

POLISH SPACES OF BANACH SPACES: COMPLEXITY OF ISOMETRY AND ISOMORPHISM CLASSES

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Abstract We study the complexities of isometry and isomorphism classes of separable Banach spaces in the Polish spaces of Banach spaces, recently introduced and investigated by the authors in [14]. We obtain sharp results concerning the most classical separable Banach spaces.

We prove that the infinite-dimensional separable Hilbert space is characterized as the unique separable infinite-dimensional Banach space whose isometry class is closed, and also as the unique separable infinite-dimensional Banach space whose isomorphism class is F_σ . For $p \in [1, 2) \cup (2, \infty)$, we show that the isometry classes of $L_p[0, 1]$ and ℓ_p are G_δ -complete sets and $F_{\sigma\delta}$ -complete sets, respectively. Then we show that the isometry class of c_0 is an $F_{\sigma\delta}$ -complete set.

Additionally, we compute the complexities of many other natural classes of separable Banach spaces; for instance, the class of separable $\mathcal{L}_{p, \lambda+}$ -spaces, for $p, \lambda \geq 1$, is shown to be a G_δ -set, the class of superreflexive spaces is shown to be an $F_{\sigma\delta}$ -set, and the class of spaces with local Π -basis structure is shown to be a Σ_6^0 -set. The paper is concluded with many open problems and suggestions for a future research.

Introduction

Descriptive set theoretic approach to Banach spaces has proved to be a powerful tool in solving many problems in Banach space theory; for a wide selection of references

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ranging from the earliest ones of Bourgain to the most recent ones see e.g. [3, 4, 5, 8, 12]. Traditionally, and as defined explicitly for the first time in the seminal papers of Bossard ([6, 7]), one considers the standard Borel space of all separable Banach spaces, which can be defined as an appropriate Borel subspace of the Effros-Borel space of all closed subspaces of some isometrically universal separable Banach space. Since such defined space of Banach spaces is not a topological space, it allows us to study Banach spaces globally only in a Borel way and not topologically, which would be desirable in some cases. This drawback was addressed in a recent paper [28] of Godefroy and Saint-Raymond, where they propose to study certain natural topologies on the standard Borel spaces of separable Banach spaces, and they compute Borel complexities, in these topologies, of several important classes of Banach spaces. The authors of this paper initiated in [14] the study of the Polish spaces of norms and pseudonorms on the countable infinite-dimensional vector space over \mathbb{Q} , which have additional further advantages:

- they are almost canonical Polish spaces of separable Banach spaces;
- they have very nice topological properties that are connected to local theory of Banach spaces, especially to finite representability;
- the computation of Borel complexities of various classes of separable Banach spaces is usually in these spaces as straightforward as possible, which, in particular, allows us to improve several estimates by Godefroy and Saint-Raymond;
- this approach to topologizing the space of Banach spaces is somewhat similar to how metric structures are topologized generally in continuous model theory, which could connect descriptive set theory of Banach spaces with the model theory of Banach spaces, which is one of the most developed areas of applications of logic to metric structures.

This paper is a companion and second part to [14], however, it is completely self-contained and can be read independently. Its aim is to demonstrate the strength of this new approach by computing (in many cases, these computations are sharp) complexities of several classes of Banach spaces, focusing on isomorphism classes, improving several results of Godefroy and Saint-Raymond, and initiating the research of computing the complexities of isometry classes.

First, we focus on the undoubtedly most important separable infinite-dimensional Banach space – the Hilbert space $\ell_2(\mathbb{N})$. We uniquely characterize it by both the complexity of its isometry class, as well as the complexity of its isomorphism class.

Theorem A.

- (1) *The separable infinite-dimensional Hilbert space is characterized as the unique separable infinite-dimensional Banach space whose isometry class is closed (see Theorem 2.4).*
- (2) *The separable infinite-dimensional Hilbert space is characterized as the unique, up to isomorphism, separable infinite-dimensional Banach space whose isomorphism class is F_σ (see Theorem 2.10).*

Let us briefly comment on the importance of this result. There are many known isometric characterizations of inner product spaces, and several of those may be easily seen

to form a closed condition (see, e.g. the monograph [2]). By our isometric characterization from Theorem A, there is no other separable infinite-dimensional Banach space, which can be characterized by a closed condition. Our isomorphic characterization of Hilbert spaces from Theorem A seems to be even more interesting. Recall that Bossard [7, Problem 2.9] originally asked whether ℓ_2 is the unique space with a Borel isomorphism class. Although this is known to be false now (see, e.g. [26]), our Theorem A shows that it is arguably the Banach space with the simplest possible isomorphism class. The only other candidate possibly having a simple isomorphism class is the Gurariĭ space, which might potentially have a G_δ isomorphism class (see Proposition 2.11). We conjecture that it is not the case (in fact, we do not know whether the isomorphism class of the Gurariĭ space is even Borel), however, we cannot disprove it at the moment.

Moreover, since our coding of Banach spaces is connected to the local theory of Banach spaces, it is of some interest to notice that there were some attempts to characterize ℓ_2 up to isomorphism using its finite-dimensional structure (see, e.g. [33, Conjecture 7.3] for the conjecture by Johnson et al.). Thus, our setting enables us to formulate and prove a result similar in a spirit to what was conjectured by Johnson et al. We refer the reader to Section 6 for more information in this direction.

Next, we continue by studying complexities of *isometry* classes of other Banach spaces, that is how easy/difficult it is to define them uniquely up to isometry. There is an active ongoing research whether for a particular Banach space its isomorphism class is Borel or not (see, e.g. [26], [36], [22], [27]), while it is known that isometry classes of separable Banach spaces are always Borel (note that the linear isometry relation is Borel bireducible with an orbit equivalence relation [44], and orbit equivalence relations have Borel equivalence classes [34, Theorem 15.14]). Having a topology at our disposal, we compute complexities of isometry classes of several classical Banach spaces.

Theorem B.

- (1) For $p \in [1, 2) \cup (2, \infty)$, the isometry class of $L_p[0, 1]$ is G_δ -complete. Moreover, for every $\lambda \geq 1$, the class of separable $\mathcal{L}_{p, \lambda+}$ -spaces is a G_δ -set and the class of separable \mathcal{L}_p -spaces is a $G_{\delta\sigma}$ -set, improving the estimate from [28] (see Theorems 3.4 and 3.6, and Corollary 3.7).
- (2) For $p \in [1, 2) \cup (2, \infty)$, the isometry class of ℓ_p is an $F_{\sigma\delta}$ -complete set (see Theorem 4.1).
- (3) The isometry class of c_0 is an $F_{\sigma\delta}$ -complete set (see Theorem 4.1).
- (4) The isometry class of the Gurariĭ space is a G_δ -complete set (see Corollary 3.2).

Let us also present here a few sample results, which involve complexities of more general classes of Banach spaces.

Theorem C.

- (1) The class of all superreflexive spaces is an $F_{\sigma\delta}$ -set (see Theorem 5.3).
- (2) The class of all spaces with local Π -basis structure is a Σ_6^0 -set (see Theorem 5.13).
- (3) For a fixed ordinal $\alpha \in [1, \omega_1)$, the class of spaces whose Szlenk index is bounded by ω^α is a $\Pi_{\omega^\alpha+1}^0$ -set (see Theorem 5.7).

1. Preliminaries

In this section, we set up some notation that will be used throughout the paper and recall the notions and basic results from [14] that we shall need in this paper.

1.1. Notation

Throughout the paper, we usually denote the Borel classes of low complexity by the traditional notation, such as F_σ and G_δ , or even $F_{\sigma\delta}$ (countable intersection of F_σ -sets) and $G_{\delta\sigma}$ (countable union of G_δ -sets). However, whenever it is more convenient or necessary, we use the notation Σ_α^0 , respectively, Π_α^0 , where $\alpha < \omega_1$ (we refer to [34, Section 11] for this notation). We emphasize that open sets, respectively, closed sets, are Σ_1^0 , respectively, Π_1^0 , by this notation.

In a few occasions, for a Borel class Γ , we will use the notion of Γ -hard and Γ -complete sets. We refer the reader to [34, Definition 22.9] for these notions. For a reader not familiar with them, let us emphasize that a set A being Γ -hard, for a Borel class Γ , in particular, implies that A is not of a lower complexity than Γ . Thus, results stating that some set is Σ_α^0 -complete means that the set is Σ_α^0 and not simpler.

Let us also state here the following simple lemma. Although it should be well-known, we could not find a proper reference, so we provide a sketch of the proof.

Lemma 1.1. *Suppose that X is a Polish space and $B \subseteq X$ is a Borel set, which is not a G_δ -set. Then B is F_σ -hard. The same with the roles of G_δ and F_σ interchanged.*

Proof. By the Hurewicz theorem (see, e.g. [34, Theorem 21.18]), there is a set $C \subseteq X$ homeomorphic to the Cantor space, such that $C \cap B$ is countable dense in C . Then $C \cap B$ is an F_σ -set but not a G_δ -set in the zero-dimensional Polish space C , and so it is F_σ -complete in C by Wadge's theorem (see, e.g. [34, Theorem 22.10]). So for any zero-dimensional Polish space Y and any F_σ -subset A of Y , there is a Wadge reduction of $A \subseteq Y$ to $C \cap B \subseteq C$. But any such reduction is also a reduction of $A \subseteq Y$ to $B \subseteq X$, and so B is F_σ -hard.

The argument with the roles of F_σ and G_δ interchanged is similar. \square

Moreover, given a class Γ of sets in metrizable spaces, we say that $f : X \rightarrow Y$ is Γ -measurable if $f^{-1}(U) \in \Gamma$ for every open set $U \subseteq Y$.

Given Banach spaces X and Y , we denote by $X \equiv Y$ (respectively, $X \simeq Y$) the fact that those two spaces are linearly isometric (respectively, isomorphic). We denote by $X \hookrightarrow Y$ the fact that Y contains a subspace isomorphic to X . For $K \geq 1$, a K -isomorphism $T : X \rightarrow Y$ is a linear map with $K^{-1}\|x\| \leq \|Tx\| \leq K\|x\|$, $x \in X$. If x_1, \dots, x_n are linearly independent elements of X and $y_1, \dots, y_n \in Y$, we write $(Y, y_1, \dots, y_n) \stackrel{K}{\sim} (X, x_1, \dots, x_n)$ if the linear operator $T : \text{span}\{x_1, \dots, x_n\} \rightarrow \text{span}\{y_1, \dots, y_n\}$ sending x_i to y_i satisfies $\max\{\|T\|, \|T^{-1}\|\} < K$. If X has a canonical basis (x_1, \dots, x_n) , which is clear from the context, we just write $(Y, y_1, \dots, y_n) \stackrel{K}{\sim} X$ instead of $(Y, y_1, \dots, y_n) \stackrel{K}{\sim} (X, x_1, \dots, x_n)$. Moreover, if Y is clear from the context, we write $(y_1, \dots, y_n) \stackrel{K}{\sim} X$ instead of $(Y, y_1, \dots, y_n) \stackrel{K}{\sim} X$.

Throughout the text, ℓ_p^n denotes the n -dimensional ℓ_p -space, that is the upper index denotes dimension.

Finally, in order to avoid any confusion, we emphasize that if we write that a mapping is an ‘isometry’ or an ‘isomorphism,’ we do not mean it is surjective if this is not explicitly mentioned.

1.2. Notions and results from [14]

The most important notion we want to recall is the Polish spaces of Banach spaces. We refer to [14, Section 2] for a proper introduction.

By V , let us denote the vector space over \mathbb{Q} of all finitely supported sequences of rational numbers; that is, the unique infinite-dimensional vector space over \mathbb{Q} with a countable Hamel basis $(e_n)_{n \in \mathbb{N}}$, which we may view as the vector space of all finitely supported rational sequences.

Definition 1.2 [14, Definition 2.1]. Let us denote by \mathcal{P} the space of all pseudonorms on the vector space V . Since \mathcal{P} is a closed subset of \mathbb{R}^V , this gives \mathcal{P} the Polish topology inherited from \mathbb{R}^V . The subbasis of this topology is given by sets of the form $U[v, I] := \{\mu \in \mathcal{P} : \mu(v) \in I\}$, where $v \in V$ and I is an open interval.

We often identify $\mu \in \mathcal{P}$ with its extension to the pseudonorm on the space c_{00} , that is, on the vector space over \mathbb{R} of all finitely supported sequences of real numbers.

For every $\mu \in \mathcal{P}$, we denote by X_μ the Banach space given as the completion of the quotient space X/N , where $X = (c_{00}, \mu)$ and $N = \{x \in c_{00} : \mu(x) = 0\}$. In what follows, we often consider V as a subspace of X_μ , that is, we identify every $v \in V$ with its equivalence class $[v]_N \in X_\mu$.

By \mathcal{P}_∞ , we denote the set of those $\mu \in \mathcal{P}$ for which X_μ is infinite-dimensional Banach space, and by \mathcal{B} , we denote the set of those $\mu \in \mathcal{P}_\infty$ for which the extension of μ to c_{00} is an actual norm, that is, the vectors e_1, e_2, \dots are linearly independent in X_μ .

We endow \mathcal{P}_∞ and \mathcal{B} with topologies inherited from \mathcal{P} .

It is rather easy to verify that the topologies on \mathcal{P}_∞ and \mathcal{B} are Polish, we refer to [14, Corollary 2.5] for a proof.

Remark 1.3. As we have mentioned in the Introduction, Godefroy and Saint-Raymond [28] considered another way of topologizing the class of all separable (infinite-dimensional) Banach spaces. Namely, they consider the set $SB = \{X \subseteq C(2^\omega) : X \text{ is a closed linear subspace}\}$ and introduce a class of natural Polish topologies τ on SB , which they call ‘admissible’. In [14], we compared our spaces \mathcal{P}_∞ and \mathcal{B} with (SB, τ) . In particular, we observed that whenever τ is an admissible topology, then there exists a continuous map $\Phi : (SB, \tau) \rightarrow \mathcal{P}$, such that for every $F \in SB(X)$, we have $F \equiv X_{\Phi(F)}$ isometrically (see [14, Theorem 3.3]). Thus, from our results obtained in the coding \mathcal{P}_∞ , one may easily deduce also results formulated in the language of admissible topologies.

The following definition precises the notation $\overset{K}{\sim}$ defined earlier.

Definition 1.4. If $v_1, \dots, v_n \in V$ are given, for $\mu \in \mathcal{P}$, instead of $(X_\mu, v_1, \dots, v_n) \overset{K}{\sim} X$, we shall write $(\mu, v_1, \dots, v_n) \overset{K}{\sim} X$.

For further purposes, we record here the following lemma from [14].

Lemma 1.5 [14, Lemma 2.4]. *Let X be a Banach space with $\{x_1, \dots, x_n\} \subseteq X$ linearly independent, and let $v_1, \dots, v_n \in V$. Then for any $K > 1$, the set*

$$\mathcal{N}((x_i)_i, K, (v_i)_i) = \{\mu \in \mathcal{P} : (\mu, v_1, \dots, v_n) \overset{K}{\sim} (X, x_1, \dots, x_n)\}$$

is open in \mathcal{P} .

