

A Casselman–Shalika Formula for the Shalika Model of GL_n

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Abstract. The Casselman–Shalika method is a way to compute explicit formulas for periods of irreducible unramified representations of p -adic groups that are associated to unique models (*i.e.*, multiplicity-free induced representations). We apply this method to the case of the Shalika model of GL_n , which is known to distinguish lifts from odd orthogonal groups. In the course of our proof, we further develop a variant of the method, that was introduced by Y. Hironaka, and in effect reduce many such problems to straightforward calculations on the group.

1 Introduction

Let $G = GL_{2n}(F)$, where F is a non-archimedean local field. Let S denote the “Shalika subgroup”, consisting of matrices of the form

$$(1) \quad \begin{pmatrix} g & \\ & g \end{pmatrix} \cdot \begin{pmatrix} I & X \\ & I \end{pmatrix}$$

with $g \in GL_n(F)$ and $M \in Mat_n(F)$, and let ψ be an additive character of F whose conductor is the ring of integers \mathfrak{o} . The expression

$$(2) \quad \psi(\mathrm{tr}(X))$$

defines a character Ψ on the Shalika subgroup. By a Shalika model for a smooth representation π of G we mean a G -equivariant morphism from the space of π into the representation induced from the character Ψ on S , which can be realized as the space of functions f on G such that

$$(3) \quad f(sh) = \Psi(s)f(h)$$

for all $s \in S, h \in G$.

It is known from the work of Jacquet and Rallis [13] that every irreducible admissible representation π of GL_n over a non-archimedean local field possesses at most one (up to scaling by a constant factor) Shalika model. (Uniqueness at the archimedean places is proven by Ash and Ginzburg [1].) Furthermore, it is proven in [13] that the

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existence of those models implies that π is self-contragredient.¹

In this article we consider irreducible spherical representations (*i.e.*, possessing a vector invariant under the maximal compact subgroup), which we realize as (irreducible factors of) unramified principal series, *i.e.*, induced from an unramified character of the Borel subgroup of upper triangular matrices. Although I am not aware of any proof in print, it has probably long been known that a principal series of GL_n over a local field admits a Shalika functional if and only if² the induction data is of the form

$$(\chi_1, \chi_2, \dots, \chi_n, \chi_n^{-1}, \dots, \chi_2^{-1}, \chi_1^{-1})$$

(or a permutation of those). This is the image of lifts from SO_{2n+1} .³ This existence theorem also follows from our arguments here (*cf.* Sections 5 and 7)

Our goal in this paper is to compute an explicit formula for the spherical vector in the Shalika model of $I(\chi)$. For $n = 1$ the Shalika model coincides with the Whittaker model and the result is well known. For $n = 2$ we have an isomorphism $PGL_4 = PGSO_6$ and the Shalika model coincides with the “Whittaker-orthogonal” model for $PGSO_6$ considered by Bump, Friedberg and Ginzburg [5], therefore our result is also known in this case. The result for $n \geq 3$ is new. However, in the degenerate case where the spherical decomposition factor of the representation is induced from a character of the maximal parabolic with Levi factor $GL_n \times GL_n$, the spherical vector in the Shalika model for $F = \mathbb{Q}_p$ has a very interesting form, which has been computed by F. Sato [16]; its value on elements of the form

$$\left(\begin{array}{cccc|c} p^{\lambda_1} & & & & \\ & p^{\lambda_2} & & & \\ & & \ddots & & \\ & & & p^{\lambda_n} & \\ \hline & & & & I \end{array} \right)$$

is equal to the product of an elementary factor with a certain generating function for subgroups of the finite abelian p -group $\mathbb{Z}/p^{\lambda_1} \times \mathbb{Z}/p^{\lambda_2} \times \dots \times \mathbb{Z}/p^{\lambda_n}$. In the case $n = 2$, the latter was also proven by Bump and Beineke [2].

Our formula for the spherical vector appears in Section 2 after some necessary definitions have been given.

Shalika models first appeared in the work of Jacquet and Shalika [14]; they constructed a Rankin–Selberg integral for the exterior-square L-function:

$$(4) \quad L(s, \pi, \wedge^2 \rho)$$

¹Jacquet and Rallis require that the field is of characteristic zero, although it is not clear to me whether they need this requirement. In any case, one can prove uniqueness specifically for the unramified principal series, and for characters in generic position, by a double coset decomposition $P \backslash G/S$, where P is a Borel subgroup (an extension of our arguments in Section 5). Uniqueness for characters in generic position is enough for our purposes (it gives the correct formula for a spherical function in the Shalika model, even if it is not everywhere unique), therefore we will not impose this condition on the field.

²With some additional complications for the degenerate, *i.e.*, reducible, case, which we explain later.

³Notice that although supercuspidal lifts as well as global automorphic lifts from SO_{2n} and SO_{2n+1} are conjecturally disjoint, the spherical lifts are not disjoint and therefore a principal series as above could also be a lift from an even orthogonal group.

where π is an irreducible unitary cuspidal representation of $GL_{2n}(\mathbb{A}_F)$ (F now a global field) and ρ is the standard representation of $GL_{2n}(\mathbb{C})$. They showed that this L-function has at most a simple pole at $s = 1$, whose residue is given by:

$$(5) \quad \int_{[PGL_n]} \int_{[Mat_n]} \phi \left(\begin{pmatrix} g & \\ & g \end{pmatrix} \cdot \begin{pmatrix} I & X \\ & I \end{pmatrix} h \right) \psi(\text{tr}(X)) dXdg.$$

(Here, brackets denote the quotient of adelic points modulo F -points, ϕ is a vector in the space of π , ψ a character of the adeles, and we assume a trivial central character.) This integral clearly represents a global Shalika functional. Therefore, the exterior-square L-function has a pole at $s = 1$ if and only if π admits a global (nonzero) Shalika model.

Subsequently, Ginzburg, Rallis and Soudry [11] proved that an automorphic representation induced from cuspidal data $\pi_1, \pi_2, \dots, \pi_r$ is a (weak) lift from SO_{2n+1} to GL_{2n} (corresponding to an inclusion of the corresponding connected-L-groups: $Sp_{2n}(\mathbb{C}) \rightarrow GL_{2n}(\mathbb{C})$) if and only if the exterior-square L-functions of all induction data have a pole at $s = 1$, in particular, for cuspidal representations the existence of global Shalika models characterizes (weak) lifts from SO_{2n+1} .

In a different direction, Bump and Friedberg [4] constructed a Rankin–Selberg integral for the product of two L-functions:

$$(6) \quad L(s_1, \pi)L(s_2, \pi, \wedge^2 \rho)$$

(with π, ρ as above). The residue of that integral at $s_2 = 1$ is given by:

$$(7) \quad \int_{[(GL_n/Z) \times (GL_n/Z)]} \phi \left(\begin{pmatrix} g_1 & \\ & g_2 \end{pmatrix} \right) \left| \frac{\det(g_1)}{\det(g_2)} \right|^{s_1-1/2} dg_1 dg_2.$$

The latter was examined by Friedberg and Jacquet [9], who showed that it is nonzero for some ϕ in the space of π if and only if $L(s, \pi, \wedge^2 \rho)$ has a pole at $s = 1$. In that case, the latter integral unfolds to an integral involving the global Shalika model for π , and for some ϕ it represents the L-function $L(s_1, \pi)$.

The formula that we give could be useful in studying Rankin–Selberg integrals which unfold to the Shalika model, although we must note that the Rankin–Selberg integrals discussed above have already been examined without use of such a formula. In addition, Shalika models appear as Fourier coefficients of Eisenstein series on GL_{2n} . (This is the motivation behind the aforementioned work of Beineke and Bump [2] and of Sato [16] on the degenerate case.) One could also use the formula to do explicit harmonic analysis on the space $\text{Ind}_S^G(\Psi)$. Finally, the methods that we use reduce the computation of such a formula to a straightforward calculation on the group, and therefore their scope extends beyond the Shalika model.

For the proof, we follow the method initiated in Casselman [7] and Casselman and Shalika [8]. The basic ingredient there is to express the spherical vector in the so-called ‘‘Casselman basis’’ of $I(\chi)^B$, *i.e.*, invariants of the standard Iwahori subgroup B . This basis is indexed by elements of the Weyl group, and the final formulas are expressed as sums over the Weyl group. This method [7, 8] is used to prove Macdonald’s formula for the spherical functions and an explicit formula (first proven by

Shintani for GL_n and Kato for Chevalley groups) for the spherical Whittaker function.

