

## BOUNDARIES FOR REAL BANACH ALGEBRAS

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**Introduction.** Let  $A$  be a commutative real Banach algebra with unit, and  $M_A$  its maximal ideal space. The existence of the Silov boundary  $S_A$  for  $A$  was established in [5] by resorting to the complexification of  $A$ . We give here an intrinsic proof of this result which exhibits the close connection between the absolute values and the real parts of 'functions' in  $A$  (Theorem 1.3).

For a subset  $B$  of  $A$ , we define the Silov boundary for  $B$  relative to  $A$ , and use it, together with the method of complexification, to extend to the real case some recent results in [6] for complex function algebras. These determine  $M_B$  and  $S_B$  in terms of  $M_A$  and  $S_A$  if  $B$  is a closed subalgebra of  $A$  and contains an ideal  $J$  of  $A$  such that  $\text{hull}_A J$  contains no non-empty perfect subset (Theorems 3.1 and 3.4). They also extend a result in [5] where  $B$  is a particular type of real subalgebra of a complex function algebra  $A$  (Corollary 3.5 and Example 3.6).

**1. Choquet sets and Silov boundaries.** Let  $A$  be a commutative real Banach algebra with 1, and  $M_A$  the set of all maximal ideals of  $A$ . For each  $f$  in  $A$ ,  $|\hat{f}|$  and  $\text{Re } \hat{f}$  are well defined functions on  $M_A$ . (See, e.g., [1].) Let  $|\hat{A}| = \{|\hat{f}| : f \text{ in } A\}$  and  $\text{Re } \hat{A} = \{\text{Re } \hat{f} : f \text{ in } A\}$ .

**PROPOSITION 1.1.** *The weak  $|\hat{A}|$  topology on  $M_A$  is the same as the weak  $\text{Re } \hat{A}$  topology on  $M_A$ , and it makes  $M_A$  a compact Hausdorff space.*

*Proof.* Let  $\mathcal{T}_1$  and  $\mathcal{T}_2$  be the weak  $|\hat{A}|$  and the weak  $\text{Re } \hat{A}$  topologies on  $M_A$ . Let  $L_A$  denote the set of all real linear maps of  $A$  into the complex numbers, and  $\mathcal{T}$  the weak  $A$  topology on it. If  $T : \phi_A \rightarrow M_A$ , by  $T(k) = k^{-1}(0)$ , where  $\phi_A$  is the closed set  $\{k \text{ in } L_A : k \text{ multiplicative, } k(1) = 1\}$ , then  $\phi_A$  is onto  $M_A$ , and  $T$  is continuous if  $L_A$  and  $M_A$  are given the topologies  $\mathcal{T}$  and  $\mathcal{T}_1$  respectively. Since the closed unit ball in  $L_A$  is compact by the Banach-Alaoglu theorem,  $(M_A, \mathcal{T}_1)$  is compact. We next show that  $(M_A, \mathcal{T}_2)$  is Hausdorff. This follows since  $\text{Re } \hat{A}$  separates points of  $M_A$ : Let  $y_1 \neq y_2$  be in  $M_A$ , and  $f$  in  $A$  which belongs to  $y_1$  but not to  $y_2$ . If  $k_1$  and  $k_2$  are in  $L_A$  such that  $T(k_1) = y_1$  and  $T(k_2) = y_2$ , then  $k_1(f) = 0$ , and  $k_2(f) = a + ib$ , for some real numbers  $a$  and  $b$ , which are not both zero. Then  $\text{Re } \hat{f}(y_1) = 0$ ,  $\text{Re } \hat{f}(y_2) = a$ ,  $\text{Re } (f^2)^\wedge(y_1) = 0$ , and  $\text{Re } (f^2)^\wedge(y_2) = a^2 - b^2$ . Hence either  $\text{Re } \hat{f}$  or  $\text{Re } (f^2)^\wedge$  separates  $y_1$  and  $y_2$ .

Now, the identity map from  $(M, \mathcal{T}_1)$  to  $(M, \mathcal{T}_2)$  is continuous, since for each  $f$  in  $A$ ,  $\text{Re } \hat{f} = \log |(\exp f)^\wedge|$ . All is proven.

