# AN ARITHMETIC CHARACTERIZATION OF THE PARABOLIC POINTS OF $G(2 \cos \pi/5)$

# by DAVID ROSEN†

(Received 3 September, 1962)

By the group  $G(2 \cos \pi/q)$  we mean the group of linear fractional transformations of the complex plane onto itself, generated by V(z) = -1/z and  $U(z) = z + \lambda_q$ , where  $\lambda_q = 2 \cos(\pi/q)$ , q being a positive integer greater than 2. In this paper we shall be concerned only with the group given by q = 5, and we shall therefore omit the subscript 5 on the  $\lambda$ . We note that  $\lambda = \lambda_5$  satisfies the equation

$$x^2 - x - 1 = 0; (1)$$

hence  $\lambda = (1 + 5^{\frac{1}{2}})/2$ .

It is well known [1] that  $G(\lambda)$  is a real zonal horocyclic group (see [4] for these terms);

i.e.  $G(\lambda)$  is a fuchsian group of the first kind. We let  $T = \begin{pmatrix} a & b \\ c & d \end{pmatrix} (ad - bc = 1)$  represent the

transformation z' = T(z) = (az+b)/(cz+d), and notice that T and -T represent the same transformation. If U and V are the corresponding matrices of U(z) and V(z), it is easy to verify in this notation that the generators satisfy the relations

$$V^2 = I = (VU)^5,$$
 (2)

where I represents the identity transformation.

As a consequence of (2) we can write the transformation T(z) as a word in U and V, namely:  $T = U^{r_0}VU^{r_1}\cdots VU^{r_n}$ , where the  $r_i$  are rational integers. In [5] it was shown that these words with certain conventions could be made unique. The unique word in turn led naturally to a continued fraction representation of the transformation, and hence a continued fraction representation of the parabolic points—the transforms of  $\infty$ . We shall use the fact, which can be deduced from the theorems of [5], that a parabolic point is a unique finite  $\lambda$ -fraction which we write in the form

$$(r_0\lambda; e_1/r_1\lambda, \dots, e_n/r_n\lambda),$$
 (3)

where the  $r_i$  (i > 0) are positive integers, while  $r_0$  may be a positive or negative integer or zero, and  $e_i = \pm 1$ . We shall also assume whatever theorems on continued fractions are necessary, and especially the results in [5]. We shall however use the current term approximant for convergent.

If  $P_m/Q_m$  is the *m*th approximant of a  $\lambda$ -fraction (3), it is a consequence of (1) that  $P_m$  and  $Q_m$  are algebraic integers in the field  $R(5^{\pm})$ , which when expressed in terms of the basis (1,  $\lambda$ ) have the form  $m+n\lambda$ , where *m* and *n* are rational integers. The parabolic points therefore, as finite  $\lambda$ -fractions, are quotients of integers in the field. We shall denote a typical one by a/b where  $a = a_1 + a_2\lambda$ ,  $b = b_1 + b_2\lambda$ .

† The author gratefully acknowledges that this work was performed while he held a U.S. National Science Foundation Science Faculty Fellowship. The author also takes this opportunity to thank Professor R. A. Rankin for several helpful suggestions and

The author also takes this opportunity to thank Professor R. A. Rankin for several helpful suggestions and comments, and to express his appreciation to the staff of the Mathematics Department of the University of Glasgow for providing him with a congenial and stimulating atmosphere in which to work.

The motivation for this study arose in connection with the problem of finding the Fourier coefficients of automorphic forms and functions belonging to  $G(\lambda)$ . These are given explicitly by Petersson [3], and involve sums of the type  $\sum |c|^{-k}$  taken over all substitutions of the group. The characterization will therefore be directed toward describing c, the third element of the transformation.

The more interesting problem is the converse one, namely, which rational elements of the field are parabolic points or cusps. It seems, as a conjecture, that every rational element of the field is a cusp, as numerical calculations in abundance have not turned up counterexamples. For the groups  $G(\lambda_q)$  with q even, the conjecture is false, since it is shown† in [5, p. 558] that 1 has an infinite  $\lambda$ -fraction representation and hence cannot be a cusp. We shall show that the units  $\lambda^m$  are all parabolic points.