However, one runs into computational difficulties in other cases. We explain them briefly in Section 4. Therefore, attempts to apply this method to other models have typically involved tedious calculations and arguments. An alternative introduced by Y. Hironaka [12] provides a framework of much wider applicability and smaller complexity. The basic new idea here is to express in the Casselman basis, instead of the spherical vector, a suitable projection of the functional which is used to define the model. We also explain this in Section 4.

Subsequently, this variant was used successfully by Mao and Rallis (unpublished preprint) and by Omer Offen [15] to examine certain models of self-contragredient principal series, *i.e.*, representations like the ones that we, too, are considering here. They found that some of the terms in the Casselman–Shalika formula vanish in those cases, for instance, in the work of Offen the final formula is a sum over the Weyl group, not of GL_{2n} , but of Sp_{2n} . This should be relevant to the fact that the representation is a lift from SO_{2n+1} , whose L-group is $Sp_{2n}(\mathbb{C})$.

The arguments that we use are very close to the ones used in [15]. However, there is no explicit consideration of a symmetric space, and at several points we have used different arguments, which are easily applied in many different contexts, as we explain in Section 9.

An outline of the paper is as follows: in Section 2 we introduce notation and state our main theorem. In Section 3 we show that there exists an open orbit of the Shalika subgroup in the flag variety of G . This fact is closely related to the uniqueness of Shalika models, but we do not expand more on this idea here. In Section 4 we review the Casselman–Shalika method and its variant introduced by Hironaka. In Section 5 we show (as in [15]) that the only terms which appear in the final formula are those which correspond to the Weyl group of Sp_{2n} . We also show that the Shalika functional, when applied to functions which are supported on the open orbit, has a simple integral representation. In Section 6 we use this integral representation to perform a simple calculation and in Section 7 we show that this integral representation is valid even for functions not supported on the open orbit, provided that the inducing parameters for $I(\chi)$ lie in some open subregion. We also use Bernstein’s Theorem to show that the result should vary rationally with the induction data, therefore allowing us to focus on the region of convergence only. In Section 8 we complete the proof by computing the effect of intertwining operators on the Shalika functional. Finally, Section 9 contains a discussion of how one might use our methods to compute (asymptotic, in general) values of the spherical vector in any unique model induced from a character of a closed algebraic subgroup of a split reductive group.

2 Preliminaries and Statement of the Result

By F we will denote a non-archimedean local field, by \mathfrak{o} its ring of integers, by q the order of its residue field and by ϖ a uniformizing element. The group $GL_{2n}(F)$ will be denoted by G and its Borel subgroup of upper triangular matrices by P . We let $\chi = (\chi_1, \chi_2, \dots, \chi_{2n})$ denote an unramified character of P and $I(\chi)$ the smooth unramified principal series representation of G , obtained by (normalized) induction

from χ . In other words, $I(\chi)$ consists of all smooth, *i.e.*, locally constant, functions on G which satisfy:

$$(8) \quad f(pg) = \chi\delta^{\frac{1}{2}}(p)f(g)$$

for every $p \in P, g \in G$, where

$$\chi \left(\begin{pmatrix} a_1 & * & * & \cdots \\ & a_2 & * & \cdots \\ & & \ddots & \\ & & & a_{2n} \end{pmatrix} \right) = \chi_1(a_1) \cdots \chi_{2n}(a_{2n})$$

and $\delta = (|\cdot|^{2n-1}, |\cdot|^{2n-3}, \dots, |\cdot|^{-2n+1})$ is the modular character (the quotient of the right and left Haar measures on P). The action of G is by right translations $R_g f(x) = f(xg)$.

The Shalika subgroup S consists of matrices of the form:

$$(9) \quad s = \begin{pmatrix} g & \\ & g \end{pmatrix} \cdot \begin{pmatrix} I & X \\ & I \end{pmatrix}$$

and we use an additive character ψ whose conductor is the ring of integers \mathfrak{o} to define a character $\Psi(s) = \psi(\text{tr } X)$ on S . The space of the smooth induced representation $\text{Ind}_S^G(\Psi)$ consists of all locally constant functions f that satisfy:

$$(10) \quad f(sg) = \Psi(s)f(g)$$

for every $s \in S, g \in G$.

For any irreducible representation π , a G -equivariant morphism⁴

$$(11) \quad \pi \rightarrow \text{Ind}_S^G(\Psi)$$

is equivalent to a “Shalika functional” Λ on the space of π , satisfying:

$$(12) \quad \Lambda(\pi(s)v) = \Psi(s)\Lambda(v)$$

for every $s \in S, v$ in the space of π . Indeed, the function $f_v(g) = \Lambda(\pi(g)v)$ will belong to $\text{Ind}_S^G(\Psi)$ and, conversely, given such a morphism, the functor “evaluation at $1 \in G$ ” defines such a functional.

We will assume that χ is of the form:

$$(13) \quad \begin{aligned} \chi &= (\chi_1, \chi_2, \dots, \chi_n, \chi_n^{-1}, \dots, \chi_1^{-1}) \\ &= (|\cdot|^{z_1}, |\cdot|^{z_2}, \dots, |\cdot|^{z_n}, |\cdot|^{-z_n}, \dots, |\cdot|^{-z_1}) \end{aligned}$$

⁴We use the word “model” for such a morphism, although, strictly speaking, one should use the word “model” if the morphism is injective.

denote positive roots (under the standard choice of those). For each root α , we let $\check{\alpha}$ denote the corresponding coroot.

Our main theorem is:

Theorem 2.1 For a suitable normalization (given by (79)), the spherical Shalika function of $I(\chi)$ is

$$(16) \quad \Omega(g_\lambda) = \begin{cases} \prod_{\alpha \in \Phi_{\text{sp}}^+} (1 - q^{-1} e^{\check{\alpha}}) \delta^{\frac{1}{2}}(g_\lambda) \\ \quad \times \mathcal{A}(e^{\check{\rho} + \lambda} \prod_{\alpha \in \Phi_{\text{sp}}^{s+}} (1 - q^{-1} e^{-\check{\alpha}})) (g_\lambda) & \text{if } \lambda_1 \geq \dots \geq \lambda_n \geq 0, \\ 0 & \text{otherwise.} \end{cases}$$

where $\check{\rho} = \frac{1}{2} \sum_{\alpha \in \Phi_{\text{sp}}^+} \check{\alpha}$ and \mathcal{A} denotes the “alternator”

$$\mathcal{A}(\cdot) = \sum_{w \in \Gamma} (-1)^{l(w)} w(\cdot)$$

($l(w)$ is the length of w in Γ).

This statement entails the claim that there exists a non-zero Shalika functional on $I(\chi)$ whenever the above expression is non-zero. This happens exactly when χ is regular, i.e., the matrix element g_χ is regular, and the spherical vector generates the principal series as a G -module (cf. Section 8).

The reader who would like to avoid the L-group formalism should take $e^{\check{\alpha}}(\chi)$ to mean $\chi(a_\alpha)$ where, if α is the root $\text{diag}(t_1, t_2, \dots, t_{2n}) \mapsto t_i t_j^{-1}$, then a_α is the diagonal element with ϖ on the i -th line, ϖ^{-1} on the j -th line and 1's otherwise, and $e^{\check{\lambda}}(\chi)$ to mean $\chi(g_\lambda)$. Then under a different normalization from above, the formula for the spherical Shalika function (for $\lambda_1 \geq \dots \geq \lambda_n \geq 0$) reads:

$$(17) \quad \Omega(g_\lambda) = \sum_{w \in \Gamma} (-1)^{l(w)} \prod_{\substack{\alpha \in \Phi_{\text{sp}}^+ \\ w\alpha < 0}} \chi(a_\alpha) \prod_{\substack{\alpha \in \Phi_{\text{sp}}^{s+} \\ w\alpha < 0}} \frac{1 - q^{-1} \chi(a_{-\alpha})}{1 - q^{-1} \chi(a_\alpha)} \chi \delta^{\frac{1}{2}}(g_\lambda).$$

The passage from one expression to the other is explained at the end of Section 8.