**Definition 1.2.** A subset  $S$  of  $M_A$  is called a *Choquet set for  $A$*  if each element of

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$\text{Re } \hat{A}$  assumes its maximum on  $S$ .  $S$  is called a *boundary for  $A$*  if each element of  $|\hat{A}|$  assumes its maximum on  $S$ .

**THEOREM 1.3.**

- (i) Every boundary for  $A$  is a Choquet set for  $A$ .
- (ii) Every closed Choquet set for  $A$  is a boundary for  $A$ .
- (iii) There exists a (unique) smallest closed Choquet set for  $A$ , and it is the smallest closed boundary for  $A$ .

*Proof.* (i) follows since, for each  $f$  in  $A$ ,  $\text{Re } \hat{f} = \log |(\exp f)^\wedge|$ .

Let  $S$  be a closed Choquet set for  $A$ . If it were not a boundary for  $A$ , there exists  $f$  in  $A$ ,  $\epsilon < 1$ , and  $y$  in  $M_A$  such that  $|\hat{f}| \leq \epsilon$  on  $S$ , but  $|\hat{f}|(y) = 1$ . Since, for each positive integer  $n$ ,  $|\text{Re}(f^n)^\wedge| \leq |(f^n)^\wedge| \leq \epsilon^n$  on  $S$ , and  $S$  is a Choquet set for  $A$ ,  $|\text{Re}(f^n)^\wedge| \leq \epsilon^n$  on  $M_A$ , and in particular at  $y$ . If  $k$  is a real homomorphism of  $A$  with null space  $y$ , then, since  $|\hat{f}|(y) = 1$ ,  $k(f) = \exp(ia)$ , for some real number  $a$ . Thus,  $|\text{Re}(f^n)^\wedge(y)| = |\cos na| \leq \epsilon^n$ , for each positive integer  $n$ . But, as  $n$  tends to infinity,  $\epsilon^n$  tends zero while  $\cos na$  does not, a contradiction. It follows that  $S$  is a boundary for  $A$ .

Finally, it follows from (i) and (ii) that if a smallest closed Choquet set for  $A$  exists, it must also be the smallest closed boundary for  $A$ . That a smallest closed Choquet set for  $A$  exists follows from these results of Choquet: Let  $Y$  be a compact Hausdorff topological space, and  $H$  a linear subspace of the space of all real valued continuous functions on  $Y$ . Let  $\text{Ch}(H)$  be the set of all points of  $Y$  which admit unique representing measures with respect to  $H$ . Then, if  $H$  separates points of  $Y$ , each  $h$  in  $H$  attains its maximum on  $\text{Ch}(H)$  and the closure in  $Y$  of  $\text{Ch}(H)$  is the smallest closed subset of  $Y$  on which each  $h$  in  $H$  attains its maximum [2, Corollary 29.6 and Proposition 29.8].

*Definition 1.4.* The smallest closed boundary for  $A$  is called the *Silov boundary for  $A$* . We shall denote it by  $S_A$ .

If  $B$  is a subset of  $A$ , and if there exists a (unique) closed subset of  $S_A$  such that each element of  $|\hat{B}| = \{|\hat{f}| : f \text{ in } B\}$  attains its maximum on it, then such a set will be called the *Silov boundary for  $B$  relative to  $A$* , and denoted by  ${}_A S_B$ .

**PROPOSITION 1.5.** Let  $B$  be a closed subalgebra of  $A$  containing 1,  $M_B$  its maximal ideal space, and  $r : M_A \rightarrow M_B$ , the restriction map. Then,

- (i)  $S_B$  is contained in  $r(S_A)$ .
- (ii) If  $r$  is one to one on  $S_A$ , then  ${}_A S_B$  exists and equals  $S_A \cap r^{-1}(S_B)$ .

*Proof.* (i). That  $S_B$  is contained in  $r(M_A)$ ; i.e., every maximal ideal of  $B$  which is in  $S_B$  can be extended to a maximal ideal of  $A$  follows as in the case where  $A$  and  $B$  are complex algebras [4, p. 78–80]. Hence  $r(M_A)$  is a boundary for  $B$ . This together with the fact that  $S_A$  is a boundary for  $A$  shows that  $r(S_A)$  is a boundary for  $B$ .

