^n$ one can recover the moving orthonormal frame $\{e_i(s)\}_1^n$ and it is C^1 smooth. The final radius vector of the curve $r(s) = \int_0^s e_1(t) dt + r(0)$ is C^2 smooth and is defined up to a choice of the initial point r(0) and the initial orthonormal frame $\{e_i(0)\}_1^n$. Thus,

(4)
$$\{k_i(s)\}_1^{n-1} \in C^0, \{e_i(s)\}_1^n \in C^1, r(s) \in C^2.$$

Comparing (3) and (4) it is easy to see that if k_i are only continuous, the curve is only C^2 smooth and not higher. In fact, for a curve satisfying (1) we have

$$r \in C^3 \iff k_1 \in C^1, \quad k_2, \ldots, k_{n-1} \in C^0.$$

Indeed, $\dot{r} = e_1$, $\ddot{r} = \dot{e}_1 = k_1 e_2$, $\ddot{r} = \dot{k}_1 e_2 + k_1 \dot{e}_2$. Similarly,

$$r \in C^4 \iff k_1 \in C^2, \quad k_2 \in C^1, \quad k_3, \dots, k_{n-1} \in C^0;$$

$$\vdots$$

$$r \in C^n \iff k_1 \in C^{n-2}, \quad k_2 \in C^{n-3}, \dots, k_{n-1} \in C^0 \text{--as in (3)}$$

Therefore, given continuous curvatures we can recover only a C^2 smooth curve. But given a C^2 curve we can obtain neither the set of curvatures, nor the Frenet frame: we can only reach e_1 , e_2 and k_1 . Thereby the following natural question arises: to describe the exact class of curves for which the Frenet theory is satisfied (that is, the class of curves with continuous curvatures). At the moment we can only conclude that the standard analytic property of smoothness of radius vector is not flexible enough. A curve must be C^2 , need not be C^3 but nevertheless has to satisfy some other conditions of goodness—evidently more geometric than smoothness of the radius vector.

This "paradox in differentiability" was noted by Hartman and Wintner in [1] for curves in E^3 . They tried to clear up the situation in [1, pp.770-773] and later in [3]. In the first work the theorem of existence and uniqueness of the curve $\gamma \in E^3$ with prescribed continuous curvature k_1 and continuous torsion k_2 was stated (Theorem VI) but the actual class of curves was not enunciated. The second work, on the contrary, begins with the definition of the class. However, this definition is 3-dimensional in principle and is directed rather at the treatment of rectilinear sections of a curve: a curve $r(s) \in E^3$ is called a *Frenet curve* if $r(s) \in C^2$ (and consequently $e_1 = \dot{r} \in C^1$, $k_1 = ||\dot{e}_1|| = ||\ddot{r}|| \in C^0$ are well-defined but e_2 is not since k_1 is allowed to be vanishing) and there exists a unit vector $e_3(s)$ such that $e_3 \perp e_1$, $e_3 \in C^1$, \dot{e}_3 is linearly dependant on the cross product $e_3 \times e_1$.

In our opinion the following approach is more promising. Considering the Frenet system (1) we can observe that the object subjected to differentiation is not the radius vector r(s) itself but only the vectors of the Frenet frame $\{e_i\}_1^n$. For instance, r(s) can be only C^2 smooth, hence $e_1 \in C^1$, $k_1 \in C^0$. But it can occur that the nondifferentiability of $\dot{e}_1 = k_1 \cdot e_2$ is inherent in the length of the vector rather than in its direction. That is, the function k_1 is only continuous while the vector $e_2 \in C^1$.

Therefore, the first thing we should take care of is the differentiability of the direction rather than the length. Denoting the direction of a vector a by dir (a) = a/||a||,

the set of proper analytic conditions for r is going to be

(5)
$$\begin{cases} e_{1} = \dot{r} \in C^{1} \iff r \in C^{2} \\ e_{2} = \operatorname{dir}(\dot{e}_{1}) \in C^{1} \\ e_{3} = \operatorname{dir}(\dot{e}_{2} + k_{1}e_{1}) \in C^{1} \\ \vdots \\ e_{i} = \operatorname{dir}(\dot{e}_{i-1} + k_{i-2}e_{i-2}) \in C^{1} \\ \vdots \\ e_{n-1} = \operatorname{dir}(\dot{e}_{n-2} + k_{n-3}e_{n-3}) \in C^{1} \end{cases}$$

and $e_n \in C^1$ because $e_n = (\text{span}\{e_1, \ldots, e_{n-1}\})^{\perp}$. These analytic conditions are weaker than $r \in C^n$. They still look not very aesthetic but we can endow them with quite distinct geometric sense by introducing the following definition.