We shall also make use of the notation described below. There exists a canonical surjection $\mathcal{P}_\chi : C_c^\infty(G) \rightarrow I(\chi)$ given by:

$$(18) \quad \mathcal{P}_\chi(f)(g) = \int_P \chi^{-1} \delta^{\frac{1}{2}}(p) f(pg) dp,$$

where the measure on P will always be taken to be left Haar measure. Under this mapping, ϕ_K is just the image of the characteristic function 1_K of K . Similarly, let B denote the standard Iwahori subgroup of K , consisting of matrices in K which are upper triangular modulo the prime \mathfrak{p} of F , in other words, whose entries below the diagonal belong to \mathfrak{p} . Now K has a Bruhat decomposition with respect to B : $K = \bigcup_{w \in W} BwB$ (disjoint). We will denote $\phi_{BwB} = \mathcal{P}_\chi(1_{BwB})$. When the character

χ to which we are referring is not obvious from the context, it will also appear as a subscript.

We denote by A the maximal split torus of diagonal matrices, by N the unipotent radical of the Borel subgroup, consisting of upper triangular matrices with 1's on the diagonal, and by N^- the opposite unipotent subgroup. For every root $\alpha \in \Phi$, N^α will denote the image of standard embedding corresponding to α of the additive algebraic group $\mathbb{G}_a \rightarrow N$ (or N^- if the root is negative), *i.e.*, if α is the root $t_i t_j^{-1}$, then N^α will consist of matrices with 1's on the diagonal and zeroes elsewhere, except for the ij -th position. There exists a measure-preserving factorization,

$$(19) \quad N = \prod_{\alpha \in \Phi_{GL}^+} N^\alpha$$

the product being taken in any order. The image of $\mathbb{G}_a(\mathfrak{o})$ under the above embedding will be denoted by N_0^α and the image of $\mathbb{G}_a(\mathfrak{p}^i)$ by N_i^α . In general, a subscript 0 will denote intersection with K , *e.g.*, P_0, N_0, S_0 . And N_1 will denote the above product with N_1^α 's instead of N^α , and N_1^- will denote its transpose. We will also use P^α to denote that in the factorization $P = A \cdot N$ the N factor belongs to N^α . Also, for a simple root α , we will use $N^{\hat{\alpha}}, P^{\hat{\alpha}}$ to denote that the N^α -factor is missing (in other words, the (i, j) -th entry is 0, where now $j = i \pm 1$). If α is a simple root, the simple reflection corresponding to it will be denoted by w_α . We will denote the longest Weyl group element (both in W and in Γ) by w_l , and we shall identify elements in W with permutation matrices having only 1's and 0's as entries.

The embedding $Sp_{2n} \rightarrow GL_{2n}$ induces, dually, a ‘collapse’ of the roots of GL_{2n} to the roots of Sp_{2n} and identifies Γ as a subgroup of the Weyl group W of GL_{2n} . The map

$$\Phi_{GL} \rightarrow \Phi_{Sp}$$

is one-to-one onto the set Φ_{Sp}^L of long roots of Sp_{2n} and two-to-one onto the set Φ_{Sp}^S of short roots. If α and β are two distinct roots in Φ_{GL} that collapse to the same short root in Φ_{Sp} , we will write $\beta = \tilde{\alpha}$. For long roots, we adopt the convention $\tilde{\alpha} = \alpha$.

3 The Open Orbit

A functional L on $I(\chi)$ corresponds to a distribution D on G such that (by abuse of notation)

$$(20) \quad D(pg) = \chi^{-1} \delta^{\frac{1}{2}}(p) D(g)$$

for every $p \in P, g \in G$. The correspondence is given by:

$$(21) \quad L(\mathcal{P}_\chi(f)) = D(f) = \int_G D(x) f(x) dx,$$

where $f \in C_c^\infty(G)$, and we have used the usual integral notation for distributions.

This identifies the dual $I(\chi)^*$ of $I(\chi)$ with the space of distributions satisfying (20). The *smooth* dual of $I(\chi)$ is the subspace $I(\chi^{-1}) \subset I(\chi)^*$.

Let Δ be the distribution which corresponds to the Shalika functional Λ . It satisfies the stronger relation,

$$(22) \quad \Delta(pgs) = \chi^{-1}\delta^{\frac{1}{2}}(p)\Delta(g)\Psi^{-1}(s)$$

for every $p \in P, g \in G, s \in S$. Therefore Δ is fully determined by its “values” on a set of representatives of double $P \backslash G / S$ cosets.

We first prove:

Lemma 3.1 *Let*

$$(23) \quad \xi = \begin{pmatrix} & I \\ w_0 & \end{pmatrix}$$

where by w_0 we denote the $n \times n$ matrix

$$\begin{pmatrix} & & & 1 \\ & & 1 & \\ & \dots & & \\ 1 & & & \end{pmatrix}.$$

Then the conjugate $H = \xi S \xi^{-1}$ of S has the property that $P \cdot H$ is Zariski open in G . Equivalently, the open double coset $P \xi S$ is Zariski open.

Proof Since

$$\xi \begin{pmatrix} g & X \\ & g \end{pmatrix} \xi^{-1} = \begin{pmatrix} g & \\ w_0 X & w_0 g \end{pmatrix}$$

(the exponent on the left denotes conjugation), the Lie algebra of S consists of matrices of the form

$$(24) \quad \begin{pmatrix} A & \\ B & w_0 A \end{pmatrix}.$$

It is then obvious that it is complementary to the Lie algebra of the Borel subgroup, hence the differential of the multiplication morphism $P \times H \rightarrow G$ is surjective at the identity, therefore the image is Zariski open. ■

Since G is irreducible as a variety, $P \xi S$ is the only open double $(P \backslash, / S)$ -coset.

Because of the above lemma, it will be more natural in most of the proof to deal with the subgroup H instead of S . Therefore, let us see how things translate to this subgroup. We consider the character Ψ_H on H defined by $\Psi_H(h) = \Psi(\xi^{-1}h\xi)$. There is a bijection $\text{Ind}_S^G(\Psi) \rightarrow \text{Ind}_H^G(\Psi_H)$ given by $f \mapsto f_H$ where

$$(25) \quad f_H(g) = f(\xi^{-1}g).$$

Composing with the Shalika map we get a morphism $I(\chi) \rightarrow \text{Ind}_H^G(\Psi_H)$. Let Λ_H denote the corresponding functional and Δ_H the corresponding distribution, which satisfies:

$$(26) \quad \Delta_H(pgh) = \chi^{-1} \delta^{\frac{1}{2}}(p) \Delta_H(g) \Psi_H^{-1}(h)$$

for every $p \in P, g \in G, h \in H$.

The spherical vector in the model induced from H will be given by

$$(27) \quad \Omega_H(g) = \Omega(\xi^{-1}g) = \Omega(\xi^{-1}g\xi)$$

(where we used the fact that $\xi \in K$), and in particular for the representatives g_λ as in (15) we get:

$$(28) \quad \Omega(g_\lambda) = \Omega_H(\xi g_\lambda) = \Omega_H \left(\begin{pmatrix} I & \\ & w_0 \varpi^\lambda \end{pmatrix} \right) = \Omega_H \left(\begin{pmatrix} \varpi^{-\lambda} & \\ & I \end{pmatrix} \right).$$

We caution the reader that while we are looking at the representatives g_λ when referring to Ω , we are looking at $g_{-\lambda}$ when referring to Ω_H .

4 The Casselman Basis

In this section we summarize the method of Casselman and Shalika and the variant of it that arises from the work of Hironaka. The reader who is already familiar with this method and would like to skip this section should only keep in mind that our goal in the rest of the paper will be to compute the expression (38) which appears at the end of this section.

The basic philosophy of the method is the following: remember that the behavior of the distribution Δ_H is determined modulo P on the left and H on the right. The expression $\Omega_H(g_{-\lambda})$ involves the behavior of the distribution Δ_H on the set Kg_λ , which intersects many $P \backslash G/H$ double cosets, and as such is difficult to handle. On the other hand, we show that for functions $\phi \in I(\chi)$ supported in $P \cdot H$, the Shalika functional has the simple integral expression:

$$(29) \quad \Lambda_H(\phi) = \int_{H'} \phi(h) \Psi^{-1}(h) dh,$$

where H' is some quotient of H .