(ii). Since  $S_B$  is contained in  $r(S_A)$ , each  $|\hat{f}|$ ,  $f$  in  $B$ , attains its maximum on  $S_A \cap r^{-1}(S_B)$ . On the other hand, if  $F$  is a closed subset of  $S$  on which each  $|\hat{f}|$ ,  $f$

in  $B$ , attains its maximum, then  $r(F)$  is a boundary for  $B$ , so that  $S_B$  is contained in  $r(F)$ . But  $r$  is one to one on  $S_A$ , hence  $S_A \cap r^{-1}(S_B)$  is contained in  $F$ . This shows that  ${}_A S_B$  exists and equals  $S_A \cap r^{-1}(S_B)$ .

*Remark 1.6.* It is well known that if  $A$  is a complex subspace of  $C(Y)$ , the set of all complex-valued continuous functions on a compact Hausdorff space  $Y$ , such that  $A$  contains constants and separates points, then there exists a (unique) smallest closed subset of  $Y$  on which every  $f$  in  $A$  attains its maximum modulus. If  $A$  is only a real subspace of  $C(Y)$ , then the proof of Theorem 1.3 shows that if  $A$  is a ring such that  $f$  in  $A$  implies  $\exp f$  also in  $A$ , and if  $\text{Re } A$  separates points of  $Y$  then the same conclusion holds.

**2. Complexifications.** Let  $A$  be a commutative real Banach algebra with unit 1. Under the natural operations

$$\text{cx } A \equiv \{1 \otimes f + i \otimes g : f, g \text{ in } A\}$$

becomes a commutative complex algebra with unit  $1 \otimes 1$ , and there exists a norm on  $\text{cx } A$  for which  $\text{cx } A$  becomes a Banach algebra, and the natural injection of  $A$  into  $\text{cx } A$  is an isometry. If  $\text{cx}^* : M_{\text{cx } A} \rightarrow M_A$  is the restriction map, then  $\text{cx}^*$  is surjective, and if  $M_{\text{cx } A}$  is given the Gelfand topology, it is continuous as well as open [1, 3.3 and 3.9]. Let  $\sigma : \text{cx } A \rightarrow \text{cx } A$ , by

$$\sigma(1 \otimes f + i \otimes g) = 1 \otimes f - i \otimes g, \text{ for every } 1 \otimes f + i \otimes g \text{ in } \text{cx } A,$$

and  $\tau : M_{\text{cx } A} \rightarrow M_{\text{cx } A}$ , by

$$\tau(x) = \{h : \sigma(h) \text{ in } x\}, \text{ for every } x \text{ in } M_{\text{cx } A}.$$

Then  $\text{cx}^* \circ \tau = \text{cx}^*$ , and if  $S_{\text{cx } A}$  is the Silov boundary for  $\text{cx } A$ ,  $\tau(S_{\text{cx } A}) = S_{\text{cx } A}$ .

PROPOSITION 2.1.  $\text{cx}^*(S_{\text{cx } A}) = S_A$ , and  $(\text{cx}^*)^{-1}(S_A) = S_{\text{cx } A}$ .

*Proof.* Since  $\text{cx}^*(S_{\text{cx } A})$  is compact, it is closed and is clearly a boundary for  $A$ , so it contains  $S_A$ . Conversely, we show that  $S_{\text{cx } A}$  is contained in  $(\text{cx}^*)^{-1}(S_A) = F$ , say. For this it is enough to prove that  $F$  is a boundary for  $\text{cx } A$ . If it were not a boundary, there exists  $h$  in  $\text{cx } A$ ,  $\epsilon < 1$ , and  $x$  in  $M_{\text{cx } A}$  such that  $|\hat{h}| \leq \epsilon < 1$  on  $F$ , but  $\hat{h}(x) = 1$ . Let  $c = \sigma(h)^\wedge(x)$ . For each positive integer  $n$ ,

$$|(\hat{h})^n + (\sigma(h)^\wedge)^n| \leq |(\hat{h})^n| + |(\sigma(h)^\wedge)^n| \leq 2\epsilon^n$$

on  $F$ . Since  $h + \sigma(h)$  ‘belongs’ to  $A$ , this inequality is valid on all of  $M_{\text{cx } A}$ , in particular at  $x$ . This gives  $|1 + c^n| \leq 2\epsilon^n$ , for each positive integer  $n$ . But, as  $n$  tends to infinity,  $2\epsilon^n$  tends to zero, while  $1 + c^n$  does not, a contradiction. Thus,  $S_{\text{cx } A}$  is contained in  $(\text{cx}^*)^{-1}(S_A)$ .

