# The Political Science 400: Citations by Ph.D. Cohort and by Ph.D.Granting Institution* 

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There are four obvious ways to ascertain an individual or department's standing in a discipline: reputation, publication record, citations, and responsibility for the graduation of first-rate students. Each has advantages and disadvantages.

Reputation, as judged by survey data, comes closest to tapping our commonsense understanding of "who's who" in the discipline. Reputational surveys of departments conducted by the American Council of Education and similar groups are well established (e.g., COBARC, 1982).
But, just as there may be Fortune 400 companies whose names are little known to the public, a more objective indicator of standing, publication record, might seem more useful than reputation, even though expert ratings seem to reflect information about the external world and not just about the predisposition of the informants. For example, some departments with well-known names may be getting high rating based on past status, and some newly strong departments may not yet have a reputation consistent with their merit. Here the problem is what to count and how to weight it. Are all publications alike? Aren't some journals more prestigious than others? What do we do about books? Etc. Welch and Hibbing (1983) solve this problem by counting only those
articles that appear in a small set of prestigious journals. Crewe (forthcoming) weights some journals more heavily than others and gives special weight to books.' While imperfect, these seem to us to be reasonable approaches to a difficult problem.
In our own earlier work (Klingemann, 1986) we made exclusive use of the third mode of evaluation. We provided citations ratings. We listed the total citations received by 1985 (non-emeritus) faculty for each U.S. and Canadian Ph.D.-granting institution and ranked departments by these totals. We also identified the 20 most cited political scientists in each of five fields of interest (positive theory and political theory; American politics and political behavior; comparative politics; international relations; and public policy; public administration, and public law). We believe this approach has considerable merit.
A fourth approach is to evaluate departments in terms of the Ph.D.s they turn out, rather than in terms of the citations (or productivity) of the faculty presently on their staff. Clearly it is of interest to know where the most highly cited (or the most highly productive) faculty got their Ph.D.s.
In this essay we extend our previous work in two ways. First, we identify the 25 most highly cited individuals in each 5 -year cohort, ${ }^{2}$ thus permitting us to identify younger (measured in terms of year of Ph.D.) scholars omitted from our previous listing and to study the age structure of the discipline's most cited individuals. Second, we use data on where the 400 most cited scholars got their Ph.D.s to rank departments in terms of the success (in quantitative citation terms) of the students whom they graduated in each of the past several decades. This allows us to rank departments in terms of the Ph.D.s they produce rather than their faculty, per se. As far as
we are aware, this is the first time this type of analysis has been done.

## The Political Science 400

Table I lists the names of the Ph.D. institutions and field(s) of interest of the 400 most cited political scientists ${ }^{3}$ teaching at Ph.D.-granting institutions 1980-1985, grouped by five-year Ph.D. cohorts. ${ }^{4}$ For each cohort we list the top 25 members of that cohort among the 400 most-cited individuals. ${ }^{5}$
In Table 2 we summarize this data according to Ph.D.-granting university.

To help see the pattern in Table 2 more clearly, in Table 3 we identify the top 20 universities overall and trace their ranking by decade.

There are a number of interesting features of this table. First, Harvard reigned as the premier Ph.D.-producing university until 1960 (indeed, it had clear dominance from 1949-1954). Moreover, Harvard continues to be one of the top three departments, as judged in terms of the citation ranking of the Ph.D.s it produces. ${ }^{6}$
Second, by our measure, Yale became the premier Ph.D.-producing university beginning in 1964. Prior to that, though quite strong, it lagged behind Harvard, Princeton, Columbia, and the University of Chicago.

Third, some institutions which were quite strong in terms of graduate programs in the 1940s and 1950s fell dramatically in ranking in the early or late 1970s. Princeton, for example, which had vied with Harvard and Yale for the number one position in 1955-59, was not in the top ten in either the 1960s or the 1970s. Columbia, which had been in second place in the 1940s and tied for third in the 1950s, was in 9th place in the 1960s, and was no longer in the top ten by the 1970s. Conversely, some universities have risen to recent prominence. Northwestern, since 1960, is a clear case in point, as is Stanford.
Fourth, like Harvard and Yale, the University of Chicago has consistently been among the top half-dozen institutions in terms of highly cited Ph.D. students produced.
Fifth, throughout the entire post-WWII
period, private schools have produced a disproportionate number of individuals who went on to be numbered among the most highly cited Ph.D.s (we shall later compare Ph.D.s in the top 400 to Ph.D.s produced to control for the fact that private universities turn out a disproportionate share of all Ph.D.s). However, in recent years a higher proportion of highly cited Ph.D.s have had Ph.D.s from state institutions, and three institutions--the University of Michigan, the University of Wisconsin, and UC Berkeley-would seem clearly established as presently among the top dozen Ph.D. programs in terms of the caliber of the top students they produce (with other state schools, e.g., Indiana, clearly among the top 20). Indeed, in the 1970s, the University of Michigan was (along with Yale, and Harvard) one of the three top producers of subsequently highly cited Ph.D.s. Also, if we look at the University of California system as a whole, that system was number five overall.
Sixth, some universities, not otherwise among the top ten over all, have had remarkable 5-year periods. This was true of both Stanford and the University of Rochester in the period 1970-1974. Both these institutions were among the top ten producers of highly cited Ph.D.s for the 1970s. It is too early to tell whether they will maintain that status in the 1980 s.?

Seventh, strikingly (but not surprisingly) ${ }^{8}$ there is a considerable correspondence between the overall rankings of universities based on their Ph.D.s and those based on either reputation or citations of their faculty-but there are a few key exceptions. MIT, for example, has a highly regarded faculty which has not produced many highly cited Ph.D.s.

