

THE NUMBER OF TREES WITH LARGE DIAMETER

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Abstract

In the paper we study the asymptotic behaviour of the number of trees with n vertices and diameter $k = k(n)$, where $k/\sqrt{n} \rightarrow \infty$ as $n \rightarrow \infty$ but $k = o(n)$.

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1. Introduction

The *diameter* of a connected graph G is the largest distance between its vertices, where the distance between two vertices is defined as the number of edges in the shortest path connecting them. Let $t(n, k)$ denote the number of labelled trees with n vertices and diameter equal to k . The asymptotic value of $t(n, k)$ for k which is near \sqrt{n} was established by Szekeres [3] by a delicate analysis of the generating function. The purpose of this work is to present a simple combinatorial argument by which one can extrapolate Szekeres' result to all values of k such that $k/\sqrt{n} \rightarrow \infty$ but $k/n \rightarrow 0$ as $n \rightarrow \infty$.

2. The number of trees with large height—a crude upper bound

In this section we study the behaviour of $h(n, k)$, the number of labelled rooted trees on n having height k , where by the *height* we mean the maximum distance from a fixed vertex v_0 , called the *root*, to any other vertex of a graph. (Here and below we shall always assume that v_0 is the lexicographically first vertex.)

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Our starting point is the following result of Rényi and Szekeres [2], which determines the limit value of $h(n, k)$ when k is of order \sqrt{n} .

THEOREM 1. *Let n, k be natural numbers and $\beta = 2n/k^2$. Then*

$$(1) \quad p_n(k) = \frac{h(n, k)}{n^{n-2}} = (2 + o(1))\sqrt{\frac{2\pi}{n}}\beta^2 \sum_{i=1}^{\infty} (2i^4\pi^4\beta - 3i^2\pi^2) \exp(-\beta\pi^2i^2),$$

uniformly for every $0 < c \leq |\beta| \leq C$ and any positive constants c and C .

In particular, for n large enough and for every $1 \leq k \leq n - 1$, we have $p_n(k) < 100/\sqrt{n}$.

Let us note that, since c in Theorem 1 could be chosen arbitrarily small, there exists a function $\gamma(n)$ which tends to infinity as $n \rightarrow \infty$ such that (1) holds uniformly for every $1 \leq |1/\beta| \leq \gamma(n)$. Throughout the paper we shall always assume that this function $\gamma(n)$ is non-decreasing, $\gamma(1) > 10^{10}$ and, for n large enough, $\gamma(n) < \log \log \log n$.

The formula for $p_n(k)$, given in (1), can be transformed (for example, using Poisson's formula) to the form

$$2\sqrt{\frac{2\pi}{n}} \sum_{i=1}^{\infty} \left(\frac{2i^4}{\sqrt{\pi}\beta^{3/2}} - \frac{3i^2}{\sqrt{\pi}\beta} \right) \exp\left(-\frac{i^2}{\beta}\right) = \sum_{i=1}^{\infty} \left(\frac{2i^4k^3}{n^2} - \frac{6i^2k}{n} \right) \exp\left(-\frac{i^2k^2}{2n}\right).$$

Thus, for every function $\gamma'(n) \leq \gamma(n)$ such that $\gamma'(n) \rightarrow \infty$ as $n \rightarrow \infty$, uniformly for every $k = k(n)$ such that $\gamma'(n) \leq k^2/n \leq \gamma(n)$ we have,

$$(2) \quad p_n(k) = (1 + o(1))\frac{2k^3}{n^2} \exp\left(-\frac{k^2}{2n}\right).$$

It turns out that the left hand side of (2), slightly adjusted, can easily be shown to be an upper bound for $p_n(k)$, for all k of the order larger than \sqrt{n} .

LEMMA 1. *Let*

$$f(n) = \max_{k \geq \sqrt{n \log \log \gamma(n)}} \left\{ p_n(k) / \frac{2k^3}{n^2} \exp\left(-\frac{k^2}{2n} + \frac{k^3}{3n^2}\right) \right\}.$$

Then

$$\limsup_{n \rightarrow \infty} f(n) \leq 1.$$

PROOF. Note first that

$$(3) \quad \begin{aligned} h(n, k) &\leq \binom{n-1}{k} k! k(n-1)^{n-k-2} = (n-1)_k k(n-1)^{n-k-2} \\ &\leq n^{n-2} k \exp\left(-\frac{k^2}{2n} - \frac{k^3}{6n^2}\right), \end{aligned}$$

so, for $k \geq n^{0.67}$,

$$p_n(k) / \frac{2k^3}{n^2} \exp\left(-\frac{k^2}{2n} + \frac{k^3}{3n^2}\right) \leq \frac{n^2}{k^4} \exp\left(-\frac{k^3}{2n^2}\right) \leq 0.5.$$

(Here and below we claim that all inequalities are valid only for n large enough.)

