

1 **Risk of congenital malformations associated with first-trimester exposure to antipsychotics: a**
2 **propensity score-weighted population-based cohort study**

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20 **Abstract**

21

22 **Background:** There is growing concern regarding reproductive-safety of antipsychotics, especially
23 teratogenic effect. Previous research assessing association between antipsychotics and congenital-
24 malformations yielded mixed results and were all derived from Western-countries. We aimed to examine
25 risk of major and organ/system-specific congenital-malformations associated with prenatal antipsychotic
26 exposure in predominantly-Chinese population in Hong-Kong.

27 **Methods:** This population-based study identified women aged 15-50 years who delivered their
28 first/singleton child between 2003–2018, using data from medical-record database of Hong-Kong public-
29 healthcare services. Propensity-score-(PS)-weighted logistic-regression analyses were performed to
30 examine risk of congenital-malformations following first-trimester exposure to antipsychotic classes
31 (second- and first-generation antipsychotic; SGA and FGA) and six most frequently-prescribed
32 individual-antipsychotics.

33 **Results:** Of 465,069 women, 419 and 420 redeemed ≥ 1 prescription of SGA and FGA during first-
34 trimester, respectively. Prevalence of any major malformations was 4.9% (95%CI:4.9%–5.0%) in
35 unexposed-infants, 9.1% (6.7%–12.3%) in SGA-exposed infants, and 6.2% (4.3%–9.0%) in FGA-
36 exposed infants. Exposure to SGA (adjusted-odds-ratio: 2.11 [95%CI:1.19–3.86]) was associated with
37 increased risk of major malformations. This finding was consistent with sensitivity-analyses addressing
38 exposure-misclassification and confounding by treatment-indication, but not with PS-matched
39 sensitivity-analysis. Elevated risk of major malformations was also observed in infants exposed to high-
40 dose olanzapine (7.50 [1.65–36.13]) and high-dose quetiapine (15.03 [4.86–56.72]), but with wide-CIs.
41 Organ/system-specific malformations were not associated with SGA, FGA or individual-antipsychotics.

42 **Conclusion:** We observed a small increased risk of major malformations associated with SGA, but was
43 not consistently-affirmed in sensitivity-analyses, precluding firm conclusions. Research with large
44 sample size clarifying comparative safety of individual antipsychotics on specific malformations is
45 warranted.

46

47 **Keywords:** Antipsychotic; second-generation antipsychotics; congenital malformations; teratogenicity;
48 pregnancy

49

50 **Introduction**

51

52 Antipsychotics are the mainstay treatment for schizophrenia-spectrum disorders, and have been
53 increasingly used as a mood-stabilizer for bipolar disorder [1] as well as off-label medications for other
54 psychiatric conditions such as treatment-resistant depression, obsessive-compulsive disorder, and
55 insomnia [2,3]. Owing to the raised fertility rates among women with schizophrenia-spectrum disorders
56 over time [4], and the increased off-label use of antipsychotics, especially second-generation
57 antipsychotics (SGAs), there has been a substantial rise in antipsychotic prescriptions among pregnant
58 women in recent decade [5]. Research on the reproductive safety of antipsychotics is therefore of clinical
59 significance to facilitate evidence-based prescribing decisions by balancing the risk and benefit of
60 medication use during pregnancy. Literature has consistently shown an elevated risk of gestational
61 diabetes in women with prenatal use of SGAs [6]. Accumulating, albeit limited, data have also suggested
62 transient neurodevelopmental delay following intrauterine antipsychotic exposure [7].

63

64 There has been a growing number of studies evaluating teratogenic effects of antipsychotics. An earlier
65 meta-analytic review, based on the unadjusted estimates of seven studies published before 2013, reported
66 a two-fold increased rate of congenital malformations in infants exposed in-utero to antipsychotics
67 relative to those unexposed [8]. Another prior meta-analysis also demonstrated that first-trimester
68 exposure to SGA was associated with a significantly elevated risk for major malformations (with reported
69 summary odds ratio of 2.03) [9]. In contrast, a recent meta-analysis pooling results of six observational
70 studies on prenatal use of any antipsychotic and three studies on SGA use during pregnancy revealed
71 lack of significant associations between antipsychotics/SGAs and congenital malformations [10]. Many
72 [11-15,] but not all [16-18], more recent studies also found that prenatal antipsychotic exposure did not

73 meaningfully increase the risk of major congenital malformations. Of note, findings of these past studies
74 are hampered by several important limitations, including small sample size, [12,13,15] no adjustment for
75 potential confounding effect of maternal physical and psychiatric morbidities [11,12,14,18], a short
76 observational period (i.e., 3–6 months after birth) for ascertaining malformation outcomes [13,-15,19],
77 livebirths only [17,19], and evaluation of antipsychotics as a single medication category or two broadly-
78 defined groups of SGAs and first-generation antipsychotics (FGAs) without exploring potential
79 differential teratogenic effects of individual antipsychotic agents [11,18]. Until now, no study has been
80 conducted in non-western countries in this respect. Yet, inter-ethnic variations in drug metabolic enzyme
81 activities [20], as well as substantial cross-regional differences in healthcare systems and psychotropic
82 prescribing practices limit the generalizability of the existing findings to other populations and countries.

83

84 Alternatively, limited research has been conducted to evaluate the risk of organ/system-specific
85 congenital malformations following in-utero exposure to antipsychotics. These data, however, may
86 provide critical information to unveil the mechanisms underlying potential teratogenic effects of
87 antipsychotics. A prior investigation from the United States suggested the associations of quetiapine and
88 risperidone with increased likelihood of cardiac malformations in the unadjusted analyses, which became
89 non-significant when potential confounders were considered [19]. Two recent studies demonstrated that
90 the odds of musculoskeletal defects [16] and oral cleft [17] were significantly higher in infants exposed
91 to olanzapine during early pregnancy than in unexposed infants. Given the paucity of evidence and
92 discrepant findings, comparative safety of antipsychotics on organ-specific malformations remains to be
93 clarified.