Now, the computation is carried out by exploiting two facts:

- (i) It happens that for some $w \in W, BwBg_\lambda \subset PH$ for all λ . This allows us to compute the effect of the Shalika functional on $R_{g_{-\lambda}} \phi_{BwB}$ by using (29).
- (ii) The symmetries of $I(\chi)$ allow us to extend the computation to all other Iwahori-invariants, *i.e.*, elements of $I(\chi)^B$.

The second point certainly needs some clarification (and besides, is only true in a very rough sense). By “symmetries” we mean the fundamental fact that for χ in general position (which means that the numbers $\pm z_i \pm \frac{1}{2}$ are all distinct and hence

$I(\chi)$ is irreducible), $I(\chi)$ is isomorphic to $I({}^w\chi)$ for every $w \in W$. This is demonstrated by the intertwining operators $T_w: I(\chi) \rightarrow I({}^w\chi)$, which are G -equivariant maps (unique up to scaling); for elements of $I(\chi)$ with support in $\bigcup_{w' \neq w^{-1}} Pw'P$ they are given by the integral:

$$(30) \quad T_w\phi(1) = \int_{wNw^{-1} \cap N \setminus N} \phi(w^{-1}n) \, dn = \int_{\prod_{\alpha > 0, w^{-1}\alpha < 0} N^\alpha} \phi(w^{-1}n) \, dn.$$

(Remember that for us w is represented by a permutation matrix.)

The connection between intertwining operators and Iwahori-invariants arises from the fact that the operators are “dual” to $I(\chi)^B$ in a natural way. If we consider the functionals on $I(\chi)$ defined by $\phi \mapsto T_w(\phi)(1)$, $w \in W$, restricted to $I(\chi)^B$ (B -invariants), then these form a basis for the dual of $I(\chi)^B$. This was proved by Casselman [7].

Exploiting this fact involves yet another complication: $I(\chi)$ has two natural bases. One is $\{\phi_{BwB}\}_w$, which has already been introduced. This basis is suitable for computations using integral expressions like (29). The second one is the basis which is dual to the functionals coming from intertwining operators that were mentioned above. This is the “Casselman basis” $\{f_w\}_w$. This basis is useful if we have already computed the effect of the Shalika functional on an element of this basis and wish to extend the computation to all elements.

It is essential to establish a connection between the two bases. The only immediate relation is that $\phi_{Bw_1B} = f_{w_1}$. Therefore, a good starting point would be to compute the effect of the Shalika functional on g_λ -translates of ϕ_{Bw_1B} . This is the approach originally followed by Casselman and Shalika when computing Whittaker vectors.

However, for most of the subgroups H in which we are interested, we cannot expect Bw_1B -translates to belong to a single double $P \setminus G/H$ coset, either. It will, on the contrary, usually be the case (and the original work of Casselman and Shalika, as well as much of similar subsequent work, can be reformulated in these terms) that suitable translates of B will belong to a single double coset. This makes it possible to compute the effect of the Shalika functional on translates of ϕ_B . But now we have the problem of connecting to the Casselman basis. The work of Hironaka [12] shows how to do that.

The basic new idea is that, instead of expressing ϕ_K in the Casselman basis, one expresses the projection of the distribution Δ_H to B -invariants in that basis. We explain it below.

There is a natural projection from the space of distributions D satisfying (20) to $I(\chi^{-1})^B$ given by:

$$(31) \quad R_B D(g) = \int_B D(gb) \, db = \int_G D(b) 1_B(g^{-1}b) \, db.$$

It will not impede our computation to apply this projection since

$$(32) \quad \Omega_H(g) = \Delta_H(R_g 1_K) = R_{g^{-1}} \Delta_H(1_K) = R_B R_{g^{-1}} \Delta_H(1_K).$$

Here we have used the facts that $R_{g^{-1}} = R_g^*$ (the adjoint of R_g), that $R_B^* = R_B$ and that 1_K is B -invariant.

Our goal will be to express $R_B R_{g^{-1}} \Delta_H$ in the Casselman basis:

$$(33) \quad R_B R_{g^{-1}} \Delta_H = \sum_w a_w(g) f_w.$$

Based on the work of Casselman and Hironaka (we refer the reader to [12, 15] for details), the coefficients a_w will be given by:

$$(34) \quad a_w(g) = \frac{c_w(\chi^{-1})}{c_{w^{-1}}({}^w\chi)} T_{w^{-1}}^* \Delta_H(R_g 1_{B, {}^w\chi}),$$

where

$$(35) \quad c_w(\chi) = \prod_{\substack{\alpha > 0 \\ w\alpha < 0}} c_\alpha(\chi)$$

and

$$(36) \quad c_\alpha(\chi) = \frac{1 - q^{-1}\chi(a_\alpha)}{1 - \chi(a_\alpha)} = \frac{1 - q^{-1}e^{\dot{\alpha}}}{1 - e^{\dot{\alpha}}}(g_\chi).$$

So we need to compute $T_{w^{-1}}^* \Delta_H(R_g 1_{B, {}^w\chi})$. Notice that by the correspondence between functionals on $I(\chi)$ and certain distributions on G , we freely apply T_w^* , the adjoint of T_w , to the distribution Δ_H .

Finally, Casselman [7, Section 4] computed the effect of $f_{w, \chi^{-1}}$ (now thought of as an element of $I(\chi)^*$) on ϕ_K :

$$(37) \quad f_{w, \chi^{-1}}(\phi_{K, \chi}) = Q^{-1} \frac{c_{w_l}({}^w\chi)}{c_w(\chi^{-1})},$$

where Q is some constant independent of χ . More precisely, Q is the harmonic mean of the numbers $(BwB : B)$, $w \in W$, i.e.,

$$Q^{-1} = \sum_w (BwB : B)^{-1} = \frac{\text{meas}(Bw_l B)}{\text{meas}(K)}.$$

Therefore, knowledge of the coefficients $a_w(g)$ allows us to compute $\Omega(g)$. If we combine the equations above, the c -factors from (34) and (37) will simplify to give:

$$\frac{c_{w_l}({}^w\chi)}{c_{w^{-1}}({}^w\chi)},$$

which equals

$$\prod_{\substack{\alpha \in \Phi_{GL}^+ \\ w\alpha > 0}} c_\alpha(\chi).$$

So finally we get

$$(38) \quad \Omega_H(g) = Q^{-1} \sum_w \prod_{\substack{\alpha \in \Phi_{GL}^+ \\ w\alpha > 0}} c_\alpha(\chi) T_{w^{-1}}^* \Delta_H(R_g 1_{B, {}^w\chi}).$$

5 Vanishing Results and the Integral on the Open Orbit

Remember that

$$\Omega(g_\lambda) = \Omega_H \left(\begin{pmatrix} \varpi^{-\lambda} & \\ & I \end{pmatrix} \right)$$

and $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$.

The role of the character Ψ is to make $\Omega(g_\lambda)$ vanish if not all $\lambda_i \geq 0$. It will appear soon why this is crucial for our method. Let $X \in \text{Mat}_n(\mathfrak{o})$. We have:

$$\Omega(g_\lambda) = \Omega \left(g_\lambda \cdot \begin{pmatrix} I & X \\ & I \end{pmatrix} \right) = \Omega \left(\begin{pmatrix} I & \varpi^\lambda X \\ & I \end{pmatrix} \cdot g_\lambda \right) = \psi(\text{tr}(\varpi^\lambda X)) \Omega(g_\lambda).$$

So if $\varpi^\lambda \notin \text{Mat}_n(\mathfrak{o})$, we can find X such that $\psi(\text{tr}(\varpi^\lambda X)) \neq 0$. From this it follows that $\Omega(g_\lambda) = 0$.

We are left with computing $T_{w^{-1}}^* \Delta_H(R_g 1_{B, w\chi})$ for $g = \begin{pmatrix} \varpi^{-\lambda} & \\ & I \end{pmatrix}$ where $\lambda_1 \geq \dots \geq \lambda_n \geq 0$. The function $R_g 1_B$ is supported on the set Bg^{-1} .

Lemma 5.1 For those $g, Bg^{-1} \subset P \cdot H$.