In particular, the set of those $\mu \in \mathcal{P}$ for which the set $\{v_1, \dots, v_n\}$ is linearly independent in X_μ is open in \mathcal{P} .

Since we are interested mainly in subsets of \mathcal{P} closed under isometries, we introduce the following notation.

Notation 1.6. Let Z be a separable Banach space, and let \mathcal{I} be a subset of \mathcal{P} . We put

$$\langle Z \rangle_{\equiv}^{\mathcal{I}} := \{\mu \in \mathcal{I} : X_\mu \equiv Z\} \quad \text{and} \quad \langle Z \rangle_{\simeq}^{\mathcal{I}} := \{\mu \in \mathcal{I} : X_\mu \simeq Z\}.$$

If \mathcal{I} is clear from the context, we write $\langle Z \rangle_{\equiv}$ and $\langle Z \rangle_{\simeq}$ instead of $\langle Z \rangle_{\equiv}^{\mathcal{I}}$ and $\langle Z \rangle_{\simeq}^{\mathcal{I}}$, respectively.

The connection of the topologies on \mathcal{P} , \mathcal{P}_∞ and \mathcal{B} with finite representability was thoroughly explored in [14]. Here, we recall what will be useful in this paper.

Definition 1.7. We say that a Banach space X is finitely representable in a Banach space Y if given any finite-dimensional subspace E of X and $\varepsilon > 0$, there exists a finite-dimensional subspace F of Y , which is $(1 + \varepsilon)$ -isomorphic to E .

Moreover, if \mathcal{F} is a family of Banach spaces, we say that a Banach space X is finitely representable in \mathcal{F} if given any finite-dimensional subspace E of X and any $\varepsilon > 0$, there exists a finite-dimensional subspace F of some $Y \in \mathcal{F}$, which is $(1 + \varepsilon)$ -isomorphic to E .

Proposition 1.8 [14, Proposition 2.9]. *If X is a separable infinite-dimensional Banach space, then*

$$\{\nu \in \mathcal{B} : X_\nu \text{ is finitely representable in } X\} = \overline{\langle X \rangle_{\equiv}^{\mathcal{B}}} \cap \mathcal{B}$$

and similarly also if we replace \mathcal{B} with \mathcal{P}_∞ or with \mathcal{P} .

Moreover, let $\mathcal{F} \subseteq \mathcal{B}$ be such that $\langle X_\mu \rangle_{\equiv}^{\mathcal{B}} \subseteq \mathcal{F}$ for every $\mu \in \mathcal{F}$. Then

$$\{\nu \in \mathcal{B} : X_\nu \text{ is finitely representable in } \mathcal{F}\} = \overline{\mathcal{F}} \cap \mathcal{B}.$$

The same again holds if we replace \mathcal{B} with \mathcal{P}_∞ or with \mathcal{P} .

We finish this section by recalling one particular Banach space that will play a fundamental role in certain further results in this paper. That is, we recall what the Gurariĭ space is. One of the characterizations of the Gurariĭ space is the following, for more details, we refer the interested reader, for example, to [10] (the characterization below is provided by [10, Lemma 2.2]).

Definition 1.9. The Gurariĭ space is the unique (up to isometry) separable Banach space, such that for every $\varepsilon > 0$ and every isometric embedding $g : A \rightarrow B$, where B is a finite-dimensional Banach space and A is a subspace of \mathbb{G} , there is a $(1 + \varepsilon)$ -isomorphism $f : B \rightarrow \mathbb{G}$, such that $\|f \circ g - id_A\| \leq \varepsilon$.

In the sequel, we will need the following result.

Theorem 1.10 [14, Theorem 4.1]. *Let \mathbb{G} be the Gurarii's space. The set $\langle \mathbb{G} \rangle_{\equiv}^{\mathcal{I}}$ is a dense G_δ -set in \mathcal{I} for any $\mathcal{I} \in \{\mathcal{P}, \mathcal{P}_\infty, \mathcal{B}\}$.*

2. Spaces with descriptively simple isometry and isomorphism classes

The topic of this section is to deal with spaces with descriptively simple isometry classes (see Section 2.1) and with spaces with descriptively simple isomorphism classes (see Section 2.3). The main outcome is Theorem A, which follows from Theorems 2.4 and 2.10.

2.1. Spaces with closed isometry classes

In this subsection, we start our investigation of descriptive complexity of isometry classes, with the main goal to prove the first part of Theorem A. Let us first observe that no isometry class can be open, as every isometry class actually has an empty interior. Indeed, it follows from Proposition 1.8 that the isometry class of every isometrically universal separable Banach space is dense. Since there are obviously many pairwise nonisometric universal Banach spaces, we get that every open set (in all \mathcal{P} , \mathcal{P}_∞ and \mathcal{B}) contains norms, respectively, pseudonorms, defining distinct Banach spaces. The same argument can also be used to show that every isomorphism class has an empty interior.

Lemma 2.1. *$\langle \ell_2 \rangle_{\equiv}$ is closed in \mathcal{B} and \mathcal{P}_∞ .*

Proof. Hilbert spaces are characterized among Banach spaces as those Banach spaces whose norm satisfies the parallelogram law, that is $\|x+y\|^2 + \|x-y\|^2 = 2(\|x\|^2 + \|y\|^2)$ for any pair of elements x, y . It is clear that a norm satisfies the parallelogram law if and only if it satisfies it on a dense set of vectors, therefore, every norm, respectively, pseudonorm, from \mathcal{B} , respectively, \mathcal{P}_∞ , satisfying the parallelogram law on V defines a Hilbert space. Since norms, respectively, pseudonorms, from \mathcal{B} , respectively, \mathcal{P}_∞ , define only infinite-dimensional spaces, they define spaces isometric to $\ell_2(\mathbb{N})$. Since the parallelogram law is clearly a closed condition, we are done. \square

Remark 2.2. We note that here we need to work with the spaces \mathcal{B} or \mathcal{P}_∞ , since in \mathcal{P} , the only space with closed isometry class is the trivial space. To show it, first notice that the trivial space is indeed closed. Next, we show that any open neighbourhood of a pseudonorm defining trivial space contains a pseudonorm defining arbitrary Banach space, which will finish our claim. Let such an open neighbourhood be fixed. We may assume that it is of the form $\{\mu \in \mathcal{P} : \mu(v_i) < \varepsilon, i \leq n\}$, where $v_1, \dots, v_n \in V$ and $\varepsilon > 0$. Let m be such that all $v_i, i \leq n$, are in $\text{span}_{\mathbb{Q}}\{e_j : j \leq m\}$. Let X be an arbitrary separable Banach space, and let $(f_i)_{i \in \mathbb{N}} \subseteq X$ be a sequence whose span is dense in X . We define $\mu \in \mathcal{P}$ by $\mu(e_j) = 0$, for $j \leq m$, and $\mu(\sum_{i \in I} \alpha_i e_{m+i}) = \|\sum_{i \in I} \alpha_i f_i\|_X$, where $I \subseteq \mathbb{N}$ is finite and $(\alpha_i)_{i \in I} \subseteq \mathbb{Q}$. This defines μ separately on $\text{span}_{\mathbb{Q}}\{e_i : i \leq m\}$ and $\text{span}_{\mathbb{Q}}\{e_i : i > m\}$, however, the extension to the whole V is unique. It is clear that μ is in the fixed open neighbourhood and that $X_\mu \equiv X$.

One may be interested whether there are other Banach spaces whose isometry class is closed. The answer is negative. This follows from another corollary of Proposition 1.8,

which we mentioned already in [14, Corollary 2.11]. Its proof is just an easy application of the Dvoretzky theorem.

Lemma 2.3 [14, Corollary 2.11]. *Let X be a separable infinite-dimensional Banach space. Then, $\langle \ell_2 \rangle_{\mathcal{B}}^{\mathcal{B}} \subseteq \overline{\langle X \rangle_{\mathcal{B}}^{\mathcal{B}}} \cap \mathcal{B}$. The same holds if we replace \mathcal{B} with \mathcal{P}_{∞} or \mathcal{P} .*

The following theorem is now an immediate consequence of Lemmas 2.1 and 2.3.

Theorem 2.4. *ℓ_2 is the only separable infinite-dimensional Banach space whose isometry class is closed in \mathcal{B} . The same holds if we replace \mathcal{B} by \mathcal{P}_{∞} .*

2.2. QSL_p -spaces

Before embarking on studying spaces with descriptively simple isomorphism classes, let us consider some natural closed subspaces of \mathcal{P} , \mathcal{P}_{∞} and \mathcal{B} .

In [37], Kwapień denotes by S_p , respectively, SQ_p , for $1 \leq p < \infty$, the class of all Banach spaces isometric to a subspace of $L_p(\mu)$, respectively, to a subspace of some quotient of $L_p(\mu)$, for some measure μ . Note that a separable Banach space X belongs to S_p , respectively, SQ_p if and only if it is isometric to a subspace of $L_p[0,1]$, respectively, to a subspace of a quotient of $L_p[0,1]$, which easily follows from the fact that any separable $L_p(\mu)$ isometrically embeds into $L_p[0,1]$ as a complemented subspace (see, e.g. Theorem 3.8 below).

Let us address the class S_p first. We have the following simple lemma.

Lemma 2.5. *Let $1 \leq p < \infty$. Put*

$$M := \{\mu \in \mathcal{B} : X_{\mu} \text{ is isometric to a subspace of } L_p[0,1]\}.$$

Then M is a closed set in \mathcal{B} , and we have

$$M = \overline{\langle \ell_p \rangle_{\mathcal{B}}^{\mathcal{B}}} \cap \mathcal{B} = \overline{\{\mu \in \mathcal{B} : X_{\mu} \text{ is a } \mathcal{L}_{p,1+} \text{ space}\}} \cap \mathcal{B}.$$

The same holds if we replace \mathcal{B} with \mathcal{P}_{∞} .

Proof. We recall the fact that a separable infinite-dimensional Banach space is isometric to a subspace of $L_p[0,1]$ if and only if it is finitely representable in ℓ_p (see, e.g. [1, Theorem 12.1.9]). The rest follows from Proposition 1.8. We refer the reader to Section 3 for a definition of the class $\mathcal{L}_{p,1+}$. \square

In the rest, we focus on the class SQ_p . Notice that for $p = 1$, this class coincides with the class of all Banach spaces, and for $p = 2$, this class consists of Hilbert spaces.

These Banach spaces are also called QSL_p -spaces in literature, and since it seems this is the more recent terminology, this is what we will use further. It seems to be well-known, see, for example, [49], that this class of spaces is characterized by Proposition 2.6 below. This result was first essentially proved probably by Kwapien [37] (however, in his paper, he considered the isomorphic variant only), for a more detailed explanation of the proof (and even for a generalization), one may consult, for example, the proof in [40, Theorem 3.2], which uses ideas from [48] and [31]. Let us note that, by Proposition 2.6

and [32, Proposition 0], the class of QSL_p -spaces coincides with the class of p -spaces considered already in 1971 by Herz [32].

Proposition 2.6. *A Banach space X is a QSL_p -space, if and only if, for every real valued (n, m) -matrix M satisfying*

$$\sum_{i=1}^n \left| \sum_{j=1}^m M(i, j)r_j \right|^p \leq \sum_{k=1}^m |r_k|^p,$$

for all m -tuples $r_1, \dots, r_m \in \mathbb{R}$, we have

$$\sum_{i=1}^n \left\| \sum_{j=1}^m M(i, j)x_j \right\|_X^p \leq \sum_{k=1}^m \|x_k\|_X^p,$$

for all m -tuples $x_1, \dots, x_m \in X$.

Since it is clear that it suffices to verify the condition from Proposition 2.6 only on dense tuples of vectors, and that this condition is closed, we immediately obtain the following.

Proposition 2.7. *For every $1 < p < \infty$, the set*

$$\{\mu \in \mathcal{P}_\infty : X_\mu \text{ is a } QSL_p\text{-space}\}$$

is closed in \mathcal{P}_∞ .

The same is true if \mathcal{P}_∞ is replaced by \mathcal{B} .

Denote now the set $\{\mu \in \mathcal{P}_\infty : X_\mu \text{ is a } QSL_p\text{-space}\}$ by QSL_p . By Lemma 2.5, for $1 \leq p < \infty$, the set $M_p := \{\mu \in \mathcal{P}_\infty : X_\mu \text{ is isometric to a subspace of } L_p[0,1]\}$ is closed. Clearly, $M_p \subseteq QSL_p$ (and for $p = 2$, there is an equality).

If $p \neq 2$, then $M_p \neq QSL_p$ because there exists a separable infinite-dimensional Banach space, which is isomorphic to a quotient of $L_p[0,1]$ but not to its subspace. Indeed, if $p = 1$, this is easy since every separable Banach space is isomorphic to a quotient of ℓ_1 (see, e.g. [1, Theorem 2.3.1]). If $2 < q < p < \infty$, then ℓ_q is isometric to a quotient of $L_p[0,1]$ (because its dual $\ell_{q'}$ embeds isometrically into $L_{p'}[0,1]$) but is not isomorphic to a subspace of $L_p[0,1]$ (see, e.g. [1, Theorem 6.4.18]). Finally, if $1 < p < 2$, then by [20, Corollary 2], there exists a subspace X of $\ell_{p'} \subseteq L_{p'}[0,1]$, which is not isomorphic to a quotient of $L_{p'}[0,1]$,¹ and so X^* is isometric to a quotient of $L_p[0,1]$, which is not

¹More precisely, by [20, Theorem 1] (see also, e.g. [19, Corollary 3.2]), for every $n \in \mathbb{N}$, there exists a subspace E_n of ℓ_∞^{2n} , such that $gl(E_n) \geq K\sqrt{n}$, where $K > 0$ is a constant independent of n and $gl(E_n)$ is a quantity related to the notion of a ‘ GL -space’ (or a space with the ‘Gordon-Lewis property’). This implies that if we denote by $E_n^{p'}$ the space E_n endowed with the $\ell_{p'}$ -norm, we obtain $gl(E_n^{p'}) \geq K\sqrt{n}d_{BM}(\ell_\infty^{2n}, \ell_{p'}^{2n})^{-1} = K2^{-1/p'}n^{1/2-1/p'} \rightarrow \infty$; hence, $X := (\bigoplus E_n^{p'})_{p'}$, the $\ell_{p'}$ -sum of the spaces $E_n^{p'}$, is isometric to a subspace of $\ell_{p'}$, but it is not a GL -space. If X was isomorphic to a quotient of $L_{p'}[0,1]$, then X^* would be isomorphic to a subspace of $L_p[0,1]$, which would imply that X^* and X are GL -spaces (see, e.g. [16, Propositions 17.9 and 17.10]), a contradiction.

isomorphic to a subspace of $L_p[0,1]$. We would like to thank Bill Johnson for providing us these examples. Moreover, we have the following.