This last observation brings us to an important methodological point. Should we not control for the raw number of Ph.D.'s produced (by cohort, by department)? A critique of our earlier work on departmental citations (Klingemann, 1986) was that we failed to control for departmental size. Normalizing departmental citations to obtain a per capita figure (Way, 1987; see also Greenberg, 1987) left most of the top 20 universities relatively unchanged, although UCLA and Rutgers dropped in ranking, but a few schools with small de-

Table I. Top Twenty-Five Individuals as Measured by Index I by Five-Year Cohorts

| Name | University | UniPHD | Year | Index | FINT* |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $1980+$ |  |  |  |  |  |
| J. Mearsheimer | U. of Chicago | Cornell | 1980 | 134 | $5 / 6$ |
| Paul Bracken | Yale | Yale | 1982 | 134 | 5 |
| Barnett Rubin | Yale | U. of Chicago | 1982 | 113 | 2/3 |
| $\mathrm{N}=3$ |  |  |  |  |  |
| 1975-79 |  |  |  |  |  |
| Theda Skocpol | U. of Chicago | Harvard | 1975 | 472 | 7/9 |
| Stanley Rosen |  | UCLA | 1978 | 268 | 7 |
| James Gibson | U. of Houston | U. of lowa | 1975 | 254 | 3/12 |
| Donald Kinder | U. of Michigan | UCLA | 1975 | 233 | 3/16 |
| Robert Rich | Carnegie-Mellon | U. of Chicago | 1975 | 190 | NA |
| James Alt | Washington U. | Essex | 1978 | 190 | 3/8 |
| E. Carmines | Indiana U. | SUNY Buffalo | 1975 | 176 | 3/16 |
| Charles Ostrom | Michigan State U. | Indiana U . | 1975 | 162 | 5/3 |
| John Aldrich | U. of Minnesota | U. of Rochester | 1975 | 155 | $2 / 3$ |
| Kenneth Meier | U. of Oklahoma | Syracuse | 1975 | 148 | 4/8 |
| Milton Heumann | Rutgers | Yale | 1976 | 141 | 12 |
| James Fishkin | Yale | Yale | 1975 | 141 | 1/2 |
| Frank Fischer | Rutgers | NYU | 1978 | 141 | 3/4 |
| Samuel Kernell | UC San Diego | UC Berkeley | 1975 | 127 | 9/14 |
| Gregory Markus | U. of Michigan | U. of Michigan | 1975 | 127 | 16/17 |
| David Cameron | Yale | $U$. of Michigan | 1976 | 127 | 47 |
| Bruce Cain | Caltech | Harvard | 1976 | 120 | $2 / 3$ |
| Terry M. Moe | Stanford | Minnesota | 1976 | 120 | 8/9 |
| Albert Cover | SUNY Stony Brook | Yale | 1976 | 120 | 9/13 |
| James Kuklinski | U. of Illinois-Urbana | lowa | 1975 | 120 | 9/16 |
| Philip Dubois | UC Davis | U. of Wisconsin | 1978 | 120 | 9/12 |
| Valerie Bunce | Northwestern | $U$. of Michigan | 1976 | 113 | 14/8 |
| W. M. Leogrande | American U. | Syracuse U. | 1976 | 113 | 57 |
| John Nelson | U. of lowa | U. of N. Carolina | 1977 | 113 | 1/8 |
| Joseph Stewart | U. of New Orleans | U. of Houston | 1977 | 106 | 4/8 |
| Larry Sabato | U. of Virginia | Oxford | 1977 | 106 | 10/11 |
| $N=26$ |  |  |  |  |  |
| 1970-74 |  |  |  |  |  |
| Norman Nie | U. of Chicago | Stanford | 1970 | 832 | 16/9 |
| Morris Fiorina | Harvard | U. of Rochester | 1972 | 698 | 9 |
| Douglas Hibbs | Harvard | U. of Wisconsin | 1972 | 564 | 3 |
| Benjamin Page | U. of Texas-Austin | Stanford | 1973 | 536 | $9 / 16$ |
| Hugh Heclo | Harvard | Yale | 1970 | 444 | 7 |
| Otto A. Davis | Carnegie-Mellon | Claremont | 1970 | 423 | NA |
| Jon Elster | $U$. of Chicago | Paris U. | 1972 | 367 | 1 |
| John Ferejohn | Stanford | Stanford | 1972 | 360 | $2 / 3$ |
| Arthur Miller | U. of Michigan | U. of Michigan | 1971 | 360 | 3/15 |
| Walter Laqueur | Georgetown | Princeton | 1973 | 353 | 5 |
| Wayne Cornelius | UC San Diego | Stanford | 1974 | 353 | 7/8 |
| G. O'Donnell | U. of Notre Dame | Yale | 1971 | 331 | $7 / 1$ |
| Stephen Krasner | Stanford | Harvard | 1972 | 324 | 5 |
| R. McKelvey | Caltech | U. of Rochester | 1970 | 303 | 9/16 |
| Gary Jacobson | UC San Diego | Yale | 1972 | 289 | 9/13 |
| Robert Jackman | Michigan State | U. of Wisconsin | 1972 | 282 | $7 / 8$ |
| Michael Cohen | U. of Michigan | UC Irvine | 1972 | 282 | 4 |
| Kenneth Shepsle | Washington U. | U. of Rochester | 1970 | 275 | $2 / 9$ |
| Wesley Skogan | Northwestern | Northwestern | 1971 | 261 | 12/11 |