Suppose that the assertion of Lemma 1 does not hold. Then, for some constant $\epsilon > 0$, there exist an absolute constant C and a function $z(n)$ such that $z(n) > 1 + \epsilon$ and for every n_0 , one can find $n \geq n_0$ such that

$$(4) \quad p_n(k) \geq z(n) \frac{2k^3}{n^2} \exp\left(-\frac{k^2}{2n} + \frac{k^3}{3n^2}\right)$$

for some $\sqrt{n \log \log \gamma(n)} \leq k \leq n^{0.67}$, whereas for every $m \leq n$ we have

$$f(m) \leq Cf(n) \leq 2Cz(n).$$

We shall show that (4) leads to a contradiction.

Let us define an (n, k, l) -structure as a triple (T', P, T'') , where T' is a rooted tree of $|T'| \leq n - l$ vertices, $P = v_0v_1 \dots v_{k-l}$ is a path of length l contained in T' which starts at the root, and T'' is a rooted tree with $n - |T'|$ vertices with height equal to $l - 1$. Suppose that a rooted tree T has height k and path $P' = v_0v_1v_2 \dots v_k$ joining the root of T to the highest leaf of T . (If there are many such leaves, take as v_k the lexicographically first one.) Then one may obtain from T an (n, k, l) -structure by setting $P = v_0v_1 \dots v_{k-l}$, and picking as T' and T'' trees obtained from T by deleting edge $v_{k-l}v_{k-l+1}$, where vertex v_{k-l+1} serves as the root of T' . Thus, the number $a(n, k, l)$ of (n, k, l) -structures is a rather natural upper bound for $h(n, k)$. In fact, we shall prove later that for suitably chosen l , $h(n, k) = (1 + o(1))a(n, k, l)$.

Clearly, for $a(n, k, l)$, we have

$$\begin{aligned} \frac{a(n, k, l)}{n^{n-2}} &= \sum_{m=l}^{n-1-k+l} \binom{n-1-k+l}{m} (m+1)^{m-1} p_m(l) (k-l) \frac{(n-m-1)^{n-m-k+l-2}}{n^{n-2}} \\ &\quad \times \binom{n-1}{k-l} (k-l)! \\ &= \sum_m \frac{n!}{n^{n-1}} \frac{(m+1)^m}{(m+1)!} \frac{(n-m-1)^{n-m-k+l-2}}{(n-1-k+l-m)!} (k-l) p_m(l). \end{aligned}$$

Hence, using Stirling's formula, we get

$$\frac{a(n, k, l)}{n^{n-2}} = \frac{n^{n+1/2}}{n^{n-1}} \sum_m \frac{(m+1)^{m-1}}{(m+1)!} \frac{(n-m-1)^{n-m-k+l-2}}{(n-m-1-k+l)^{n-m-k+l-1/2}}$$

$$\begin{aligned}
 & \times (k-l)p_m(l) \exp\left(-k+l+O\left(\frac{1}{m}+\frac{1}{n-m-k+l}\right)\right) \\
 & = \frac{1}{\sqrt{2\pi}} \sum_m \frac{k-l}{m^{3/2}} \left(1+\frac{k-l}{n-m-1-k+l}\right)^{n-m-1-k+l} \\
 (5) \quad & \times p_m(l) \exp\left(-k+l+O\left(\frac{1}{m}+\frac{1}{n-m-k+l}+\frac{m}{n}\right)\right),
 \end{aligned}$$

where all constants hidden in $O(\cdot)$ can be bounded from above uniformly for all m .

If $\sqrt{n \log \log \gamma(n)} \leq k \leq n^{0.67}$ then

$$\left(1+\frac{k-l}{n-m-1-k+l}\right)^{n-m-1-k+l} \leq \exp\left(k-l-\frac{(k-l)^2}{2(n-m)}+\frac{k^3}{3n^2}\right),$$

so, from (4),

$$\begin{aligned}
 \frac{a(n, k, l)}{n^{n-2}} & \leq \left\{ \sum_{m=l}^{n-1-k+l} \frac{k}{m^{3/2}} \exp\left(\frac{(k-l)^2}{2(n-m)}+O\left(\frac{1}{m}+\frac{1}{n-m-k+l}+\frac{m}{n}\right)\right) p_m(l) \right\} \\
 & \times \frac{1}{\sqrt{2\pi}} \exp\left(\frac{k^3}{3n^2}\right).
 \end{aligned}$$