Proposition 2.8. *For $p \in [1,2) \cup (2,\infty)$, the set M_p has an empty interior in QSL_p .*

Proof. Fix $p \in [1,2) \cup (2,\infty)$. Pick $\mu \in QSL_p$, such that X_μ does not isometrically embed as a subspace into $L_p[0,1]$ (such a space exists, see the examples above). Let U be now a basic open neighbourhood of some $\nu \in QSL_p$. Since the class of QSL_p -spaces is clearly closed under taking ℓ_p -sums (see, e.g. [49]), $X_\nu \oplus_p X_\mu$ is still a QSL_p -space. It is easy to define $\nu' \in U$, so that $X_{\nu'}$ is isometric to $X_\nu \oplus_p X_\mu$. Now, since X_μ does not isometrically embed as a subspace into $L_p[0,1]$, neither $X_{\nu'}$ does. By [1, Theorem 12.1.9], $X_{\nu'}$ is not finitely representable in ℓ_p , so also not in $L_p[0,1]$ (by [1, Proposition 12.1.8]). It follows from Proposition 1.8 that there exists a basic open neighbourhood U' of ν' avoiding M_p . Now, $U \cap U'$ is a nonempty open subset of U avoiding M_p , and we are done. \square

Corollary 2.9. *For $p \in [1,2) \cup (2,\infty)$, $L_p[0,1]$ is not a generic QSL_p -space.*

2.3. Spaces with descriptively simple isomorphism classes

The main result of this subsection, and one of the main results of the whole paper, is the second part of Theorem A. That is, we prove the following.

Theorem 2.10. *The Hilbert space ℓ_2 is characterized as the unique, up to isomorphism, separable infinite-dimensional Banach space X , such that $\langle X \rangle_\simeq$ is an F_σ -set in \mathcal{B} . The same holds if we replace \mathcal{B} with \mathcal{P}_∞ .*

Moreover, $\langle \ell_2 \rangle_\simeq$ is F_σ -complete.

While there are several Banach spaces whose isometry classes have low complexity, as we shall see also in the next sections, there are reasons to suspect that isomorphism classes are rather complicated in general. Theorem 2.10 is a strong evidence that ℓ_2 is quite unique with respect to its property of having a simple isomorphism class. Another piece of evidence which we state and prove before proving Theorem 2.10 is the following result.

Proposition 2.11. *No isomorphism class can be closed in \mathcal{P}_∞ , \mathcal{B} and \mathcal{P} , and with the possible exception of spaces isomorphic to \mathbb{G} , for which we do not know the answer, no isomorphism class can even be a G_δ -set.*

Proof. Let X be a separable infinite-dimensional Banach space. We show that $\langle X \rangle_\simeq$ is dense (we show the argument only for \mathcal{P}_∞ , the other cases are analogous). Let F be a finite-dimensional Banach space. It is well-known that every finite-dimensional space is complemented in any infinite-dimensional Banach space, so we have $X \simeq F \oplus_1 Y$ for some Banach space Y . Since F was arbitrary, it follows that every separable Banach space is finitely representable in $\langle X \rangle_\simeq$, so by Proposition 1.8, $\overline{\langle X \rangle_\simeq} = \mathcal{P}_\infty$, hence, $\langle X \rangle_\simeq$ is dense.

It follows that $\langle X \rangle_\simeq$ cannot be closed for any X because it is dense and there are obviously two nonisomorphic spaces. Moreover, if X is not isomorphic to the Gurarii space, then $\langle X \rangle_\simeq$ cannot be a G_δ -set since by Theorem 1.10, the isometry class of

the Gurarii space is a dense G_δ -set, so it would have nonempty intersection with $\langle X \rangle_\simeq$ otherwise. \square

Besides ℓ_2 , whose isomorphism class is F_σ , it is proved in [28, Theorem 4.12] that separable Banach spaces determined by their pavings have Σ_4^0 isomorphism classes in any admissible topology (see Remark 1.3, where we recall some basics about admissible topologies). We refer the interested reader to the text before Theorem 2.13 for a definition of spaces determined by their pavings. Here, we just briefly note that this class of spaces was introduced by Johnson et al. in [33] and that there are known examples of separable Banach spaces determined by their pavings not isomorphic to ℓ_2 (e.g. certain ℓ_2 -sums of finite-dimensional spaces are such). The second main result of this section is the following improvement of the estimate mentioned above.

Theorem 2.12. *Let X be a separable infinite-dimensional Banach space that is determined by its pavings. Then $\langle X \rangle_\simeq$ is a $G_{\delta\sigma}$ -set in \mathcal{P}_∞ . In particular, it is a $G_{\delta\sigma}$ -set in \mathcal{P} and in any admissible topology.*

Let us start with the proof of Theorem 2.10.

Proof of Theorem 2.10. First, we show that isomorphism class is F_σ . This was proved for an admissible topology on SB_∞ in [28, Theorem 4.3]. The same proof, which we briefly sketch, works also for \mathcal{P}_∞ and \mathcal{B} . By Kwapień’s theorem (see, e.g. [1, Theorem 7.4.1]), a separable infinite-dimensional Banach space is isomorphic to ℓ_2 if and only if it is of type 2 and of cotype 2. It is clear from the definition of type and cotype (see, e.g. [1, Definition 6.2.10]) that these properties are F_σ -conditions. So to show that $\langle \ell_2 \rangle_\simeq$ is even F_σ -complete, by Lemma 1.1, it suffices to show that $\langle \ell_2 \rangle_\simeq$ is not a G_δ -set, which we have already proved. An alternative proof showing that the isomorphism class $\langle \ell_2 \rangle_\simeq$ is an F_σ -set follows from [37, Theorem 2’] (see also Remark 4 therein), which provides a formula defining spaces isomorphic to ℓ_2 and which obviously defines an F_σ -set (in \mathcal{P}_∞ and \mathcal{B}).

Next, we show that if a separable infinite-dimensional Banach space X is not isomorphic to ℓ_2 , then $\langle X \rangle_\simeq$ is not F_σ in \mathcal{B} . In what follows, we denote by \mathcal{T} the set of finite tuples (including empty) of natural numbers without repetition. The length of $\gamma \in \mathcal{T}$ is denoted by $|\gamma|$, and its range by $\text{rng}(\gamma)$. Moreover, for every $\gamma \in \mathcal{T}$ and every $\mu \in \mathcal{B}$, we put

$$\mathbb{M}_\mu^\gamma := \left\{ \nu \in \mathcal{B} : \text{for every } (a_i)_{i=1}^{|\gamma|} \in \mathbb{Q}^{|\gamma|} \text{ we have } \nu \left(\sum_{i=1}^{|\gamma|} a_i e_i \right) = \mu \left(\sum_{i=1}^{|\gamma|} a_i e_{\gamma(i)} \right) \right\}.$$

In order to get a contradiction, assume that $(F_n)_{n=1}^\infty$ are closed sets in \mathcal{B} such that $\langle X \rangle_\simeq = \bigcup_{n=1}^\infty F_n$.

Claim. *For every $\mu \in \mathcal{B}$ with $\langle X_\mu \rangle_\equiv \subseteq \bigcup_{n=1}^\infty F_n$ there exist $\gamma \in \mathcal{T}$ and $m \in \mathbb{N}$, such that we have $\mathbb{M}_\mu^{\gamma'} \cap F_m \neq \emptyset$ for every $\gamma' \in \mathcal{T}$ with $\gamma' \supseteq \gamma$.*

Proof of the claim. Suppose the statement is not true. In particular, it does not hold for $\gamma = \emptyset$ and $m = 1$. That is, there is some $\gamma'_1 \in \mathcal{T}$ so that $\mathbb{M}_\mu^{\gamma'_1} \cap F_1 = \emptyset$. If $1 \in \text{rng}(\gamma'_1)$, we set $\gamma_1 = \gamma'_1$. Otherwise, we set $\gamma_1 = \gamma'_1 \hat{\ } (1)$.

In the next step, we use that the statement is not true for γ_1 and $m = 2$ to obtain $\gamma'_2 \in \mathcal{T}$, $\gamma'_2 \supseteq \gamma_1$ so that $\mathbb{M}_\mu^{\gamma'_2} \cap F_2 = \emptyset$. If $2 \in \text{rng}(\gamma'_2)$, we set $\gamma_2 = \gamma'_2$. Otherwise, we set $\gamma_2 = \gamma'_2 \widehat{\ } (2)$.

We continue analogously. At the end of the recursion, we obtain a bijection $\pi : \mathbb{N} \rightarrow \mathbb{N}$, such that $\pi \supseteq \gamma_n$ for every $n \in \mathbb{N}$ and $\mathbb{M}_\mu^{\gamma_n} \cap F_n = \emptyset$ for every $n \in \mathbb{N}$. Consider $\mu_0 \in \mathcal{B}$ given as

$$\mu_0 \left(\sum_{i=1}^k a_i e_i \right) := \mu \left(\sum_{i=1}^k a_i e_{\pi(i)} \right), \quad k \in \mathbb{N}, (a_i)_{i=1}^k \in \mathbb{Q}^k.$$

Then the linear mapping given by $e_i \mapsto e_{\pi(i)}$, $i \in \mathbb{N}$, witnesses that $X_{\mu_0} \equiv X_\mu$ and $\mu_0 \in \mathbb{M}_\mu^{\gamma_n}$ for every $n \in \mathbb{N}$. Thus, $\mu_0 \notin \bigcup_{n=1}^\infty F_n$, which is in contradiction with $\mu_0 \in \langle X_\mu \rangle_{\equiv} \subseteq \bigcup_{n=1}^\infty F_n$. □

Since $X \neq \ell_2$, by the celebrated solution to the homogeneous subspace problem following from the results of Komorowski and Tomczak-Jaegermann ([35]) and of Gowers ([29]), it must contain an infinite-dimensional closed subspace $Y \subseteq X$ that is not isomorphic to X . Let $I \subseteq \mathbb{N}$ be an infinite subset and $\{x_n\}_{n \in \mathbb{N}}$ a sequence of linearly independent vectors in X so that

- (1) $\overline{\text{span}}\{x_n\}_{n \in \mathbb{N}} = X$, $\overline{\text{span}}\{x_n : n \in I\} = Y$, and
- (2) for every finite set $F \subseteq \mathbb{N}$, we have

$$\overline{\text{span}}\{x_n\}_{n \in I \cup F} = \overline{\text{span}}\{x_n\}_{n \in F \cap I} \oplus \overline{\text{span}}\{x_n\}_{n \in F \setminus I} \oplus \overline{\text{span}}\{x_n\}_{n \in I \setminus F}.$$

Such a choice is possible, for example, by finding a Markushevich basis $\{x_n\}_{n \in I}$ on Y (see [30, Theorem 1.22]), extending it to a Markushevich basis $\{x_n\}_{n \in \mathbb{N}}$ on X (see [30, Theorem 1.45]) and then observing that for any $J \subseteq \mathbb{N}$, we have that $\{x_n\}_{n \in J}$ is a fundamental biorthogonal system of $\overline{\text{span}}\{x_n\}_{n \in J}$ and so (2) holds (see [30, Fact 1.5]).

We define $\mu \in \mathcal{B}$ as

$$\mu \left(\sum_{i=1}^k a_i e_i \right) := \left\| \sum_{i=1}^k a_i x_i \right\|_X, \quad k \in \mathbb{N}, (a_i)_{i=1}^k \in \mathbb{Q}^k.$$

Then $\langle X_\mu \rangle_{\equiv} = \langle X \rangle_{\equiv} \subseteq \bigcup_{n=1}^\infty F_n$ and so, by the claim above, there exist $\gamma \in \mathcal{T}$ and $m \in \mathbb{N}$ with $\mathbb{M}_\mu^{\gamma'} \cap F_m \neq \emptyset$ for every $\gamma' \in \mathcal{T}$ with $\gamma' \supseteq \gamma$. Consider now the space $Z := (\overline{\text{span}}\{e_i : i \in I \cup \text{rng}(\gamma)\}, \mu) \subseteq X_\mu$. Fix some $\tilde{I} \subseteq I$ such that $|I \setminus \tilde{I}| = |\text{rng}(\gamma) \setminus I|$ and such that $(I \setminus \tilde{I}) \cap \text{rng}(\gamma) = \emptyset$. Define $\tilde{Z} := (\overline{\text{span}}\{e_i : i \in \tilde{I} \cup \text{rng}(\gamma)\}, \mu)$. Then, using (2), we have

$$\tilde{Z} \simeq \overline{\text{span}}\{x_n\}_{n \in \tilde{I}} \oplus \mathbb{R}^{|\text{rng}(\gamma) \setminus \tilde{I}|} \simeq \overline{\text{span}}\{x_n\}_{n \in \tilde{I}} \oplus \mathbb{R}^{|I \setminus \tilde{I}|} \simeq Y,$$

and so $\tilde{Z} \not\cong X$. Let $\varphi : \mathbb{N} \rightarrow \text{rng}(\gamma) \cup \tilde{I}$ be a bijection with $\varphi \supseteq \gamma$. We define $\nu \in \mathcal{B}$ by

$$\nu \left(\sum_{i=1}^k a_i e_i \right) := \mu \left(\sum_{i=1}^k a_i e_{\varphi(i)} \right), \quad k \in \mathbb{N}, (a_i)_{i=1}^k \in \mathbb{Q}^k.$$

Clearly, $X_\nu \equiv \tilde{Z} \not\cong X$.

We claim that $\nu \in F_m$. This will be in contradiction with the fact that $F_m \subseteq \langle X \rangle_{\simeq}$. Since F_m is closed, it suffices to check that each basic open neighbourhood of ν intersects F_m . Pick $v_1, \dots, v_l \in V$ and $\varepsilon > 0$. We need to find $\mu' \in F_m$ so that $|\mu'(v_j) - \nu(v_j)| < \varepsilon$ for every $j \leq l$.

Let $L \in \mathbb{N}$, $L \geq |\gamma|$, be such that $v_1, \dots, v_l \in \text{span}\{e_i : i \leq L\}$. Since $\varphi|_{\{1, \dots, L\}} \supseteq \gamma$, we may pick $\mu' \in \mathbb{M}_{\mu}^{\varphi|_{\{1, \dots, L\}}} \cap F_m$. Then

$$\mu' \left(\sum_{i=1}^L a_i e_i \right) = \mu \left(\sum_{i=1}^L a_i e_{\varphi(i)} \right) = \nu \left(\sum_{i=1}^L a_i e_i \right), \quad (a_i)_{i=1}^L \in \mathbb{Q}^L.$$

In particular, $\mu'(v_j) = \nu(v_j)$, $j \leq l$, as desired. □

In the remainder of this section, we head towards the proof of Theorem 2.12. Following [33], we say that an increasing sequence $E_1 \subseteq E_2 \subseteq \dots$ of finite-dimensional subspaces of a separable Banach space X whose union is dense is a *paving* of X . A separable Banach space X is *determined by its pavings* if whenever Y is a Banach space for which there are pavings $\{E_n\}_{n=1}^{\infty}$ of X and $\{F_n\}_{n=1}^{\infty}$ of Y with $\sup_{n \in \mathbb{N}} d_{BM}(E_n, F_n) < \infty$, then Y is isomorphic to X . We refer the reader to [33] for details and examples.