Table I (continued)

| Name | University | UniPHD | Year | Index | FINT* |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Roger Hansen | Johns Hopkins | Johns Hopkins | 1970 | 226 | $6 / 5$ |
| Alan Gilbert | U. of Denver | Harvard | 1974 | 226 | 1/2 |
| Lee Sigelman | U. of Kentucky | Vanderbilt U. | 1973 | 226 | $4 / 7$ |
| Herbert Asher | Ohio State U. | U. of Michigan | 1970 | 219 | 13/16 |
| Susan Welch | U. of Nebraska-Lincoln | U. of Illinois-Urbana | 1970 | 219 | 11/10 |
| Bernard Grofman $n=25$ | UC Irvine | U. of Chicago | 1972 | 219 | 2/8 |
| 1965-69 |  |  |  |  |  |
| Graham Allison | Harvard | Harvard | 1968 | 620 | 7 |
| Stephen Brams | NYU | Northwestern | 1966 | 599 | 2 |
| Ron Inglehart | $U$. of Michigan | $U$. of Chicago | 1967 | 543 | 7 |
| Ted Gurr | Northwestern | NYU | 1965 | 515 | 712 |
| J. D. Singer | $U$. of Michigan | NYU | 1965 | 494 | 3/8 |
| P. C. Schmitter | U. of Chicago | UC Berkeley | 1968 | 479 | 715 |
| Robert Axelrod | U. of Michigan | Yale | 1969 | 465 | 8 |
| Robert Keohane | Brandeis | Harvard | 1966 | 409 | 5 |
| Edward Tufte | Yale | Yale | 1968 | 402 | 3/9 |
| Robert Jervis | Columbia | UC Berkeley | 1968 | 395 | 5 |
| Adam Przeworski | U. of Chicago | Northwestern | 1966 | 360 | 8/2 |
| Michael Lipsky | MIT | Princeton | 1967 | 338 | 8/9 |
| James C. Scott | Yale | Yale | 1967 | 338 | 7 |
| j. Kirkpatrick | Georgetown | Columbia | 1968 | 331 | 711 |
| Paul Abramson | Michigan | UC Berkeley | 1967 | 303 | 7117 |
| Gerald Kramer | Caltech | MIT | 1965 | 289 | $2 / 3$ |
| Walter Connor | Boston U. | Princeton | 1969 | 282 | $7 / 5$ |
| Allen Schick | U. of Maryland | Yale | 1965 | 282 | 13/9 |
| Douglas Rae | Yale | U. of Wisconsin | 1966 | 254 | 1/2 |
| Seweryn Bialer | Columbia | Columbia | 1966 | 247 | 9 |
| Alan F. Westin | Columbia | Harvard | 1965 | 247 | 7 |
| Suzanne Berger | MIT | Harvard | 1967 | 247 | 7 |
| Malcolm Feeley | U. of Wisconsin | U. of Minnesota | 1969 | 240 | 12/8 |
| Robert Erikson | U. of Houston | U. of Illinois-Urbana | 1969 | 233 | 2/3 |
| Sheldon Goldman $N=26$ | U. of Massachusetts | Harvard | 1965 | 233 | 12 |
| 1960-64 |  |  |  |  |  |
| Theodore Lowi | Cornell | Yale | 1961 | 790 | 8/9 |
| Michael Crozier | UC Irvine | Paris U. | 1963 | 698 | 4/8 |
| Frances Piven | City U. of NY | U. of Chicago | 1962 | 627 | 11/15 |
| Everett Ladd | U. of Connecticut | Cornell | 1964 | 620 | 15/16 |
| Ole R. Holsti | Duke | Stanford | 1962 | 592 | 8/9 |
| Thomas Dye | Florida State U. | U. of Pennsylvania | 1961 | 592 | 8/9 |
| Arend Lijphart | UC San Diego | Yale | 1963 | 536 | $5 / 7$ |
| Bruce Russett | Yale | Yale | 1961 | 508 | 5/8 |
| Rudolph Rummel | U. of Hawaii | Northwestern | 1963 | 486 | 5 |
| Jerry Hough | Duke | Harvard | 1961 | 458 | 712 |
| Nelson Polsby | UC Berkeley | Yale | 1961 | 416 | 9116 |
| Walter Burnham | MIT | Harvard | 1962 | 409 | 9 |
| David Sears | UCLA | Yale | 1961 | 409 | 16/17 |
| Mayer Zald | U. of Michigan | U. of Michigan | 1961 | 381 | 8/4 |
| Fred Greenstein | Princeton | Yale | 1960 | 360 | 14/9 |
| Jack Walker | $U$. of Michigan | U. of lowa | 1963 | 353 | 9/15 |
| Ira Sharkansky | U. of Wisconsin | U. of Wisconsin | 1964 | 345 | 4/9 |
| Chalmers Johnson | UC Berkeley | UC Berkeley | 1961 | 331 | 7/3 |

Table I (continued)