DEFINITION 1: For a curve r(s) and the point $r(s_0)$; the osculating 2-plane π_2 is the plane given by $r(s_0) + \text{span} \{e_1, e_2\}$; the osculating 3-plane π_3 is the plane given by $r(s_0) + \text{span} \{e_1, e_2, e_3\}$; et cetera the osculating (n-1)-plane π_{n-1} is the plane given by $r(s_0) + \text{span} \{e_1, \ldots, e_{n-1}\}$. For the sake of completeness let us proclaim the tangent line $r(s_0) + \text{span} \{e_1\}$ to be the osculating 1-plane. Hence we have the nested osculating planes $\pi_1 \subset \pi_2 \subset \cdots \subset \pi_{n-1} \subset E^n$ (the flag).

Below (Part 2) we shall demonstrate the consistency of Definition 1 with the traditional one and for now we are going to complete a geometric interpretation of the system (5). The definitions of vectors e_i in (5) look nicer than in (2), but we would still like to understand the origin of the strange items like k_1e_1 in the sum $\dot{e}_2 + k_1e_1$. It turns out that they are assigned to remove the projection of \dot{e}_2 onto the osculating plane π_2 and leave only the projection onto $(\pi_2)^{\perp}$. In fact, the Frenet equation

$$\dot{e}_i = -k_{i-1}e_{i-1} + k_ie_{i+1}$$

is just the decomposition of \dot{e}_i in the sum of the orthogonal projections on π_i and $(\pi_i)^{\perp}$. Thus, for example,

$$e_3 = \operatorname{dir}(\operatorname{proj}_{(\pi_2)^{\perp}} \dot{e}_2).$$

Moreover, we can replace here the vector e_2 by an arbitrary vector from $\pi_2(s)$: the derivative of any such a vector (rather, a vector field $a(s) = \alpha^1(s)e_1(s) + \alpha^2(s)e_2(s)$) has the projection on $(\pi_2)^{\perp}$ which is collinear to e_3 . In other words, e_3 gives the direction of infinitesimal change (rotation) of the osculating plane π_2 ; e_4 is the direction of

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infinitesimal displacement of the osculating plane π_3 , et cetera. And if by $\dot{\pi}_i$ is meant the set of derivatives of all possible vector fields from π_i , the conditions (5) become

(6)
$$\begin{cases} e_{2} = \operatorname{dir}(\operatorname{proj}_{(\pi_{1})^{\perp}}(\dot{\pi}_{1})) \\ e_{3} = \operatorname{dir}(\operatorname{proj}_{(\pi_{2})^{\perp}}(\dot{\pi}_{2})) \\ \vdots \\ e_{n-1} = \operatorname{dir}(\operatorname{proj}_{(\pi_{n-2})^{\perp}}(\dot{\pi}_{n-2})) . \end{cases}$$

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Now a geometric interpretation of the conditions (5) can be presented:

 $e_1(s) \in C^1 \iff \exists!$ tangent (osculating 1-plane) and it is C^1 smooth; $e_2(s) \in C^1 \iff \exists!$ osculating 2-plane and it is C^1 smooth; $e_3(s) \in C^1 \iff \exists!$ osculating 3-plane and it is C^1 smooth; (7) $e_{n-1}(s) \in C^1 \iff \exists!$ osculating (n-1)-plane and it is C^1 smooth.

REMARK. Further relaxation of smoothness conditions, say to $e_i \in C^{0,1}$ (the Lipschitz condition of order 1) instead of $e_i \in C^1$ appears impossible since in this case the direction of the vector e_{i+1} is not well-defined.

Finally we can state two equivalent theorems: an analytic Theorem 1 and a geometric Theorem 2.

THEOREM 1. A curve $\gamma \subset E^n$ possesses continuous curvatures if and only if its radius vector r(s) satisfies the system (5) with the set $\{e_i\}_{i=1}^{n}$ defined by (2).