94

95 In this population-based cohort study, we aimed to examine the association between antipsychotic use
96 in early pregnancy and risk of congenital malformations, utilizing territory-wide electronic health-record
97 database of public healthcare services in Hong Kong (HK), a metropolitan city located at the southeastern
98 tip of China, with total population of over 7.5 million. Specifically, we quantify the relative risk of major
99 and organ-specific congenital malformations among infants exposed in-utero to SGA, FGA, and the six
100 most commonly-prescribed individual antipsychotic agents compared with unexposed infants. We also
101 performed exploratory analyses on dose-response relationship by assessing the associations of dose
102 levels (i.e., high, low, and unexposed) of the six individual antipsychotics with the risk of major
103 congenital malformations. A comprehensive array of potential confounders, especially maternal physical
104 and psychiatric conditions and concurrent psychotropic use (other than antipsychotics), was taken into
105 consideration, and the propensity-score weighting approach was adopted to optimize covariate
106 adjustment. A series of sensitivity analyses were also performed to address confounding by treatment
107 indication and exposure misclassification.

108

109 **Methods**

110

111 *Study design and data source*

112 This was a population-based cohort study investigating the association between prenatal antipsychotic
113 use and the risk of congenital malformations. We obtained the study data from the Clinical Data Analysis
114 and Reporting System (CDARS) [21], a territory-wide electronic health-record (EHR) database
115 developed by the Hospital Authority (HA) which is a statutory body delivering government-subsidized,
116 universal health coverage to all HK residents (approximately 92% being Chinese) by managing all public
117 hospitals, specialist and general outpatient clinics in HK. CDARS has been described in detail elsewhere

118 [22]. Briefly, CDARS is an integrated, longitudinal patient electronic record system capturing clinical
119 data across all healthcare settings of HA facilities. These clinical data are entered into the computerized
120 clinical-management system by treating clinicians and other healthcare professionals, and are then
121 transferred to CDARS for audit and research purposes. The database contains patients' demographics,
122 clinical information including diagnoses, attendances to outpatient clinics and emergency departments,
123 hospital admissions, as well as prescribing and dispensing records. CDARS generates unique,
124 anonymized patient identifiers to protect privacy and to link all medical records. This database has
125 previously been used to generate high-quality population-based studies on severe mental disorders
126 [23,24] and pharmaco-epidemiological investigations on psychotropic medications [25,26].

127

128 *Study population*

129 We identified all pregnant women aged 15–50 years who gave a singleton livebirth or stillbirth (≥ 20
130 weeks of gestation) in public hospitals in HK between January 1, 2003 and December 31, 2018. If a
131 woman had more than one pregnancy during the study period, the first pregnancy fulfilling eligibility
132 criteria was included for analysis. Pregnancies with gestational age < 20 weeks, chromosomal
133 abnormalities, fetal alcohol syndrome, abnormalities due to maternal infection or exposure to known
134 teratogens (Supplementary Table S1) were excluded. The study was approved by the Institutional Review
135 Board of the University of Hong Kong/Hospital Authority Hong Kong West Cluster. The study data were
136 anonymized and individual participants' records were completely unidentifiable during the analysis.
137 Since our study was based on health-record data, the requirement for informed consent was waived.

138

139 *Antipsychotic exposure in pregnancy*

140 We evaluated the risk of congenital malformations in infants of women with exposure to antipsychotics
141 during the first trimester of pregnancy, which is defined as the first 90 days after the last menstrual period
142 (LMP) and is an etiologically relevant period for organogenesis. As the gestational age of pregnancy was
143 estimated and directly recorded by healthcare professionals based on ultrasound examination conducted
144 at the first obstetric visit (gestational age data were directly accessed from CDARS), LMP was calculated
145 by subtracting gestational age from date of delivery.

146

147 Intrauterine exposure to antipsychotics was analysed on the basis of two drug classes, namely second-
148 generation antipsychotics (SGAs) and first-generation antipsychotics (FGAs), as well as six most
149 frequently-prescribed individual antipsychotic agents, including three SGAs of olanzapine, quetiapine,
150 risperidone, and three FGAs of chlorpromazine, haloperidol, and trifluoperazine. Regarding the analyses
151 on antipsychotic drug class, exposure to antipsychotic was defined as filling at least one prescription of
152 any antipsychotics within the specified class. For the analyses on individual antipsychotic agents,
153 exposure to antipsychotic was defined as filling at least one prescription of the specified antipsychotic.
154 Since the current study aimed to assess the risk of congenital malformations associated with specific drug
155 classes and individual agents, women with first-trimester exposure to both SGA and FGA were excluded
156 from the drug-class analyses, while those exposed to more than one individual antipsychotic were
157 excluded from individual-agent analyses. Infants of pregnant women who were not prescribed with any
158 antipsychotic within the 90 days before LMP and during the first trimester served as the unexposed
159 control group for comparison in all analyses.

160

161 *Study outcomes*

162 The presence of any major congenital malformations in infants within the first year after birth represented
163 the primary outcome of the study. Major malformations were determined according to the EUROCAT
164 classification of congenital malformations version 1.4, and were defined as all structural abnormalities
165 with surgical, clinical, or cosmetic importance [27]. Organ/system-specific congenital malformations
166 were included and reported as secondary outcomes if these malformations were present in antipsychotic-
167 exposed infants, hence comprising cardiac, nervous-system, respiratory-system, urinary and limb
168 malformations. Diagnoses of congenital malformations were ascertained using the International
169 Classification of Diseases, 9th Clinical Modification (ICD-9-CM) codes. Details of diagnostic codes for
170 the outcome ascertainment were listed in Supplementary Table S1.