| Name | University | UniPHD | Year | Index | FINT* |
| :---: | :---: | :---: | :---: | :---: | :---: |
| M. K. Jennings | UC Santa Barbara | U. of North Carolina | 1961 | 324 | 3/17 |
| Morton Halperin | Yale | Yale | 1961 | 310 | 5 |
| David Mayhew | Yale | Harvard | 1964 | 310 | $9 / 13$ |
| Stuart Nagel | U. of Illinois-Urbana | Northwestern | 1961 | 268 | 8/3 |
| Herbert jacob | Northwestern | Yale | 1960 | 261 | $9 / 12$ |
| Robert Gilpin | Princeton | UC Berkeley | 1960 | 261 | 5/4 |
| Charles O. Jones | U. of Virginia | U. of Wisconsin | 1960 | 261 | 9 |
| $N=25$ |  |  |  |  |  |
| 1955-59 |  |  |  |  |  |
| James Q. Wilson | Harvard | U. of Chicago | 1959 | 1121 | 4/9 |
| Brian Barry | Caltech | NA | 1958 | 770 |  |
| Philip Converse | U. of Michigan | U. of Michigan | 1958 | 768 | 15/16 |
| Brian Berry | Carnegie-Mellon | NA | 1958 | 760 |  |
| Daisy Flory | Florida State U. | Princeton | 1959 | 564 | 10 |
| R. Golembiewski | U. of Georgia | Yale | 1958 | 444 | 4/17. |
| Daniel Elazar | Temple | U. of Chicago | 1959 | 437 | 10/11 |
| Alexander George | Stanford | U. of Chicago | 1958 | 423 | $5 / 14$ |
| Richard Fenno | $U$. of Rochester | Harvard | 1956 | 402 | 9/13 |
| Lester Milbrath | SUNY Buffalo | U. of North Carolina | 1956 | 317 | $8 / 3$ |
| Juan Linz | Yale | Columbia | 1959 | 317 | 7 |
| Richard Falk | Princeton | Yale | 1955 | 303 | 5/6 |
| james Rosenau | USC | Princeton | 1957 | 296 | 7 |
| Malcolm Jewell | U. of Kentucky | Penn State U | 1958 | 296 | 10/13 |
| Gerald Pomper | Rutgers | Princeton | 1959 | 282 | 9/16 |
| Robert Tucker | Princeton | Harvard | 1958 | 275 | $7 / 1$ |
| Leonard Freedman | UCLA | UCLA | 1959 | 268 | 15 |
| Robert Salisbury | Washington U. | U. of llinois-Urbana | 1955 | 254 | 9/13 |
| Roger Noll | Caltech | Harvard | 1957 | 233 | 218 |
| Myron Weiner | MIT | Princeton | 1955 | 219 | 11/15 |
| Glenn Snyder | SUNY Buffalo | Columbia | 1956 | 219 | 5/6 |
| Walter Murphy | Princeton | U. of Chicago | 1957 | 190 | 127 |
| S. C. Patterson | U. of lowa | $U$. of Wisconsin | 1959 | 190 | 8/9 |
| J. A. Schlesinger | Michigan State U. | Yale | 1955 | 176 | 15/10 |
| James N. Rosenau | USC | Yale | 1958 | 176 | 15/16 |
| $N=26$ |  |  |  |  |  |
| 1950-54 |  |  |  |  |  |
| James March | Stanford | Yale | 1953 | 1361 | 4 |
| Sam Huntington | Harvard | Harvard | 1951 | 1072 | 4/7 |
| Karl Deutsch | Harvard | Harvard | 1951 | 698 | 2/5 |
| Edward Banfield | Harvard | U. of Chicago | 1952 | 501 | $2 / 11$ |
| Robert Lane | Yale | Harvard | 1950 | 437 | 17 |
| Z. Brzezinski | Columbia | Harvard | 1953 | 395 | 5 |
| Peter Bachrach | Temple | Harvard | 1951 | 374 | $17 / 11$ |
| Lucien Pye | MIT | Yale | 1951 | 331 | 7/17 |
| Warren Miller | U. of Michigan | Syracuse | 1954 | 331 | 9/15 |
| Kenneth Waltz | UC Berkeley | Columbia | 1954 | 324 | $5 / 6$ |
| Stanley Hoffman | Harvard | U. of Paris | 1953 | 303 | $5 / 6$ |
| Richard Neustadt | Harvard | Harvard | 1951 | 289 | $8 / 14$ |
| Ernst Haas | UC Berkeley | Columbia | 1953 | 289 | 27 |
| Harry Eckstein | UC Irvine | Harvard | 1953 | 289 | 27 |
| Duncan MacRae | U. of North Carolina | Harvard | 1950 | 261 | 8 |
| James Davies | U. of Oregon | UC Berkeley | 1952 | 261 | $16 / 7$ |

Table I (continued)

| Name | University | UniPHD | Year | Index | FINT* |
| :---: | :---: | :---: | :---: | :---: | :---: |
| David Apter | Yale | Princeton | 1954 | 261 | 27 |
| Ithiel de S. Pool | MIT | U. of Chicago | 1951 | 247 | 7/16 |
| Vincent Ostrom | Indiana U, | UCLA | 1950 | 233 | $1 / 2$ |
| A. F. K. Organski | U. of Michigan | NYU | 1951 | 190 | 5 |
| Donald Mathews | U. of Washington | Princeton | 1953 | 190 | 9/13 |
| Henry A. Turner | UC Santa Barbara | U. of Chicago | 1950 | 190 | 15/9 |
| Morton A. Kaplan | U. of Chicago | Columbia | 1951 | 183 | 1/2 |
| Alvin Rubenstein | U. of Pennsylvania | U. of Pennsylvania | 1950 | 176 | $5 / 7$ |
| $N=24$ |  |  |  |  |  |
| 1945-49 |  |  |  |  |  |
| Seymour M. Lipset | Stanford | Columbia | 1949 | 1706 | 7/15 |
| David Easton | UC Irvine | Harvard | 1947 | 1184 | 27 |
| Charles Lindblom | Yale | U. of Chicago | 1945 | 1156 | 27 |
| Reinhard Bendix | UC Berkeley | U. of Chicago | 1947 | 726 | $1 / 7$ |
| William Riker | U. of Rochester | Harvard | 1949 | 663 | 2/9 |
| Murray Edelman | $U$. of Wisconsin | U. of Illinois-Urbana | 1949 | 508 | $17 / 8$ |
| Glendon Schubert | U. of Hawaii | Syracuse | 1948 | 416 | 8/12 |
| Giovani Sartori | Columbia | U. of Florence | 1946 | 402 | 1 |
| Sheldon Wolin | Princeton | Harvard | 1949 | 282 | 1 |
| Fred W. Riggs | U. of Hawaii | Columbia | 1948 | 254 | $4 / 7$ |
| Robert Tucker | Johns Hopkins | UC Berkeley | 1949 | 204 | 6/5 |
| Doris Graber | U. of Illinois-Chicago | Columbia | 1947 | 204 | 16/17 |
| Adam Ulam | Harvard | Harvard | 1947 | 190 | 5/7 |
| Herb McCloskey | UC Berkeley | U. of Minnesota | 1946 | 176 | 16/17 |
| Victor Thompson | U. of Florida | Columbia | 1948 | 155 | 4 |
| Irving Bernstein | UCLA | Harvard | 1949 | 155 | 12 |
| Robert Scalapino | UC Berkeley | Harvard | 1948 | 148 | 7 |
| Albert Somit | S. llinois U. | U. of Chicago | 1947 | 141 | 1/4 |
| William Kaufman | MIT | Yale | 1948 | 113 | 8 |
| Sam Eldersveld | U. of Michigan | U. of Michigan | 1946 | 113 | $7 / 15$ |
| Inis L. Claude | U. of Virginia | Harvard | 1949 | 113 | 1/5 |
| Arthur Maass | Harvard | Harvard | 1949 | 99 | 15/16 |
| Harold Guetzkow | Northwestern | U. of Michigan | 1948 | 92 | 2/5 |
| $N=23$. |  |  |  |  |  |
| 1940-44 |  |  |  |  |  |
| Robert Dahl | Yale | Yale | 1940 | 1375 | 1/2 |
| Raymond Vernon | Harvard | Columbia | 1941 | 818 | 5 |
| Louis Henkin | Columbia | Harvard | 1940 | 564 | $5 / 6$ |
| Samuel Beer | Harvard | Harvard | 1943 | 458 | 10 |
| Heinz Eulau | Stanford | UC Berkeley | 1941 | 338 | 2/3 |
| Milton Esman | Cornell | Princeton | 1942 | 176 | 4/5 |
| Harold Seidman | U. of Connecticut | Yale | 1940 | 169 | 4/14 |
| Lynton Caldwell | Indiana | U. of Chicago | 1943 | 127 | 8 |
| $\begin{array}{llllll}\text { Felix Oppenheim } & \text { U. of Massachusetts } \\ \mathrm{N}=9\end{array}$ |  |  |  |  |  |
|  |  |  |  |  |  |
| 1935-39 <br> (no observations) |  |  |  |  |  |
| $1930-34$ $\begin{aligned} & \text { 1930-34 } \\ & \text { Peter Drucker } \end{aligned}$ | Claremont | Frankfurt | 1931 | 324 | 8 |
| $\mathrm{N}=1$ |  |  |  |  |  |