We recall the essential property of the reduced  $\lambda$ -fraction. If  $r_i \lambda + e_{i+1} < 1$  (so that  $r_i = 1$ ,  $e_{i+1} = -1$ ), then  $r_{i+1} \ge 2$ , and either  $e_i = 1$  or  $r_{i-1} \ge 2$ . As a matter of notation we shall write the numerator and denominator of the *m*th approximant as

$$P_{m} = P_{1m} + P_{2m}\lambda; \quad Q_{m} = Q_{1m} + Q_{2m}\lambda, \tag{4}$$

where the subscript 1 denotes the rational component, and 2 the  $\lambda$ -component. The following general formula will be useful:

$$Q_{k+1} = \lambda r_{k+1} Q_k + e_{k+1} Q_{k-1} = Q_{1(k+1)} + Q_{2(k+1)} \lambda.$$
(5)

We deduce from (1), (4), and (5) that

$$Q_{1(k+1)} = r_{k+1}Q_{2k} + e_{k+1}Q_{1(k-1)}, \quad Q_{2(k+1)} = r_{k+1}(Q_{1k} + Q_{2k}) + e_{k+1}Q_{2(k-1)}.$$
(6)

In particular,  $Q_{11} = 0$ ,  $Q_{21} = r_1$ ,  $Q_{12} = r_1r_2 + e_2$ ,  $Q_{22} = r_1r_2$ .

LEMMA 1. (i) 
$$Q_{12} > Q_{11} = 0$$
; (ii)  $Q_{22} \ge Q_{21} > 0$ ; (iii)  $Q_{22} > Q_{12}$  or  $Q_{12} = Q_{22} + 1$ .

*Proof.* Since  $Q_{12} = r_1r_2 + e_2$ , (i) is obvious if  $e_2 = 1$ . If  $e_2 = -1$ , then either  $r_1$  or  $r_2 \ge 2$ , and the conclusion is immediate. Part (ii) is obvious. If  $e_2 = 1$ , then clearly  $Q_{12} = Q_{22} + 1$ . If  $e_2 = -1$ , either  $r_1$  or  $r_2 \ge 2$ , so that part (iii) follows easily.

LEMMA 2. (i) Either  $Q_{13} \ge Q_{12}$  or  $Q_{12} = Q_{13} + 1$ ; (ii)  $Q_{23} \ge Q_{22}$ ; (iii)  $Q_{23} \ge Q_{13} > 0$ .

*Proof.* We prove part (iii) first. By (6) we have  $Q_{23} = Q_{13} + r_3Q_{12} + e_3Q_{12}$ , where we use the fact that  $Q_{11} = 0$ , so that  $Q_{13} = r_3Q_{22}$ . We must now show that  $r_3Q_{12} + e_3Q_{21} \ge 0$ , or more explicitly that  $r_1r_2r_3 + r_3e_2 + r_1e_3 \ge 0$ . A careful analysis of the possibilities such as  $e_3 = -1$ ,  $r_3 = 1$ , which forces  $r_2 \ge 2$ , gives the desired conclusion. We omit the details and remark that the alternatives of Lemma 1 part (iii) do not cause any difficulty.

To prove part (ii), we must show that  $Q_{23} = 2r_1r_2r_3 + e_2r_3 + e_3r_1 > r_1r_2 = Q_{22}$ . This follows, however, from the similar inequality in (iii).

G

<sup>†</sup> There are a few errors in [5] which we correct at this time. (i) In (4.3)  $r_{\nu-1}$  should be  $r'_{\nu-1}$ . (ii) On p. 557, line 3,  $\alpha_{\nu-1}$ , n should be  $\alpha'_{\nu-1}$ , n. (iii) On p. 558, line 14 from bottom,  $\zeta = (B(h+1), -1/\xi)$ . (iv) On line 2 of the proof of Theorem 4, replace the first  $\lambda > 2$  by  $\lambda < 2$ . (v) Theorem 7, 6th line of proof, should read:  $a_i \leq a_{i-1}\lambda/2$  or  $a_{i-1}/a_i\lambda \geq 2/\lambda^2 = U_0$ . (vi) P. 561, line 1, should read  $a_{i-1}/a_i\lambda \geq 2/\lambda^2$  .... (vii) In he line above (7.7), replace K by k.