171

172 *Covariates*

173 Taking into account the availability of clinical information that could be adequately captured in the
174 database, we selected a priori an array of candidate covariates, which comprised age at conception,
175 calendar year of delivery, parity, maternal pre-existing physical diseases including diabetes, hypertension,
176 epilepsy as well as physical comorbidity burden measured by Charlson Comorbidity Index (CCI),
177 maternal pre-existing psychiatric disorders including schizophrenia-spectrum disorders, bipolar disorder,
178 depression and anxiety disorders, eating disorders, sleep disorders, personality disorders, substance and
179 alcohol use disorders (although smoking is an important confounder, its data were not adequately
180 captured in the medical-record database and was thus not included as a covariate in the analyses), and
181 history of postpartum depression and psychosis, prescription of psychotropics (other than antipsychotics)
182 and other medications within 90 days before LMP and/or during the first trimester of pregnancy including
183 antidepressants, anxiolytics, benzothiazines/z-drugs, opioids, stimulants, anticonvulsants, antidiabetics,
184 antihypertensives and suspected teratogens, history of psychiatric admission before index pregnancy, and

185 catchment area of receipt for public healthcare services. Supplementary Table S1 summarizes diagnostic
186 codes for maternal physical and psychiatric morbidities, while Supplementary Table S2 lists the details
187 of psychotropics and other medications.

188

189 *Statistical analysis*

190 Demographics, maternal pre-existing physical and psychiatric morbidities, use of psychotropics and
191 other medications, and records of psychiatric admission were compared between women treated with
192 antipsychotic drug class of interest (i.e., SGA or FGA), and the unexposed controls. Absolute risks of
193 any major and system-specific congenital malformations were estimated for each of the studied
194 antipsychotic-exposed groups (SGA, FGA, each of the six individual antipsychotic medications) and the
195 unexposed controls. To minimize the potential confounding between women with antipsychotic drug
196 class and unexposed controls, propensity-score (PS) weighted logistic regression models were performed
197 to create pseudo-populations by reweighting individuals in each group such that the group membership
198 was independent of the included covariates. Generalized boosted models were performed to estimate PS
199 and weighting [28]. The target of inference was defined as the average treatment effect on the treated
200 population (ATT), based on the premise that their membership assignment of antipsychotic-exposed and
201 unexposed groups was not an exchangeable option [29]. We took absolute standardized mean difference
202 (ASMD) between antipsychotic-exposed and unexposed groups in each covariate as diagnostic measure
203 of the between-group balance, where $ASMD > 0.2$ denotes notable group difference (Tables 1 and 2 for
204 diagnostic balances before and after PS-weighting SGA-exposed and FGA-exposed women) [30,31].
205 Any imbalanced covariates were further adjusted in the PS-weighted regression models.

206

207 We performed three sets of sensitivity analyses. First, we repeated the analyses using PS-matching
208 approach, which provides excellent balance of covariates by matching individuals with similar PS in the
209 antipsychotic-exposed and unexposed groups. Herein, we employed a nearest-neighbor matching
210 algorithm and matched exposed women to the unexposed controls in a 1:5 ratio without replacement,
211 with a caliper of 0.15 of the standard deviation of the logit of PS. Any imbalanced covariates were
212 adjusted in the PS-matched regression models (Supplementary Table S3 shows the diagnostic balances
213 before and after PS-matching for SGA-exposed and FGA-exposed women, relative to unexposed
214 controls). In addition, to avoid exposure misclassification, we performed a sensitivity analysis by
215 defining the antipsychotic-exposed group as those women who had been prescribed with the specified
216 antipsychotic medication ≥ 30 days during the first trimester. To mitigate potential confounding by
217 indications due to mental disorders, a sensitivity analysis was conducted by restricting the analyses to
218 women with recorded psychiatric diagnoses. To assess whether malformation outcomes were well-
219 captured in our dataset, we followed the method of previous research [32] and employed the PS-weighted
220 approach to evaluate the well-established associations between major malformations and maternal
221 diabetes and first-trimester exposure to valproate (known teratogen).

222

223 To investigate the relationship between antipsychotic dose levels of the six individual antipsychotics
224 and the risk of major congenital malformations (i.e., dose-response relationship), exploratory analyses
225 were conducted by stratifying antipsychotic-exposed infants into those with high-dose versus low-dose
226 medication intrauterine exposure, based on the average defined daily dose (DDD). We first calculated
227 the total DDD for each of the six individual antipsychotics during the first trimester as cumulative DDD,
228 which was then divided by the length of the first trimester (i.e., 90 days) to obtain the average DDD per
229 specified antipsychotic per subject. The exposed infants were categorised into high-dose ($>50\%$ DDD)

230 and low-dose ($\leq 50\%$ DDD) subgroups, based on median-split of their receipt of average DDD per
231 specified antipsychotic, for congenital malformation outcome comparisons with the unexposed controls
232 (as a reference category). Results of all logistic regression models were presented as odds ratios (OR) in
233 95% confidence intervals (CIs). All statistical analyses were performed using R (version 4.1.2).
234 Generalized boosted model was performed with the *twang* package. PS-matching was implemented using
235 the *MatchIt* package. $P < 0.05$ was considered statistically significant.

236

237 **Results**

238

239 *Characteristics of the study sample*

240 A total of 465,069 pregnant women (mean age: 30.1 years; $SD=5.1$) were identified, including 940
241 women with exposure to any antipsychotic during the first trimester (and 101 women exposed to both
242 SGA and FGA in the first trimester were excluded from analysis). Among these antipsychotic users, 419
243 and 420 women had exposed to SGA and FGA only, respectively. The most frequently-prescribed
244 individual antipsychotic was quetiapine ($n=191$), followed by trifluoperazine ($n=170$), haloperidol
245 ($n=121$), olanzapine ($n=110$), chlorpromazine ($n=79$), and risperidone ($n=69$). A total of 464,017 women
246 did not receive any antipsychotic treatment in 90 days before LMP and during the first trimester of
247 pregnancy, and their infants served as the unexposed controls. Characteristics of the SGA-exposed, FGA-
248 exposed and unexposed women are summarized in Tables 1 and 2.