Table I (continued)

| Name | University | UniPHD | Year | Index | FINT* |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Pre-1930 <br> Jacob Van Ek $N=1$ | U. of Col. Boulder | lowa State U. | 1924 | 430 | 9 |

*Field of Interest Codes
01 Political Thought and Philosophy
02 Formal or Positive Theory
03 Methodology
04 Public Administration and Organization Behavior
05 International Relations and World Politics
06 International Organizations and Law
07 Comparative Politics
08 Public Policy
09 American Government and Politics
10 Federalism, State Politics and Intergovernmental Relations
11 Urban and Ethnic Politics
12 Public Law and Judicial Politics
13 Legislative Politics
14 Presidential or Executive Politics
15 Political Parties and Interest Groups
16 Electoral Behavior and Public Opinion
17 Political Psychology and Socialization
NA No data available
partments moved up dramatically (e.g., Caltech from 46 to 5, Johns Hopkins from 12 to 4, UC Irvine from 15 to 7. Rochester from 16 to 8 ).

We believe that both raw and normalized ratings are useful. Each conveys somewhat different information.

Some universities (e.g., UC Berkeley, UCLA, Columbia, University of Chicago, Yale, Harvard, Indiana, Johns Hopkins, Princeton, University of Maryland, University of Michigan, University of Minnesota, NYU) have relatively large Ph.D. classes. Thus it may be less surprising that a high proportion of highly cited Ph.D.s come from these universities, since a high proportion of all Ph.D.s come from these universities. ${ }^{8}$
For each of the 20 universities with at least five Ph.D.s in the "top 400" we produce in Table 4 an "index of overrepresentation," their share of Ph.D.s in the top 400 divided by their share of all 1980 Ph.D.s. ${ }^{9.10}$
Here we are able to see that Harvard, Yale, etc., not only produce lots of Ph.D.s, but a higher proportion of the ones they do turn out are highly cited than would be
expected by chance. (Of course, the entering students might well have been superior in talent to start with. Whether a graduate education is a value-adding or merely a value-signaling enterprise remains an open question.) Note that, when we control for numbers, Yale now overtakes Harvard, and that schools like Syracuse, University of Rochester, and University of lowa, with relatively small Ph.D. cohorts, move up dramatically.

Another relevant question is about the number of scholars of each cohort who are in the "top 400" relative to the number that would be expected by chance alone given that the number of Ph.D.s in each cohort is quite different. We show in Table 5 the raw number of political science Ph.D.s by cohort and the number in the "top 400 " citation category. In parentheses we show percentages, and in the last column an "index of representation," the ratio of the two percentages.

A startling feature of Table 5 (at least to the present authors) is the fact that more than $50 \%$ of all Ph.D.s in political science this century were produced in the 1970s.

It is not easy to interpret the "index of

| Cohort | University | Frequency |
| :---: | :---: | :---: |
| $1980+$ | Cornell <br> U. of Chicago Yale | $1$ |
| $\mathrm{N}=3$ |  |  |
| 1975-79 | Yale | 4 |
|  | U. of Michigan | 3 |
|  | Harvard | 2 |
|  | Syracuse | 2 |
|  | U. of Chicago | 2 |
|  | U. of lowa | 2 |
|  | UCLA | 2 |
|  | Florida State U. | 1 |
|  | Indiana | 1 |
|  | NYU. | 1 |
|  | Northwestern | 1 |
|  | SUNY Buffalo | 1 |
|  | UC Berkeley | 1 |
|  | UC Santa Barbara | 1 |
|  | U. of Houston | I |
|  | U. of Minnesota | I |
|  | U. of North Carolina | I |
|  | U. of Pennsylvania | 1 |
|  | U. of Rochester | 1 |
|  | U. of Wisconsin | 1 |
| $N=30$ |  |  |
| 1970-74 | Yale | 9 |
|  | $U$. of Michigan | 8 |
|  | Harvard | 7 |
|  | Stanford | 6 |
|  | U. of Rochester | 5 |
|  | UC Berkeley | 4 |
|  | $U$. of Chicago | 4 |
|  | Northwestern | 3 |
|  | Princeton | 3 |
|  | U. of Illinois-Urbana | 3 |
|  | U. of Wisconsin | 3 |
|  | Columbia | 2 |
|  | Cornell | 2 |
|  | Indiana | 2 |
|  | MIT | 2 |
|  | Syracuse | 2 |
|  | Johns Hopkins | 2 |
|  | U. of lowa | 2 |
|  | U. of Minnesota | 2 |
|  | U. of North Carolina | 2 |
|  | $U$. of Washington | 2 |
|  | Brandeis . | 1 |
|  | Claremont | 1 |
|  | Colorado State U. | I |
|  | Michigan | 1 |
|  | UC Irvine | 1 |