We prove part (i) by considering cases. Since  $Q_{13} = r_1 r_2 r_3$ , it is obvious that if  $e_2 = -1$ , then  $r_1 r_2 r_3 \ge r_1 r_2 - 1$ . If  $e_2 = 1$ , then  $r_1 r_2 r_3 > r_1 r_2 + 1$  when  $r_3 \ge 2$ , while  $Q_{12} = Q_{13} + 1$ when  $r_3 = 1$ .

We shall say that a finite  $\lambda$ -fraction has length m if  $P_m/Q_m$  is the value of the  $\lambda$ -fraction.

LEMMA 3. (i) Either  $Q_{14} \ge Q_{13}$  or  $Q_{13} = Q_{14} + 1$ ; (ii)  $Q_{24} \ge Q_{23}$ ; (iii)  $Q_{24} \ge Q_{14}^{-1}$ .

*Proof.* Part (i): From (6) we have  $Q_{14} = r_4 Q_{23} + e_4 Q_{12}$ . We consider three cases.

(a)  $e_4 = 1$ . Since  $Q_{23} \ge Q_{13}$ , by Lemma 2, the conclusion is obvious.

(b)  $e_4 = -1$ ,  $r_4 \ge 2$ . Clearly  $Q_{14} \ge 2Q_{23} - Q_{12}$ . Let  $A = Q_{13} + 2r_3Q_{12} + 2e_3Q_{21} - 2e_3Q_{11}$ . From (6) we deduce that  $2Q_{23} - Q_{12} = Q_{13} + A - Q_{12}$ . We show that  $A - Q_{12} \ge 0$ . If  $e_3 = 1$ , this is obvious, since  $Q_{21} > Q_{11}$ . If  $e_3 = -1$ ,  $r_3 \ge 2$ , straightforward estimation produces the result. We remark that in this case  $Q_{13} > Q_{12}$ . If  $e_3 = -1$ ,  $r_3 = 1$ , we must have  $r_2 \ge 2$ , and the required inequality follows easily.

(c)  $e_4 = -1$ ,  $r_4 = 1$ . Let  $B = Q_{12}(r_3 - 1) + e_3Q_{21}$ . We deduce from (6) that  $Q_{14} = Q_{13} + B$ . If  $e_3 = 1$ , then B > 0. If  $e_3 = -1$  and  $r_3 \ge 3$ , then  $B \ge 2Q_{12} - Q_{21} = 2r_1r_2 + 2e_2 - r_1$ . The right side is positive if either  $r_1$  or  $r_2 \ge 2$  when  $e_2 = -1$ , but this is the case for a reduced  $\lambda$ -fraction. If  $r_3 = 2$ , B > 0 if  $e_2 = 1$ , and B = -1 if  $e_2 = -1$ ,  $r_2 = 1$ . Hence part (i) is proved, since  $r_3$  cannot be 1.

Part (ii): From (6) we see that the inequality is proved if

$$Q_{24} = r_4(Q_{13} + Q_{23}) + e_4Q_{22} \ge Q_{23}.$$

(a)  $e_4 = 1$ . The inequality is obvious.

(b)  $e_4 = -1$ ,  $r_4 \ge 2$ . By Lemma 2,  $Q_{23} > Q_{22}$  and the inequality is easily deduced.

(c)  $e_4 = -1$ ,  $r_4 = 1$ . Now  $Q_{24} = Q_{13} + Q_{23} - Q_{22}$ . If we substitute  $Q_{13} = r_3Q_{22} + e_3Q_{11}$  in the right side, the desired inequality follows easily since  $r_3 \ge 2$ .

Part (iii): Let  $D = Q_{24} - Q_{14} = r_4 Q_{13} + e_4 (Q_{22} - Q_{12})$ ; we show that  $D \ge 0$ .