249

250 *Associations between antipsychotic exposure and risks of congenital malformations*

251 As shown in Fig. 1, the absolute risks of any major congenital malformations were higher in SGA-
252 exposed infants (9.1% [95% CI 6.7%–12.3%]) and FGA-exposed infants (6.2% [4.3%–9.0%]) than the

253 unexposed controls (4.9% [4.9%–5.0%]). Higher risks of major congenital malformations were also
254 observed in infants exposed to each of the six individual antipsychotics, ranging from 5.3% to 10.5%,
255 compared to their unexposed counterparts. The PS-weighted logistic regression models revealed that
256 SGA-exposed infants had a significantly higher rate of major congenital malformations (OR=2.11 [1.19–
257 3.86]) than unexposed controls. No increased risk of major congenital malformations was noted
258 following intrauterine exposure to any of the three individual SGAs. Exposure to any FGA or any of the
259 three individual FGAs was also not associated with an increased risk of any major congenital
260 malformations. SGA-exposed and FGA-exposed infants did not exhibit an elevated rate of any system-
261 specific congenital malformations, relative to unexposed controls.

262

263 The association between SGA exposure and an increased risk of any major congenital malformations
264 remained significant in the sensitivity analyses with antipsychotic-exposure status redefined as having
265 prenatal antipsychotic exposure ≥ 30 days during the first trimester (1.91 [1.05–3.64]) and in the
266 sensitivity analysis restricted to women with psychiatric diagnoses (2.14 [1.16–4.12]). However, the
267 association became non-significant in the sensitivity analysis using the PS-matching approach (Table 3).
268 Sample size and event number of congenital malformations for PS-matched samples and other sensitivity
269 analyses are summarized in Supplementary Table S4 and S5, respectively. Our analyses affirmed well-
270 established associations between major malformations and maternal diabetes (3.23 [2.21–4.70]) and
271 prenatal valproate exposure (1.99 [1.24–3.18]), indicating that malformation outcomes were well-
272 captured in our dataset.

273

274 *Associations between antipsychotic dose and risks of congenital malformations*

275 As shown in Supplementary Table S6, high-dose olanzapine (7.50 [1.65–36.13]) and high-dose
276 quetiapine exposure (15.03 [4.86–56.72]) was associated with significantly increased risk of any major
277 congenital malformations, compared to the unexposed controls. Otherwise, no significant associations of
278 any major congenital malformations with dose levels of other individual antipsychotics were observed.

279

280 **Discussion**

281

282 To our knowledge, the current report is the first population-based cohort study examining the association
283 between the risk of congenital malformations and first-trimester exposure to antipsychotics in Asia (and
284 in fact non-western countries). This is also among the few studies to investigate the risk of organ/system-
285 specific malformations associated with individual antipsychotic agents prescribed during early
286 pregnancy. Our finding that first-trimester exposure to SGA was associated with a small increased risk
287 (OR=2.11) of major congenital malformations largely concurs with an earlier meta-analysis (reported
288 pooled OR of 2.13) [9]. However, the updated pooled analysis (albeit based on three studies on SGA use)
289 [10] and other recent reports [14,16,17,19] demonstrated lack of significant association between
290 congenital malformations and SGA use during early pregnancy. Our data did not observe increased risk
291 of congenital malformation related to individual antipsychotics. The exploratory analyses on dose-
292 response relationship revealed elevated risk of major malformations only in infants exposed to high-dose
293 olanzapine and high-dose quetiapine relative to unexposed counterparts. Of note, discrepant findings
294 were noted in literatures regarding the risk of overall congenital malformations related to individual SGA
295 antipsychotics. A large nationwide Finnish register-based study demonstrated an increased risk of
296 congenital malformations following first-trimester olanzapine exposure [16]. Another study revealed a
297 significant association between risperidone use during pregnancy and an elevated risk of congenital

298 malformations [19]. Several recent studies found no significantly- or meaningfully-increased risk of
299 associated with individual SGA agents [12,13,17]. Conversely, consistent with most previous research,
300 our findings indicated that prenatal use of FGA (including three studied individual FGAs) was not
301 associated with elevated risks of major malformations.

302

303 We did not observe an increased rate of organ/system-specific congenital malformations following
304 exposure to any antipsychotic drug class and individual antipsychotics. Notably, two recent studies
305 revealed that antipsychotic use during early pregnancy may be associated with elevated risks of
306 organ/system-specific congenital malformations. The Finnish register-based study found that olanzapine
307 was associated with an increased risk of musculoskeletal malformations [16]. A large-scale cohort with
308 combined data from five Nordic countries and the US showed potential associations between olanzapine
309 and oral clefts, SGA (appeared to be more specifically related to quetiapine) and risks of gastroschisis
310 and other specific brain anomalies, as well as chlorprothixene and cardiac malformations [17]. However,
311 findings of these two studies were noted with wide confidence intervals, suggesting imprecise risk
312 estimation [16,17]. There is also a paucity of research with sufficient sample size to specifically delineate
313 the risks of specific malformations associated with prenatal antipsychotic exposure, particularly on the
314 basis of individual agents. Taken together, existing findings regarding the significant associations
315 between organ/system-specific malformations and prenatal antipsychotic exposure should be treated with
316 caution and may serve as potential safety signals that warrant continued monitoring and confirmation in
317 future studies.