Proof By the Iwahori factorization for $B, B = P_0 N_1^-$, it suffices to show that $N_1^- g^{-1} \subset P \cdot H$. But for g as above, $g N_1^- g^{-1} \subset N_1^{-1}$ and $g \in P$. Therefore there remains to show that

$$(39) \quad N_1^- \subset P \cdot H.$$

We show something stronger, because it will be needed later. Namely, we prove that

$$(40) \quad N_1^{-\alpha} \subset P_0^{\alpha, \tilde{\alpha}} H_0$$

where $P_0^{\alpha, \tilde{\alpha}} = P_0^\alpha \cdot P_0^{\tilde{\alpha}}$.

This is essentially a simple approximation argument on the Lie algebra. Write a given element of N_1^- as $I + n_1$, then the entries of n_1 will be in \mathfrak{p} . We argued above that the Lie algebras of H and P are complementary, therefore we can find an integral matrix h_1 of the form (24), with coefficients in \mathfrak{p} such that $p_1 = n_1 + h_1$ is upper triangular. In fact, we can arrange so the entries of p_1 above the diagonal will only be non-zero in the α and $\tilde{\alpha}$ positions. We will then have $(I + n_1)(I + h_1) = I + n_1 + h_1 + n_1 h_1 = (\text{upper triangular}) + n_2$, where n_2 has coefficients in \mathfrak{p}^2 . Then similarly we will find h_2 with coefficients in \mathfrak{p}^2 of the form (24) such that $n_2 + h_2$ is upper triangular, etc, and then the converging sum $h = I + h_1 + h_2 + \dots$ will satisfy

$$(I + n_1)h \in P_0^{\alpha, \tilde{\alpha}}. \quad \blacksquare$$

For elements of $I(\chi)$ with support in $P \cdot H$, Λ_H will have a very simple form. We first prove a vanishing result as in [15].

Proposition 5.2 For $w \notin \Gamma$, the distribution $T_{w^{-1}}^* \Delta_H$ is supported away from $P \cdot H$.

Corollary 5.3 For $w \notin \Gamma$, $a_w(g_\lambda) = 0$ for every λ .

Proof It follows immediately from the above lemma and (38). ■

Corollary 5.4 If χ is not of the form (13) (or a W -translate of this), then there exists no Shalika model for $I(\chi)$.

Proof Indeed, following the proof of the proposition, all Casselman coefficients in that case would vanish. ■

Corollary 5.5 For $w \in \Gamma$, $\phi \in I({}^w\chi)$ with $\text{supp } \phi \subset P \cdot H$,

$$(44) \quad \Lambda_H(\phi) = \int_{P \cap H \backslash H} \phi(h) \Psi_H^{-1}(h) dh$$

Proof This follows from (42). ■

Notice that the functional defined by this integral is clearly non-zero, as it lifts to the non-zero distribution $\Psi_H^{-1} dh$ on $C_c^\infty(H)$.

6 Dependence on λ

There is an alternative expression to (44) which is going to be useful later: if $\phi = \mathcal{P}_\chi(f)$ with $f \in C_c^\infty(G)$, then combining the integral expression (18) for \mathcal{P}_χ with that of (44) we get

$$(45) \quad \Delta_H(f) = \int_{PH} \chi^{-1} \delta^{\frac{1}{2}}(p(x)) f(x) \Psi_H^{-1}(h(x)) dx.$$

Here the measure is Haar measure on G ; remember that $P \cdot H$ is open and dense in G . The symbols $p(x) \in P$ and $h(x) \in H$ correspond to a factorization of x : $x = p(x)h(x)$. They are only well defined modulo $P \cap H$, but that does not matter since the characters are trivial there.

Recall that up to this point we have not specified a normalization for the Shalika functional, since the expressions we have considered are only determined up to a constant. We now fix a Haar measure on G such that the measure of the Iwahori subgroup B is 1. The normalization for the Shalika functional will then be that corresponding to (45). As we shall see immediately, this normalization corresponds to

$$(46) \quad \Lambda(\phi_B) = 1.$$

Note that this will be our working convention, but for the sake of a simpler expression the normalization changes when we state our main theorem.

Proposition 6.1 For $g = g_{-\lambda} = \begin{pmatrix} \varpi^{-\lambda} & \\ & I \end{pmatrix}$, $\lambda_1 \geq \dots \geq \lambda_n \geq 0$, we have $\Lambda_H(R_g \phi_B) = \chi^{-1} \delta^{\frac{1}{2}}(g_\lambda)$.

Proof Use the Iwahori factorization to write an arbitrary element $x \in Bg^{-1}$ as $x = p_0 n_1^- g^{-1} = (p_0 g^{-1})(g n_1^- g^{-1})$. Since $g n_1^- g^{-1} \in N_1^- \subset P_0 H_0$ we get that $\Psi_H(h(x)) = 1$ and $\chi^{-1} \delta^{\frac{1}{2}}(p(x)) = \chi^{-1} \delta^{\frac{1}{2}}(g^{-1}) = \chi^{-1} \delta^{\frac{1}{2}}(g_\lambda)$. Therefore, using (45) we have

$$\Delta_H(R_g 1_B) = \chi^{-1} \delta^{\frac{1}{2}}(g_\lambda) \cdot \text{Vol}(Bg^{-1}) = \chi^{-1} \delta^{\frac{1}{2}}(g_\lambda). \quad \blacksquare$$

This gives the coefficients $a_1(g_{-\lambda})$ by (34). It actually gives more. Since $T_{w^{-1}}$ is G -equivariant and the Shalika functional is unique up to scaling, $T_{w^{-1}}^*(\Lambda)$ will be a multiple of $\Lambda_{w\chi}$ (normalized as in (46)). Therefore by means of (34) the above considerations prove the following corollary.

Corollary 6.2 For every $w \in \Gamma$, λ as above,

$$(47) \quad \frac{a_w(g_{-\lambda})}{a_w(g_0)} = {}^w \chi^{-1} \delta^{\frac{1}{2}}(g_\lambda).$$

Applying this to (38) we get:

$$(48) \quad \Omega_H(g_{-\lambda}) = Q^{-1} \sum_w \prod_{\substack{\alpha \in \Phi_{GL}^+ \\ w\alpha > 0}} c_\alpha(\chi)^w \chi^{-1} \delta^{\frac{1}{2}}(g_\lambda) T_{w^{-1}}^* \Delta_H(1_{B, w\chi}).$$

7 Analytic Results

In this section we establish two important analytic results: (1) the convergence of the period integral (44) for all $\phi \in I(\chi)$, in the case that the induction data lie in a certain open region; (2) the rationality of the Shalika function with respect to the Satake parameters $(q^{\pm z_1}, \dots, q^{\pm z_n})$, which will allow us to restrict our attention to the region of convergence.

Proposition 7.1 When $\text{Re } z_1 > \text{Re } z_2 > \dots > \text{Re } z_n$, the period integral (44) converges absolutely for every $\phi \in I(\chi)$, and therefore represents a (non-zero) Shalika functional.

Proof This is the only point where it will be more convenient to refer to the Shalika subgroup itself, rather than H . Using the correspondence of (25), the equivalent to (44) integral for Λ is:

$$\begin{aligned} \Lambda(\phi) &= \int_{pS \backslash S} \phi(\xi s) \Psi^{-1}(s) ds \\ &= \int_{T \backslash GL_n(F)} \int_{Mat_n(F)} \phi \left(\begin{pmatrix} & & & I \\ & & & \\ & & & \\ w_0 & & & \end{pmatrix} \begin{pmatrix} I & X \\ & I \end{pmatrix} \begin{pmatrix} g \\ & g \end{pmatrix} \right) \psi^{-1}(\text{tr } X) dX dg. \end{aligned}$$

Here $pS = \xi^{-1} P \xi \cap S$, and T is the maximal torus of diagonal matrices in $GL_n(F)$.