We shall estimate the above expression for $l = (n/2k) \log \gamma(n)$. Let us consider first the case when $m \leq m_-$, where $m_- = n^2/(20k^2) \log \gamma(n) < l^2/\log \gamma(m)$. Then, due to our assumption,

$$p_m(l) \leq f(m) \frac{2l^3}{m^2} \exp\left(-\frac{l^2}{2m}+\frac{l^3}{3m^2}\right) \leq 2Cz(n) \frac{2l^3}{m^2} \exp\left(-\frac{l^2}{2m}+\frac{l^3}{3m^2}\right)$$

and, for n large enough,

$$\begin{aligned}
 & \frac{1}{\sqrt{2\pi}} \exp\left(\frac{k^3}{3n^2}\right) \sum_{m=l}^{m_-} \frac{k}{m^{3/2}} \exp\left(-\frac{(k-l)^2}{2(n-m)}+O\left(\frac{1}{m}+\frac{1}{n-m-k+l}+\frac{m}{n}\right)\right) p_m(l) \\
 & \leq 2Cz(n) \exp\left(\frac{k^3}{3n^2}\right) \sum_{m=l}^{m_-} \frac{kl^3}{m^{7/2}} \exp\left(-\frac{l^2}{2m}+\frac{(k-l)^2}{2(n-m)}+\frac{l^3}{3m^2}\right) \\
 & \leq 2Cz(n) \exp\left(-\frac{k^2}{2n}+\frac{k^3}{3n^2}\right) \sum_{m=l}^m \frac{kl^3}{m^{7/2}} \exp\left(-\frac{l^2}{2m}+\frac{kl}{n-m}+\frac{l^3}{3m^2}\right) \\
 & \leq 2Cz(n) \exp\left(-\frac{k^2}{2n}+\frac{k^3}{3n^2}\right) \sum_{m=l}^{l^2/\log \gamma(n)} \frac{kl^3}{m^{7/2}} \exp\left(-\frac{l^2}{10m}\right) \\
 & \leq 2Cz(n) \frac{k(\log \gamma(n))^2}{l^2} \exp\left(-\frac{k^2}{2n}+\frac{k^3}{3n^2}-\log \gamma(n)/10\right) \\
 & \leq \frac{2Cz(n) k^3}{\log \gamma(n) n^2} \exp\left(-\frac{k^2}{2n}+\frac{k^3}{3n^2}\right).
 \end{aligned}$$

Now set $m_+ = (4n^2/k^2) \log \gamma(n)$ and consider the case when $m_- \leq m \leq m_+$. For such m we have $0.1 \log \gamma(m) \leq l^2/2m \leq \log \gamma(m)$ so we can approximate $p_m(l)$ using (2). Thus

$$(6) \quad \frac{1}{\sqrt{2\pi}} \exp\left(\frac{k^3}{3n^2}\right) \sum_{m=m_-}^{m_+} \frac{k}{m^{3/2}} \exp\left(\frac{(k-l)^2}{2(n-m)} + O\left(\frac{1}{m} + \frac{m}{n}\right)\right) p_m(l) \\ = \frac{1+o(1)}{\sqrt{2\pi}} \exp\left(\frac{k^3}{3n^2}\right) \sum_{m=m_-}^{m_+} \frac{2kl^3}{m^{7/2}} \exp\left(-\frac{l^2}{2m} - \frac{(k-l)^2}{2(n-m)}\right).$$

The function $g(x) = a^2/x + b^2/(c-x)$ attains the maximum for $x = ac/(a+b)$. Set $m_0 = ln/k$ and $\Delta m = m - m_0$. Then (6) becomes

$$\frac{2+o(1)}{\sqrt{2\pi}} \exp\left(-\frac{k^2}{2n} + \frac{k^3}{3n^2}\right) \sum_{m=m_- - m_0}^{m_+ - m_0} \frac{kl^3}{(m_0 + \Delta m)^{7/2}} \exp\left(-\frac{(\Delta m)^2}{2} \frac{l^2}{m_0^3}\right) \\ = (2+o(1)) \frac{k^3}{n^2} \exp\left(-\frac{k^2}{2n} + \frac{k^3}{3n^2}\right).$$

Finally, note that if $m \geq m_+$ then

$$\frac{(k-l)^2}{2(n-m)} \geq \frac{k^2}{2n} - \frac{kl}{n-m} + \frac{m(k-l)^2}{n^2} \geq \frac{k^2}{2n}.$$

Thus, since from Theorem 1 $\max_l \{p_m(l)\} \leq O(1/\sqrt{m})$, we arrive at

$$\frac{1}{\sqrt{2\pi}} \exp\left(\frac{k^3}{3n^2}\right) \sum_{m=m_+}^{n-k+l-1} \frac{k}{m^{3/2}} \exp\left(\frac{(k-l)^2}{2(n-m)} + O\left(\frac{1}{m} + \frac{1}{n-m-k+l} + \frac{m}{n}\right)\right) p_m(l) \\ \leq O(k) \exp\left(-\frac{k^2}{2n} + \frac{k^3}{3n^2}\right) \sum_{m=m_+}^{n-k+l-1} \frac{1}{m^2} \\ \leq \frac{O(k)}{m_+} \exp\left(-\frac{k^2}{2n} + \frac{k^3}{3n^2}\right) \leq \frac{O(k^3)}{n^2 \log \gamma(n)} \exp\left(-\frac{k^2}{2n} + \frac{k^3}{3n^2}\right).$$

Hence

$$p_n(k) \leq \frac{a(n, k, (n/2k) \log \gamma(n))}{n^{n-2}} \\ \leq \left(\frac{Cz(n) + O(1)}{\log \gamma(n)} + 1 + o(1)\right) \left(\frac{2k^3}{n^2} \exp\left(-\frac{k^2}{2n} + \frac{k^3}{3n^2}\right)\right)$$

contradicting (4).