Table 2 (continued)

| Cohort | University | Frequency |
| :---: | :---: | :---: |
|  | UCLA | I |
|  | UC Santa Barbara | I |
|  | U. of Colo. Boulder | 1 |
|  | U. of Kentucky | 1 |
|  | U. of Oregon | 1 |
|  | U. of Pittsburgh | I |
|  | Vanderbilt | 1 |
| $N=87$ |  |  |
| 1965-69 | Harvard | 12 |
|  | Yale | 9 |
|  | U. of Chicago | 8 |
|  | Columbia | 6 |
|  | Northwestern | 6 |
|  | U. of Michigan | 5 |
|  | U. of Wisconsin | 5 |
|  | MIT | 4 |
|  | UC Berkeley | 4 |
|  | Princeton | 3 |
|  | Stanford | 3 |
|  | U. of lowa | 3 |
|  | NYU | 2 |
|  | UCLA | 2 |
|  | U. of Minnesota | 2 |
|  | U. of North Carolina | 2 |
|  | Cornell | 1 |
|  | George Washington | 1 |
|  | Indiana | 1 |
|  | Syracuse | I |
|  | Johns Hopkins | I |
|  | U. of Florida | ! |
|  | U. of Illinois-Urbana | \| |
|  | U. of Missouri-Col. | 1 |
|  | U. of Oklahoma | I |
|  | U. of Pennsylvania | 1 |
|  | $U$. of Pittsburgh | I |
|  | $U$. of Washington | 1 |
|  | Vanderbilt | 1 |
| $\mathrm{N}=89$ |  |  |
| 1960-64 | Yale | 13 |
|  | Harvard | 8 |
|  | U. of Chicago | 8 |
|  | Northwestern | 5 |
|  | Stanford | 4 |
|  | UC Berkeley | 4 |
|  | Columbia | 3 |
|  | Indiana | 3 |
|  | U. of Michigan | 3 |
|  | U. of Wisc. Madison | 2 |
|  | Corneli | 2 |
|  | Duke | 2 |
|  | U. of lowa | 2 |
|  | U. of North Carolina | 2 |
|  | Radcliffe | 1 |
|  | UCLA | 1 |

Table 2 (continued)

| Cohort | University | Frequency |
| :--- | :--- | :---: |
|  | U. of Illinois-Urbana | 1 |
|  | U. of Minnesota |  |
|  | U. of Oregon |  |
|  | U. of Pennsylvania | 1 |
|  | U. of Texas Austin | 1 |
|  | U. of Wisc. Milwaukee | 1 |
| Vanderbilt | 1 |  |

1955-59 Harvard 10
Princeton 8
Yale 7
U. of Chicago 4

Columbia
2
Duke
2
U. of Michigan 2

Cornell
Ohio State U.
Penn State $U$.
Radcliffe
Johns Hopkins
UC Berkeley
UCLA
U. of llinois-Urbana
U. of North Carolina
U. of Virginia
U. of Wisconsin
$N=46$

1950-54 Harvard 14
Columbia 6
U. of Chicago 6

Princeton 3
Yale 3
Syracuse 2
UCLA 2
U. of Minnesota 2

NYU I
SUNY
UC Berkeley
U. of Pennsylvania
U. of Wisconsin
$N=43$
1945.49 Harvard 9

Columbia 4
U. of Chicago 3
U. of Michigan 2

Syracuse
UC Berkeley
U. of Florida
U. of lllinois-Urbana
U. of Minnesota

Yale
$N=24$

Table 2 (continued)

| Cohort | University | Frequency |
| :--- | :--- | :---: |
| $1940-44$ | Harvard | 2 |
|  | Princeton | 2 |
|  | Yale | 2 |
|  | Columbia | 1 |
|  | UC Berkeley | 1 |
| $\mathrm{~N}=9$ | U. of Chicago | 1 |
| $1935-39$ | (no observations) |  |
| $1930-34$ | Frankfurt | 1 |
| $\mathrm{~N}=1$ |  | 1 |
| Pre-1930 | lowa State U. |  |
| $\mathrm{N}=1$ |  |  |

representation." In terms of the contributions of different cohorts it is the 1950s and the 1960s cohorts which are most overrepresented relative to size, but, even though we have controlled for the effect of cohort size, there is still a generation effect of unknown shape. Clearly, older scholars have more time to become known and to write articles which continue to be cited; but also, at some point, with rare exceptions (e.g., Marx), citations to a scholar begin to flag if he or she has done little new work. Thus, we can't be sure whether the overrepresentation of the 1950s and 1960 s cohort is a function of something special about them or merely a reflection of the fact that theirs is the generation which, as of 1985, was at the peak of disciplinary visibility. By tracking cohort citations over time we hope in future work to be able to investigate the rise and fall in visibility within political science of Ph.D. "generations.'