Since every element of $I(\chi)$ is a locally constant function, which is determined by its restriction to K and therefore dominated by a suitable multiple of ϕ_K , it suffices

to prove the proposition for $\phi = \phi_K$. Using an Iwasawa decomposition for $GL_n(F)$, we can write $T \backslash GL_n(F) = U \cdot K_n$ (measure-preserving) where U is the subgroup of upper triangular $n \times n$ matrices with 1's on the diagonal and $K_n = GL_n(\mathfrak{o})$. Given the K -invariance of ϕ_K , the above integral reduces to:

$$\begin{aligned} \Lambda(\phi_K) &= \int_U \int_{\text{Mat}_n(F)} \phi_K \left(\begin{pmatrix} & & & I \\ & & & \\ & & & \\ w_0 & & & \end{pmatrix} \begin{pmatrix} I & X \\ & I \end{pmatrix} \begin{pmatrix} n & \\ & n \end{pmatrix} \right) \psi^{-1}(\text{tr } X) \, dXdn \\ &= \int_U \int_{\text{Mat}_n(F)} \phi_K \left(\begin{pmatrix} I & \\ & n \end{pmatrix} \begin{pmatrix} & & & I \\ & & & \\ & & & \\ w_0 & & & \end{pmatrix} \begin{pmatrix} n & \\ & I \end{pmatrix} \begin{pmatrix} I & n^{-1}Xn \\ & I \end{pmatrix} \right) \psi^{-1}(\text{tr } X) \, dXdn. \end{aligned}$$

The factor $\begin{pmatrix} I & \\ & n \end{pmatrix}$ on the left disappears because $\phi_K \in I(\chi)$, and $n^{-1}Xn$ can be replaced by X since conjugation by n is a measure preserving automorphism of $\text{Mat}_n(F)$. Therefore, the integral above is dominated absolutely by the integral which represents the intertwining operator for the Weyl group element $w = \begin{pmatrix} & & & \\ & & & \\ & & & \\ I & & & w_0 \end{pmatrix}$:

$$(49) \quad T_w(\phi) = \int_{U'} \phi(w^{-1}u) \, du,$$

where U' is the group of upper triangular unipotent matrices with the identity element in the lower $n \times n$ block. It is known that the integral (49) converges absolutely for $\text{Re } z_1 > \text{Re } z_2 > \dots > \text{Re } z_n$, which establishes the claim. ■

Now, let D denote the algebraic variety of diagonal elements in $GL_n(\mathbb{C})$, identified as above with the set of unramified characters χ of the form (13). $\mathbb{C}[D]$ will denote the algebra of regular functions on D and L its quotient field. Let X be the space of all locally constant functions on K , which are left invariant under P_0 . For every χ , X can be identified with $I(\chi)$ via restriction of functions in $I(\chi)$ to K . It then makes sense to talk about a rational family $\{\phi_\chi\}_{\chi \in D}$ with $\phi_\chi \in I(\chi)$, in the sense that $\phi \in L \otimes_{\mathbb{C}} X$.

Proposition 7.2 *There exists a non-zero Shalika functional for almost all $\chi \in D$. Moreover, if $\{\phi_\chi\}_{\chi \in D}$, $\phi_\chi \in I(\chi)$ is a rational family, then $\Lambda_{H,\chi}(\phi_\chi)$ is a rational function of $\chi \in D$.*

This will be a direct application of a theorem of Bernstein. We simply state Bernstein's theorem, and refer the reader to [10, p. 127] for explanations and the proof:

Theorem (Bernstein) *Let X be a vector space of countable dimension over \mathbb{C} , X^* its linear dual, D an irreducible variety over \mathbb{C} , $\mathbb{C}[D]$ the algebra of regular functions on D , L its quotient field, $X_L = L \otimes_{\mathbb{C}} X$ and $X_L^* = \text{Hom}_L(X_L, L)$. For every $d \in D$, consider a system of linear equations on X^* :*

$$(50) \quad \{ \langle x_{rd}, \Lambda \rangle = l_{rd} \}_{r \in R} \quad x_{rd} \in X, l_{rd} \in \mathbb{C}$$

(R a fixed indexing set for the equations of the system), and assume that $x_r := \{x_{rd}\}_d$ and $l_r := \{l_{rd}\}_d$ vary rationally with d , i.e., $x_r \in X_L$ and $l_r \in L$. Assume that for d in some $\Omega \subset D$, open in the usual topology, the system (50) has a unique solution $\Lambda_d \in X^*$. Then the system (50), considered as a system of linear equations on X_L^* , has a unique solution $\Lambda \in X_L^*$.

To prove the proposition, consider the system of equations which consists of the requirements:

- Λ is a Shalika functional, *i.e.*, equation (12) holds for all $f \in X$
- normalization condition: equation (46) holds.

It is easy to see that these equations are rational in χ . The solution is then a (normalized) Shalika functional. Proposition 7.1 shows that a Shalika function exists in the case that the inducing parameters lie in the aforementioned region, and we also know that this functional is unique. By Bernstein’s theorem, Λ extends to an element of X_L^* . Therefore, when applied to ϕ_χ , the result will be a rational function in χ , in other words a rational function in q^{z_1}, \dots, q^{z_n} .

The phrase “almost all χ ” refers, of course, to the possible singular hypersurfaces of Λ . At the end of our proof we will become more precise about where these might lie.

8 The Functional Equations

We have already established the dependence of the Casselman coefficients on λ . There remains to determine the dependence on w . By the uniqueness of Shalika models, we know that $T_{w^{-1}}^* \Delta_{H,\chi}$ has to be a constant multiple of $\Delta_{H,w\chi}$. We will use the integral expression provided by Proposition 7.1 to compute this constant explicitly for w in a set of generators in Γ . That set of generators consists of the transposition $(n, n + 1)$ (which is a simple reflection corresponding to a long root of Sp_{2n}) and of the elements $(i, i + 1)(2n - i, 2n + 1 - i)$ with $1 \leq i < n$ (which are simple reflections in the Weyl group of Sp_{2n} corresponding to short roots). By expressing an arbitrary element of Γ as a product of simple reflections, and writing the intertwining operators as a composition of intertwining operators correspondingly, the result will follow for all $w \in \Gamma$. To be rigorous, we cannot iterate the explicit computation, since after applying the first intertwining operator the inducing parameters will no longer belong to the region of convergence for the period integral. However, rationality will allow us to extend the results to the region of non-convergence.

(Notice that no functional equations for $\Omega(g)$ will appear explicitly. The title of this section serves as a connection to the Casselman–Shalika method as used in the literature.)

To compute $T_{w^{-1}}^* \Lambda_{H,\chi}$ as a multiple of $\Lambda_{H,w\chi}$ it suffices to compute their quotient when applied to a single element, for instance ϕ_B . Here we use [7, Theorem 3.4], to write, for any simple reflection in W (corresponding to the root α),

$$(51) \quad T_{w_\alpha}(\phi_B) = (c_\alpha(w_\alpha \chi) - 1)\phi_B + q^{-1}\phi_{Bw_\alpha B}$$

and for a simple Sp_{2n} -reflection of a short root $w = w_\alpha w_{\bar{\alpha}}$,

$$(52) \quad T_w(\phi_B) = (c_\alpha(w\chi) - 1)(c_{\bar{\alpha}}(w\chi) - 1)\phi_B + q^{-1}(c_\alpha(w\chi) - 1)\phi_{Bw_{\bar{\alpha}}B} + q^{-1}(c_{\bar{\alpha}}(w\chi) - 1)\phi_{Bw_\alpha B} + q^{-2}\phi_{BwB}$$

Now we need to apply Λ_H to these expressions to get the functional equations.

Proposition 8.1 *Let $w = w_\alpha$ be the simple reflection $(n, n + 1)$ in Γ . Then*

$$(53) \quad \Lambda_{H,\chi}(T_{w^{-1}}\phi_{B,w_\alpha}) = (-1)\chi(a_\alpha)c_\alpha(\chi).$$

Proof By (51), we need to compute $\Lambda_H(\phi_{Bw_\alpha B})$. Assume that $\text{Re } z_1 > \text{Re } z_2 > \dots > \text{Re } z_n$, so that Λ_H is given by (44). In order to apply (44) or (45) we need to express a generic element of $Bw_\alpha B$ in the form $P \cdot H$. (Remember that the latter is open and dense, and since $Bw_\alpha B$ is open, almost every element will be expressible in this form.) We use the factorization

$$N = \prod N^\beta$$

with β running over all positive roots (in any order), and the similar factorizations for N_0, N_1^- , in order to write the double coset $Bw_\alpha B$ in a measure-preserving way (except for a constant factor $[Bw_\alpha B : B] = q$) as:

$$(54) \quad P_0 w_\alpha N_0^\alpha N_1^{-,\hat{\alpha}},$$

where all compact groups that appear are assumed to have measure 1 and $N_1^{-,\hat{\alpha}}$ denotes that the factor corresponding to α is missing.