(a)  $e_4 = 1$ . Obviously  $D \ge Q_{13} + Q_{22} - Q_{12}$ . If  $Q_{13} \ge Q_{12}$ , then D > 0 trivially. If  $Q_{12} = Q_{13} + 1$ , then  $D \ge Q_{22} - 1 \ge 0$ .

(b)  $e_4 = -1$ ,  $r_4 \ge 2$ . Since  $2Q_{13} - Q_{22} > 0$ , it follows that D > 0.

(c)  $e_4 = -1$ ,  $r_4 = 1$ . Now  $D = Q_{13} + Q_{12} - Q_{22}$ , which is easily seen to be positive.

This proves the lemma.

Using exactly the same type of argument, we can prove that for m = 5, only the possibility  $Q_{15} \ge Q_{14}$  occurs. Hence if the  $\lambda$ -fraction has length  $m \ge 5$ , we can prove

THEOREM 1. For m = 5, 6, ..., (i)  $Q_{1m} \ge Q_{1(m-1)} > 0$ , (ii)  $Q_{2m} \ge Q_{2(m-1)} > 0$ , and (iii)  $Q_{2m} \ge Q_{1m}$ .

**Proof.** The proof is by induction and requires exactly the same type of arguments as we used in the previous lemmas. We shall therefore omit the details, but we state the induction lemma: If (i), (ii), (iii) are valid for m = 5, 6, ..., k, then (i), (ii), (iii) are valid for m = k+1.

We are now in a position to say something about the third element of the transformation T(z).

COROLLARY 1. Let  $c = c_1 + c_2 \lambda$ , be the third element of T(z). Either  $c_2 \ge c_1 \ge 0$ , or  $c_1 = c_2 + 1$ .

**Proof.** We assume that the transformation has been reduced to minimum length [5], so that the  $\lambda$ -fraction  $T(\infty) = a/c$  is a reduced  $\lambda$ -fraction. Since a and c are numerator and denominator of the  $\lambda$ -fraction, c is some  $Q_n$ . If  $T = U^k$ , then c = 0. If T = V,  $c = 0\lambda + 1$ . If the  $\lambda$ -fraction has length 1,  $c = r_1\lambda + 0$ . If the  $\lambda$ -fraction has length 2, part (iii) of Lemma 1 applies. If the  $\lambda$ -fraction has length  $n \ge 3$ , part (iii) of Lemmas 2 and 3 and Theorem 1 apply.

We next define a section of the continued fraction as

$$\alpha_{j,m} = (r_j \lambda; e_{j+1} / r_{j+1} \lambda, \dots, e_m / r_m \lambda).$$
<sup>(7)</sup>

If the  $\lambda$ -fraction is finite and of length *m*, we shall refer to  $\alpha_{j,m}$  as the *tail*. We put  $\alpha_{j,m} = P_{j,m}/Q_{j,m}$  and see that  $Q_{j-1,m} = P_{j,m}$ . Hence the numerator of a section can be expressed in terms of the denominators

$$P_{j,m} = Q_{j-1,m} = r_j \lambda Q_{j,m} + e_{j+1} Q_{j+1,m} \quad (1 \le j < m).$$
(8)

We note in particular that  $P_{m,m}/Q_{m,m} = r_m \lambda$ , so that  $Q_{m,m} = 1$ , and also that  $Q_{0,m} = Q_m$ . We write  $Q_{-1,m} = P_{0,m} = P_m$ , so that a special case of (8) is

$$P_{m} = r_{0}\lambda Q_{m} + e_{1}Q_{1,m}.$$
(9)

In Lemmas 1, 2, 3, and Theorem 1, we calculated from the front of the continued fraction. In order to obtain information about the numerators we shall use (9). It is convenient therefore to calculate the tails. The results we obtain are completely analogous in content to the previous ones, and the proofs use identical arguments, so that we only state the results in

THEOREM 2. Let 
$$Q_{j,n} = Q_{j,1n} + Q_{j,2n}\lambda$$
  $(j = n, n-1, ..., 0).$   
(i)  $Q_{j,1n} \ge Q_{j+1,1n} > 0$  or  $Q_{j,1n} + 1 = Q_{j+1,1n}$  if  $j = n-3, n-4$ , while  
 $Q_{j,1n} > Q_{j+1,1n} > 0$  if  $j = n-2, n-5, ..., 0$ .  
(ii)  $Q_{j,2n} \ge Q_{j+1,2n} > 0$   $(j = n-1, n-2, ..., 0)$ .  
(iii)  $Q_{j,2n} \ge Q_{j,1n}$   $(j = n-1, n-2, ..., 1)$ ; for  $j = n-2$  the alternative

$$Q_{n-2,1n} = Q_{n-2,2n} + 1$$

is possible.