*Note.* Compare the above result with Proposition 1.0 of [5]. The proof given there uses the trace map taking  $h$  to  $h + \sigma(h)$ , and the norm map taking  $h$  to  $h \cdot \sigma(h)$ , while the above proof uses only the trace map. The proof in [1, 3.16] is incorrect, for it uses the inequality  $|u(x)| \leq |u(x) + iv(x)|$ , for complex functions  $u$  and  $v$ .

As an application of the above proposition we prove the following result which will be used in § 3.

**PROPOSITION 2.2.** *If  $S_A$  contains no non-empty perfect subset, then  $S_A = M_A$ .*

*Proof.* Since  $\text{cx}^* : S_{\text{cx } A} \rightarrow S_A$  is at most two to one, it is clear that if  $K$  is a perfect subset of  $S_{\text{cx } A}$  then  $\text{cx}^*(K)$  is a perfect subset of  $S_A$ . Since  $S_A$  contains no non-empty perfect subset, neither does  $S_{\text{cx } A}$ . Now  $\text{cx } A$  is a complex commutative Banach algebra with a unit, and if  $S_{\text{cx } A} = M_{\text{cx } A}$ , then

$$S_A = \text{cx}^*(S_{\text{cx } A}) = \text{cx}^*(M_{\text{cx } A}) = M_A.$$

Thus, it is enough to prove the proposition when  $A$  is a complex commutative Banach algebra with 1. But this is given in [8, p. 107].

Let now  $B$  be a real subalgebra  $A$  containing 1, and

$$\text{cx } B = \{1 \otimes f + i \otimes g : f \text{ and } g \text{ in } B\}.$$

Then  $\text{cx } B$  is a complex subalgebra of  $\text{cx } A$  containing  $1 \otimes 1$ .

**PROPOSITION 2.3.** *Let  $r : M_A \rightarrow M_B$  and  $r_{\text{cx}} : M_{\text{cx } A} \rightarrow M_{\text{cx } B}$  be the restriction maps. Then*

(i)  $\text{cx}^* \circ r_{\text{cx}} = r \circ \text{cx}^*$ , and  $\tau_B \circ r_{\text{cx}} = r_{\text{cx}} \circ \tau_A$ , where  $\tau_A$  and  $\tau_B$  are the involutions on  $M_{\text{cx } A}$  and  $M_{\text{cx } B}$  respectively.

(ii)  $r_{\text{cx}}$  is surjective if and only if  $r$  is surjective.

(iii) If  $r_{\text{cx}}$  is injective, then  $r$  is injective. If  $r$  is injective, then  $r_{\text{cx}}(x_1) = r_{\text{cx}}(x_2)$  implies  $x_2 = x_1$  or  $x_2 = \tau_A(x_1)$ .

(iv)  $\text{cx } B$  is closed in  $\text{cx } A$  if and only if  $B$  is closed in  $A$ . In that case,  $S_{\text{cx } B} = r(S_{\text{cx } A})$  if and only if  $S_B = r(S_A)$ .

*Proof.* (i) For  $M$  in  $M_{\text{cx } A}$ ,

$$\begin{aligned} \text{cx}^* \circ r_{\text{cx}}(M) &= (M \cap \text{cx } B) \cap B = (M \cap A) \cap B = r \circ \text{cx}^*(M), \\ \tau_B \circ r_{\text{cx}}(M) &= \{1 \otimes f + i \otimes g \text{ in } M \text{ with } f \text{ and } g \text{ in } B\} = r_{\text{cx}} \circ \tau_A(M). \end{aligned}$$

(ii) and the first part of (iii) are clear. If  $r$  is injective, and  $r_{\text{cx}}(x_1) = r_{\text{cx}}(x_2)$ , then  $\tau_B \circ r_{\text{cx}}(x_j) = r \circ \tau_A(x_j)$ , for  $j = 1, 2$ . Hence,  $\tau_A(x_1) = \tau_A(x_2)$ , so that  $x_2 = x_1$  or  $x_2 = \tau_A(x_1)$ .