Remember that by (40),

$$(55) \quad N_1^{-,\hat{\alpha}} \subset P_0^\alpha H_0.$$

In other words the P_0 factor contains no N^α factor. Therefore it can be pulled to the other side of w to produce a P_0 factor on the left. We therefore have

$$(56) \quad \Delta_H(1_{Bw_\alpha B}) = q \int_{w_\alpha N_0^\alpha} \chi^{-1} \delta^{\frac{1}{2}}(p(x)) \Psi_H^{-1}(h(x)) dx$$

(where of course the integrand is only defined on a dense open subset of $w_\alpha N_0^\alpha$).

For an element $x \in w_\alpha N_0^\alpha$ we compute the factorization

$$(57) \quad x = \begin{pmatrix} 1 & & & & \\ & \ddots & & & \\ & & 0 & 1 & \\ & & 1 & y & \\ & & & & \ddots & \\ & & & & & 1 \end{pmatrix} = \begin{pmatrix} 1 & & & & \\ & \ddots & & & \\ & & -y^{-1} & 1 & \\ & & & y & \\ & & & & \ddots & \\ & & & & & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & & & & \\ & \ddots & & & \\ & & 1 & & \\ & & y^{-1} & 1 & \\ & & & & \ddots & \\ & & & & & 1 \end{pmatrix} \in P \cdot H$$

if $y \neq 0$. From this we see that $\chi^{-1}\delta^{\frac{1}{2}}(p(x)) = |y|^{2z_n-1} = (q\chi(a_\alpha))^{\text{val}(y)}$ and $\Psi_H^{-1}(h(x)) = \psi^{-1}(y^{-1})$. Therefore

$$(58) \quad \begin{aligned} \Delta_H(1_{Bw_\alpha B}) &= q \int_{\mathfrak{o}} |y|^{2z_n-1} \psi^{-1}(y^{-1}) dy \\ &= q \sum_{i=0}^{\infty} (q\chi(a_\alpha))^i \int_{\mathfrak{p}^i - \mathfrak{p}^{i+1}} \psi^{-1}(y^{-1}) dy. \end{aligned}$$

Using the fact that the conductor of ψ^{-1} is \mathfrak{o} , we have:

$$(59) \quad \int_{\mathfrak{p}^i} \psi^{-1}(y) dy = \begin{cases} \text{Vol}(\mathfrak{p}^i) & \text{if } i \geq 0, \\ 0 & \text{otherwise.} \end{cases}$$

Thus, by making a change of variables in the above expression, all integrals vanish except for the integral on $\mathfrak{p}^0 - \mathfrak{p}^1 = \mathfrak{o}^\times$, which is equal to $(1 - q^{-1})$, and the integral on $\mathfrak{p}^1 - \mathfrak{p}^2$, which is equal to $q^{-2} \cdot (-1)$. Hence finally,

$$(60) \quad \Delta_H(1_{Bw_\alpha B}) = q \cdot (1 - q^{-1} - q^{-1}\chi(a_\alpha)).$$

Therefore, applying Λ_H to equation (51) and after some simple algebraic manipulation we get:

$$(61) \quad T_{w^{-1}}^* \Lambda_{H,\chi}(\phi_{B,w_\alpha}) = (-1)\chi(a_\alpha)c_\alpha(\chi).$$

This establishes the result for χ in a certain region. It is known (by essentially the same argument as that of Proposition 7.2) that the intertwining operators are rational in χ , hence $T_{w^{-1}}\phi_{B,w_\alpha}$ is a rational family (in the sense of Proposition 7.2), so by Proposition 7.2 the result follows for all χ . ■

Now for the simple reflections corresponding to short roots:

Proposition 8.2 *Let $w = w_\alpha w_{\bar{\alpha}}$, where $w_\alpha = (i, i + 1)$, $1 \leq i < n$. Then*

$$(62) \quad \Lambda_{H,\chi}(T_{w^{-1}}\phi_{B,w_\alpha}) = (-1)\chi(a_\alpha) \frac{1 - q^{-1}\chi(a_{-\alpha})}{1 - q^{-1}\chi(a_\alpha)} c_\alpha(\chi)c_{\bar{\alpha}}(\chi).$$

Proof Assume again that $\text{Re } z_1 > \text{Re } z_2 > \dots > \text{Re } z_n$. We are going to apply Λ_H to (52), but first we use a trick to reduce the number of computations needed. Recall that $T_{w_\alpha}^* \Delta_H$ is supported away from $P \cdot H$, while the support of ϕ_{B,w_α} is contained in $P \cdot \bar{H}$. Therefore $T_{w_\alpha}^* \Delta_H(\phi_{B,w_\alpha}) = 0$. Substituting from (51) yields

$$(63) \quad \Lambda_{H,\chi}(\phi_{Bw_\alpha B,\chi}) = q(1 - c_\alpha(w_\alpha \chi))$$

and similarly for $\phi_{Bw_{\bar{\alpha}} B,\chi}$ (just replace α by $\bar{\alpha}$).

(if $y_1 y_2 \neq 1$).

From this we see that $\chi^{-1} \delta^{\frac{1}{2}}(p(x)) = |1 - y_1 y_2|^{z_i - z_{i+1} - 1}$ and $\Psi_H^{-1}(h(x)) = 1$, therefore

$$\begin{aligned}
 (68) \quad \Delta_H(1_{B_{w_\alpha B}}) &= q^2 \int_{\mathfrak{o} \times \mathfrak{o}} |1 - y_1 y_2|^{z_i - z_{i+1} - 1} dy_1 dy_2 \\
 &= q^2 \left[\int_{y_1 \in \mathfrak{p}} \int_{y_2 \in \mathfrak{o}} 1 dy_1 dy_2 + \int_{y_1 \in \mathfrak{o}^\times} \int_{y_2 \in \mathfrak{p}} 1 dy_1 dy_2 \right. \\
 &\quad \left. + \int_{y_1, y_2 \in \mathfrak{o}^\times} |1 - y_1 y_2|^{z_i - z_{i+1} - 1} dy_1 dy_2 \right] \\
 &= q^2 [q^{-1} + q^{-1}(1 - q^{-1}) + (1 - q^{-1}) \int_{\mathfrak{o}^\times} |1 - u|^{z_i - z_{i+1} - 1} du].
 \end{aligned}$$

We split the last integral into $1 - u \notin \mathfrak{p}$ and $1 - u \in \mathfrak{p}$. The former contributes $\frac{q^{-2}}{q}$. For the latter, we substitute $t = 1 - u$ and integrate over \mathfrak{p} to get:

$$(69) \quad \sum_{j=1}^{\infty} q^{-j(z_i - z_{i+1})} (1 - q^{-1}) = (1 - q^{-1}) \frac{q^{-(z_i - z_{i+1})}}{1 - q^{-(z_i - z_{i+1})}}.$$

Putting together all the above we eventually get what the proposition claims for the case $\text{Re } z_1 > \text{Re } z_2 > \dots > \text{Re } z_n$. By meromorphicity, the proof is complete. ■

Corollary 8.3 For $w = (n, n + 1)$ we have:

$$(70) \quad T_{w^{-1}}^* \Lambda_{H, \chi} = (-1) \chi(a_\alpha) c_\alpha(\chi) \Lambda_{H, w_\alpha \chi}.$$

For $w = w_\alpha w_{\bar{\alpha}}$ where $w_\alpha = (i, i + 1)$ with $1 \leq i < n$ we have:

$$(71) \quad T_{w^{-1}}^* \Lambda_{H, \chi} = (-1) \chi(a_\alpha) \frac{1 - q^{-1} \chi(a_{-\alpha})}{1 - q^{-1} \chi(a_\alpha)} c_\alpha(\chi) c_{\bar{\alpha}}(\chi) \Lambda_{H, w_\alpha \chi}.$$

More compactly, this can be written: For every $w \in \Gamma$,

$$(72) \quad T_{w^{-1}}^* \Lambda_{H, \chi} = (-1)^{l(w)} \prod_{\substack{\alpha \in \Phi_{\text{GL}}^+ \\ w\alpha < 0}} c_\alpha(\chi) \prod_{\substack{\alpha \in \Phi_{\text{Sp}}^+ \\ w\alpha < 0}} d_\alpha(\chi)$$

where,

$$(73) \quad d_\alpha(\chi) = \begin{cases} \chi(a_\alpha) & \text{if } \alpha \text{ is a long root,} \\ \chi(a_\alpha) \frac{1 - q^{-1} \chi(a_{-\alpha})}{1 - q^{-1} \chi(a_\alpha)} & \text{if } \alpha \text{ is a short root.} \end{cases}$$

To complete the proof of our theorem, we bring together equations (48) and (72) to get,

$$(74) \quad \Omega(g_\lambda) = Q^{-1} \prod_{\alpha \in \Phi_{GL}^+} c_\alpha(\chi) \cdot \sum_{w \in \Gamma} (-1)^{l(w)} \prod_{\substack{\alpha \in \Phi_{Sp}^+ \\ w\alpha < 0}} \chi(a_\alpha) \prod_{\substack{\alpha \in \Phi_{Sp}^{s+} \\ w\alpha < 0}} \frac{1 - q^{-1}\chi(a_{-\alpha})_w}{1 - q^{-1}\chi(a_\alpha)} \chi^{-1} \delta^{\frac{1}{2}}(g_\lambda),$$

which is just (17) normalized differently.