We start with a theorem that is interesting on its own.

Theorem 2.13. *Let X be a separable infinite-dimensional Banach space, $\{E_n\}_{n=1}^{\infty}$ a paving of X and $\lambda \geq 1$. Then the set*

$$\mathcal{Z} := \left\{ \mu \in \mathcal{P} : \text{for every } \varepsilon > 0, \text{ there is a paving } \{F_k\}_{k=1}^{\infty} \text{ of } X_{\mu} \text{ and an increasing sequence } (n_k)_{k=1}^{\infty} \in \mathbb{N}^{\mathbb{N}} \text{ with } \sup_{k \in \mathbb{N}} d_{BM}(F_k, E_{n_k}) \leq \lambda + \varepsilon \right\}$$

is a G_{δ} -set in \mathcal{P} .

In the proof, we will need the following observation, which is well-known and easy to prove. We use the formulation from [14, Lemma 4.3].

Lemma 2.14. *Given a basis $\mathfrak{b}_E = \{e_1, \dots, e_n\}$ of a finite-dimensional Banach space E , there is $C > 0$ and a function $\phi_2^{\mathfrak{b}_E} : [0, C) \rightarrow [0, \infty)$ continuous at zero with $\phi_2^{\mathfrak{b}_E}(0) = 0$, such that whenever X is a Banach space with $E \subseteq X$ and $\{x_i : i \leq n\} \subseteq X$ are such that $\|x_i - e_i\| < \varepsilon$, $i \leq n$, for some $\varepsilon < C$, then the linear operator $T : E \rightarrow X$ given by $T(e_i) := x_i$ is a $(1 + \phi_2^{\mathfrak{b}_E}(\varepsilon))$ -isomorphism and $\|T - Id_E\| \leq \phi_2^{\mathfrak{b}_E}(\varepsilon)$.*

Proof of Theorem 2.13. For each E_n , $n \in \mathbb{N}$, we fix its basis (which will be used only in order to know what $Z \overset{K}{\sim} E_n$ means for a finite-dimensional space Z and $K > 1$). For each finite tuple \vec{v} of elements from V , we set $S_{\vec{v}}$ to be the set of all finite tuples \vec{w} , \mathbb{Q} -linearly independent in V , such that each element of \vec{v} (considered as an element of c_{00}) lies in $\text{span } \vec{w}$. For $m \in \mathbb{N}$ and a finite tuple \vec{v} of elements from V , we set

$P(\vec{v}, m) := \{ \mu \in \mathcal{P} : \text{if } \mu \text{ restricted to } \text{span}\{v_1, \dots, v_{|\vec{v}|}\} \subseteq c_{00} \text{ is a norm, then}$
 there exist $\vec{w} \in S_{\vec{v}}$ and $n \in \mathbb{N}$, such that
 $\mu \text{ restricted to } \text{span}\{w_1, \dots, w_{|\vec{w}|}\} \subseteq c_{00} \text{ is a norm and}$
 $((\text{span}\{w_1, \dots, w_{|\vec{w}|}\}, \mu), \vec{w}) \underset{\sim}{\sim}^{\lambda + \frac{1}{m}} E_n \}.$

Then, using the observation that $\{ \mu \in \mathcal{P} : \mu \text{ restricted to } \text{span}\{v_1, \dots, v_{|\vec{v}|}\} \subseteq c_{00} \text{ is a norm} \}$ is open due to Lemma 1.5, $P(\vec{v}, m)$ is the union of a closed and an open set, so it is a G_δ -set.

Denote by LI the set of all finite tuples $\vec{v} = (v_1, \dots, v_{|\vec{v}|})$ of elements from V , which are linearly independent in c_{00} . We now set

$$\mathcal{G} := \bigcap_{m \in \mathbb{N}} \bigcap_{\vec{v} \in LI} P(\vec{v}, m),$$

which is clearly a G_δ -set. We shall prove that $\mathcal{G} = \mathcal{Z}$.

If $\mu \in \mathcal{G}$, it is clear that for every m , we can recursively build an increasing sequence $\{F_k\}_{k=1}^\infty$ of finite-dimensional subspaces whose union is dense in X_μ , such that we have $d_{BM}(F_k, E_{n_k}) \leq \lambda + \frac{1}{m}$ for every $k \in \mathbb{N}$. It follows that $\mu \in \mathcal{Z}$.

On the other hand, pick $\mu \in \mathcal{Z}$. In what follows for $x \in c_{00}$, we denote by $[x] \in X_\mu$ the equivalence class corresponding to x . Pick some $m \in \mathbb{N}$ and an n -tuple $\vec{v} \in LI$, such that μ restricted to $\text{span}\{v_1, \dots, v_n\} \subseteq c_{00}$ is a norm, so $\{v_1, \dots, v_n\}$ is a basis of $\text{span}\{v_1, \dots, v_n\}$. Pick $\lambda' \in (\lambda, \lambda + \frac{1}{m})$ and $\delta > 1$ with $\delta\lambda' < \lambda + \frac{1}{m}$. Since $\mu \in \mathcal{Z}$, there is an increasing sequence $\{F_k\}_{k=1}^\infty$ of finite-dimensional subspaces whose union is dense in X_μ , such that $\sup_{k \in \mathbb{N}} d_{BM}(F_k, E_{n_k}) \leq \lambda'$. By [38, Section 17, Theorem 6], we can find a finite dimensional subspace $\text{span}\{[v_1], \dots, [v_n]\} \subseteq Y \subseteq X_\mu$ and $k \in \mathbb{N}$, such that $d_{BM}(Y, F_k) \leq \delta$ so $d_{BM}(Y, E_{n_k}) \leq \delta\lambda'$. Select $y_{n+1}, \dots, y_{\dim Y} \in Y$, such that $\mathfrak{b} = \{[v_1], \dots, [v_n], y_{n+1}, \dots, y_{\dim Y}\}$ is a basis of Y . Let $\phi_2^{\mathfrak{b}}$ be the function from Lemma 2.14, and let $\eta > 0$ be such that $\delta\lambda'(1 + \phi_2^{\mathfrak{b}}(\eta))^2 < \lambda + \frac{1}{m}$. Further, for every $n + 1 \leq i \leq \dim Y$,

pick $v_i \in V$ with $\|[v_i] - y_i\|_{X_\mu} < \eta$. Then $(\mu, [v_1], \dots, [v_{\dim Y}]) \underset{\sim}{\sim}^{1 + \phi_2^{\mathfrak{b}}(\eta)} Y$ so μ restricted to $\text{span}\{v_1, \dots, v_{\dim Y}\} \subseteq c_{00}$ is a norm and $\text{span}\{[v_1], \dots, [v_{\dim Y}]\} \subseteq X_\mu$ is isometric to $(\text{span}\{v_1, \dots, v_{\dim Y}\}, \mu)$. Since $d_{BM}((\text{span}\{v_1, \dots, v_{\dim Y}\}, \mu), E_{n_k}) < \delta\lambda' \cdot (1 + \phi_2^{\mathfrak{b}}(\eta))^2 < \lambda + \frac{1}{m}$, there exists a surjective isomorphism $T : E_{n_k} \rightarrow (\text{span}\{v_1, \dots, v_{\dim Y}\}, \mu)$ with $\max\{\|T\|, \|T^{-1}\|\} < \sqrt{\lambda + \frac{1}{m}}$. By Lemma 2.14, we may without loss of generality assume that $w_i := T(e_i) \in V$ for every $i \leq \dim Y$. Then μ restricted to $\text{span}\{w_1, \dots, w_{\dim Y}\} \subseteq c_{00}$ is a norm, $\vec{w} \in S_{\vec{v}}$ and $((\mu, \vec{w}) \underset{\sim}{\sim}^{\lambda + \frac{1}{m}} E_{n_k})$. □

Proof of Theorem 2.12. Pick a paving $\{E_n\}_{n=1}^\infty$ of X . It is easy to see that for every $\mu \in \mathcal{P}_\infty$, the Banach space X_μ is isomorphic to X if and only if μ belongs to the set \mathcal{Z} from Theorem 2.13 for some $\lambda \geq 1$. The ‘In particular’ part follows, since \mathcal{P}_∞ is a G_δ -set in \mathcal{P} . For admissible topologies, the result follows by applying [14, Theorem 3.3]. □

3. Spaces with G_δ isometry classes

In this section, we investigate Banach spaces whose isometry classes are G_δ -sets, or even G_δ -complete sets. The main results here are Theorems 3.3, 3.4 and 3.6, which imply the first and the last part of Theorem B.

Besides ℓ_2 , whose isometry class is actually closed, we have already mentioned in Theorem 1.10 that the isometry class of the Gurariĭ space is a G_δ -set in \mathcal{P}_∞ and \mathcal{B} . We start the section with some basic corollaries of that result; in particular, that the isometry class of \mathbb{G} is even a G_δ -complete set.

Since for any separable infinite-dimensional Banach space X we obviously have $\langle X \rangle_{\equiv}^{\mathcal{B}} = \langle X \rangle_{\equiv}^{\mathcal{P}_\infty} \cap \mathcal{B}$, it is sufficient to formulate our positive results in the coding of \mathcal{P}_∞ and negative results in the coding of \mathcal{B} .

Lemma 3.1. *Let X, Y be separable infinite-dimensional Banach spaces, such that X is finitely representable in Y and Y is finitely representable in X . If $\langle X \rangle_{\equiv}$ is a G_δ -set in \mathcal{B} and $X \not\cong Y$, then*

- (i) $\langle Y \rangle_{\equiv}$ is not a G_δ -set in \mathcal{B} .
- (ii) $\langle X \rangle_{\equiv}$ is a G_δ -complete set in \mathcal{B} .

Proof. Recall that by Proposition 1.8, we have that both $\langle X \rangle_{\equiv}$ and $\langle Y \rangle_{\equiv}$ are dense in

$$N := \{\nu \in \mathcal{B} : X_\nu \text{ is finitely representable in } X\}.$$

- (i): If both $\langle X \rangle_{\equiv}$ and $\langle Y \rangle_{\equiv}$ are G_δ -sets, by the Baire theorem, we have that $\langle X \rangle_{\equiv} \cap \langle Y \rangle_{\equiv}$ is comeager in N . Thus, the intersection cannot be an empty set, and we obtain $X \cong Y$.
- (ii): Since $X \not\cong Y$, we have that $\langle X \rangle_{\equiv}$ has empty interior in N . But it is also comeager in N , and so it cannot be F_σ . Therefore, it is a G_δ -complete set by Lemma 1.1. □

Corollary 3.2. \mathbb{G} is the only isometrically universal separable Banach space whose isometry class is a G_δ -set in \mathcal{B} . The same holds if we replace \mathcal{B} by \mathcal{P}_∞ .

Moreover, $\langle \mathbb{G} \rangle_{\equiv}$ is a G_δ -complete set in both \mathcal{P}_∞ and \mathcal{B} .

Proof. By Theorem 1.10, the isometry class of \mathbb{G} is a G_δ -set. Let X be an isometrically universal separable Banach space. By Lemma 3.1, if $X \not\cong \mathbb{G}$, then $\langle X \rangle_{\equiv}^{\mathcal{B}}$ is not a G_δ -set in \mathcal{B} (and so neither in \mathcal{P}_∞).

For the ‘moreover’ part, we use Lemma 3.1 and any Banach space X not isometric to \mathbb{G} that is finitely representable in \mathbb{G} and vice versa (e.g. any other universal separable Banach space or c_0). □

The same proof gives us actually the following strengthening. Let us recall that by Maurey–Pisier theorem, see [43] or [1, Theorem 12.3.14], a Banach space X has no nontrivial cotype if and only if ℓ_∞ is finitely-representable in X (and yet equivalently, c_0 is finitely-representable in X).

Theorem 3.3. \mathbb{G} is the only separable Banach space with no nontrivial cotype whose isometry class is a G_δ -set in \mathcal{B} . The same holds if we replace \mathcal{B} by \mathcal{P}_∞ .

Proof. Any separable Banach space is finitely representable in c_0 , so by Lemma 3.1, there is at most one Banach space X , such that c_0 is finitely representable in X and $\langle X \rangle_{\equiv}$ is a G_δ -set. By Theorem 1.10, $\langle \mathbb{G} \rangle_{\equiv}$ is a G_δ -set. □

3.1. L_p -spaces

Let us recall that a Banach space X is said to be an $\mathcal{L}_{p,\lambda}$ -space (with $1 \leq p \leq \infty$ and $\lambda \geq 1$) if every finite-dimensional subspace of X is contained in another finite-dimensional subspace of X whose Banach-Mazur distance d_{BM} to the corresponding ℓ_p^n is at most λ . A space X is said to be an \mathcal{L}_p -space, respectively, $\mathcal{L}_{p,\lambda+}$ -space, if it is an $\mathcal{L}_{p,\lambda'}$ -space for some $\lambda' \geq 1$, respectively, for every $\lambda' > \lambda$.

The main result of this subsection is the following.

Theorem 3.4. *For every $1 \leq p < \infty$, $p \neq 2$, the isometry class of $L_p[0,1]$ is a G_δ -complete set in \mathcal{B} and \mathcal{P}_∞ .*

Moreover, $L_p[0,1]$ is the only separable $\mathcal{L}_{p,1+}$ -space whose isometry class is a G_δ -set in \mathcal{B} , and the same holds if we replace \mathcal{B} by \mathcal{P}_∞ .

Remark 3.5. It is easy to see (e.g. using [38, Section 17, Theorem 6]) that for every $p \in [1, \infty]$ and $\lambda \geq 1$, we have that a separable infinite-dimensional Banach space Y is an $\mathcal{L}_{p,\lambda+}$ -space if and only if, for every $\varepsilon > 0$, there is an increasing sequence $\{F_k\}_{k=1}^\infty$ of finite-dimensional subspaces whose union is dense in Y , such that $d_{BM}(\ell_p^{\dim F_k}, F_k) \leq \lambda + \varepsilon$ for every $k \in \mathbb{N}$.

The next theorem is a crucial step in proving Theorem 3.4. However, it is also of independent interest, and its corollary improves the related result from [28].