If  $\text{cx } B$  is closed in  $\text{cx } A$ , then since the injection of  $A$  into  $\text{cx } A$  is an isometry,  $B$  is closed in  $A$ . Conversely, let  $B$  be closed in  $A$ , and  $1 \otimes f_n + i \otimes g_n$  tend to  $1 \otimes f + i \otimes g$ , where  $f_n$  and  $g_n$ , for each  $n$ , are in  $B$ , and  $f$  and  $g$  are in  $A$ , then since  $\sigma$  is continuous  $1 \otimes f_n - i \otimes g_n$  tends to  $1 \otimes f - i \otimes g$ . This shows that  $f_n$  tends to  $f$  and  $g_n$  tends to  $g$ , so that  $f$  and  $g$  are in  $B$ . Thus,  $\text{cx } B$  is closed in  $\text{cx } A$ . The last statement follows from Proposition 2.1 and the definition of a Silov boundary.

**3. Ideals and subalgebras.** Throughout this section, unless otherwise stated,  $B$  will be a closed subalgebra of a commutative real Banach algebra  $A$  with unit 1 in  $B$ , and  $r : M_A \rightarrow M_B$  the restriction map. We find conditions

under which  $r(M_A) = M_B$ , and  $r(S_A) = S_B$ . If  $A$  and  $B$  are complex function algebras, this was done by Lund [6, 2.1 and 2.3]. We shall use many of his arguments in conjunction with the results in § 1 and § 2 to treat the real case.

Since every  $y$  in  $S_B$  can be extended to an  $x$  in  $M_A$  (Proposition 1.5),  $M_B = S_B$  implies  $r(M_A) = M_B$ . More generally if  $J$  is a closed ideal of  $A$  contained in  $B$ ,  $M_{B/J} = S_{B/J}$  implies  $r(M_A) = M_B$ . The proof of the following theorem is modelled after this observation. If  $J$  is an ideal of  $A$ , we let

$$\text{hull}_A J = \{y \text{ in } M_A : y \text{ contains } J\}.$$

**THEOREM 3.1.** *Let  $J$  be an ideal of  $A$  contained in  $B$  such that either*

(a)  *$\text{hull}_A J$  contains no non-empty perfect subset, and  $r$  restricted to  $\text{hull}_A J$  is one to one, or*

(b)  *$\text{hull}_A J$  is at most countable.*

*Then  $r(M_A) = M_B$ .*

*Proof.* Since  $\text{hull}_A J = \text{hull}_A \bar{J}$ , and  $B$  is closed, we can assume without loss of generality that  $J$  itself is closed. The canonical map  $c_A : A \rightarrow A/J$  induces a homeomorphism  $c_A^* : M_{A/J} \rightarrow \text{hull}_A J$ , and similarly for  $M_{B/J}$  and  $\text{hull}_B J$ , by considering  $c_B^*$ . The injection map from  $B/J$  to  $A/J$  induces the restriction map  $r' : M_{A/J} \rightarrow M_{B/J}$ . Moreover,  $r = c_B^* \circ r' \circ (c_A^*)^{-1}$  on  $\text{hull}_A J$ , and  $r' = (c_B^*)^{-1} \circ r \circ c_A^*$ . Our assumption implies that  $M_{A/J}$  and hence  $r'(M_{A/J})$  contains no non-empty perfect subset. But then  $S_{B/J}$ , which is contained in  $r'(A/J)$  by (i) of Proposition 1.5, cannot contain a non-empty perfect subset. Now, Proposition 2.2 gives  $M_{B/J} = S_{B/J}$ .

Now, again by (i) of Proposition 1.5,  $r'$  is surjective, so that  $r(\text{hull}_A J) = \text{hull}_B J$ . On the other hand, if  $z$  belongs to  $M_B$  but not to  $\text{hull}_B J$ , then the ideal generated by  $z$  in  $A$ , say  $I$ , is proper: Let  $f$  belong to  $J$ , but not to  $z$ . If

$$1 = a_1 f_1 + \dots + a_n f_n$$

with  $a_j$  in  $A$  and  $f_j$  in  $z$ , for  $1 \leq j \leq n$ , then

$$f = (f a_1) f_1 + \dots + (f a_n) f_n.$$

Since  $J$  is an ideal of  $A$ ,  $f a_j$  belongs to  $J$ , and hence to  $B$ . Since  $z$  is an ideal of  $B$ ,  $(f a_j) f_j$  belongs to  $z$ , for  $1 \leq j \leq n$ . This implies that  $f$  is in  $z$ , a contradiction. Thus,  $I$  is a proper ideal of  $A$ . Then  $I$  is contained in some  $y$  in  $M_A$ , and  $r(y) = z$ . We thus have  $r(M_A) = M_B$ .