If k_α is an expression which is covariant with α , then

$$(75) \quad \frac{w^{-1}(\prod_{\alpha>0} k_\alpha)}{\prod_{\alpha>0} k_\alpha} = \frac{\prod_{\alpha>0, w\alpha<0} k_{-\alpha}}{\prod_{\alpha>0, w\alpha<0} k_\alpha},$$

so we can write the $\chi(a_\alpha)$ factors as

$$(76) \quad e^{\check{\rho} - w^{-1}\check{\rho}}(g_\chi)$$

and the product over short roots as

$$(77) \quad \frac{\prod_{\alpha \in \Phi_{Sp}^{s+}} (1 - q^{-1}e^{w^{-1}\check{\alpha}})}{\prod_{\alpha \in \Phi_{Sp}^{s+}} (1 - q^{-1}e^{\check{\alpha}})}(g_\chi).$$

We also replace w^{-1} by ww_I and c_α by its definition (36), and finally we get

$$(78) \quad \Omega(g_\lambda) = \frac{\prod_{\alpha \in \Phi_{Sp}^+} (1 - q^{-1}e^{\check{\alpha}})}{Qe^{-\check{\rho}} \prod_{\alpha \in \Phi_{GL}^+} (1 - e^{\check{\alpha}})}(g_\chi) \cdot \delta^{\frac{1}{2}}(g_\lambda) \mathcal{A}\left(e^{\check{\rho}+\lambda} \prod_{\alpha \in \Phi_{Sp}^{s+}} (1 - q^{-1}e^{-\check{\alpha}})\right)(g_\chi).$$

The product

$$Qe^{-\check{\rho}} \prod_{\alpha \in \Phi_{GL}^+} (1 - e^{\check{\alpha}})$$

in the denominator can be ignored, as we could have applied Bernstein’s theorem by imposing the normalization:

$$(79) \quad \Lambda(\phi_B) = Qe^{-\check{\rho}} \prod_{\alpha \in \Phi_{GL}^+} (1 - e^{\check{\alpha}})(g_\chi)$$

instead of (46). Thus we get the formula of Theorem 2.1. Notice that the remaining factor in front of the alternator vanishes exactly when $I(\chi)$ is reducible with the spherical vector generating a proper G -subspace. The rest of the expression vanishes identically exactly when g_χ is non-regular as an element of $Sp_{2n}(\mathbb{C})$. In all other cases, the spherical vector generates $I(\chi)$ and is nonzero, hence χ lies neither on a singular hypersurface nor on the zero set for the Shalika functional whose existence was provided by Bernstein’s theorem, which proves *a posteriori* the existence of such a non-zero functional. This completes the proof of Theorem 2.1.

9 A General Remark

A large part of what we did here is virtually independent of the particular setting of the Shalika model and can be directly transferred to study other unique models induced from closed algebraic subgroups. By slight modifications, one might also hope to include non-algebraic subgroups that are “twists” of algebraic ones, but we do not consider those cases here.

Let G be a split reductive algebraic group over F with a fixed integral model over \mathfrak{o} , and let H be any F -rational subgroup of G such that the Borel subgroup has a rational open orbit in G/H . Such subgroups are called spherical, and it is known that the quotient G/H has only a finite number of P -orbits (cf. [3, 17]). For simplicity we will choose a Borel P such that this orbit is represented by the element 1. We set $K = G(\mathfrak{o})$, a maximal compact subgroup, and assume that all double $P \backslash G/H$ cosets have a representative in K . We also let B be the standard Iwahori, namely the inverse image (in K) of $P(\mathfrak{o}/\mathfrak{p})$ under the reduction map. We also fix a maximal split torus $T \subset P$. Let Ψ be a character (possibly trivial) of H , and let us assume that Ψ is trivial on $H_0 = H \cup K$.

The above data define a representation $\text{Ind}_H^G(\Psi)$, which has good chances of being multiplicity-free. For the unramified spectrum, one can examine this question by a double $P \backslash G/H$ decomposition. For our purposes, we will just imitate the setting of our present work and *assume* the following:

- (i) Let D denote the subvariety of $X(T)$ (the complex torus of unramified characters of the maximal torus of G), defined by the condition

$$\chi \delta_P^{\frac{1}{2}} \Big|_{P \cap H} = \Psi \frac{\delta_H}{\delta_{P \cap H}} \Big|_{P \cap H},$$

as in Section 5. Assume that the natural integral on the open orbit, representing an intertwining functional $I(\chi) \rightarrow \text{Ind}_H^G(\Psi)$, namely:

$$\Lambda(\phi) = \int_{P \cap H \backslash H} \phi(h) \Psi^{-1}(h) dh$$

converges for χ in some open subset of D . (Notice that we have to take all modular characters into account, in contrast to Proposition 5.2 where H and $P \cap H$ turned out to be unimodular. If the modular characters of H and $P \cap H$ do not agree on $P \cap H$, this is not a well-defined integral, in which case one has to “lift” the distribution to the group and use an expression analogous to (45.))

- (ii) For generic χ in the aforementioned open set, the space of intertwining operators $I(\chi) \rightarrow \text{Ind}_H^G(\Psi)$ is one-dimensional.

Under these assumptions, one can use the methods employed here to compute the values of the spherical vector $\Omega_\chi \in \text{Ind}_H^G(\Psi)$ in the image of $I(\chi)$ on *sufficiently large anti-dominant elements of the torus*, i.e., $g = \lambda^{-1}(\varpi)$, with $\lambda: \mathbb{G}_m \rightarrow T$ a dominant cocharacter such that $|\alpha(g)|$ is sufficiently large for every positive root α . In certain cases, such as in our example, the method will work for a full class of representatives of $H \backslash G/K$ cosets, but in general it only gives asymptotic results.

More precisely, Bernstein’s theorem guarantees existence, uniqueness (generically) and rationality of the intertwining operators, the general Casselman–Shalika–Hironaka formula (38) still holds, and the Casselman coefficients a_w will vanish, except for $w \in \Gamma$ where Γ is the stabilizer (in W) of the relation

$$\chi \delta_P^{\frac{1}{2}} \Big|_{P \cap H} = \Psi \frac{\delta_H}{\delta_{P \cap H}} \Big|_{P \cap H}.$$

Moreover, for $g = g_{-\lambda}$, with $\lambda \geq \lambda_0$, λ_0 a large enough dominant cocharacter, we will still have $gN_1^- g^{-1} \subset P_0 H_0$, making the computation of Proposition 6.1 valid. The corresponding corollary is, in general, that

$$(80) \quad \frac{a_w(g_{-\lambda})}{a_w(g_{-\lambda_0})} = \frac{{}^w \chi^{-1} \delta^{\frac{1}{2}}(g_\lambda)}{{}^w \chi^{-1} \delta^{\frac{1}{2}}(g_{\lambda_0})}.$$

Finally, one computes the “functional equations” as we did in Section 8, but instead of the functions ϕ_{BwB} one uses the functions $R_{g_{-\lambda_0}} \phi_{BwB}$. The latter computation will basically involve computing an explicit factorization for wN in the form $P \cdot H$, for w in a set of generators of Γ .

Hence the problem of computing such an explicit formula, which typically involved a maze of difficult and case-specific considerations, has been reduced to the straightforward computation of this factorization, at least in order to get asymptotic results.

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