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Table 3. Top Twenty Universities by Decade

| University | Overall Rank | By Decade |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 1970s | 1960s | 1950s | 1940s |
| Harvard | 1 (64) | 3 (9) | 2 (20) | 1 (24) | ( 11 ) |
| Yale | 2 (48) | 1 (13) | 1 (22) | 3 (10) | 4 (3) |
| U. of Chicago | 3 (36) | 5 (6) | 3 (16) | 3 (10) | 3 (4) |
| U. of Michigan | 4 (29) | 2 (11) | 6 (8) | 4 (8) | 5 (2) |
| U. of California (all campuses) | 5 (27) | 4 (9) | 4 (11) | 5 (5) | 5 (2) |
| Columbia | 6 (24) | 9 (2) | 5 (9) | 4 (8) | 2 (5) |
| Princeton | 7 (19) | 8 (3) | 10 (3) | 2 (11) | 5 (2) |
| UC Berkeley* | 8 (17) | 6 (5) | 6 (8) | 7 (2) | 5 (2) |
| Northwestern | 9 (15) | 7 (4) | 4 (11) | na (0) | na (0) |
| $U$. of Wisconsin | 10 (13) | 7 (4) | 7 (7) | 7 (2) | na (0) |
| Stanford | 10 (13) | 5 (6) | 7 (7) | na (0) | na (0) |
| $U$. of Minnesota | 11 (9) | 8 (3) | 10 (3) | 7 (2) | 6 (1) |
| UCLA* | 11 (9) | 8 (3) | 10 (3) | 6 (3) | na (0) |
| U. of North Carolina | 12 (8) | 8 (3) | 9 (4) | 7 (1) | na (0) |
| Syracuse | 13 (7) | 7 (4) | 12 (1) | 7 (1) | 6 (1) |
| U. of lowa | 13 (7) | 9 (2) | 8 (5) | na (0) | na (0) |
| U. of llinois-Urbana | 13 (7) | 8 (3) | 11 (2) | 7. (1) | 6 (1) |
| $U$. of Rochester | 14 (6) | 5 (6) | na (0) | na (0) | na (0) |
| MIT | 14 (6) | 9 (2) | 9 (4) | na (0) | na (0) |
| Indiana | 15 (5) | 10 (1) | 9 (4) | na (0) | na (0) |
| TOTAL | (343) | (91) | (137) | (83) | (32) |

*Included in the $U$. of California (all campuses) totals.

Table 4. Top Twenty Universities
Ratings Normalized by Number of Ph.D.s Granted in 1980

| Normalized <br> Rank | Number of <br> "Top 400" | Number of <br> 1980 Ph.D.s | University |
| :---: | :---: | :---: | :--- |
| 1 | 48 | 15 | Yale |
| 2 | 64 | 22 | Harvard |
| 3 | 15 | 6 | Northwestern |
| 4 | 8 | 4 | Syracuse |
| 4 | 6 | 3 | U. of Rochester |
| 5 | 37 | 20 | U. of Chicago |
| 6 | 7 | 4 | U. of lowa |
| 7 | 29 | 17 | U. of Michigan |
| 8 | 19 | 14 | Princeton |
| 9 | 9 | 8 | U. of Minnesota |
| 9 | 8 | 8 | UCLA |
| 9 | 13 | 7 | U. of North Carolina |
| 10 | 17 | 17 | Stanford |
| 10 | 24 | 27 | UC Berkeley |
| 11 | 7 | 15 | Columbia |
| 11 | 7 | 9 | U. of Wisconsin |
| 12 | 27 | 41 | U. of Illinois-Urbana |
| 13 | 6 | 12 | U. of California all campuses) |
| 14 | 5 | 16 | MiT |
| 15 |  |  | Indiana |

Table 5. Number of Political Science Ph.D.s by Five-Year Cohorts ${ }^{\text {ab }}$

| Cohort | Frequency/(\%) | Frequency in <br> Top 400/(\%) | Index of <br> Representation |
| :--- | :---: | :---: | :---: |
| Pre-1935 | $442(.03)$ | $2(.004)$ | .13 |
| $1935-39$ | $304(.02)$ | $0(.000)$ | .00 |
| $1940-44$ | $407(.03)$ | $9(.020)$ | .66 |
| $1945-49$ | $564(.04)$ | $24(.060)$ | 1.50 |
| $1950-54$ | $474(.03)$ | $46(.10)$ | 3.70 |
| $1955-59$ | $505(.05)$ | $49(.120)$ | 3.00 |
| $1960-64$ | $356(.08)$ | $92(.120)$ | 3.80 |
| 1965969 | $3174(.28)$ | $88(.20)$ | 2.75 |
| 1970.74 | $1700(.13)$ | $32(.080)$ | .75 |
| $1975-79$ | 12,634 | $3(.007)$ | .32 |
| $1980+$ |  |  | .05 |
| Totals $=$ |  |  |  |

Source: U.S. Department of Education, Center for Education Statistics, "Degrees and Other Formal Awards Conferred" surveys.
${ }^{\text {a Pre-1 }} 950$ figures obtained by taking a constant percentage ( $2 \%$ ) of total Ph.D.s granted. This percentage was chosen based on the average percentage that Political Science Doctorates constituted of the total in the post-1950 period.
${ }^{\text {b }}$ Excludes degrees given in public administration and international relations.