Theorem 3.6. *Let $1 \leq p \leq \infty$ and $\lambda \geq 1$. The class of separable infinite-dimensional $\mathcal{L}_{p,\lambda+}$ -spaces is a G_δ -set in \mathcal{P} . In particular, the class of separable infinite-dimensional $\mathcal{L}_{p,\lambda+}$ -spaces is a G_δ -set in \mathcal{P}_∞ .*

Proof. This is an immediate consequence of Theorem 2.13, Remark 3.5. □

Note that for $1 \leq p \leq \infty$, the class of \mathcal{L}_p -spaces is obtained as the union $\bigcup_{\lambda \geq 1} \mathcal{L}_{p,\lambda+}$. It is shown in [28, Proposition 4.5] that the class of separable \mathcal{L}_p -spaces is a Σ_4^0 -set in an admissible topology. It is immediate from Theorem 3.6 (and using [14, Theorem 3.3]) that we have a better estimate.

Corollary 3.7. *For every $1 \leq p \leq \infty$, the class of separable \mathcal{L}_p -spaces is a $G_{\delta\sigma}$ -set in \mathcal{P} and any admissible topology.*

Proof. Note that any finite-dimensional space is an \mathcal{L}_p -space, so the class of separable \mathcal{L}_p -spaces may be written as the union of $\mathcal{P} \setminus \mathcal{P}_\infty$ (which is an F_σ -set by [14, Corollary 2.5]) and $\{\mu \in \mathcal{P}_\infty : X_\mu \text{ is an } \mathcal{L}_p\text{-space}\}$ (which is $G_{\delta\sigma}$ -set by Theorem 3.6 above). □

Let us recall the following classical result.

Theorem 3.8 (Lindenstrauss, Pełczyński). *For every $1 \leq p < \infty$ and a separable infinite-dimensional Banach space X , the following assertions are equivalent.*

- X is an $\mathcal{L}_{p,1+}$ -space.
- X is isometric to a separable $L_p(\mu)$ space for some measure μ .
- X is isometric to one of the following spaces

$$L_p[0,1], \quad L_p[0,1] \oplus_p \ell_p, \quad \ell_p, \quad L_p[0,1] \oplus_p \ell_p^n \quad (\text{for some } n \in \mathbb{N}).$$

Proof. By [41, Section 7, Corollaries 4 and 5], a separable Banach space is an $\mathcal{L}_{p,1+}$ -space if and only if it is isometric to an $L_p(\mu)$ space for some measure μ . Finally, note that every separable infinite-dimensional $L_p(\mu)$ space is isometric to one of the spaces mentioned above (see, e.g. [1, pages 137–138]). \square

Recall that given a finite sequence $(z_n)_{n \in \mathbb{N}}$ in a Banach space Z , the symbol $(z_n) \stackrel{K}{\sim} \ell_p^N$ means that $K^{-1} (\sum_{i \in \mathbb{N}} |a_i|^p)^{1/p} < \|\sum_{i \in \mathbb{N}} a_i z_i\| < K (\sum_{i \in \mathbb{N}} |a_i|^p)^{1/p}$ for every $a \in c_{00}^N$. If (z_n) is isometrically equivalent to the ℓ_p^N basis (that is, $(z_n) \stackrel{1+\varepsilon}{\sim} \ell_p^N$ for every $\varepsilon > 0$), we write $(z_n) \equiv \ell_p^N$.

Theorem 3.9. *Let $1 \leq p < \infty$, $p \neq 2$, and let X be a separable infinite-dimensional $\mathcal{L}_{p,1+}$ space. Then the following assertions are equivalent.*

- (i) X is isometric to $L_p[0,1]$.
- (ii) For every $x \in S_X$, the following condition is satisfied

$$\forall N \in \mathbb{N} \exists x_1, \dots, x_N \in X : \quad (x_i)_{i=1}^N \equiv \ell_p^N \quad \text{and} \quad N^{1/p} \cdot x = \sum_{i=1}^N x_i.$$

- (iii) For every $x \in S_X$, the following condition is satisfied

$$\forall \varepsilon > 0 \exists x_1, x_2 \in X : \quad (x_1, x_2) \stackrel{1+\varepsilon}{\sim} \ell_p^2 \quad \text{and} \quad 2^{1/p} \cdot x = x_1 + x_2.$$

- (iv) For every $x \in S_X$, the following condition is satisfied

$$\forall \varepsilon > 0 \forall \delta > 0 \exists x_1, x_2 \in X : \quad (x_1, x_2) \stackrel{1+\varepsilon}{\sim} \ell_p^2 \quad \text{and} \quad \|2^{1/p} \cdot x - x_1 - x_2\| < \delta.$$

Proof. (i) \implies (ii): Pick $f \in S_{L_p[0,1]}$ and $N \in \mathbb{N}$. Then, using the continuity of the mapping $[0,1] \ni x \mapsto \int_0^x |f|$, we find $0 = x_0 < x_1 < \dots < x_N = 1$, such that $\int_{x_{i-1}}^{x_i} |f|^p = \frac{1}{N} \int_0^1 |f|^p$ for every $i = 1, \dots, N$. We put $f_i := N^{1/p} \cdot f \cdot \chi_{[x_{i-1}, x_i]}$, $i = 1, \dots, N$. Then, since the supports of f_i are disjoint and since f_i are normalized, we have $(f_i)_{i=1}^N \equiv \ell_p^N$. Further, we obviously have $N^{1/p} \cdot f = \sum_{i=1}^N f_i$.

Obviously, we have (ii) \implies (iii) and (iii) \implies (iv).

(iii) \implies (i): In order to get a contradiction, let us assume that X is not isometric to $L_p[0,1]$, which, by Theorem 3.8, implies that X is isometric to $L_p(\mu)$, where (Ω, S, μ) is a measure space for which there is $\omega \in \Omega$ with $\mu(\{\omega\}) = 1$. Fix $\varepsilon > 0$ small enough (to be specified later). Suppose, to the contrary, that there are $f, g \in L_p(\mu)$, such that $(f, g) \stackrel{1+\varepsilon}{\sim} \ell_p^2$ and $2^{\frac{1}{p}} \cdot \delta_\omega = f + g$, where δ_ω is the Dirac function supported by the point ω . For μ -a.e. $x \in \Omega \setminus \{\omega\}$, we have $f(x) + g(x) = 0$, so we assume this holds for all $x \in \Omega \setminus \{\omega\}$. We without loss of generality assume that $f(\omega) \geq g(\omega)$.

We claim that both $f(\omega)$ and $g(\omega)$ are positive and $|f(\omega) - g(\omega)|^p < \frac{1}{2}$ if $\varepsilon > 0$ is chosen sufficiently small. Indeed, we have

$$(1 + \varepsilon)^p - \frac{1}{(1 + \varepsilon)^p} \geq \left| \|f\|_p^p - \|g\|_p^p \right| = \left| |f(\omega)|^p - |g(\omega)|^p \right|,$$

which implies $\|f(\omega) - g(\omega)\| < 2^{-1/p}$ for sufficiently small $\varepsilon > 0$. The claim follows, since if both $f(\omega)$ and $g(\omega)$ were not positive, we would have $2^{1/p} > 2^{-1/p} > \|f(\omega) - g(\omega)\| = |(f + g)(\omega)| = 2^{1/p}$, a contradiction.

First, let us handle the case when $1 \leq p < 2$. We have

$$\begin{aligned} \|2f\|_p^p &= \int_{\Omega \setminus \{\omega\}} |2f|^p \, d\mu + (2f(\omega))^p = \int_{\Omega \setminus \{\omega\}} |f - g|^p \, d\mu + (2f(\omega))^p \\ &= \|f - g\|_p^p + (2f(\omega))^p - ((f - g)(\omega))^p \\ &\geq \|f - g\|_p^p + ((f + g)(\omega))^p = \|f - g\|_p^p + \|f + g\|_p^p, \end{aligned}$$

where in the inequality we used superadditivity of the function $[0, \infty) \ni t \mapsto t^p$. Thus, $(f, g)^{1+\varepsilon} \ell_2^p$ implies

$$(1 + \varepsilon)^p \geq \|f\|_p^p \geq \frac{\|f - g\|_p^p + \|f + g\|_p^p}{2^p} \geq \frac{4}{2^p(1 + \varepsilon)^p};$$

hence, if $1 \leq p < 2$, we get a contradiction for sufficiently small $\varepsilon > 0$.

Finally, let us handle the case when $p > 2$. Note that since $f(\omega) \geq g(\omega) \geq 0$ and $f(\omega) + g(\omega) = 2^{1/p}$, we have $g(\omega) \leq 2^{1/p-1}$. Further, we have

$$\|2g\|_p^p = \int_{\Omega \setminus \{\omega\}} |f - g|^p \, d\mu + (2g(\omega))^p \leq \|f - g\|_p^p + 2.$$

Thus, $(f, g)^{1+\varepsilon} \ell_2^p$ implies

$$\frac{1}{(1 + \varepsilon)^p} \leq \|g\|_p^p \leq \frac{\|f - g\|_p^p + 2}{2^p} \leq \frac{2(1 + \varepsilon)^p + 2}{2^p};$$

hence, if $p > 2$, we get a contradiction for sufficiently small $\varepsilon > 0$.

(iv) \implies (iii): Fix $x \in S_X$ and $\varepsilon > 0$. Pick $\delta > 0$ small enough (to be specified later).

Applying Condition (iv), we obtain $x'_1, x'_2 \in X$, such that $(x'_1, x'_2)^{1+\frac{\varepsilon}{2}} \ell_p^2$ and $\|2^{1/p} \cdot x - x'_1 - x'_2\| < \delta$. Now, set $x_1 = x'_1 + (2^{1/p} \cdot x - (x'_1 + x'_2))/2$ and $x_2 = x'_2 + (2^{1/p} \cdot x - (x'_1 + x'_2))/2$. If δ was chosen sufficiently small, we have $(x_1, x_2)^{1+\varepsilon} \ell_p^2$, and clearly, $2^{1/p} \cdot x = x_1 + x_2$. \square

Let us note the following easy observation. The proof is easy and so omitted.

Fact 3.10. Let $v, w \in V$, $v \neq 0$ and $a, b \in \mathbb{R}$. Then the set

$$\left\{ \mu \in \mathcal{P} : \mu(v) \neq 0 \text{ and } \mu\left(a \cdot \frac{v}{\mu(v)} - w\right) < b \right\}$$

is open in \mathcal{P} .

Proof of Theorem 3.4. Let \mathcal{F} be the set of those $\nu \in \mathcal{P}_\infty$ for which X_ν is an $\mathcal{L}_{p,1+}$ -space. By Theorem 3.6, $\mathcal{F} \subseteq \mathcal{P}_\infty$ is a G_δ -set. By Theorem 3.9, using the obvious observation that Condition (iv) may be verified on a dense subset, we have

$$\langle L_p[0,1] \rangle_{\equiv}^{\mathcal{P}_\infty} = \mathcal{F} \cap \bigcap_{v \in V} \bigcap_{n,k \in \mathbb{N}} U_{v,n,k},$$

where $U_{v,n,k}$ are open sets (using Fact 3.10 and Lemma 1.5) defined as

$$U_{v,n,k} := \left\{ \mu \in \mathcal{P}_\infty : \exists v_1, v_2 \in V : (v_1, v_2) \stackrel{1+\frac{1}{n}}{\sim} \ell_p^2 \text{ and } \mu\left(2^{1/p} \cdot \frac{v}{\mu(v)} - v_1 - v_2\right) < \frac{1}{k} \right\}.$$

Thus, $\langle L_p[0,1] \rangle_{\equiv}^{\mathcal{P}_\infty}$ is a G_δ -set.

On the other hand, since any $L_p(\mu)$ is finitely representable in ℓ_p and vice versa (see, e.g. [1, Proposition 12.1.8]), from Lemma 3.1 and Theorem 3.8, we obtain that there is at most one (up to isometry) $\mathcal{L}_{p,1+}$ space X , such that $\langle X \rangle_{\equiv}$ is a G_δ -set in \mathcal{B} and that $\langle L_p[0,1] \rangle_{\equiv}$ is a G_δ -complete set. \square

4. Spaces with $F_{\sigma\delta}$ isometry classes

In this section, we focus on another classical Banach space, namely, ℓ_p spaces, for $p \in [1,2) \cup (2,\infty)$, and c_0 . The main result of this section is the following, which proves the second and the third part of Theorem B.

Theorem 4.1. *The sets $\langle c_0 \rangle_{\equiv}$ and $\langle \ell_p \rangle_{\equiv}$ (for $p \in [1,2) \cup (2,\infty)$) are $F_{\sigma\delta}$ -complete sets in both \mathcal{P}_∞ and \mathcal{B} .*

Note that in order to obtain that result, we prove Proposition 4.6 and Theorem 4.13, which are of independent interest, and where the ‘easiest possible’ isometric characterizations of the Banach spaces ℓ_p , respectively, c_0 , among separable $\mathcal{L}_{p,1+}$ -spaces, respectively, separable $\mathcal{L}_{\infty,1+}$ -spaces are given. The proof of Theorem 4.1 follows immediately from Propositions 4.3, 4.4 and 4.11.

Let us emphasize that in Section 4.2, we compute the Borel complexity of the operation, assigning to a given Banach space the Szlenk derivative of its dual unit ball, which could be of an independent interest as well. See, for example, Section 5.2 for some consequences. The reason why we need to do it here is obviously that our isometric characterization of the space c_0 involves Szlenk derivatives.

We start with the part which is common for both cases – that is, for $\langle c_0 \rangle_{\equiv}$ and $\langle \ell_p \rangle_{\equiv}$.

Lemma 4.2. *Let $p \in [1,\infty)$, and let $X = (\bigoplus_{n \in \mathbb{N}} X_n)_p$ be the ℓ_p -sum of a family $(X_n)_{n \in \mathbb{N}}$ of separable infinite-dimensional Banach spaces. Then, $X \equiv \ell_p$ if and only if $X_n \equiv \ell_p$ for every $n \in \mathbb{N}$.*

Similarly, let $X = (\bigoplus_{n \in \mathbb{N}} X_n)_0$ be the c_0 -sum of a family $(X_n)_{n \in \mathbb{N}}$ of separable infinite-dimensional Banach spaces. Then, $X \equiv c_0$ if and only if $X_n \equiv c_0$ for every $n \in \mathbb{N}$.

Proof. It is easy and well-known that the ℓ_p -sum of countably many ℓ_p spaces is isometric to ℓ_p , and that the c_0 -sum of countably many c_0 spaces is isometric to c_0 . The opposite implications follow from the facts that every 1-complemented infinite-dimensional

subspace of ℓ_p is isometric to ℓ_p , and that every 1-complemented infinite-dimensional subspace of c_0 is isometric to c_0 (see [42, page 54]). \square

Proposition 4.3. *Let X be one of the spaces ℓ_p , $p \in [1,2) \cup (2,\infty)$ or c_0 . Then the set $\langle X \rangle_{\equiv}$ is $F_{\sigma\delta}$ -hard in \mathcal{B} .*

Proof. Our plan is to find a Wadge reduction of a known $F_{\sigma\delta}$ -hard set to $\langle X \rangle_{\equiv}^{\mathcal{B}}$. For this purpose, we will use the set

$$P_3 = \{x \in 2^{\mathbb{N} \times \mathbb{N}} : \forall m \text{ there are only finitely many } n\text{'s with } x(m,n) = 1\}$$

(see, e.g. [34, Section 23.A] for the fact that P_3 is $F_{\sigma\delta}$ -hard in $2^{\mathbb{N} \times \mathbb{N}}$). But before we start to construct the reduction of P_3 to $\langle X \rangle_{\equiv}^{\mathcal{B}}$, we need to do some preparation.