We point out that if the continued fraction  $\alpha \ge 2/\lambda$ , then  $r_0 \ge 1$ , and if  $r_0 = 1$ ,  $e_1 = -1$ , then  $r_1 \ge 2$ ; i.e.  $\alpha$  is reduced from  $r_0$  instead of from  $r_1$  as required in the definition of a  $\lambda$ -fraction [5, p. 555]. Consequently, Theorem 2 is valid for j = -1, and from (9) we deduce information about the numerators.

THEOREM 3. If  $a/c \ge 2/\lambda$ ,  $a = a_1 + a_2\lambda$ ,  $c = c_1 + c_2\lambda$ , then (i)  $a_1 > c_1$  or  $a_1 + 1 = c_1$ , (ii)  $a_2 \ge c_2$ , (iii)  $a_2 \ge a_1$  or  $a_1 = a_2 + 1$ , (iv)  $c_2 \ge c_1$  or  $c_2 + 1 = c_1$ .

*Proof.* Since  $a/c \ge 2/\lambda$ , Theorem 2 is valid for j = -1. We point out that the two alternatives in (iii) and (iv) cannot occur at the same time. This is evident from examining  $\lambda$ -fractions of length 1 and 2.

The restriction  $a/c \ge 2/\lambda$  is no serious loss of generality, since it amounts to a restriction to certain members of a coset decomposition of  $G(\lambda)$  with respect to the subgroup generated by  $U(z) = z + \lambda$ . We denote by G the group of matrices that contains -I and is such that  $(az+b)/(cz+d) \in G(\lambda)$  if and only if

$$T = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in G \quad (ad - bc = 1).$$
(10)

THEOREM 4. If (10) holds and

$$S = \begin{pmatrix} x & y \\ c & d \end{pmatrix},$$

where x = a + ct, y = b + dt, then  $S \in G$  if and only if  $t = m\lambda$ , where m is a rational integer.

*Proof.* If  $t = m\lambda$ , then  $S = U^m T$ , which belongs to G, since U does. Conversely, if  $S \in G$  then so does

$$ST^{-1} = \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix},$$

which is possible only if  $t = m\lambda$ .

We point out that we make no claims as to the existence of solutions to the diophantine equation with fixed integers c, d in  $R(5^{\frac{1}{2}})$  which would give a transformation of  $G(\lambda)$ . Hutchinson [2] investigated a wide class of automorphic groups in which the coefficients a, b, c, d were integers in quadratic fields. It can be shown that  $G(\lambda)$  does not belong to this class. What we can assert however, is this: If d/c is a finite  $\lambda$ -fraction which we write as  $d'/c' = P_m/Q_m$ , where  $d' = d\lambda^n$  and  $c' = c\lambda^n$ , for some n, then by Theorem 1 of [5], the penultimate approximant and d'/c' provide a substitution of  $G(\lambda)$ , and Theorem 4 gives all substitutions with the same (c, d).

The next theorem gives us information on the parities of the coefficients of a and c, and seems to have a curious property relating to  $\lambda_5$ .