## Notes

*This is the second in a proposed series in "Political Science: Snapshots of a Discipline" drawing on data in the Social Science Citation Index and the APSA Biographical Directory. Planned future papers include "Endogamy and Exogamy in Political Science Hiring Practices," "Is Anybody Out There Reading? A Gini Index of Citation Inequality in Political Science," and "Citation is the Sincerest Form of Flattery: Citation Clique Structure in Political Science." We are indebted to the Word Processing Center, School of Social Science, UCl, for manuscript typing. For one of us, the inspiration for this research came from Albert Somit and Joseph Tanenhaus, American Political Science: A Profile of a Discipline, New York: Atherton Press, 1964, and from a course in the sociology of science at the University of Chicago taught by Duncan MacRae.
I. ' $N$ Nonetheless, we believe that citation frequency is a more accurate indicator of the scholarly quality of faculty than is simply the number of articles published" (Klingemann, 1986: 654). However, we make no claim that citations tell the whole story. Like Minogue (1986), we believe in convergent indicators, "recognizing that quantity is a multidimensional concept." For example, a department whose members are highly productive (highly cited) may or may not turn out students of the same sort.
2. There are several technical problems in using citation data.
First, while the data base is available for online analysis, extensive use of it gets to be expensive.
Second, and more importantly, it is difficult to obtain an accurate computer count of the number of citations to a given individual. A certain amount of human judgment is required (e.g., on the one hand, Robert Dahl may be listed as R. A. Dahl or R. Dahl (or even, wrongly, as P. Dahl or R. F. Dahl); and on the other hand there may be multiple persons with the same last name in the SSCl index (sometimes even more than one political scientist). The rule we used was "when in doubt, count it," but if the publication appeared in the Journal of Dermatology, for example, or the Review of Slavic Linguistics, it was omitted (Klingemann, 1986: 653).
Third, there is a problem with multiple authorship, since SSCl only lists the first author. We checked citations by letter of the alphabet and found, at the aggregate level, no significant bias (Klingemann, 1986: 655). Nonetheless, this does not mean that particular individuals who are part of a long-standing collaboration with someone earlier in the alphabet than they and who always publish articles in alphabetical order may not be slighted by our giving no weight except to first-listed authors. See Cnudde (1986), Klingemann (1987) and Cnudde (1987).

Fourth, as Minogue (1986: 403) reminds us,
certain works may be cited negatively, because they represent error to be refuted. Of course, even errors are important only if they appear in articles which people take seriously enough to bother refuting, so we do not regard this as a major problem. (Cf. "A Person is known by the enemies he makes').
Fifth, as Minogue (1986: 404) warns, "People cite their friends, pay off debts, show off the breadth of their reading, and hedge their bets," and, we might add, people also cite themselves. All this is true and gives some reasons for caution, but does not really change much at the gross level of analysis because the gap in number of citations received by the most highly cited individuals and even those in the next decile is so very large. (One specific issue, clique-based mutual inflation of citations, we hope to explore in future work.)
Sixth, citations vary by subdiscipline and field of interest. The world's leading expert on Africa is apt to receive fewer citations than a not so distinguished student of American politics. In this paper we have attempted to compensate for this problem, in part, by identifying subfields of interest. In previous work Klingemann, 1986), we separately grouped faculty into five subfield categories and ranked people only within-category.
3. Actually there are 424 names in the list because of ties. Data were obtained by counting lines of citations. (See Footnote 2).
4. Only faculty teaching at Ph.D.-granting institutions in the U.S. and Canada in 1984-85 are listed. The affiliation listed is as of 1984-85. Thus, some highly cited individuals (e.g., Daniel Elazar) not teaching at a Ph.D.-granting institution are omitted, as are a few highly cited individuals whom particular quirks of fate omitted (e.g., Aaron Wildavsky, who was head of Russell Sage at the time we looked at university departmental mastheads).
5. For the cohorts before 1945 and after 1980 there are not as many as 25 individuals among the top 400 . The citation data is too recent for the former, and omits many of the latter because emeritus faculty were not counted.
6. It would have been nice to have been able to tabulate citations by Ph.D.-granting institutions for all 15,000 or so APSA members. Because citation data require human intervention to deal with potential sources of coding error, that was a coding task well beyond our limited resources.
7. We should be careful to warn that it may be premature to use citation data for Ph.D.s granted in 1975 and after, since it takes time to develop a program of research and establish a visible place in the discipline. Nonetheless, our own subjective judgment is that most of the names in the 1970-74 cohort and earlier
became visible (and cited) early in their career. The time-path of citations is another topic we hope to investigate in future work.
8. Cf. the old Chicago proverb, 'In the great bowling alley of life, the more balls you throw the more likely you are to knock down ten pins.'
9. This is unfair to "new" institutions; since 1980 Ph.D. production overstates their rate of Ph.D. production over the entire post-WWII period. Unfortunately, the only data we had on Ph.D. production by department did not go back before the 1970s and the three-year totals (produced yearly) that were reported made us suspicious about aggregating.
10. Table 4 reports data only for universities that list five graduates in the "top 400." Otherwise a number of universities with one or two highly cited graduates which produce very few Ph.D.s would be at the top. For example, the University of California, Irvine has one Ph.D. in the "top 400," but it produced no Ph.D.s in 1980 and only six Ph.D.s in its 20 or so years of existence. With those percentages, UC Irvine would be the most highly rated Ph.D. producer in the country, if we included schools with fewer than five Ph.D.s.

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## Introducing the National Political Science Review

Anew journal has hit the political science profession with the publication of the first volume of the National Political Science Review in February 1989. Published annually by the National Conference of Black Political Scientists, the NPSR includes scholarly research and commentary reflective of diverse interests and perspectives of scholars from various backgrounds and life experiences. According to Lucius Barker, NPSR editor and Gellhorn Professor of Public Affairs and Political Science at Washington University (St. Louis) the NPSR 'represents an important step in the growth and development of the NCOBPS as a professional scholarly organization.


Paula D. McClain, book review editor, and Lucius J. Barker, editor, presents commemorative copy of the NPSR to Dianne Pinderhughes, president of the National Conference of Black Political Scientists at its annual meeting held in Baton Rouge, LA, March 15-18, 1989.

Barker observes that "the rich diversity of interests and scholarship represented in the NPSR is clearly reflected in the coverage and treatment of topics that should appeal to a wide readership. "Among the major feature articles, for example, are contributions by Stuart Clark, a Ph.D. candidate at Yale on "Liberalism and Black Political Thought," and an article by Robert H. Salisbury, Souers Professor of American Politics at Washington University (St. Louis) on "Political Movements in American Politics." Additionally, a number of scholars offer varied commentaries on two special symposia: "The IranContra Affair" and a bicentennial assessment of "Black Americans and The Constitution."
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