By Theorem 3.4 (in case $X = \ell_p$) and Theorem 3.3 (in case $X = c_0$), we know that $\langle X \rangle_{\equiv}^{\mathcal{B}}$ is not a G_δ -set in \mathcal{B} . Therefore, it is F_σ -hard in \mathcal{B} by Lemma 1.1. Now, as the set

$$N_2 = \{x \in 2^{\mathbb{N}} : \text{there are only finitely many } n\text{'s with } x(n) = 1\}$$

is an F_σ -set in $2^{\mathbb{N}}$, it is Wadge reducible to $\langle X \rangle_{\equiv}^{\mathcal{B}}$, so there is a continuous function $\varrho : 2^{\mathbb{N}} \rightarrow \mathcal{B}$, such that

$$x \in N_2 \Leftrightarrow \varrho(x) \in \langle X \rangle_{\equiv}^{\mathcal{B}}.$$

We fix a bijection $b : \mathbb{N}^2 \rightarrow \mathbb{N}$. For every $x \in 2^{\mathbb{N}}$ and every $m \in \mathbb{N}$, we define $\varrho_m(x) \in \mathcal{P}_\infty$ as follows. Suppose that $v = \sum_{n \in \mathbb{N}} \alpha_n e_n$ is an element of V (i.e. α_n is a rational number for every n , and $\alpha_n \neq 0$ only for finitely many n 's), then we put

$$\varrho_m(x)(v) = \varrho(x) \left(\sum_{n \in \mathbb{N}} \alpha_{b(m,n)} e_n \right).$$

Note that the set $\{e_{b(m,n)} : n \in \mathbb{N}\}$ is both linearly independent and linearly dense in $X_{\varrho_m(x)}$, and that $\varrho_m(x)(e_k) = 0$ if $k \notin \{b(m,n) : n \in \mathbb{N}\}$. Also, $X_{\varrho_m(x)}$ is isometric to $X_{\varrho(x)}$, where the isometry is induced by the operator

$$e_k \mapsto \begin{cases} e_n & k = b(m,n), \\ 0 & k \notin \{b(m,n) : n \in \mathbb{N}\}. \end{cases}$$

Now, we are ready to construct the required reduction $f : 2^{\mathbb{N} \times \mathbb{N}} \rightarrow \mathcal{B}$. For every $x \in 2^{\mathbb{N} \times \mathbb{N}}$ and every $m \in \mathbb{N}$, we write $x^{(m)}$ for the sequence $(x(m,n))_{n \in \mathbb{N}}$. If $X = \ell_p$, we define

$$f(x)(v) = \sqrt[p]{\sum_{m \in \mathbb{N}} (\varrho_m(x^{(m)})(v))^p}, \quad v \in V,$$

and if $X = c_0$, we put

$$f(x)(v) = \sup\{(\varrho_m(x^{(m)}))(v) : m \in \mathbb{N}\}, \quad v \in V.$$

This formula, together with the preceding considerations, easily implies that $f(x) \in \mathcal{B}$ and that $X_{f(x)}$ is isometric to the ℓ_p -sum, or to the c_0 -sum (depending on whether $X = \ell_p$ or $X = c_0$), of the spaces $X_{\varrho(x^{(m)})}$, $m \in \mathbb{N}$. Continuity of the functions ϱ_m and $x \mapsto x^{(m)}$,

$m \in \mathbb{N}$, immediately implies continuity of f . By Lemma 4.2, $f(x) \in \langle X \rangle_{\equiv}^{\mathcal{B}}$ if and only if $\varrho(x^{(m)}) \in \langle X \rangle_{\equiv}^{\mathcal{B}}$ for every $m \in \mathbb{N}$. Hence,

$$x \in P_3 \Leftrightarrow \forall m \in \mathbb{N} : x^{(m)} \in N_2 \Leftrightarrow f(x) \in \langle X \rangle_{\equiv}^{\mathcal{B}}. \quad \square$$

4.1. The spaces ℓ_p

The purpose of this subsection is to prove the following result.

Proposition 4.4. *For every $p \in [1, 2) \cup (2, \infty)$, we have that $\langle \ell_p \rangle_{\equiv}$ is an $F_{\sigma\delta}$ -set in \mathcal{P}_{∞} .*

We start with the following classical result, which is sometimes named Clarkson's inequality. The proof may be found on various places, the original one is in the paper by Clarkson (see [11]). In fact, we use only a very special case of Clarkson's inequality, where z, w are required to be elements of the real line instead of an L_p space (and this case is rather straightforward to prove).

Lemma 4.5 (Clarkson's inequality). *Let $1 \leq p < \infty$, $p \neq 2$. If $p > 2$, then for every $z, w \in \mathbb{R}$, we have*

$$|z + w|^p + |z - w|^p - 2|z|^p - 2|w|^p \geq 0.$$

If $p < 2$, then the reverse inequality holds. Moreover, the equality holds if and only if $zw = 0$.

Proposition 4.6. *Let $1 \leq p < \infty$, $p \neq 2$, and let X be a separable infinite-dimensional $\mathcal{L}_{p, 1+}$ -space. Let D be a dense subset of X . Then the following assertions are equivalent.*

- (i) X is isometric to ℓ_p .
- (ii) For every $x \in S_X$ and every $\delta \in (0, 1)$, the following condition is satisfied:

$$\exists N \in \mathbb{N} \exists \varepsilon > 0 \forall x_1, \dots, x_N \in X : (N^{1/p} \cdot x_i)_{i=1}^N \stackrel{1+\varepsilon}{\sim} \ell_p^N \Rightarrow \|x - \sum_{i=1}^N x_i\| > \delta.$$

- (iii) For every $x \in S_X$, the following condition is satisfied:

$$\exists N \in \mathbb{N} \forall x_1, \dots, x_N \in X : (N^{1/p} \cdot x_i)_{i=1}^N \equiv \ell_p^N \Rightarrow x \neq \sum_{i=1}^N x_i.$$

- (iv) For every $x \in D \setminus \{0\}$ and every $\delta \in (0, 1)$, the following condition is satisfied:

$$\exists N \in \mathbb{N} \exists \varepsilon > 0 \forall x_1, \dots, x_N \in D : (N^{1/p} \cdot x_i)_{i=1}^N \stackrel{1+\varepsilon}{\sim} \ell_p^N \Rightarrow \left\| \frac{x}{\|x\|} - \sum_{i=1}^N x_i \right\| \geq \delta.$$

Proof. (i) \implies (ii): Fix $x \in S_{\ell_p}$ and $\delta \in (0, 1)$. Pick $l \in \mathbb{N}$ with $\sum_{k=1}^l |x(k)|^p > \delta^p$ and $N \in \mathbb{N}$, such that $\sum_{k=1}^l (|x(k)| - \frac{3}{\sqrt{N}})^p > \delta^p$. Fix a sequence $(\varepsilon_m)_{m \in \mathbb{N}} \in (0, 1)^{\mathbb{N}}$ with $\varepsilon_m \rightarrow 0$. In order to get a contradiction, for every $m \in \mathbb{N}$, pick $x_1^{\varepsilon_m}, \dots, x_N^{\varepsilon_m} \in \ell_p$, such that $(N^{1/p} \cdot x_i^{\varepsilon_m})_{i=1}^N \stackrel{1+\varepsilon_m}{\sim} \ell_p^N$ and $\|x - \sum_{i=1}^N x_i^{\varepsilon_m}\| \leq \delta$ for every $m \in \mathbb{N}$.

We claim that there is $m \in \mathbb{N}$, such that $|x_i^{\varepsilon_m}(k)x_j^{\varepsilon_m}(k)| < \eta := N^{-(2+2/p)}$ for every $i, j \in \{1, \dots, N\}$, $i \neq j$, and $k \in \{1, \dots, l\}$. Indeed, otherwise, there are i, j, k , such that $|x_i^{\varepsilon_m}(k)x_j^{\varepsilon_m}(k)| \geq \eta$ for infinitely many m 's. By passing to a subsequence, we may assume that this holds for every $m \in \mathbb{N}$. Since the sequences $(|x_i^{\varepsilon_m}(k)|)_m$ and $(|x_j^{\varepsilon_m}(k)|)_m$ are bounded, by passing to a subsequence, we may assume there are numbers $a, b \in \mathbb{R}$ with $x_i^{\varepsilon_m}(k) \rightarrow a$, $x_j^{\varepsilon_m}(k) \rightarrow b$ and $|ab| \geq \eta > 0$. Since $(N^{1/p} \cdot x_i^{\varepsilon_m}, N^{1/p} \cdot x_j^{\varepsilon_m})^{1+\varepsilon_m} \ell_p^2$, using Lemma 4.5, for $p > 2$, we obtain

$$\begin{aligned} 0 &\leq |a+b|^p + |a-b|^p - 2|a|^p - 2|b|^p \\ &= \lim_m (|x_i^{\varepsilon_m}(k) + x_j^{\varepsilon_m}(k)|^p + |x_i^{\varepsilon_m}(k) - x_j^{\varepsilon_m}(k)|^p - 2|x_i^{\varepsilon_m}(k)|^p - 2|x_j^{\varepsilon_m}(k)|^p) \\ &\leq \lim_m (\|x_i^{\varepsilon_m} + x_j^{\varepsilon_m}\|^p + \|x_i^{\varepsilon_m} - x_j^{\varepsilon_m}\|^p - 2\|x_i^{\varepsilon_m}\|^p - 2\|x_j^{\varepsilon_m}\|^p) = 0; \end{aligned}$$

hence, $|a+b|^p + |a-b|^p = 2|a|^p + 2|b|^p = 0$, which, by Lemma 4.5, is in contradiction with $|ab| > 0$. The case when $p < 2$ is similar.

From now on, we write x_i instead of $x_i^{\varepsilon_m}$, where $m \in \mathbb{N}$ is chosen to satisfy the claim above. Fix $k \leq l$. By the claim above, there is at most one $i_0 \in \{1, \dots, N\}$ with $|x_{i_0}(k)| \geq \sqrt{\eta}$, and for this i_0 , we have $|x_{i_0}(k)| \leq \|x_{i_0}\| \leq 2N^{-1/p}$. Consequently, we have

$$\sum_{i=1}^N |x_i(k)| \leq \frac{2}{N^{1/p}} + \sum_{i \in \{1, \dots, N\} \& |x_i(k)| < \sqrt{\eta}} |x_i(k)| \leq \frac{2}{N^{1/p}} + N \cdot \sqrt{\eta} = \frac{3}{N^{1/p}}.$$

Thus, we have

$$\|x - \sum_{i=1}^N x_i\|^p \geq \sum_{k=1}^l \left(|x(k)| - \sum_{i=1}^N |x_i(k)| \right)^p \geq \sum_{k=1}^l \left(|x(k)| - \frac{3}{N^{1/p}} \right)^p > \delta^p,$$

which is in contradiction with $\|x - \sum_{i=1}^N x_i\| = \|x - \sum_{i=1}^N x_i^{\varepsilon_m}\| \leq \delta$.

(ii) \implies (iii) is obvious.

(iii) \implies (i): Suppose that X is not isometric to ℓ_p . By Theorem 3.8, X is isometric to $L_p[0,1] \oplus_p Y$ for some (possibly trivial) Banach space Y . By abusing the notation, we may assume that $X = L_p[0,1] \oplus_p Y$. Let $\mathbf{1} \in L_p[0,1]$ be the constant 1 function, and define $x \in X = L_p[0,1] \oplus_p Y$ by $x = (\mathbf{1}, 0)$. Now, fix $N \in \mathbb{N}$ arbitrarily. Define $x_1, \dots, x_N \in X$ by $x_i = (\chi_{[\frac{i-1}{N}, \frac{i}{N}]}, 0)$. Clearly, $(N^{1/p} \cdot x_i)_{i=1}^N \equiv \ell_p^N$, and we have $x = \sum_{i=1}^N x_i$.

(ii) \implies (iv) is obvious, so it only remains to show that (iv) \implies (ii). For every $x \in X \setminus \{0\}$, $\delta \in (0,1)$, $N \in \mathbb{N}$, $\varepsilon > 0$ and $x_1, \dots, x_N \in X$, we denote by $V(x, \delta, N, \varepsilon, (x_i)_{i=1}^N)$ the assertion that if $(N^{1/p} \cdot x_i)_{i=1}^N \overset{1+\varepsilon}{\sim} \ell_p^N$, then $\|\frac{x}{\|x\|} - \sum_{i=1}^N x_i\| \geq \delta$. The desired implication straightforwardly follows by the following two easy observations. First, if $x \in D \setminus \{0\}$, δ , N and ε are given, such that $V(x, \delta, N, \varepsilon, (x_i)_{i=1}^N)$ holds for every $x_1, \dots, x_N \in D$, then $V(x, \delta, N, \varepsilon, (x_i)_{i=1}^N)$ holds for every $x_1, \dots, x_N \in X$. Second, if for every $x \in D \setminus \{0\}$ and δ , there are N and ε , such that $V(x, \frac{1+\delta}{2}, N, \varepsilon, (x_i)_{i=1}^N)$ holds for every $x_1, \dots, x_N \in X$, then for every $x \in X \setminus \{0\}$ and δ , there are N and ε , such that $V(x, \delta, N, \varepsilon, (x_i)_{i=1}^N)$ holds for every $x_1, \dots, x_N \in X$. □

Proof of Proposition 4.4. Let \mathcal{F} be the set of those $\nu \in \mathcal{P}_\infty$ for which X_ν is an $\mathcal{L}_{p,1+}$ -space. By Theorem 3.6, $\mathcal{F} \subseteq \mathcal{P}_\infty$ is a G_δ -set. By Proposition 4.6 (i) \Leftrightarrow (iv), we have

$$\langle \ell_p \rangle_{\equiv}^{\mathcal{P}_\infty} = \mathcal{F} \cap \bigcap_{v \in V \setminus \{0\}} \bigcap_{m \in \mathbb{N}} \bigcup_{n, k \in \mathbb{N}} V_{v, m, n, k},$$

where the closed (see Fact 3.10 and Lemma 1.5) sets $V_{v, m, n, k}$ are given by

$$V_{v, m, n, k} := \left\{ \mu \in \mathcal{P}_\infty : \mu(v) = 0, \text{ or for every } (v_i)_{i=1}^n \in V^n, \text{ we have} \right. \\ \left. \neg \left(\left(\sqrt[n]{n} v_i \right)_{i=1}^n \underset{\sim}{\sim}^k \ell_p^n \right) \text{ or } \mu \left(\frac{v}{\mu(v)} - \sum_{i=1}^n v_i \right) \geq \frac{1}{m} \right\}.$$

Thus, $\langle \ell_p \rangle_{\equiv}^{\mathcal{P}_\infty}$ is an $F_{\sigma\delta}$ -set. □

4.2. Dual unit balls and the Szlenk derivative

The purpose here is to show that mappings, which assign the dual unit ball and its Szlenk derivative to a separable Banach space, may be realized as Borel maps (see Lemmas 4.9 and 4.10). This will be later used in order to estimate the Borel complexity of the isometry class of the space c_0 because the isometric characterization of the space c_0 we use involves Szlenk derivatives (see Theorem 4.13). Note that the issue of handling Szlenk derivations as Borel maps was previously considered also by Bossard [7, page 141], but our approach is slightly different, as we prefer to work with the coding \mathcal{P} , and we also need to obtain an estimate on the Borel class of the mapping.