3. The number of trees with large height—the asymptotic behaviour

In this part of the paper, using the upper bound for $p_n(k)$ provided by Lemma 1, we repeat the argument from the previous section to get the limit value for $h(n, k)$ when $k^2/n \rightarrow \infty$ but $k = o(n)$. However, in order to do it we should know that, for suitably chosen l , $a(n, k, l) = (1 + o(1))h(n, k)$.

Let $F(n, k)$ denote a forest chosen uniformly from all forests with the vertex set $\{1, 2, \dots, n\}$ and $n - k$ edges, such that vertices $1, 2, \dots, k$ belong to different trees. Moreover define $H(n, k)$ as the result of adding edges $\{1, 2\}, \{2, 3\}, \dots, \{k - 1, k\}$ to $F(n, k)$. Now, in order to show that $a(n, k, l) = (1 + o(1))h(n, k)$ it is enough to prove that *almost surely* (that is, with probability tending to 1 as $n \rightarrow \infty$) the graph $H(n, k)$ contains no paths starting at vertex 1 longer than $k + l - 2$.

LEMMA 2. *Let $k^2/n \rightarrow \infty$, $k = o(n)$ and $\omega(n)$ be any function which tends to infinity with n . Then almost surely each path contained in $H(n, k)$ which starts at vertex 1 is shorter than $k + \omega(n)n/k$.*

PROOF. Let T_i , for $i = 1, 2, \dots, k$, denote the tree of $F(n, k)$ which contains vertex i . We shall show first that almost surely every T_i contains less than $\hat{m}(i) = (k - i + \sqrt{\omega(n)n/k})^2$ vertices. Indeed, since it is well known that almost surely the maximum size of a tree in the random forest $F(n, k)$ is less than $(4n^2/k^2) \log n$ (see Pavlov [1]), the size of T_i is less than $\hat{m}(i)$ for every $i \leq k - 3(n/k) \log n$. On the other hand, for the expected number of trees T_i such that $i > i_0 = k - 3(n/k) \log n$, and with T_i having more than $\hat{m}(i)$ vertices, we have

$$\begin{aligned}
 & \sum_{i > i_0} \sum_{m > \hat{m}(i)} \binom{n - k}{m} (m + 1)^{m-1} \frac{(k - 1)(n - m - 1)^{n-m-k-1}}{kn^{n-k-1}} \\
 & \leq \sum_{i > i_0} \sum_{m > \hat{m}(i)} \frac{1}{m^{3/2}} \frac{(n - k)^{n-k+1/2} (n - m)^{n-m-k-1}}{(n - k - m)^{n-k-m+1/2} n^{n-k-1}} \\
 (7) \quad & \leq \sum_{i < k - i_0} \sum_{m > m(k-i)} \frac{1}{m^{3/2}} \exp\left(-\frac{k^2 m}{3n^2}\right) \\
 & \leq \sum_{i=1}^{3(n/k) \log n} \frac{12}{i + \sqrt{\omega(n)n/k}} \exp\left(-\frac{k^2(i + \sqrt{\omega(n)n/k})^2}{3n^2}\right) \\
 & \leq 40 \exp(-\omega(n)/3) \rightarrow 0.
 \end{aligned}$$

Let X be the random variable which counts all trees T_i with less than $\hat{m}(i)$ vertices and with height at least $\hat{h}(i) = k - i + \omega(n)n/k$. Since $\hat{h}^2(i)/\hat{m}(i) \rightarrow 0$, the probability that the height of T_i is larger than $\hat{h}(i)$ provided that it has $m \leq \hat{m}(i)$

vertices is, due to Lemma 1, bounded from above by

$$(1+o(1)) \sum_{k \geq \hat{h}(i)} \frac{2k^3}{m^2} \exp\left(-\frac{k^2}{2m} + \frac{k^3}{3m^2}\right) \leq (1+o(1)) \frac{4\hat{h}^2(i)}{m} \exp\left(-\frac{\hat{h}^2(i)}{2m} + \frac{\hat{h}^3(i)}{3m^2}\right).$$

Thus, calculations similar to that from (7) lead to the following formula for the expectation of X

$$\begin{aligned} EX &\leq \sum_{i=1}^k \sum_{m \leq \hat{h}(i)} \frac{4\hat{h}^2(i)}{m^{5/2}} \exp\left(-\frac{k^2 m}{3n^2} - \frac{\hat{h}^2(i)}{2m} + \frac{\hat{h}^3(i)}{3m^2}\right) \\ &\leq \sum_{i=1}^k \sum_{m \leq \hat{h}(i)} \frac{4\hat{h}^2(i)}{m^{5/2}} \exp\left(-\frac{k^2 m}{6n^2} - \frac{\hat{h}^2(i)}{6m}\right) \\ &\leq 40 \sum_{i=1}^k \sqrt{\frac{k^3 \hat{h}(i)}{n^2}} \exp\left(-\frac{k^2 \hat{h}^2(i)}{6n^2}\right) \\ &\leq \omega(n) \exp(-\omega(n)) \rightarrow 0. \end{aligned}$$

Thus, almost surely $H(n, k)$ contains no trees T_i with height at least $\hat{h}(i) = k - i + \omega(n)n/k$ and the assertion follows.