318

319 In fact, although mixed findings were observed regarding the association between congenital
320 malformations and in-utero exposure to SGA, in particular individual agents, recent studies have

321 generally suggested lack of significantly- or meaningfully-increased risk in this respect [12,14,17,19].
322 Of note, existing data on potential teratogenic effect of antipsychotics were all derived from the western
323 countries. It is acknowledged that genetic differences in cytochrome P450 enzyme polymorphism, which
324 plays a major role in the metabolism of SGA, exist across various ethnic populations [33,34]. For
325 instance, there is a higher frequency of poor metabolizer genotype of CYP2C19 in East Asians than in
326 the Caucasians and other ethnic populations [35,36]. The slower breakdown rate of antipsychotics would
327 lead to higher drug plasma concentration, which may potentially raise the risk for adverse antipsychotic-
328 related effect on maternal and neonatal outcomes at the same daily dose. Our finding of a small increased
329 risk of major malformations associated with SGA, in contrast to many past studies, might partly be
330 attributable to this inter-ethnic difference in the metabolism for antipsychotics. It should also be noted
331 that our results of significant associations between SGA and major malformations were not affirmed in
332 all sensitivity analyses. Our finding of significantly-increased risk of major malformations associated
333 with SGA became non-significant when we applied the PS-matching approach to further optimize
334 covariate adjustment in our analysis. This suggests that the robustness of our main findings should be
335 interpreted with caution, and re-evaluation is warranted in future research.

336

337 Our study has several strengths. We included a comprehensive array of potential confounders in PS-
338 weighting models, in particular maternal pre-existing physical morbidities and psychiatric disorders, as
339 well as concurrent psychotropic and other medications (including suspected teratogens). We employed
340 the PS-matching approach as the sensitivity analysis for a more stringent covariate adjustment. Two other
341 sets of sensitivity analyses were also performed to minimize exposure misclassification and potential
342 confounding by treatment indications (i.e., sample with recorded psychiatric diagnoses). On the other
343 hand, several study limitations should be noted in interpreting the study results. First, data on

344 socioeconomic status and lifestyle variables such as physical activity, dietary patterns, and smoking were
345 not adequately recorded in the medical-record database and thus were not included in the analyses.
346 Second, similar to other pharmaco-epidemiological studies, participants' adherence to prescribed
347 antipsychotics could not be assessed in the current investigation, and hence actual drug use of our cohort
348 may be overestimated. Third, we did not have data on congenital malformations ending in terminations
349 of pregnancy or miscarriages, which may lead to missing some malformation cases and underestimation
350 of risk. However, the affirmed well-established associations between major malformations and maternal
351 diabetes and prenatal valproate exposure indicated that malformation outcomes were well-captured.
352 Fourth, the relatively small number of women included in the analyses for exposure to individual
353 antipsychotic agents precludes us from evaluating the risk for some rarer organ/system-specific
354 malformations associated with specific antipsychotics. Fifth, given the relatively small sample size per
355 individual antipsychotic-group and the use of median-split approach in categorizing high- and low-dose
356 antipsychotic groups, our analyses on antipsychotic dose-response relationship with major
357 malformations should be treated with caution and regarded as exploratory in nature. Sixth, since
358 antipsychotic-exposed women may have more intensive prenatal/postnatal care and investigations than
359 the unexposed women, the reported excess malformation events in the former may be subject to detection
360 bias. Lastly, as HK is a highly urbanized, densely-populated city and is categorized as a high-income
361 economy [37], our findings may not be generalizable to mainland China or other Asian regions.

362

363 In conclusion, in this territory-wide EHR-based cohort study, we observed a small increased risk of
364 major congenital malformations associated with first-trimester exposure to SGA in a predominantly
365 Chinese population in PS-weighted analysis. This result, however, was not affirmed in all of our
366 sensitivity analyses. An elevated risk of major malformations related to prenatal exposure to individual

367 antipsychotics was only observed in women exposed to high-dose olanzapine and high-dose quetiapine,
368 which should be treated with caution due to small sample size in high- and low-dose antipsychotic
369 groups. On the whole, our findings did not provide strong evidence of the association between prenatal
370 antipsychotic exposure and the increased risk for congenital malformations (i.e., precluding firm
371 conclusion). More research examining the relationships between specific malformations and individual
372 antipsychotics, with adequate sample size and in different ethnic populations, is required to provide
373 clinically-useful data on the risk of teratogenicity that can better inform the complex decision-making in
374 the maintenance or discontinuation of antipsychotic treatment during early pregnancy.

375

376 **Acknowledgements**

377 The authors would like to thank the colleagues in the Hospital Authority of Hong Kong for their kind
378 assistance in data extraction for the current investigation.

379

380 **Conflicts of interest**

381 The authors declare no conflict of interest.

382

383 **Author contribution**

384 Authors W.C.C., and C.S.M.W. designed and conceptualized the study. Authors J.K.N.C. conducted
385 statistical analysis and wrote the first draft of the manuscript. Authors W.C.C., J.K.N.C., K.C.K.L. and
386 C.S.M.W. interpreted the study data. Author W.C.C. revised and finalized the manuscript. All authors
387 provided critical feedback to the manuscript and have approved the final manuscript.

388

389 **Financial support**

390 The study was supported by Hong Kong Research Grants Council (grant number: 17127417) and the
391 State Key Laboratory of Brain & Cognitive Sciences, the University of Hong Kong. Additional financial
392 support to undertake this research was provided by the Research Fund Award 2022, Schizophrenia
393 International Research Society (SIRS).