We now turn our attention to the Silov boundaries for  $A$  and  $B$ . First we state a result involving  $S_A$  and  $\text{hull}_A J$ . Although its proof is the same as in the complex case [8, p. 44], we present it here for the sake of completeness.

**LEMMA 3.2.** *Let  $A$  be a commutative real Banach algebra with 1, and  $J$  an ideal of  $A$ . If  $B$  is a subset of  $A$  which contains  $J$  and such that  ${}_A S_B$  exists, then  $S_A - \text{hull}_A J$  is contained in  ${}_A S_B$ .*

*Proof.* Let  $y$  be in  $S_A - \text{hull}_A J$ , and  $U$  a neighbourhood of  $y$  in  $M_A$ . Since  $\text{hull}_A J$  is closed in  $M_A$ , we can assume without loss of generality that  $U$  does not intersect  $\text{hull}_A J$ . Let  $f$  be in  $A$  such that  $|\hat{f}|(y') = 1$  for some  $y'$  in  $U$ ,  $|\hat{f}| \leq 1$  on  $M_A$  and  $|\hat{f}| \leq 1/2$  on  $M_A - U$ . Since  $y'$  does not belong to  $\text{hull}_A J$ , there exists  $g$  in  $J$  such that  $|\hat{g}|(y') = 1$ . Then  $g_n = gf^n$  belongs to  $J$  and hence to  $B$ , for each  $n$ , and for large enough  $n$ ,  $|\hat{g}_n|$  assumes its maximum only on  $U$ . Thus,  $y$  belongs to  ${}_A S_B$ .

Before we state our final theorem which gives sufficient conditions for  $r(S_A) = S_B$ , we prove another lemma which seems interesting in itself.

**LEMMA 3.3.** *Let the map  $r$  be one to one and onto. If  $y$  in  $S_A$  is isolated in  $S_A$ , then  $r(y)$  belongs to  $S_B$ .*

*Proof.* Let  $y$  in  $S_A$  be isolated in  $S_A$ , and let  $\text{cx}^*(x) = y$ , for some  $x$  in  $S_{\text{ex } A}$ . Then it is clear that  $x$  is isolated in  $S_{\text{ex } A}$ . We show that there exists an open as well as closed subset  $E$  of  $M_{\text{ex } A}$  such that  $E \cap S_{\text{ex } A} = \{x\}$ .

Since  $F = S_{\text{ex } A} - \{x\}$  is closed and is strictly contained in  $S_{\text{ex } A}$ ,  $F$  is not a boundary for  $\text{cx } A$ . Hence there exists an  $h$  in  $\text{cx } A$  such that  $\hat{h}(x) = 1$ , but  $|\hat{h}| < 1$  on  $F$ . Since the topological boundary of  $\hat{h}(M_{\text{ex } A})$  is contained in  $\hat{h}(S_{\text{ex } A})$  [3, p. 10], it follows that  $\{1\}$  is open in  $\hat{h}(M_{\text{ex } A})$ . Let  $E = \{x' \text{ in } M_{\text{ex } A} : \hat{h}(x') = 1\}$ , which is as required.

Let  $G = E \cup \tau(E)$ . Then  $G$  is also open and closed in  $M_{\text{ex } A}$ , and  $G \cap S_{\text{ex } A} = \{x, \tau(x)\}$ . If  $r_{\text{ex}} : M_{\text{ex } A} \rightarrow M_{\text{ex } B}$  is the restriction map, then clearly  $r_{\text{ex}}(G)$  is closed in  $M_{\text{ex } B}$ . Since  $r$  is one to one and onto, by (ii) and (iii) of Proposition 2.3 we obtain

$$M_{\text{ex } B} - r_{\text{ex}}(G) = r_{\text{ex}}(M_{\text{ex } A} - G).$$

Hence  $r_{\text{ex}}(G)$  is also open. By Silov's idempotent theorem [3, p. 88], there exists  $h$  in  $\text{cx } B$  such that  $\hat{h} = 1$  on  $r_{\text{ex}}(G)$ , and  $\hat{h} = 0$  on  $M_{\text{ex } B} - r_{\text{ex}}(G)$ . Thus,  $r_{\text{ex}}(G)$  is a peak set for  $\text{cx } B$ , and as such has non-empty intersection with  $S_{\text{ex } B}$ . Since  $\tau(G) = G$ , it follows that either  $r_{\text{ex}}(x)$  or  $r_{\text{ex}}(\tau(x))$  belongs to  $S_{\text{ex } B}$ . By (i) of Proposition 2.3, then,  $\text{cx}^*(x) = y$  belongs to  $S_B$ .