93

THEOREM 5. Let a/c be a finite  $\lambda$ -fraction, let + denote an even integer, - an odd integer. The parities of  $a_1$ ,  $a_2$ ,  $c_1$ ,  $c_2$  occur in the following combinations only:

	<i>a</i> <sub>2</sub>	$a_1$	<i>c</i> <sub>2</sub>	<i>c</i> <sub>1</sub>
1.	_	+	÷	-
2.	+	+	+	
3.		+	_	+
4.	+	_	+	+
5.	+	_	_	+

*Proof.* We consider the tails  $\alpha_{j,n}$ . We see that  $\alpha_{n,n} = (r_n \lambda + 0)/(0\lambda + 1)$  trivially has the form of 1 or 2 in the table. Also, by definition we have  $\alpha_{j,n} = r_j \lambda + e_{j+1}/\alpha_{j+1,n}$ , so that we can express the components of  $\alpha_{j,n}$  in terms of the components of  $\alpha_{j+1,n}$ .

$$P_{j, 2n} = r_{j}(P_{j+1, 1n} + P_{j+1, 2n}) + e_{j+1}Q_{j+1, 2n},$$

$$P_{j, 1n} = r_{j}P_{j+1, 2n} + e_{j+1}Q_{j+1, 1n},$$

$$Q_{j, 1n} = P_{j+1, 1n},$$

$$Q_{j, 2n} = P_{j+1, 2n}.$$
(11)

It can be seen that if  $\alpha_{j+1,n}$  has one of the forms listed in the table, then  $\alpha_{j,n}$  also has one of the listed forms. In fact the change of form from  $\alpha_{j,n}$  to  $\alpha_{j+1,n}$  is given by the permutation (15)(24) if  $r_j$  is even, and by (13524) if  $r_j$  is odd.

If f is a tail of the form i, (i = 1, 2, 3, 4, 5), then it turns out that  $f = \lambda^3 f/\lambda^3$ ,  $\lambda^2 f/\lambda^2$ ,  $\lambda f/\lambda$  determine three mutually exclusive classes, each class consisting of five distinct possible arrangements. This means that every rational element in  $R(5^{\frac{1}{2}})$  can be expressed as in Theorem 5.

COROLLARY 2. If c is the denominator of a parabolic point, then at least one of the components is even.

**Proof.** Each row in the table of Theorem 3 has at least one + in the  $c_2$  and  $c_1$  columns. It is clear that a similar conclusion holds for the numerators.

LEMMA 5. Let 
$$c = c_1 + c_2 \lambda$$
; then  $c_1 = c_2$  only if  $c_1 = 2$ , 4 or 6.

**Proof.** We assume that a/c is a  $\lambda$ -fraction of the form (3), and that  $a = P_m$ ,  $c = Q_m$ . Hence  $Q_1 = r_1 \lambda$ , and if the fraction has length 1, then  $c \neq c_2$ . Suppose that m = 2; then  $c = Q_2 = r_1 r_2 \lambda + (r_1 r_2 + e_2)$ . Clearly,  $c_1 = c_2$  implies that  $e_2 = 0$ , which is impossible. The interesting situations arise with m = 3, 4. These we examine in detail.

To have  $Q_{13} = Q_{23}$ , we must have  $r_1r_2r_3 + e_2r_3 + e_3r_1 = 0$ . Clearly, at least one *e* must be -1, so that  $r_1r_2r_3 = r_1 - r_3$ ,  $r_3 - r_1$ , or  $r_1 + r_3$ . We consider the case  $r_1 - r_3 = \pm k$ ,  $k \ge 0$ , and look for integral solutions of  $(\pm k + r_3)r_2r_3 = \pm k$ . Since  $k/(k+r_3)$  is not an integer, the

upper sign is impossible; the lower sign gives the solutions k = 1,  $r_2 = 4$ ,  $r_3 = \frac{1}{2}$ ; k = 2,  $r_2 = 2$ ,  $r_3 = 1$ ,  $r_1 = -1$ ; and k = 4,  $r_2 = 1$ ,  $r_3 = 2$ ,  $r_1 = -2$ . None of these are permissible  $\lambda$ -fractions. The case  $r_1 + r_3 = k$ , however, gives two solutions. Indeed, we find that these are

and  

$$(0; 1/2\lambda, -1/\lambda, -1/2\lambda) = (2\lambda+1)/(4\lambda+4) = \lambda/4$$

$$(0; 1/\lambda, -1/2\lambda, -1/\lambda) = (2\lambda+1)/(2\lambda+2) = \lambda/2.$$