THEOREM 2. *Let $k = k(n)$ be a function of n such that $k/\sqrt{n} \rightarrow \infty$ as $n \rightarrow \infty$ but $k = o(n)$. Then*

$$(8) \quad h(n, k) = (1 + o(1)) \frac{2n!k^3 n^{n-k-4}}{(n - k)!}.$$

PROOF. Since, for $k \leq \sqrt{n\gamma(n)}$, (8) follows from (2) and Stirling’s formula it is enough to prove Theorem 2 for $k \geq \sqrt{n\gamma(n)}$. Due to Lemma 2, $h(n, k) = (1+o(1))a(n, k, l)$ whenever $lk/n \rightarrow \infty$ as $n \rightarrow \infty$. Let us set $l = (n/k) \log \gamma(n/k)$. Then (5) becomes

$$\begin{aligned} \frac{a(n, k, l)}{n^{n-k-2}} \frac{(n - k)!}{n!} &= \frac{1}{\sqrt{2\pi}} \sum_m \frac{k - l}{m^{3/2}} \left(1 + \frac{k - l}{n - m - 1 - k + l}\right)^{n-m-1-k+l} \\ (9) \quad &\times \frac{n^k (n - k)!}{n!} p_m(l) \exp\left(-k + l + O\left(\frac{1}{m} + \frac{1}{n - m - k + l} + \frac{m}{n}\right)\right). \end{aligned}$$

Set $m_- = (n^2/50k^2) \log \gamma(n/k)$ and $m_+ = (n^2/k^2) \log \gamma(n/k) \log \log \gamma(n/k)$. As in the proof of Lemma 2 we shall split the sum in (9) into three parts and estimate each of them separately.

Note first that, by elementary calculations,

$$\begin{aligned} & \left(1 + \frac{k-l}{n-m-1-k+l}\right)^{n-m-1-k+l} \\ &= (1+o(1)) \left(1 + \frac{k-l}{n-k+l-1}\right)^{n-1-k+l} \exp\left(\frac{m(k-l)^2}{n^2} + O\left(\frac{mk^3}{n^3} + \frac{m^2k^2}{n^3}\right)\right). \end{aligned}$$

Thus, since $k^2/n \geq \gamma(n)$, for $m \leq m_-$ we get

$$(10) \quad \left(1 + \frac{k-l}{n-m-1-k+l}\right)^{n-m-1-k+l} = (1+o(1)) \left(1 + \frac{k-l}{n-k+l-1}\right)^{n-1-k+l} \exp\left(\frac{mk^2}{2n^2}\right).$$

Moreover,

$$\begin{aligned} (11) \quad (1+o(1)) \left(1 + \frac{k-l}{n-k+l-1}\right)^{n-1-k+l} &= (1+o(1)) \left(1 + \frac{k}{n-k}\right)^{n-k} \exp\left(-l + \frac{kl}{n}\right) \\ &= (1+o(1)) \frac{n!}{(n-k)!} \frac{1}{n^k} \exp\left(k-l + \frac{kl}{n}\right). \end{aligned}$$

Hence, for $m \leq m_-$, using Lemma 1 we get

$$\begin{aligned} & \frac{1}{\sqrt{2\pi}} \frac{n^k(n-k)!}{n!} \sum_{m \leq m_-} \frac{k}{m^{3/2}} P_m(l) \left(1 + \frac{k-l}{n-m-1-k+l}\right)^{n-m-1-k+l} \\ & \quad \times \exp\left(-k+l + O\left(\frac{1}{m} + \frac{m}{n}\right)\right) \\ (12) \quad & \leq (1+o(1)) \sum_{m \leq m_-} \frac{kl^3}{m^{7/2}} \exp\left(\frac{kl}{n} + \frac{mk^2}{2n^2} + \frac{l^3}{3m^2} - \frac{l^2}{2m} + O\left(\frac{1}{m} + \frac{m}{n}\right)\right). \end{aligned}$$

But for $m \leq m_-$ we have

$$\frac{kl}{n} + \frac{mk^2}{2n^2} + \frac{l^3}{3m^2} - \frac{l^2}{2m} < -\frac{l^2}{20m},$$

so the left hand side of (12) can be bounded from above by

$$(13) \quad (1+o(1)) \sum_{m \leq m_-} \frac{kl^3}{m^{7/2}} \exp\left(-\frac{l^2}{20m}\right) \leq \frac{50kl}{m_-^{3/2}} \exp\left(-\frac{l^2}{20m_-}\right) \leq \frac{k^3}{n^2 \log \gamma(n/k)}.$$