Let us recall that given a real Banach space X , a w^* -compact set $F \subseteq X^*$ and $\varepsilon > 0$, the Szlenk derivative is given as

$$F'_\varepsilon = \{x^* \in F : U \ni x^* \text{ is } w^*\text{-open} \Rightarrow \text{diam}(U \cap F) \geq \varepsilon\}.$$

We start by coding dual unit balls as closed subsets of B_{ℓ_∞} equipped with the weak* topology, that is the topology generated by elements of the unique predual ℓ_1 .

Lemma 4.7. *Let X be a separable Banach space, and let $\{x_n : n \in \mathbb{N}\}$ be a dense set in B_X . Then the mapping $B_{X^*} \ni x^* \mapsto (x^*(x_n))_{n=1}^\infty \in B_{\ell_\infty}$ is $\|\cdot\|$ -isometry and w^* - w^* homeomorphism onto the set*

$$\Omega(X) := \left\{ (a_n)_{n=1}^\infty \in B_{\ell_\infty} : M \subseteq \mathbb{N} \text{ finite} \Rightarrow \left| \sum_{n \in M} a_n \right| \leq \left\| \sum_{n \in M} x_n \right\| \right\}.$$

Proof. That the mapping is w^* - w^* homeomorphism onto its image follows from the fact that B_{X^*} is w^* -compact and the mapping is one-to-one (because (x_n) separate the points of B_{X^*}) and w^* - w^* continuous (because on B_{ℓ_∞} , the w^* -topology coincides with the topology of pointwise convergence). It is also straightforward to see that the mapping is isometry. Thus, it suffices to prove that

$$\{(x^*(x_n))_{n=1}^\infty : x^* \in B_{X^*}\} = \Omega(X).$$

The inclusion \subseteq is easy, let us prove \supseteq . Given numbers a_1, a_2, \dots satisfying $|\sum_{n \in M} a_n| \leq \|\sum_{n \in M} x_n\|$ for any finite $M \subseteq \mathbb{N}$, we need to find $x^* \in B_{X^*}$, such that $x^*(x_n) = a_n$ for each n .

Let us realize first that

- $|a_n - a_m| \leq \|x_n - x_m\|$ for every n, m ,
- $|a_n + a_m - a_l| \leq \|x_n + x_m - x_l\|$ for every n, m, l .

We check the first inequality only, the second inequality can be checked in the same way. Given $\varepsilon > 0$, let n' different from n and m be such that $\|x_n + x_{n'}\| < \varepsilon$. We obtain $|a_n - a_m| = |(a_n + a_{n'}) - (a_m + a_{n'})| \leq |a_n + a_{n'}| + |a_m + a_{n'}| \leq \|x_n + x_{n'}\| + \|x_m + x_{n'}\| \leq 2\|x_n + x_{n'}\| + \|x_m - x_n\| < 2\varepsilon + \|x_m - x_n\|$. Since $\varepsilon > 0$ was chosen arbitrarily, we arrive at $|a_n - a_m| \leq \|x_m - x_n\|$. It follows that there is a function $f : B_X \rightarrow \mathbb{R}$ with the Lipschitz constant 1, such that $f(x_n) = a_n$ for each n . We claim that $f(u + v) = f(u) + f(v)$ and $f(\alpha u) = \alpha f(u)$, whenever $u, \alpha u, v, u + v \in B_X$. Given $\varepsilon > 0$, we pick n, m, l , such that $\|x_n - u\| < \varepsilon, \|x_m - v\| < \varepsilon$ and $\|x_l - (u + v)\| < \varepsilon$. Then, $|f(u) + f(v) - f(u + v)| < |a_n + a_m - a_l| + 3\varepsilon \leq \|x_n + x_m - x_l\| + 3\varepsilon \leq \|u + v - (u + v)\| + 3\varepsilon + 3\varepsilon = 6\varepsilon$. Since $\varepsilon > 0$ was chosen arbitrarily, we arrive at $|f(u) + f(v) - f(u + v)| = 0$. This also shows that $f(u/2) = f(u)/2$, therefore, $f(\alpha u) = \alpha f(u)$, provided that α is a dyadic rational number. For a general α , we use density of dyadic rationals and continuity of f .

Now, it is easy to see that f uniquely extends to a linear functional on X . □

By the above, every dual unit ball of a separable Banach space may be realized as a subset of the unit ball of ℓ_∞ . Thus, in what follows, we use the following convention.

Convention. Whenever we talk about open (closed, F_σ , etc.) subsets of B_{l_∞} , we always mean open (closed, F_σ , etc.) subsets in the weak* topology. On the other hand, whenever we talk about the diameter of a subset of B_{l_∞} , or about the distance of two subsets of B_{l_∞} , we always mean the diameter, or the distance, with respect to the metric given by the norm of ℓ_∞ . Also, we write only $\mathcal{K}(B_{l_\infty})$ instead of $\mathcal{K}(B_{l_\infty}, w^*)$.

Let us note the following easy observation for further references.

Lemma 4.8. *Let P be a Polish space, X a metrizable compact, $\alpha \in [1, \omega_1)$ and $f : P \rightarrow \mathcal{K}(X)$ a mapping, such that $\{p \in P : f(p) \subseteq W\} \in \Sigma_\alpha^0(P) \cup \Pi_\alpha^0(P)$ for every open $W \subseteq X$. Then, f is $\Sigma_{\alpha+1}^0$ -measurable.*

Proof. The sets of the form

$$\{F \in \mathcal{K}(X) : F \subseteq W\} \quad \text{and} \quad \{F \in \mathcal{K}(X) : F \cap W \neq \emptyset\},$$

where W ranges over all open subsets of X , form a subbasis of the topology of $\mathcal{K}(X)$. So we only need to check that $f^{-1}(U)$ is a $\Sigma_{\alpha+1}^0$ -set for every open set U of one of these forms. For the first case, this follows immediately from the assumptions, and for the second case, if $\{W_n : n \in \mathbb{N}\}$ is an open basis for the topology of X , we have

$$f^{-1}(\{F \in \mathcal{K}(X) : F \cap W \neq \emptyset\}) = \bigcup_{n \in \mathbb{N}, \text{ such that } \overline{W_n} \subseteq W} P \setminus \{p \in P : f(p) \subseteq X \setminus \overline{W_n}\},$$

which, by the assumptions, is the countable union of sets from $\Sigma_\alpha^0(P) \cup \Pi_\alpha^0(P)$. □

Lemma 4.9. For every $\nu \in \mathcal{P}$, we can choose a countable dense subset $\{x_n^\nu : n \in \mathbb{N}\}$ of B_{X_ν} in such a way that the mapping $\Omega : \mathcal{P} \rightarrow \mathcal{K}(B_{l_\infty}, w^*)$ given by

$$\Omega(\nu) = \left\{ (a_n)_{n=1}^\infty \in B_{l_\infty} : M \subseteq \mathbb{N} \text{ finite} \Rightarrow \left| \sum_{n \in M} a_n \right| \leq \nu \left(\sum_{n \in M} x_n^\nu \right) \right\}$$

is continuous.

Proof. First of all, we describe the choice of the sets $\{x_n^\nu : n \in \mathbb{N}\}$, $\nu \in \mathcal{P}$. Let $g : [0, \infty) \rightarrow [1, \infty)$ be given by $g(t) = 1$ for $t \leq 1$ and $g(t) = t$ for $t > 1$. Let $\{v_n : n \in \mathbb{N}\}$ be an enumeration of all elements of the vector space V (which is naturally embedded into all Banach spaces X_ν , $\nu \in \mathcal{P}$). Now, for every $\nu \in \mathcal{P}$ and every $n \in \mathbb{N}$, we define $x_n^\nu \in B_{X_\nu}$ by $x_n^\nu = \frac{v_n}{g(\nu(v_n))}$. Then, for every $\nu \in \mathcal{P}$, we have that $\{x_n^\nu : n \in \mathbb{N}\}$ is a dense subset of B_{X_ν} . Note also that the set

$$\left\{ (\nu, (a_n)_{n=1}^\infty) \in \mathcal{P} \times B_{l_\infty} : \left| \sum_{n \in M} a_n \right| > \nu \left(\sum_{n \in M} x_n^\nu \right) \right\}$$

is open in $\mathcal{P} \times (B_{l_\infty}, w^*)$ for every $M \subseteq \mathbb{N}$ finite (the proof is easy and is omitted).

Pick an open subset U of B_{l_∞} . We have

$$\Omega^{-1}(\{F \in \mathcal{K}(B_{l_\infty}) : F \subseteq U\}) = \left\{ \nu \in \mathcal{P} : \forall_{(a_n)_{n=1}^\infty \in B_{l_\infty}} \left(\left(\exists_{\substack{M \subseteq \mathbb{N} \\ \text{finite}}} \left| \sum_{n \in M} a_n \right| > \nu \left(\sum_{n \in M} x_n^\nu \right) \right) \text{ or } ((a_n)_{n=1}^\infty \in U) \right) \right\}.$$

The complement of the last set is the projection of a closed subset of $\mathcal{P} \times (B_{l_\infty}, w^*)$ onto the first coordinate. As the space (B_{l_∞}, w^*) is compact, the complement is a closed subset of \mathcal{P} . It remains to show that the set $\{\nu \in \mathcal{P} : \Omega(\nu) \cap U \neq \emptyset\}$ is open. Pick $\nu \in \mathcal{P}$ with $\Omega(\nu) \cap U \neq \emptyset$. By Lemma 4.7, there exists $x^* \in B_{X_\nu^*}$, such that the sequence $(a_n)_{n=1}^\infty$ given by $a_n = x^*(x_n^\nu)$, $n \in \mathbb{N}$, satisfies $(a_n)_{n=1}^\infty \in \Omega(\nu) \cap U$. Let $\varepsilon > 0$ and $N \in \mathbb{N}$ be such that $(b_n)_{n=1}^\infty \in \ell_\infty$ is an element of U whenever $|b_n - a_n| < \varepsilon$ for every $1 \leq n \leq N$. Let us consider subspaces of c_{00} , given as $E = \text{span}\{v_1, \dots, v_N\}$ and $F = \{x \in E : \nu(x) = 0\}$. Let G be such that $F \oplus G = E$ and $\overline{G} \cap \overline{V} = G$ (it is enough to pick a basis of E consisting of vectors from V , and using the Gauss elimination to determine which vectors from the basis generate the algebraic complement to F). Let $P_F : E \rightarrow F$ and $P_G : E \rightarrow G$ be linear projections onto F and G , respectively. Pick $\delta < \min\{1, \frac{\varepsilon}{3}\}$, such that $\delta \cdot |x^*(P_G v_n)| < \varepsilon/3$ for every $1 \leq n \leq N$. Finally, put

$$\mathcal{O} := \left\{ \nu' \in \mathcal{P} : \frac{1}{1-\delta} \nu(x) > \nu'(x) > (1-\delta)\nu(x) \text{ for every } x \in G \setminus \{0\} \right\} \cap \bigcap_{n=1}^N \left\{ \nu' \in \mathcal{P} : \nu'(P_F v_n) < \delta, |\nu'(v_n) - \nu(v_n)| < \delta \right\}.$$

Then, \mathcal{O} is an open neighbourhood of ν , which easily follows from Lemma 1.5 and the fact that $G \cap V$ is dense in G .

We will show that $\mathcal{O} \subseteq \{\nu' \in \mathcal{P} : \Omega(\nu') \cap U \neq \emptyset\}$. Pick $\nu' \in \mathcal{O}$. If we put $y^*(x) := (1 - \delta)x^*(x)$ for $x \in G$, then $|y^*(x)| = (1 - \delta)|x^*(x)| \leq (1 - \delta)\nu(x) \leq \nu'(x)$ for every $x \in G$, and so by the Hahn-Banach theorem, we may extend y^* to a functional (denoted again by y^*) from the dual unit ball of $X_{\nu'}$. By Lemma 4.7, the sequence $(b_n)_{n=1}^\infty$ given by $b_n := y^*(x_n^{\nu'})$, $n \in \mathbb{N}$, is in $\Omega(\nu')$. Moreover, for every $1 \leq n \leq N$, we have

$$\begin{aligned} |b_n - a_n| &= \left| \frac{1}{g(\nu'(v_n))} y^*(v_n) - \frac{1}{g(\nu(v_n))} x^*(v_n) \right| \\ &= \left| \frac{1}{g(\nu'(v_n))} y^*(P_F v_n) + \frac{1}{g(\nu'(v_n))} y^*(P_G v_n) - \frac{1}{g(\nu(v_n))} x^*(P_F v_n + P_G v_n) \right| \\ &= \left| \frac{1}{g(\nu'(v_n))} y^*(P_F v_n) + \frac{1}{g(\nu'(v_n))} (1 - \delta) x^*(P_G v_n) - \frac{1}{g(\nu(v_n))} x^*(P_G v_n) \right| \\ &\leq \frac{1}{g(\nu'(v_n))} |y^*(P_F v_n)| + \left| \frac{1}{g(\nu'(v_n))} (1 - \delta) - \frac{1}{g(\nu(v_n))} \right| |x^*(P_G v_n)| \\ &\leq \delta + (|g(\nu(v_n)) - g(\nu'(v_n))| + \delta) |x^*(P_G v_n)| \\ &\leq \delta + 2\delta |x^*(P_G v_n)| < \varepsilon, \end{aligned}$$

and so $(b_n)_{n=1}^\infty \in \Omega(\nu') \cap U$. Hence, $\mathcal{O} \subseteq \{\nu' \in \mathcal{P} : \Omega(\nu') \cap U \neq \emptyset\}$, so $\{\nu \in \mathcal{P} : \Omega(\nu) \cap U \neq \emptyset\}$ is an open set and Ω is a continuous mapping. □

We close this subsection by realizing that the mapping which assigns to every compact subset of B_{l_∞} its Szlenk derivative is Borel. Let us note that the result is almost optimal, as the mapping from Lemma 4.10 is not F_σ -measurable (see Corollary 4.14).