94

If the  $\lambda$ -fraction has length 4, we find that  $Q_{14} = Q_{24}$  gives the nice condition  $r_1r_2r_3r_4 = e_2e_4$ . Since  $r_i > 0$  ( $1 \le i \le 4$ ), we get  $r_1 = r_2 = r_3 = r_4 = 1$ , and, for the  $\lambda$ -fraction to be reduced, we must have  $e_2 = e_4 = 1$ . These conditions yield

$$(0; 1/\lambda, 1/\lambda, 1/\lambda, 1/\lambda) = (4\lambda + 1)/(6\lambda + 6).$$

We next prove that for  $m \ge 5$ ,  $Q_{2m} = Q_{1m}$ . In Theorem 1 (iii) we proved that  $Q_{2m} \ge Q_{1m}$ . If in the inductive assumption we can replace  $\ge$  by >, we obtain the desired result. Hence we prove that the inequality is strict for m = 5. The induction is then exactly as in Theorem 1. We suppose that  $Q_{15} = Q_{25}$ . The equations of (6) lead to the condition

$$r_5 Q_{14} = e_5 (Q_{13} - Q_{23}). \tag{12}$$

Since  $Q_{14} > 0$ , and  $Q_{23} \ge Q_{13}$ , we must have  $e_5 = -1$ . If  $Q_{23} = Q_{13}$ , then we see that  $Q_{14} = 0$  or  $r_5 = 0$ , which is impossible. We now have  $r_5Q_{14} = Q_{23} - Q_{13} > 0$ , and we replace  $Q_{14}$  by  $r_4Q_{23} + e_4Q_{12}$  from (6). The equation in (12) becomes

$$Q_{23} - Q_{13} = r_5(r_4Q_{23} + e_4Q_{12}) \ge r_5(Q_{23} - Q_{12}).$$

From Lemma 2, either  $Q_{13} \ge Q_{12}$  or  $Q_{13}+1=Q_{12}$ . The first alternative leads to a value of  $r_5 < 1$  if  $Q_{13} > Q_{12}$ , while  $r_5 = r_4 = 1$  if  $Q_{13} = Q_{12}$ . Hence the second alternative must obtain.

The second alternative leads to a further equation

$$Q_{23}(r_4r_5-1) = Q_{12}(-1-e_4r_5)+1,$$

along with the additional conditions that  $e_2 = 1$  and  $r_3 = 1$  (Lemma 3 (i)). Since the left side of this equation is non-negative, it follows that  $e_4 = -1$ , because  $Q_{12} = r_1r_2 + 1 \neq 1$ . Hence, if  $r_5 = 1$ , we have  $Q_{23}(r_4 - 1) = 1$ . This implies that  $r_4 \ge 2$  and  $Q_{23} = 1$ . But  $Q_{23} = 1$  leads to the statement  $r_1 = 0$  or  $r_2 = -e_3$ , which is nonsense. Therefore  $r_5 \ge 2$ , and we obtain

$$r_5 = \frac{1}{Q_{23}r_4 - Q_{12}} + \frac{Q_{23} - Q_{12}}{Q_{23}r_4 - Q_{12}}.$$
 (13)

Under the present set of conditions, we deduce that  $Q_{23} = 2r_1r_2 + e_3r_1 + 1 \ge Q_{12} + 2$  if  $e_3 = 1$ , and  $Q_{23} \ge Q_{12} + 1$  if  $e_3 = -1$ . If  $r_4 = 1$ , then  $e_3 = 1$ , since  $r_3 = 1$ . We find from (13) that  $r_5$  has a value less than 2. If  $r_4 \ge 2$ , we find that the first and second members in (13) do not exceed  $\frac{1}{2}$  and 1, respectively, so that  $r_5 < 2$ . We therefore conclude that  $Q_{25} > Q_{15}$ . There are two further conditions on the components of c that can be obtained by the same kind of argument as we have been using all through the paper. To avoid repetition we state the results only.

LEMMA 6. 
$$3Q_{13} - Q_{23} + 1 \ge 0$$
 or  $Q_{12} = Q_{22} \pm 1$ .

LEMMA 7.  $2Q_{1m} - Q_{2m} + 2 \ge 0$  for  $m \ge 4$ .