THEOREM 2. A curve $\gamma \subset E^n$ possesses continuous curvatures if and only if at every point the flag of osculating planes $\pi_1 \subset \pi_2 \subset \cdots \subset \pi_{n-1} \subset E^n$ exists and is unique and this flag is C^1 smooth.

Therefore, the class of curves, defined by the conditions (2) + (5) seems quite rich in content and deserves the name of the class of Frenet curves.

Note, such a definition is quite consistent with the definition by Wintner [3] cited earlier. Moreover, in our terminology that long definition becomes perfectly transparent: a curve is called a *Frenet curve* if it possesses a C^1 smooth Frenet frame $\{e_i\}_{i=1}^{3}$ Therefore, Wintner's definition is different from ours only by dropping the condition of uniqueness of the frame (rather, there is freedom for e_2 or e_3 , while the tangent vector e_1 is well defined)—that should be expected when we want to consider the curves with rectilinear sections.

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2. OSCULATING PLANES

Traditionally, the osculating k-plane of a curve r(s) at the point $r(s_0)$ is defined as the plane through $r(s_0)$ spanned by $r'(s_0), \ldots, r^{(k)}(s_0)$. We have dropped the condition of multiple differentiability of r(s) but still can define what is required. And even in two ways.

DEFINITION 2: The osculating k-plane of r(s) at $r(s_0)$ is the k-plane π_k which is closest to the curve r(s) among all k-planes passing through $r(s_0)$. The closeness between a curve and a plane is measured in terms of the order of osculation—the order of smallness of the distance from r(s) to the plane: dist $(r(s_0 + \Delta s), \pi_k)$. For the osculating k-plane the order of osculation is k + 1, that is, dist $(r, \pi_k) \sim (\Delta s)^{k+1}$.

DEFINITION 3: The osculating k-plane of r(s) at $r(s_0)$ is the limit position of k-planes passing through k+1 distinct points $r(s_1), \ldots, r(s_{k+1})$ as $s_i \longrightarrow s_0$.

We restrict ourselves to the demonstration of equivalence of Definition 1 and Definition 2 for curves from the class. However, one can show the equivalence of them to Definition 3 (see [2, pp.32-34]).

THEOREM 3. For a curve $r(s) \subset E^n$ possessing continuous curvatures, the plane π_k : $r(s_0) + \operatorname{span}\{e_1(s_0), \ldots, e_k(s_0)\}$ (with $\{e_i\}$ given by (2)) is the closest to the curve at $r(s_0)$ among all k-planes through $r(s_0)$. The order of osculation of π_k and r(s) at $r(s_0)$ is k+1 and for any other k-plane the order is smaller.

PROOF: Without loss of generallity we assume $s_0 = 0$, r(0) = 0. Let us decompose the curve r(s) by the basis $\{e_i(0)\}_{i=1}^{n}$:

(8)
$$r(s) = \alpha^i(s)e_i(0).$$

We intend to show

(9)
$$\begin{cases} \alpha^{1} = s + o(s) \\ \alpha^{2} = \frac{1}{2}k_{1}(0)s^{2} + o(s^{2}) \\ \alpha^{3} = \frac{1}{6}k_{1}(0)k_{2}(0)s^{3} + o(s^{3}) \\ \vdots \\ \alpha^{j} = \frac{1}{j!}k_{1}(0) \cdot \ldots \cdot k_{j-1}(0)s^{j} + o(s^{j}) \\ \vdots \\ \alpha^{n} = \frac{1}{n!}k_{1}(0) \cdot \ldots \cdot k_{n-1}(0)s^{n} + o(s^{n}) \end{cases}$$

It is not difficult to see that this system implies the conclusion of Theorem 3.

If r(s) were C^n the system (9) could be rewritten as follows

$$\begin{cases} (\alpha^{j})^{(i)}(0) = \left\langle r^{(i)}(0), e_{j}(0) \right\rangle = 0 \quad (i < j) \\ (\alpha^{j})^{(j)}(0) = \left\langle r^{(j)}(0), e_{j}(0) \right\rangle = k_{1}(0) \cdot \ldots \cdot k_{j-1}(0) \end{cases}$$

where j runs through the values 1 to n. The same condition but with fixed i instead of j has the form

$$\begin{cases} \left\langle r^{(i)}(0), e_j(0) \right\rangle = 0 \quad (j > i) \\ \left\langle r^{(i)}(0), e_i(0) \right\rangle = k_1(0) \cdot \ldots \cdot k_{i-1}(0) \end{cases}$$

where i runs 1 to n. The last system, as can be easily seen, is implied by

$$r^{(i)} = (e_1)^{(i-1)} = (k_1 e_2)^{(i-2)} = (A_1 e_1 + A_2 e_2 + k_1 k_2 e_3)^{(i-3)}$$

= $B_1 e_1 + B_2 e_2 + \dots + B_{i-1} e_{i-1} + k_1 k_2 \dots k_{i-1} e_i$

(here A_i , B_i are some functions depending on k_j and their derivatives).