Similarly as in the proof of Lemma 1, using (2), we get

$$\begin{aligned} & \frac{1}{\sqrt{2\pi}} \frac{n^k(n-k)!}{n!} \sum_{m=m_-}^{m_+} \frac{k}{m^{3/2}} p_m(l) \left(1 + \frac{k-l}{n-m-1-k+l}\right)^{n-m-1-k+l} \\ & \qquad \qquad \qquad \times \exp\left(-k+l + O\left(\frac{1}{m} + \frac{m}{n}\right)\right) \\ & \leq \frac{1+o(1)}{\sqrt{2\pi}} \sum_{m=m_-}^{m_+} \frac{2kl^3}{m^{7/2}} \exp\left(\frac{kl}{n} + \frac{mk^2}{2n^2} - \frac{l^2}{2m}\right). \end{aligned}$$

and setting $m_0 = ln/k$, $\Delta m = m - m_0$ leads to

$$\begin{aligned} & \frac{1+o(1)}{\sqrt{2\pi}} \sum_{m=m_-}^{m_+} \frac{2kl^3}{m^{7/2}} \exp\left(\frac{kl}{n} + \frac{mk^2}{2n^2} - \frac{l^2}{2m}\right) \\ & = \frac{1+o(1)}{\sqrt{2\pi}} \sum_{\Delta m=m_- - m_0}^{m_+ - m_0} \frac{2kl^3}{(m_0 + \Delta m)^{7/2}} \exp\left(-\frac{(\Delta m)^2}{2} \frac{l^2}{m_0^3}\right) \\ (14) \quad & = \frac{1+o(1)}{\sqrt{2\pi}} \frac{2kl^2}{m_0^2} \int_{-\infty}^{\infty} e^{-x^2/2} dx = (1+o(1))2k^3/n^2. \end{aligned}$$

In order to deal with large values of m note that for every $x \in (0, 1/2)$ and $y \in (0, 1)$

$$(1 + x/(1 - y))^{1-y} \leq (1 + x) \exp(-0.1x^2y^2).$$

Thus, for $m \geq m_+$, we have

$$\begin{aligned} \left(1 + \frac{k-l}{n-m-1-k+l}\right)^{n-m-1-k+l} & \leq \left(1 + \frac{k-l}{n-k+l-1}\right)^{n-1-k+l} \exp\left(-\frac{m^2(k-l)^2}{10n^2}\right) \\ & \leq \left(1 + \frac{k-l}{n-k+l-1}\right)^{n-1-k+l} \exp\left(-\frac{kl}{n}\right). \end{aligned}$$

and (11) together with the fact that $p_m(l) \leq 100/\sqrt{m}$ implies that

$$\begin{aligned} & \frac{1+o(1)}{\sqrt{2\pi}} \sum_{m \geq m_+} \frac{k}{m^{3/2}} \left(1 + \frac{k-l}{n-m-1-k+l}\right)^{n-m-1-k+l} \\ & \quad \times \frac{n^k(n-k)!}{n!} p_m(l) \exp\left(-k+l + O\left(\frac{1}{m} + \frac{1}{n-m-k+l} + \frac{m}{n}\right)\right) \\ (15) \quad & \leq 50 \sum_{m \geq m_+} \frac{k}{m^2} \leq \frac{50k}{m_+} \leq \frac{50}{\log \log \gamma(n/k)} \frac{k^3}{n^2}. \end{aligned}$$

Thus, the assertion follows from (9), (13), (14) and (15).

As a simple consequence of Theorem 2 we get a new upper bound for $h(n, k)$, which, for large k , is much better than the one given in Lemma 1.

COROLLARY 1. *There exists an absolute constant A such that for every n and every $k \geq \sqrt{n}$*

$$(16) \quad h(n, k) \leq An!k^3n^{n-k-4}/(n - k)!.$$

PROOF. Let us suppose that the assertion does not hold. Then we may find a sequence $\{n_i\}_{i=1}^\infty$ and a function $k(n)$ such that $k(n) \geq \sqrt{n}$ and

$$(17) \quad \lim_{i \rightarrow \infty} \frac{h(n_i, k(n_i))(n_i - k)!}{(n_i)!k^3n_i^{n_i-k-4}} = \infty.$$

Due to Theorems 1 and preftm:3.1 the function $k(n)$ could be chosen in such a way that $n/k(n) \leq C$ for some constant C . However, in such a case, from the trivial upper bound given in (3) we get

$$\frac{h(n_i, k(n_i))(n_i - k)!}{(n_i)!k^3n_i^{n_i-k-4}} \leq \frac{n_i^2}{k^2(n_i)} \leq C^2$$

contradicting (17).