**THEOREM 3.4.** *Let the map  $r$  be one to one. Let  $J$  be an ideal of  $A$  contained in  $B$  such that  $\text{hull}_A J$  contains no non-empty perfect subset. Then  $r(S_A) = S_B$ .*

*Proof.* First, since  $B$  is closed, by (ii) of Proposition 1.5,  ${}_A S_B$  exists and equals  $S_A \cap r^{-1}(S_B)$ . Also, by Lemma 3.2,  $S_A - \text{hull}_A J$  is contained in it. Thus,  $r(S_A - \text{hull}_A J)$  is contained in  $S_B$ . If we let  $E = S_A - r^{-1}(S_B)$ , this implies that  $\text{hull}_A J$  contains  $E$ .

Next, by Theorem 3.1,  $r(M_A) = M_B$ , so that Lemma 3.3 applies, and if  $y$  is isolated in  $S_A$ , then  $r(y)$  belongs to  $S_B$ . This shows that no  $y$  in  $E$  is isolated in  $E$ . Since  $\text{hull}_A J$  contains no non-empty perfect subset, we conclude that  $E$  must be empty, so that  $r(S_A) = S_B$ .

**COROLLARY 3.5.** *Let  $A$  be a complex function algebra on a compact Hausdorff*

space  $Y$ . Let  $E$  be a subset of  $M_A$ , and for each  $y$  in  $E$ , let  $D_y$  be a continuous point derivation of  $A$  at  $y$ . Let

$$B = \{f \text{ in } A : \hat{f}(y) \text{ and } D_y(f) \text{ real for each } y \text{ in } E\}.$$

Assume that for  $y_1 \neq y_2$  in  $M_A$ , there exists  $f$  in  $B$  such that  $\hat{f}(y_1) = 1$ , and  $\hat{f}(y_2) = 0$ , and that the set

$$\{y \text{ in } M_A : \hat{f} = 0 \text{ on } E \text{ implies } \hat{f}(y) = 0\}$$

is at most countable. Then  $M_B$  is homeomorphic to  $M_A$ , and  $S_B$  to  $S_A$ .

*Proof.* First,  $r : M_A \rightarrow M_B$  is one to one. Let

$$J = \{f \text{ in } A : \hat{f}(y) = D_y(f) = 0 \text{ for each } y \text{ in } E\}.$$

Then by Theorem 3.1  $r(M_A) = M_B$ , and by Theorem 3.4,  $r(S_A) = S_B$ .

*Example 3.6.* The above corollary generalizes Proposition 2.2 of [5] where the set  $E$  was finite. We give here an example to show that it is a strict generalization. Let  $A$  be the standard algebra on the unit circle, and let  $(y_n)$  be a sequence in the open unit disk such that  $\sum_{n=1}^\infty (1 - |y_n|)$  converges and  $(y_n)$  has only one limit point  $y$  on the circle.

Let  $D_{y_n}(f) = (\hat{f})'(y_n)$ , and let  $B$  and  $J$  be as in the above corollary with  $E = \{y_n\}$ . Then, by the factorization theorem for functions in  $A$ ,  $\text{hull}_A J$  consists of  $\{y_n\}$  together with the limit point  $y$ , and for  $y' \neq y''$  in the closed unit disk, there exists  $f$  in  $B$  such that  $\hat{f}(y') = 1$  and  $\hat{f}(y'') = 0$ . Hence  $M_B$  is the closed unit disk and  $S_B$  is the unit circle.