Since r(s) is not C^n we have to change somehow the techniques of proof of the formulae (9). From $r \in C^2$ we can still extract

$$\begin{aligned} r(0) &= 0 & \iff & \alpha^i(0) = 0 \\ \dot{r}(0) &= e_1(0) & \iff & \dot{\alpha}^i(0) = \delta_1^i \\ \ddot{r}(0) &= k_1(0)e_2(0) & \iff & \ddot{\alpha}^i(0) = k_1(0)\delta_2^i , \end{aligned}$$

which gives

(10)
$$\begin{cases} \alpha^{1} = s + o(s^{2}) \\ \alpha^{2} = \frac{1}{2}k_{1}(0)s^{2} + o(s^{2}) \\ \alpha^{i} = o(s^{2}) \quad \text{for } i > 2 \end{cases}$$

The next condition $e_2(s) \in C^1$ allows us to evaluate the coefficients of s^3 for the system (9). We intend to show that

(11)
$$\begin{cases} \alpha^3 = \frac{1}{6}k_1(0)k_2(0)s^3 + o(s^3) \\ \alpha^i = o(s^3) \quad \text{for } i > 3 . \end{cases}$$

Indeed, from $e_2(s) \stackrel{(2)}{=} \ddot{r}(s)/k_1(s) \in C^1$ we have

$$-k_{1}(0)e_{1}(0) + k_{2}(0)e_{3}(0) \stackrel{(1)}{=} \dot{e}_{2}(0) \stackrel{\text{def}}{=} \lim_{s \to 0} \frac{1}{s} \left(\frac{\ddot{r}(s)}{k_{1}(s)} - \frac{\ddot{r}(0)}{k_{1}(0)} \right)$$
$$\stackrel{(8)}{=} \lim_{s \to 0} \frac{1}{s} \left(\frac{\ddot{\alpha}^{i}(s)}{k_{1}(s)}e_{i}(0) - e_{2}(0) \right) = e_{i}(0) \lim_{s \to 0} \frac{1}{s} \left(\frac{\ddot{\alpha}^{i}(s)}{k_{1}(s)} - \delta_{i}^{2} \right).$$

The coefficients of $e_3(0)$ give

$$k_2(0) = \lim_{s \to 0} \frac{\ddot{\alpha}^3(s)}{k_1(s) \cdot s} = \frac{1}{k_1(0)} \lim_{s \to 0} \frac{\ddot{\alpha}^3(s)}{s}.$$

Using (10) we have the first equation of (11). In the same way by considering coefficients of $e_i(0)$, i > 3 we have the rest of the system (11). Combining (10) and (11) one has

(12)
$$\begin{cases} \alpha^{1} = s + o(s^{2}) \\ \alpha^{2} = \frac{1}{2}k_{1}(0)s^{2} + o(s^{2}) \\ \alpha^{3} = \frac{1}{6}k_{1}(0)k_{2}(0)s^{3} + o(s^{3}) \\ \alpha^{i} = o(s^{3}) \quad \text{for } i > 3 . \end{cases}$$

In the next step we shall use the condition $e_3(s) \in C^1$ in order to have

(13)
$$\begin{cases} \alpha^4 = \frac{1}{4!} k_1(0) k_2(0) k_3(0) s^4 + o(s^4) \\ \alpha^i = o(s^4) \quad \text{for } i > 4 \; . \end{cases}$$