Although the concluding theorem is a contribution to the converse problem, we include it here because it seems to be interesting.

THEOREM 6. The units  $[(1+5^{\frac{1}{2}})/2]^m$   $(m = 0, \pm 1, \pm 2, ...)$  are finite  $\lambda$ -fractions and consequently parabolic points.

*Proof.* We shall prove the theorem for  $m \ge 0$ , as the statement is then obvious for m < 0. The units, when represented in terms of the basis (1,  $\lambda$ ), have the form  $\lambda^m = U_m \lambda + U_{m-1}$ , where  $U_i$  is the *i*th Fibonnaci number in the sequence 1, 1, 2, 3, ..., and  $U_{n+1} = U_n + U_{n-1}$ . The proof is by induction.

We verify that for m = 0, 1, and 2 the units are finite  $\lambda$ -fractions:  $\lambda^0 = \lambda - 1/\lambda$ ,  $\lambda^1 = \lambda$ ,  $\lambda^2 = \lambda + 1 = 2\lambda - 1/\lambda$ . We assume that  $\lambda^{n-2}$  is a finite  $\lambda$ -fraction and we shall prove that  $\lambda^n$  is also a finite  $\lambda$ -fraction.

We write  $\lambda^n = \lambda^{2n-2}/\lambda^{n-2} = (U_{2n-2}\lambda + U_{2n-3})/(U_{n-2}\lambda + U_{n-3})$ . We expand the right side by the nearest integer algorithm [5, p. 560] and obtain formally

$$U_{2n-2}\lambda + U_{2n-3} = (U_{n-2}\lambda + U_{n-3})r_0\lambda + e_1(a_1 + b_1\lambda), \tag{14}$$

where  $e_i = \pm 1$  and is chosen so that  $a_1 + b_1 \lambda > 0$ . By using (1) and equating rational and  $\lambda$ components of both sides, we find that  $e_1 a_1 = U_{2n-2} - U_{n-2} r_0$  and  $e_1 b_1 = U_{2n-2} - r_0 U_{n-1}$ .
If we choose  $r_0 = U_{n-2} + U_n$  and use the two well known formulae [6, p. 10],

and 
$$U_{2n} = U_n(U_{n-1} + U_{n+1})$$
$$U_{2n-3} = U_{n-3}U_{n-1} + U_{n-2}U_n$$

judiciously, we find that  $b_1 = 0$  and that  $e_1a_1 = (-1)^{n-3}$ . We can choose  $e_1$  so that  $a_1 > 0$ .

Since we now have  $(a_1+b_1\lambda)/(U_{n-2}\lambda+U_{n-3}) < \lambda/2$ , our choice of  $r_0$  is the "nearest integer", in the sense of [5], to  $\lambda^n/\lambda$ . Hence the resulting  $\lambda$ -fraction is unique. Another way of writing (14) is  $\lambda^n = r_0\lambda + e_1/\lambda^{n-2}$ . The usual inductive argument for odd and even *n* proves the theorem.

#### REFERENCES

1. E. Hecke, Über die Bestimmung Dirichletscher Reihen durch ihre Funktionalgleichungen, Math. Ann. 112 (1935), 664-699.

2. J. T. Hutchinson, On automorphic groups whose coefficients are integers in a quadratic field, *Trans. American Math. Soc.* 7 (1906), 530–536.

3. H. Petersson, Konstruktion der Modulformen und der zu gewissen Grenzkreisgruppen gehörigen automorphen Formen von positiver reeller Dimension und die vollständige Bestimmung ihrer Fourierkoeffizienten, S.-B. Heidelberger Akad. Wiss. (1950), 417-494.

4. R. A. Rankin, On horocyclic groups, Proc. London Math. Soc. (3) 4 (1954), 220-234.

5. D. Rosen, A class of continued fractions associated with certain properly discontinuous groups, Duke Math. J. 21 (1954), 549-564.

6. N. N. Vorolv'ev, Fibonacci numbers (London, 1961). Translated by H. Moss.

THE UNIVERSITY

GLASGOW

Permanent Address: Swarthmore College, Swarthmore, Pa, U.S.A.

96