REMARK. After some more work it can be shown that if $k(n)/n \rightarrow a$, where $0 < a \leq 1$, then for some constant $\alpha(a) > 0$

$$(18) \quad h(n, k) = (1 + o(1))\alpha(a)n!k^3n^{n-k-4}/(n - k)!.$$

Theorem 2 states that $\alpha(a) \rightarrow 2$ as $a \rightarrow 0$ and one could easily check that $\alpha(a) \rightarrow 1$ as $a \rightarrow 1$. However, to determine the exact value of $\alpha(a)$ for $0 < a < 1$ one probably needs more sophisticated tools than the elementary combinatorial approach presented in this paper.

4. Trees with large diameter

The asymptotic behaviour of the number $t(n, k)$ of trees with n vertices and diameter k was considered by Szekeres in [3], who found the limiting value of $t(n, k)$ for $k \sim \sqrt{n}$.

THEOREM 3. *Let n, k be natural numbers and $\bar{\beta} = n/(2k^2)$. Then*

$$(19) \quad \frac{t(n, k)}{n^{n-2}} = \frac{1 + o(1)}{3} \sqrt{\frac{2\pi}{n}} \sum_{i=1}^\infty \left[4\pi^8 i^8 \bar{\beta}^6 - 36\pi^6 i^6 \bar{\beta}^5 + 75\pi^4 i^4 \bar{\beta}^4 - 30\pi^2 i^2 \bar{\beta}^3 + 4\pi^6 i^6 \bar{\beta}^4 - 10\pi^4 i^4 \bar{\beta}^2 \right] \exp(-\bar{\beta}\pi^2 i^2),$$

uniformly for every $0 < c < |\bar{\beta}| \leq C$ and any positive constants c and C .

The main result of this section is stated in the following theorem.

THEOREM 4. *Let $k = k(n)$ be a function of n such that $k = o(n)$ but $k/\sqrt{n} \rightarrow \infty$ as $n \rightarrow \infty$. Then*

$$(20) \quad t(n, k) = (1 + o(1)) \frac{2n!k^5n^{n-k-5}}{(n - k)!}.$$

REMARK. Note that $t(n, k) = (2 + o(1))(k/n)^5 \exp(-k^2/2n + O(k^3/n^2))$. Thus, if we transform (19) using Poisson’s formula, in the resulting sum the polynomial coefficient of $\exp(-1/\beta)$ disappears.

PROOF. Let us consider first the case when k is odd. Each tree with diameter $k = 2r + 1$ could be, in a natural way, decomposed into two rooted trees, each having height r , so

$$t(n, 2r + 1) = \frac{1}{2} \sum_{m=h+1}^{n-h+1} \binom{n}{m} mh(m, r)(n - m)h(n - m, r),$$

where the factor $1/2$ appears since we count each tree twice. If m is contained between $n/2$ and $3n/4$ then we could use Theorem 2 to estimate $h(m, r)$ and $h(n - m, r)$, so, using Stirling’s formula, we get

$$\begin{aligned} & \frac{1}{2} \sum_{m=n/2}^{3n/4} \binom{n}{m} mh(m, r)(n - m)h(n - m, r) \\ &= \frac{1 + o(1)}{2} \sum_m \frac{n!}{m!(n - m)!} \frac{2r^3m!m^{m-r-3}}{(m - r)!} \frac{2r^3(n - m)!(n - m)^{n-m-r-3}}{(n - m - r)!} \\ &= \frac{1 + o(1)}{\sqrt{2\pi}} \frac{2r^6n!n^{n-2r+1/2}}{(n - 2r)!} \sum_m \frac{1}{m^{7/2}(n - m)^{7/2}} \frac{(n - 2r)^{n-2r}m^{m-r}(n - m)^{n-m-r}}{n^{n-2r}(m - r)^{m-r}(n - m - r)^{n-m-r}}. \end{aligned}$$

Set $m = n/2 + \Delta m$. Then

$$\begin{aligned} & \sum_{m=n/2}^{3n/4} \frac{1}{m^{7/2}(n - m)^{7/2}} \frac{(n - 2r)^{n-2r}m^{m-r}(n - m)^{n-m-r}}{n^{n-2r}(m - r)^{m-r}(n - m - r)^{n-m-r}} \\ &= \sum_{\Delta m=-n/2}^{n/2} \frac{2^7}{(n^2 - 4(\Delta m)^2)^{7/2}} \frac{(n - 2r)^{n-2r}(n + 2\Delta m)^{n/2+\Delta m-r}(n - 2\Delta m)^{n/2-\Delta m-r}}{n^{n-2r}(n + 2\Delta m - r)^{n/2+\Delta m-r}(n - 2\Delta m - r)^{n/2-\Delta m-r}} \\ &= \sum_{\Delta m=-n/2}^{n/2} \frac{2^7}{(n^2 - 4(\Delta m)^2)^{7/2}} \left(1 - \frac{16r(n - r)(\Delta m)^2}{(n - 2r)^2(n^2 - 4(\Delta m)^2)}\right)^{r-n/2} \\ & \qquad \qquad \qquad \left(1 - \frac{8r\Delta m}{(n - 2r + 2\Delta m)(n - 2\Delta m)}\right)^{\Delta m} \end{aligned}$$

$$\begin{aligned}
 &= \sum_{\Delta m = -n/2}^{n/2} \frac{2^7}{(n^2 - 4(\Delta m)^2)^{7/2}} \exp\left(-\frac{8r^2(\Delta m)^2}{n^3} + O\left(\frac{r(\Delta m)^2}{n^{5/2}} + \frac{r^2(\Delta m)^3}{n^4}\right)\right) \\
 &= (1 + o(1)) \frac{2^5 \sqrt{2\pi}}{r n^{11/2}}.
 \end{aligned}$$