So, $e_3(s) \stackrel{(2)}{=} (1/k_2) ((\ddot{r}/k_1)' + k_1 \dot{r}) \in C^1$. Evaluating the derivative of e_3 at 0 gives

$$-k_{2}(0)e_{2}(0) + k_{3}(0)e_{4}(0) \stackrel{(1)}{=} \dot{e}_{3}(0)$$

$$\stackrel{\text{def}}{=} \lim_{s \to 0} \frac{1}{s} \left[\frac{1}{k_{2}(s)} \left(\left(\frac{\ddot{r}}{k_{1}} \right)' + k_{1}\dot{r} \right)(s) - \frac{1}{k_{2}(0)} \left(\left(\frac{\ddot{r}}{k_{1}} \right)' + k_{1}\dot{r} \right)(0) \right]$$

$$\stackrel{(8)}{=} \lim_{s \to 0} \frac{1}{s} \left[\frac{1}{k_{2}(s)} \left(\left(\frac{\ddot{\alpha}^{i}}{k_{1}} \right)' + k_{1}\dot{\alpha}^{i} \right)(s) - \frac{1}{k_{2}(0)} \left(\left(\frac{\ddot{\alpha}^{i}}{k_{1}} \right)' + k_{1}\dot{\alpha}^{i} \right)(0) \right] e_{i}(0).$$

The coefficients of $e_4(0)$:

$$k_{3}(0) = \lim_{s \to 0} \frac{1}{s} \left[\frac{1}{k_{2}(s)} \left(\left(\frac{\ddot{\alpha}^{4}}{k_{1}} \right)' + k_{1} \dot{\alpha}^{4} \right)(s) - \frac{1}{k_{2}(0)} \left(\left(\frac{\ddot{\alpha}^{4}}{k_{1}} \right)' + k_{1} \dot{\alpha}^{4} \right)(0) \right] \right]$$

$$= \frac{1}{k_{2}(0)} \lim_{s \to 0} \frac{1}{s} \left(\frac{\ddot{\alpha}^{4}}{k_{1}} \right)'(s) + \frac{k_{1}(0)}{k_{2}(0)} \lim_{s \to 0} \frac{\dot{\alpha}^{4}(s)}{s} - \frac{1}{k_{2}(0)} \lim_{s \to 0} \frac{1}{s} \left(\frac{\ddot{\alpha}^{4}}{k_{1}} \right)'(0) - \frac{k_{1}(0)}{k_{2}(0)} \lim_{s \to 0} \frac{\dot{\alpha}^{4}(0)}{s}.$$

Using $\alpha^4(s) = o(s^3)$ it is clearly seen that the second and the fourth limits vanish. The third limit vanishes too:

$$\lim_{s \to 0} \frac{1}{s} \left(\frac{\ddot{\alpha}^4}{k_1}\right)'(0) \stackrel{\text{def}}{=} \lim_{s \to 0} \frac{1}{s} \lim_{t \to 0} \frac{1}{t} \left[\frac{\ddot{\alpha}^4(t)}{k_1(t)} - \frac{\ddot{\alpha}^4(0)}{k_1(0)}\right] \stackrel{(12)}{=} \frac{1}{k_1(0)} \lim_{s \to 0} \frac{1}{s} \left[\lim_{t \to 0} \frac{\ddot{\alpha}^4(t)}{t}\right] \stackrel{(12)}{=} \frac{1}{k_1(0)} \lim_{s \to 0} \left(\frac{1}{s} \cdot 0\right) = 0.$$

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It remains to consider the first limit. As $\alpha^4(s) = o(s^3)$ let $\alpha^4(s) = (1/4!)Cs^4 + o(s^4)$. Then the first limit is

$$\begin{aligned} k_3(0) &= \frac{1}{k_2(0)} \lim_{s \to 0} \frac{1}{s} \lim_{t \to 0} \frac{1}{t} \left[\frac{\ddot{\alpha}^4(s+t)}{k_1(s+t)} - \frac{\ddot{\alpha}^4(s)}{k_1(s)} \right] \\ &= \frac{1}{k_1(0)k_2(0)} \lim_{s \to 0} \frac{1}{s} \lim_{t \to 0} \frac{1}{t} \frac{C}{2} \left[(s+t)^2 + o((s+t)^2) - s^2 - o(s^2) \right] \\ &= \frac{C}{k_1(0)k_2(0)}. \end{aligned}$$

So, $C = k_1(0)k_2(0)k_3(0)$ as was claimed in (13). The same reasoning for the coefficients of e_i (i > 4) gives the rest of the system (13).

Similarly, the condition $e_4(s) \in C^1$ provides the coefficients of s^5 in (9), et cetera.

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