394

395 **Data sharing statement**

396 The study data are accessible upon reasonable request from the corresponding author.

397 **Figure legend**

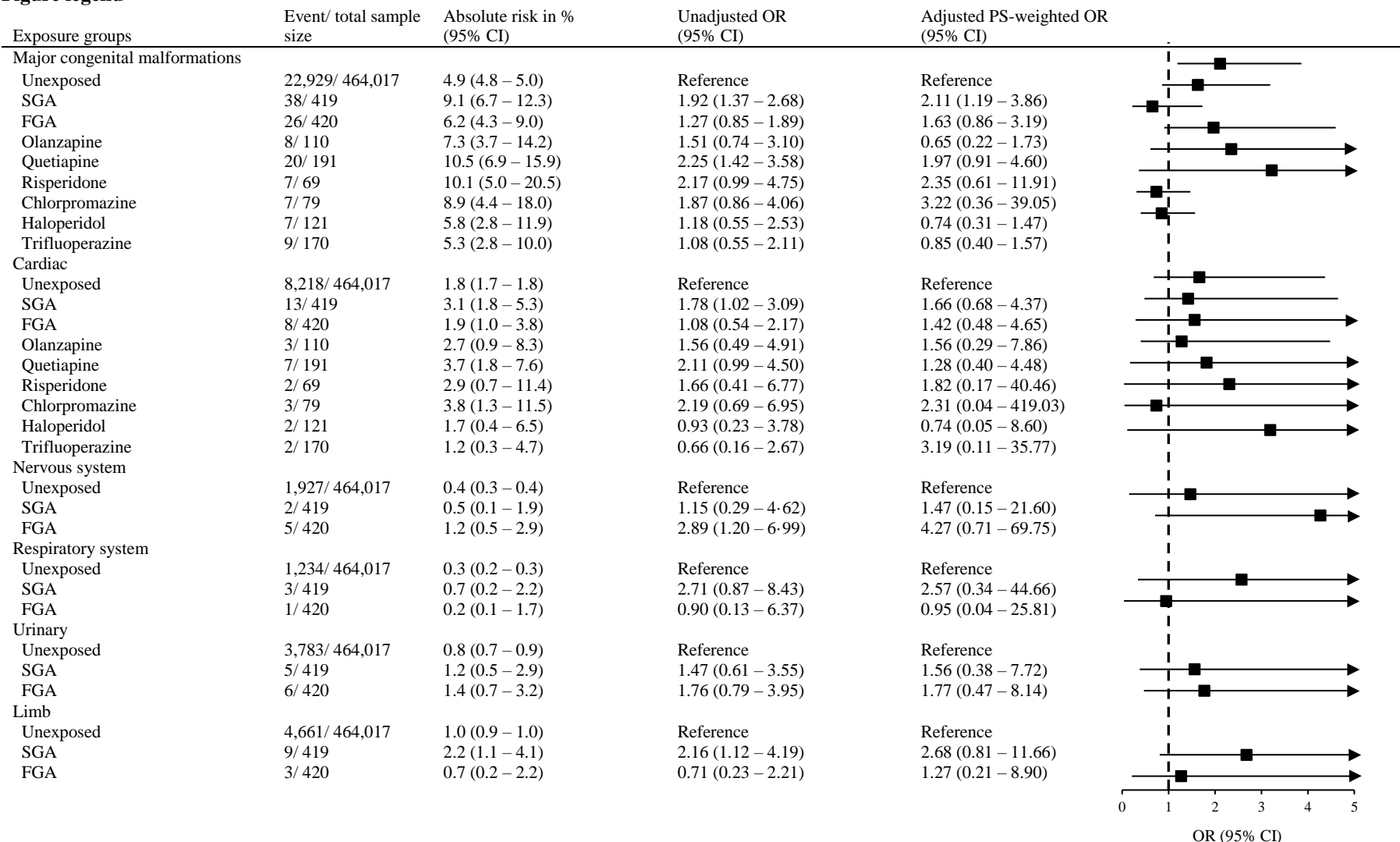


Fig. 1: Risk of congenital malformations among infants with and without in-utero antipsychotic exposure. Abbreviations: CI, confidence interval; FGA, first-generation antipsychotics; OR, odds ratio; SGA, second-generation antipsychotic.

Table 1. Characteristics of women with second-generation antipsychotic treatment and women unexposed to antipsychotic during first-trimester of pregnancy.