*Added in proof.* We have stated in the beginning of § 2 that  $\text{cx}^* : M_{\text{cx } A} \rightarrow M_A$  is an open map, and referred to Lemma 3.9 of [1] for a proof. We now notice that this proof is incorrect since it assumes that if  $u(x_0) + iv(x_0) = 0$ , then  $u(x_0) = v(x_0) = 0$ , where  $u$  and  $v$  are complex-valued functions. We supply here a valid proof for the openness of  $\text{cx}^*$ . Let  $V$  be an open subset of  $M_{\text{cx } A}$ . To prove  $\text{cx}^*(V)$  is open in  $M_A$ . Since  $\text{cx}^*(V) = \text{cx}^*(\tau(V))$ , we assume without loss of generality that  $V = \tau(V)$ . Let  $y_0 = \text{cx}^*(x_0)$ , with  $x_0$  in  $V$ . There exist  $h_1, \dots, h_k$  in  $\text{cx } A$  such that  $\hat{h}_1(x_0) = \dots = \hat{h}_k(x_0) = 0$ , and an  $\epsilon$ ,  $0 < \epsilon \leq 1/3$ , such that if  $U_m \equiv \{x \text{ in } M_{\text{cx } A} : |\hat{h}_m(x)| < \epsilon, m = 1, \dots, k\}$  then  $\bigcap_{m=1}^k U_m$  is contained in  $V$ .

Let  $f_{m,n} \equiv h_m \sigma(h_n) + h_n \sigma(h_m)$ ,  $m, n = 1, \dots, k$ . Then  $f_{m,n}$  is in  $A$ , and  $|\hat{f}_{m,n}(y_0) - \hat{f}_{m,n}(x_0)| = 0$ . If  $W \equiv \{y \text{ in } M_A : |\hat{f}_{m,n}(y) - \hat{f}_{m,n}(x_0)| < 2\epsilon^4, m, n = 1, \dots, k\}$ , then  $W$  is an open set in  $M_A$  containing  $y_0$ . We show that  $W$  is contained in  $\text{cx}^*(V)$ . Let  $y = \text{cx}^*(x)$ , with  $y$  in  $W$ . We have

$$|\hat{h}_m(x)| |\hat{\sigma}(h_m)(x)| = 1/2 |\hat{f}_{m,m}(y) - \hat{f}_{m,m}(x_0)| < \epsilon^4, \quad 1 \leq m \leq k.$$

Fix  $m$  and  $n$ ,  $1 \leq m, n \leq k$ . We prove that either  $x$  belongs to  $U_m \cap U_n$ , or to  $\tau(U_m) \cap \tau(U_n)$ . Now, either  $|\hat{h}_m(x)| < \epsilon^2$ , or  $|\hat{\sigma}(h_m)(x)| < \epsilon^2$ . Assume first that  $|\hat{h}_m(x)| < \epsilon^2$ . If  $|\hat{h}_n(x)| < \epsilon^2$ , then since  $\epsilon < 1$ ,  $x$  belongs to  $U_m \cap U_n$ , while if

$|\hat{h}_n(x)| \geq \epsilon^2$ , then  $|\hat{\sigma}(h_n)(x)| < \epsilon^2$ . In this case we claim that  $|\hat{\sigma}(h_m)(x)| < \epsilon$ , so that  $x$  belongs to  $\tau(U_m) \cap \tau(U_n)$ . For, if  $|\hat{\sigma}(h_m)(x)| \geq \epsilon$ , then

$$\begin{aligned} \epsilon^3 - \epsilon^4 &< |\hat{h}_n(x)\hat{\sigma}(h_m)(x)| - |\hat{h}_m(x)\hat{\sigma}(h_n)(x)| \\ &\leq |\hat{f}_{m,n}(x)| = |\hat{f}_{m,n}(y)| < 2\epsilon^4. \end{aligned}$$

But this is impossible since  $\epsilon \leq 1/3$ . Next, assume that  $|\hat{\sigma}(h_m)| < \epsilon^2$ . Then the above argument goes through if we interchange  $h_m$  and  $\sigma(h_m)$ , and  $h_n$  and  $\sigma(h_n)$ . Thus we see that  $x$  belongs to  $U_m \cap U_n$ , or to  $\tau(U_m) \cap \tau(U_n)$ . Since this is true for every  $m, n = 1, \dots, k$ , either  $x$  belongs to  $\bigcap_{m=1}^k U_m$ , or to  $\bigcap_{m=1}^k \tau(U_m)$ . In any case  $x$  belongs to  $V$ , since  $V = \tau(V)$ . Hence  $\text{cx}^*(V)$  contains  $W$ .

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