Hence

$$\begin{aligned}
 \frac{1}{2} \sum_{m=n/2}^{3n/4} \binom{n}{m} m h(m, r) (n - m) h(n - m, r) &= (1 + o(1)) \frac{2^6 n! r^5 n^{n-2r-5}}{(n - 2r)!} \\
 &= (1 + o(1)) \frac{2n! (2r + 1)^5 n^{n-(2r+1)-5}}{(n - (2r + 1))!}.
 \end{aligned}$$

Moreover, one can use (16) and repeat the above calculations to show that the sum of all terms for which either $m \leq n/2$ or $m \geq 3n/4$ is $o(n! r^5 n^{n-(2r+1)-5} / (n - 2r - 1)!)$. Thus, (20) holds for odd k .

Now let $k = 2r$. Then, similarly as in the odd case, each tree T having diameter $k = 2r$ can be viewed as two rooted trees T' and T'' whose roots are joined by an edge, where the heights of T' and T'' equals r and $r - 1$ respectively. Furthermore, for each tree T , such a decomposition could be done in at least two ways. Hence, since $h(n, k) = (1 + o(1))h(n, k + 1)$, as an upper bound for $t(n, 2r)$ we get

$$\begin{aligned}
 \frac{1}{2} \sum_{m=1}^r \binom{n}{m} m h(m, r - 1) (n - m) h(n - m, r) \\
 &= \frac{1 + o(1)}{2} \sum_{m=1}^r \binom{n}{m} m h(m, r) (n - m) h(n - m, r) \\
 (21) \qquad &= (1 + o(1))t(n, 2r + 1).
 \end{aligned}$$

However, the number of decomposition of a tree T with even diameter into T' and T'' can be larger than 2. Indeed, it might happen that deleting from T the common midpoint w of all paths of length $2r$ results in a forest of rooted trees, among which more than two have height $r - 1$. (By the root of a tree in such a forest we mean the vertex previously joined to w .) Thus, in order to show that the number of trees for which this happens is a negligible fraction of the number of all trees with diameter k , it is enough to check that a ‘typical’ tree T'' having height r contains no two edge-disjoint paths of length r which start at the root.

Note first the following simple fact.

FACT 1. *Let $F(n, k)$ be a forest chosen at random from all forests with vertex set $\{1, 2, \dots, n\}$, which consists of k components each of them containing precisely one from vertices $\{1, 2, \dots, k\}$ and $\omega(n) \rightarrow \infty$ as $n \rightarrow \infty$. Then the probability that*

the component of $F(n, k)$ containing 1 has more than $n\omega(n)/k$ vertices tends to 0 as $n \rightarrow \infty$.

PROOF. By symmetry, the expected size of each component of $F(n, k)$ is n/k . Thus the assertion follows from Markov’s inequality.

From the proof of Theorem 2 it follows that to build a rooted tree T with n vertices and height k one should set l slightly larger than n/k , choose $k - l$ vertices, build a path $P = v_0v_1v_2 \dots v_{k-l}$ starting at the root, choose roughly m other vertices, build on these vertices a rooted tree having height $k - l - 1$, join the root of this tree to the last vertex v_{k-l} of P and finally, on the remaining $n - m$ vertices, build a forest F such that each of its components contains precisely one of vertices $v_0, v_1, v_2, \dots, v_{k-l}$. Thus, by the fact above, if T is chosen at random from all rooted trees with n vertices and height k then the component of F containing root v_0 almost surely has size less than $\sqrt{n} \leq k$, provided $k/\sqrt{n} \rightarrow \infty$ as $n \rightarrow \infty$. Hence, the number of rooted trees of n vertices which have height r and contain two or more paths of length r starting at the root is negligible when compared with the number of all rooted trees of size n and height r , provided $r/\sqrt{n} \rightarrow 0$ as $n \rightarrow \infty$. Consequently, (21) gives the correct value of $t(n, 2r)$ and the assertion follows.

REMARK. Similarly as in the case of the height of rooted trees one can also prove that

$$t(n, k) \leq A'n!k^5n^{n-k-5}/(n - k)!,$$

for every $k \geq \sqrt{n}$ and some absolute constant A' .

Moreover, if $k(n)/n \rightarrow a$, where $a < 0 \leq 1$, then

$$t(n, k) = (1 + o(1)) \frac{\alpha^2(a)n!k^5n^{n-k-5}}{2(n - k)!},$$

where the constant $\alpha(a)$ is defined by (18)).

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