Characteristics	Antipsychotic exposure		<i>t</i> / χ^2	<i>p</i>	Standardized difference	
	Any SGA (<i>n</i> = 419)	Unexposed (<i>n</i> = 464,017)			Before weighting	After weighting
Age, years, mean (SD)	30.7 (6.0)	30.1 (5.1)	2.1	0.034	0.10	-0.04
Calendar year of delivery			312.1	<0.001	0.98	0.10
2003 – 2006	25 (6.0)	128,901 (27.8)				
2007 – 2010	58 (13.8)	126,774 (27.3)				
2011 – 2014	117 (27.9)	114,192 (24.6)				
2015 – 2018	219 (52.3)	94,150 (20.3)				
Number of parities \geq 1 prior to index pregnancy	81 (19.3)	150,657 (32.5)	33.0	<0.001	-0.33	-0.09
Maternal pre-existing physical morbidity						
Diabetes	13 (3.1)	1,353 (0.3)	38.2	<0.001	0.16	0.10
Hypertension	4 (1.0)	607 (0.1)	9.0	0.003	0.08	-0.03
Epilepsy	8 (1.9)	746 (0.2)	25.0	<0.001	0.13	0.03
Charlson comorbidity index (CCI) ^a	0.09 (0.3)	0.02 (0.2)	5.1	<0.001	0.25	0.03
Maternal pre-existing psychiatric disorders						
Schizophrenia-spectrum disorders	183 (43.7)	323 (0.1)	1999.0	<0.001	0.88	0.06
Bipolar disorder	62 (14.8)	164 (0.1)	613.7	<0.001	0.42	-0.05
Depression / anxiety disorders	120 (28.6)	5,645 (1.2)	2568.1	<0.001	0.61	-0.05
Other psychiatric disorders ^b	28 (6.7)	523 (0.1)	174.0	<0.001	0.26	0.06
Alcohol or substance use disorders	46 (11.0)	882 (0.2)	285.6	<0.001	0.34	0.06
History of postpartum depression or psychosis	0 (0.0)	3 (0.0)	0.1	0.941	NA	NA
Concurrent medications						
Antidepressants	201 (48.7)	2,552 (0.6)	1506.5	<0.001	0.95	0.03
Anxiolytics	96 (22.9)	847 (0.2)	750.6	<0.001	0.54	0.01
Benzodiazepines / z-drugs	125 (29.8)	928 (0.2)	1028.2	<0.001	0.65	0.07
Opioids	3 (0.7)	146 (0.0)	13.0	<0.001	0.08	0.04
Stimulants	2 (0.5)	7 (0.0)	18.5	<0.001	0.07	0.05
Anticonvulsants	15 (3.6)	257 (0.1)	95.2	<0.001	0.19	0.10
Antidiabetics	14 (3.3)	3,136 (0.7)	22.7	<0.001	0.15	0.08
Antihypertensives	18 (4.3)	5,779 (1.2)	31.6	<0.001	0.15	0.01
Suspected teratogens ^c	63 (15.0)	4,044 (0.9)	248.2	<0.001	0.40	0.04
Psychiatric hospitalization before index pregnancy ^d	238 (56.8)	1,422 (0.3)	2145.6	<0.001	1.14	0.03
Catchment areas of public healthcare service ^e			8.8	0.003	0.15	0.04
Hong Kong East	28 (6.7)	38,988 (8.4)				
Hong Kong West	20 (4.8)	27,016 (5.8)				
Kowloon Central	56 (13.4)	78,574 (16.9)				
Kowloon East	64 (15.3)	69,520 (15.0)				
Kowloon West	66 (15.8)	81,039 (17.5)				
New Territories East	107 (25.5)	91,168 (19.6)				
New Territories West	76 (18.1)	76,246 (16.4)				

Abbreviation: LMP, last menstrual period; SD, standard deviation; SGA, second-generation antipsychotic.

^a Age-adjusted adapted Charlson comorbidity index (CCI) was computed. As diabetes was evaluated separately, it was excluded from CCI score calculation.

^b Other psychiatric disorders included eating disorders, sleep disorders and personality disorders.

^c Mood stabilizers of lithium, valproate and carbamazepine were included as suspected teratogens (Supplementary Table S1 for details).

^d History of psychiatric hospitalization 2 years before index pregnancy.

^e In Hong Kong, the Hospital Authority manages public healthcare service delivery (inpatient and specialist/general outpatient services) which is organized into 7 clusters based on geographical locations (i.e., catchment areas).

Table 2. Characteristics of women with first-generation antipsychotic treatment and women unexposed to antipsychotic during first-trimester of pregnancy

Characteristics	Antipsychotic exposure		t/ χ^2	p	Standardized difference	
	Any FGA (n = 420)	Unexposed (n = 464,017)			Before weighting	After weighting
Age, years, mean (SD)	32.4 (5.4)	30.1 (5.1)	9.2	<0.001	0.43	0.05
Calendar year of delivery			31.2	<0.001	-0.28	-0.10
2003 – 2006	159 (37.9)	128,901 (27.8)				
2007 – 2010	124 (29.5)	126,774 (27.3)				
2011 – 2014	82 (19.5)	114,192 (24.6)				
2015 – 2018	55 (13.1)	94,150 (20.3)				
Number of parities \geq 1 prior to index pregnancy	166 (39.5)	150,657 (32.5)	9.5	0.002	0.14	0.07
Maternal pre-existing physical morbidity						
Diabetes	7 (1.7)	1,353 (0.3)	12.9	<0.001	0.11	0.06
Hypertension	3 (0.7)	607 (0.1)	5.3	0.021	0.07	0.03
Epilepsy	6 (1.4)	746 (0.2)	15.6	<0.001	0.11	0.08
Charlson comorbidity index (CCI) ^a	0.08 (0.3)	0.02 (0.2)	3.5	<0.001	0.17	0.05
Maternal pre-existing psychiatric disorders						
Schizophrenia-spectrum disorders	205 (48.8)	323 (0.1)	2290.3	<0.001	0.97	0.05
Bipolar disorder	55 (13.1)	164 (0.1)	531.9	<0.001	0.39	0.05
Depression / anxiety disorders	76 (18.1)	5,645 (1.2)	982.6	<0.001	0.44	-0.01
Other psychiatric disorders ^b	12 (2.9)	523 (0.1)	54.6	<0.001	0.16	-0.01
Alcohol or substance use disorders	58 (13.8)	882 (0.2)	387.3	<0.001	0.39	0.06
History of postpartum depression or psychosis	1 (0.2)	3 (0.0)	9.5	0.002	0.05	0.04
Concurrent medications						
Antidepressants	130 (31.4)	2,552 (0.6)	834.4	<0.001	0.66	0.01
Anxiolytics	56 (13.3)	847 (0.2)	374.2	<0.001	0.39	0.05
Benzodiazepines / z-drugs	103 (24.5)	928 (0.2)	802.7	<0.001	0.56	0.01
Opioids	11 (2.6)	146 (0.0)	75.0	<0.001	0.16	0.03
Stimulants	0 (0.0)	7 (0.0)	0.1	0.910	NA	NA
Anticonvulsants	0 (0.0)	257 (0.1)	0.5	0.495	NA	NA
Antidiabetics	12 (2.9)	3,136 (0.7)	16.5	<0.001	0.13	0.08
Antihypertensives	18 (4.3)	5,779 (1.2)	31.5	<0.001	0.15	0.02
Suspected teratogens ^c	54 (12.9)	4,044 (0.9)	195.7	<0.001	0.36	0.10
Psychiatric hospitalization before index pregnancy ^d	217 (51.7)	1,422 (0.3)	1900.1	<0.001	0.38	0.06
Catchment areas of public healthcare service ^e			4.9	0.027	0.12	0.01
Hong Kong East	27 (6.4)	38,988 (8.4)				
Hong Kong West	12 (2.9)	27,016 (5.8)				
Kowloon Central	61 (14.5)	78,574 (16.9)				
Kowloon East	75 (17.9)	69,520 (15.0)				
Kowloon West	92 (21.9)	81,039 (17.5)				
New Territories East	83 (19.8)	91,168 (19.6)				
New Territories West	70 (16.7)	76,246 (16.4)				

Abbreviations: FGA, first-generation antipsychotic; LMP, last menstrual period; SD, standard deviation.

^a Age-adjusted adapted Charlson comorbidity index (CCI) was computed. As diabetes was evaluated separately, it was excluded from CCI score calculation.

^b Other psychiatric disorders included eating disorders, sleep disorders and personality disorders.

^c Mood stabilizers of lithium, valproate and carbamazepine were included as suspected teratogens (Supplementary Table S1 for details).

^d History of psychiatric hospitalization 2 years before index pregnancy.

^e In Hong Kong, the Hospital Authority manages public healthcare service delivery (inpatient and specialist/general outpatient services) which is organized into 7 clusters based on geographical locations (i.e., catchment areas).

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Table 3. Sensitivity analyses on the risk of congenital malformations associated with antipsychotics

Exposure groups	Propensity-score matching	Antipsychotic exposure ≥ 30 days	Women with psychiatric diagnosis ^a
	Adjusted PS-matched OR (95% CI)	Adjusted PS-weighted OR (95% CI)	Adjusted PS-weighted OR (95% CI)
Major congenital malformations			
Unexposed	Reference	Reference	Reference
SGA	1.61 (0.99 – 2.58)	1.91 (1.05 – 3.64)	2.14 (1.16 – 4.12)
FGA	0.82 (0.45 – 1.43)	1.51 (0.75 – 3.14)	1.74 (0.80 – 4.04)
Olanzapine	0.56 (0.12 – 1.92)	1.03 (0.31 – 3.25)	1.71 (0.44 – 8.36)
Quetiapine	1.47 (0.74 – 2.78)	1.81 (0.77 – 4.56)	1.99 (0.87 – 4.93)
Risperidone	3.37 (0.72 – 16.85)	2.10 (0.79 – 5.63)	3.09 (0.62 – 27.00)
Chlorpromazine	0.84 (0.19 – 2.76)	1.34 (0.43 – 4.18)	3.66 (0.58 – 53.16)
Haloperidol	0.57 (0.16 – 1.61)	1.02 (0.35 – 2.93)	1.94 (0.47 – 10.93)
Trifluoperazine	1.51 (0.51 – 3.96)	1.84 (0.84 – 4.05)	1.80 (0.46 – 8.66)
Cardiac			
Unexposed	Reference	Reference	Reference
SGA	1.62 (0.72 – 3.44)	1.27 (0.46 – 3.68)	1.57 (0.60 – 4.49)
FGA	0.76 (0.30 – 1.67)	1.24 (0.36 – 4.61)	1.12 (0.25 – 5.43)
Olanzapine	0.59 (0.03 – 4.36)	0.78 (0.09 – 6.67)	1.28 (0.12 – 24.29)
Quetiapine	1.06 (0.29 – 3.01)	1.02 (0.27 – 4.16)	1.65 (0.48 – 6.66)
Risperidone	0.78 (0.11 – 3.26)	0.98 (0.12 – 8.29)	1.28 (0.04 – 98.88)
Chlorpromazine	1.54 (0.22 – 7.09)	1.26 (0.16 – 9.87)	4.95 (0.26 – 171.67)
Haloperidol	0.43 (0.05 – 3.55)	0.74 (0.10 – 5.71)	2.12 (0.18 – 87.80)
Trifluoperazine	3.09 (0.38 – 20.65)	1.24 (0.27 – 5.56)	NA
Nervous system			
Unexposed	Reference	Reference	Reference
SGA	3.46 (0.39 – 30.38)	1.77 (0.17 – 35.80)	2.92 (0.22 – 204.05)
FGA	1.09 (0.16 – 4.95)	4.98 (0.77 – 109.40)	6.14 (0.69 – 439.54)
Respiratory system			
Unexposed	Reference	Reference	Reference
SGA	2.30 (0.45 – 9.81)	0.95 (0.04 – 25.84)	0.85 (0.03 – 19.78)
FGA	1.20 (0.06 – 10.03)	1.31 (0.05 – 69.80)	NA
Urinary			
Unexposed	Reference	Reference	Reference
SGA	1.50 (0.46 – 4.29)	1.29 (0.28 – 6.81)	1.33 (0.28 – 7.33)
FGA	1.97 (0.50 – 6.62)	1.43 (0.35 – 6.62)	2.17 (0.47 – 14.40)

Limb	Reference	Reference	Reference
Unexposed			
SGA	1·90 (0·62 – 5·31)	2·80 (0·83 – 12·70)	2·41 (0·74 – 9·97)
FGA	0·99 (0·22 – 3·47)	0·94 (0·11 – 8·09)	1·12 (0·13 – 11·83)

Abbreviations: CI, confidence intervals; FGA, first-generation antipsychotics; OR, odds ratio; PS, propensity score; SGA, second-generation antipsychotics.

^a Women with psychiatric diagnoses included women who had diagnosed with schizophrenia-spectrum disorders, bipolar disorder, depressive/anxiety disorders, eating disorders, sleep disorders or personality disorders.

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