Lemma 4.10. *For every $\varepsilon > 0$, the function $s_\varepsilon : \mathcal{K}(B_{l_\infty}, w^*) \rightarrow \mathcal{K}(B_{l_\infty}, w^*)$ given by $s_\varepsilon(F) = F'_\varepsilon$ is Σ_3^0 -measurable.*

Proof. First, we claim that the set

$$\{F \in \mathcal{K}(B_{l_\infty}) : \text{diam}(U \cap F) < \varepsilon\}$$

is an F_σ -set for every open subset U of B_{l_∞} . Indeed, the set above equals

$$\begin{aligned} &\bigcup_{k=1}^\infty \{F \in \mathcal{K}(B_{l_\infty}) : \text{diam}(U \cap F) \leq \varepsilon - \frac{1}{k}\} \\ &= \bigcup_{k=1}^\infty \bigcap_{\substack{O_1, O_2 \text{ open subsets of } U \\ \text{dist}(O_1, O_2) \geq \varepsilon - \frac{1}{k}}} \{F \in \mathcal{K}(B_{l_\infty}) : F \cap O_1 = \emptyset \text{ or } F \cap O_2 = \emptyset\}, \end{aligned}$$

and our claim immediately follows.

Now, let W be an open subset of B_{l_∞} . Let $\{U_n : n \in \mathbb{N}\}$ be an open basis for the weak* topology of B_{l_∞} . Then, we have (using a compactness argument in the last equality) that

$$\begin{aligned}
 & s_\varepsilon^{-1}(\{F \in \mathcal{K}(B_{l_\infty}) : F \subseteq W\}) \\
 &= \{F \in \mathcal{K}(B_{l_\infty}) : \exists_{M \subseteq \mathbb{N}} \left(\left(\forall_{n \in M} \text{diam}(U_n \cap F) < \varepsilon \right) \text{ and } (F \subseteq W \cup \bigcup_{n \in M} U_n) \right)\} \\
 &= \{F \in \mathcal{K}(B_{l_\infty}) : \exists_{\substack{M \subseteq \mathbb{N} \\ \text{finite}}} \left(\left(\forall_{n \in M} \text{diam}(U_n \cap F) < \varepsilon \right) \text{ and } (F \subseteq W \cup \bigcup_{n \in M} U_n) \right)\},
 \end{aligned}$$

and our previous claim implies that the last set is an F_σ -set.

Thus, by Lemma 4.8, the mapping s_ε is Σ_3^0 -measurable. □

4.3. The space c_0

The main goal of this subsection is to prove the following.

Proposition 4.11. $\langle c_0 \rangle \equiv$ is an $F_{\sigma\delta}$ -set in \mathcal{P}_∞ .

Our estimate on the Borel complexity of the isometry class of c_0 is based on an isometric characterization of c_0 among $\mathcal{L}_{\infty,1+}$ -spaces. Let us recall that $\mathcal{L}_{\infty,1+}$ -spaces are often called the *Lindenstrauss spaces* or *L_1 predual spaces*. There are many different characterizations of this class of spaces. Let us recall one which we will use further (see, e.g. [38, page 232], the ‘in particular’ part follows from the easy part of Theorem 3.8 applied to X^* and the fact that $L_1[0,1]$ is not isomorphic to a subspace of a separable dual Banach space, see, e.g. [1, Theorem 6.3.7]).

Theorem 4.12. Let X be a Banach space. Then the following conditions are equivalent.

- (i) X is an $\mathcal{L}_{\infty,1+}$ -space.
- (ii) X^* is isometric to $L_1(\mu)$ for some measure μ .

In particular, if X is an $\mathcal{L}_{\infty,1+}$ -space with X^* separable, then X^* is isometric to ℓ_1 .

The isometric characterization of c_0 , which we use for our upper estimate, follows.

Theorem 4.13. Let X be a separable $\mathcal{L}_{\infty,1+}$ -space, and let $0 < \varepsilon < 1$. Then X is isometric to c_0 if and only if

$$(B_{X^*})'_{2\varepsilon} = (1 - \varepsilon)B_{X^*}.$$

Proof. First, we show that $(B_{c_0^*})'_{2\varepsilon} = (1 - \varepsilon)B_{c_0^*}$ (this must be known, but we were unable to find any reference). By a standard argument, $(1 - \varepsilon)B_{X^*} \subseteq (B_{X^*})'_{2\varepsilon}$ for any infinite-dimensional X . (Let $x^* \in (1 - \varepsilon)B_{X^*}$. Any w^* -open set U containing 0 contains also both y^* and $-y^*$ for some $y^* \in S_{X^*}$, and so $\text{diam}(U \cap B_{X^*}) = 2$. For this reason, any w^* -open set V containing x^* fulfils $\text{diam}(V \cap (x^* + \varepsilon B_{X^*})) = 2\varepsilon$, in particular, $\text{diam}(V \cap B_{X^*}) \geq 2\varepsilon$. This proves that $x^* \in (B_{X^*})'_{2\varepsilon}$.)

Let us show that the opposite inclusion takes place for $X = c_0$. Assuming $1 - \varepsilon < \|x^*\| \leq 1$, we need to check that $x^* \notin (B_{c_0^*})'_{2\varepsilon}$. Let e_1, e_2, \dots be the canonical basis of c_0 . Let n be large enough that $\sum_{i=1}^n |x^*(e_i)| > 1 - \varepsilon$, and let $\delta > 0$ satisfy $2\delta n < [\sum_{i=1}^n |x^*(e_i)|] - (1 - \varepsilon)$. Let

$$U = \{y^* \in c_0^* : 1 \leq i \leq n \Rightarrow |y^*(e_i) - x^*(e_i)| < \delta\}.$$

For $y^*, z^* \in U \cap B_{c_0^*}$, we have

$$\sum_{i=n+1}^{\infty} |y^*(e_i)| = \|y^*\| - \sum_{i=1}^n |y^*(e_i)| \leq 1 - \sum_{i=1}^n |x^*(e_i)| + \delta n,$$

and the same for z^* , thus

$$\begin{aligned} \|y^* - z^*\| &\leq \sum_{i=1}^n |y^*(e_i) - z^*(e_i)| + \sum_{i=n+1}^{\infty} |y^*(e_i)| + \sum_{i=n+1}^{\infty} |z^*(e_i)| \\ &\leq 2\delta n + 2 \left[1 - \sum_{i=1}^n |x^*(e_i)| \right] + 2\delta n. \end{aligned}$$

We get $\text{diam}(U \cap B_{c_0^*}) \leq 4\delta n + 2[1 - \sum_{i=1}^n |x^*(e_i)|] < 2\varepsilon$.

Now, let us assume that X satisfies $(B_{X^*})'_{2\varepsilon} = (1 - \varepsilon)B_{X^*}$. Clearly, X is infinite-dimensional, as $(B_{X^*})'_{2\varepsilon}$ is nonempty. Moreover, X^* is separable because the Szlenk index of X is ω (see, e.g. [39, Proposition 3 and Theorem 1]). Thus, by Theorem 4.12, the dual X^* is isometric to ℓ_1 . Let e_1^*, e_2^*, \dots be a basis of X^* that is 1-equivalent to the canonical basis of ℓ_1 , and let $e_1^{**}, e_2^{**}, \dots$ be the dual basic sequence in X^{**} . We claim that the functionals e_n^{**} are w^* -continuous. Suppose that e_n^{**} is not w^* -continuous for some n . It means that $\{x^* \in X^* : e_n^{**}(x^*) = 0\}$ is not w^* -closed. By the Banach-Dieudonné theorem, the set $\{x^* \in B_{X^*} : e_n^{**}(x^*) = 0\}$ is not w^* -closed, too. The space (B_{X^*}, w^*) is metrizable, so there is a sequence x_k^* in B_{X^*} with $e_n^{**}(x_k^*) = 0$, which w^* -converges to some x^* with $e_n^{**}(x^*) \neq 0$. Without loss of generality, let us assume that $e_n^{**}(x^*) > 0$ and that $e_i^{**}(x_k^*)$ converges to some a_i for every i . Then, clearly, $a_n = 0$. Note that $\sum_{i=1}^{\infty} |a_i| \leq 1$, which follows from the fact that $\sum_{i=1}^{\infty} |e_i^{**}(x_k^*)| = \|x_k^*\| \leq 1$ for every k . Let us put $a^* = \sum_{i=1}^{\infty} a_i e_i^*$, $y_k^* = x_k^* - a^*$ and $y^* = x^* - a^*$. Then, $e_n^{**}(y_k^*) = 0, e_n^{**}(y^*) > 0$, the sequence y_k^* is w^* -convergent to y^* and, moreover, $e_i^{**}(y_k^*)$ converges to 0 for every i . Choosing a subsequence and making a small perturbation, we can find a sequence z_l^* which is a block sequence with respect to the basis e_i^* and which still w^* -converges to y^* . Without loss of generality, let us assume that $\|z_l^*\|$ converges to some λ , clearly, with $\lambda \geq \|y^*\| > 0$, and let us consider $u_l^* = \frac{1}{\|z_l^*\|} z_l^*$ and $u^* = \frac{1}{\lambda} y^*$.

So, we have seen that there is a normalized block sequence u_l^* in X^* which w^* -converges to some u^* with $e_n^{**}(u^*) > 0$. We put

$$v_l^* = (1 - \varepsilon)e_n^* + \varepsilon u_l^*, \quad v^* = (1 - \varepsilon)e_n^* + \varepsilon u^*.$$

Then, v_l^* is a sequence in B_{X^*} that w^* -converges to v^* . Since $\|v_l^* - v_{l'}^*\| = 2\varepsilon$ for $l \neq l'$, any w^* -open set U containing v^* fulfils $\text{diam}(U \cap B_{X^*}) \geq 2\varepsilon$. It follows that $v^* \in (B_{X^*})'_{2\varepsilon}$, and, by our assumption, $v^* \in (1 - \varepsilon)B_{X^*}$. At the same time,

$$\|v^*\| \geq e_n^{**}(v^*) = (1 - \varepsilon) + \varepsilon e_n^{**}(u^*) > 1 - \varepsilon,$$

which is not possible.

Hence, the functionals e_n^{**} are w^* -continuous indeed. Every e_n^{**} is therefore the evaluation of some $e_n \in X$. Finally, it is easy to check that e_1, e_2, \dots is a basis of X that is 1-equivalent to the canonical basis of c_0 . □

Proof of Proposition 4.11. Let \mathcal{F} be the set of those $\mu \in \mathcal{P}_\infty$ for which X_μ is an $\mathcal{L}_{\infty,1+}$ -space. By Theorem 3.6, \mathcal{F} is a G_δ -set in \mathcal{P}_∞ . Let Ω be the mapping from Lemma 4.9, and let us denote by Δ the closed set $\{(x,x) : x \in \mathcal{K}(\ell_\infty)\}$ in $\mathcal{K}(\ell_\infty) \times \mathcal{K}(\ell_\infty)$. By Lemma 4.7 and Theorem 4.13, we have that

$$\langle c_0 \rangle_{\equiv} = \mathcal{F} \cap \{ \nu \in \mathcal{P} : (\frac{1}{2}\Omega(\nu), \Omega'_1(\nu)) \in \Delta \}.$$

By Lemmas 4.9 and 4.10, the mapping $\mathcal{P} \ni \nu \mapsto (\frac{1}{2}\Omega(\nu), \Omega'_1(\nu)) \in \mathcal{K}(\ell_\infty) \times \mathcal{K}(\ell_\infty)$ is Σ_3^0 -measurable, so we obtain that $\langle c_0 \rangle_{\equiv}$ is an $F_{\sigma\delta}$ -set in \mathcal{P}_∞ . □

Corollary 4.14. *Let $\varepsilon > 0$. Then, the mapping s_ε from Lemma 4.10 is not Σ_2^0 -measurable.*

Proof. Otherwise, similarly as in the proof of Proposition 4.11, we would prove that $\langle c_0 \rangle_{\equiv}$ is a G_δ -set in \mathcal{P}_∞ , which is not possible due to Theorem 3.3. □

5. Miscellaneous

5.1. Superreflexive spaces

Recall that a map $f : M \rightarrow N$ between metric spaces is called a C -bi-Lipschitz embedding if

$$\forall x \neq y \in M : C^{-1}d_M(x,y) < d_N(f(x),f(y)) < Cd_M(x,y).$$

Lemma 5.1. *Let M be a finite metric space and $C > 0$. The set $E(M,C)$ consisting of those $\mu \in \mathcal{P}$, such that M admits a C -bi-Lipschitz embedding into X_μ is open in \mathcal{P} .*

Proof. Let $\mu \in E(M,C)$. Thus, there is a C -bi-Lipschitz embedding $f : M \rightarrow X_\mu$. By perturbing the image of f if necessary, we may without loss of generality assume that $f(M) \subseteq V$.

Consider $\varepsilon > 0$ and the open neighbourhood U_ε of μ consisting of those $\mu' \in \mathcal{P}$ for which $|\mu(f(x) - f(y)) - \mu'(f(x) - f(y))| < \varepsilon$ for every $x,y \in M$. Then, $U_\varepsilon \subseteq E(M,C)$ for $\varepsilon > 0$ small enough. Indeed, it suffices to choose ε smaller than

$$\min\{ \min_{x \neq y \in M} Cd_M(x,y) - \mu(f(x) - f(y)), \min_{x \neq y \in M} \mu(f(x) - f(y)) - C^{-1}d_M(x,y) \}.$$

The easy verification is left to the reader. □

Proposition 5.2. *Let $(M_n)_{n \in \mathbb{N}}$ be a sequence of finite metric spaces, and let \mathcal{X} be the class of those Banach spaces X for which there exists a constant C , such that for every $n \in \mathbb{N}$, M_n admits a C -bi-Lipschitz embedding into X .*

Then, $\mathcal{F} := \{ \mu \in \mathcal{P}_\infty : X_\mu \text{ is in } \mathcal{X} \}$ is a $G_{\delta\sigma}$ -set in \mathcal{P}_∞ .

Proof. Follows immediately from Lemma 5.1, because we have

$$\mathcal{F} = \mathcal{P}_\infty \cap \bigcup_{C > 0} \bigcap_{n \in \mathbb{N}} E(M_n, C). \quad \square$$

Bourgain in his seminal paper [9] found a sequence of finite metric spaces $(M_n)_{n \in \mathbb{N}}$, such that a separable Banach space is not superreflexive if and only if there exists a

constant C , such that for every $n \in \mathbb{N}$, M_n admits a C -bi-Lipschitz embedding into X . We refer the interested reader to [47, Section 9] for some more related facts and results. Thus, combining this result with Proposition 5.2, we obtain immediately the following.

Theorem 5.3. *The class of all superreflexive spaces is an $F_{\sigma\delta}$ -set in \mathcal{P}_∞ .*

A metric space M is called *locally finite* if it is uniformly discrete and all balls in M are finite sets (in particular, every such M is at most countable). Let us mention a result by Ostrovskii by which a locally finite metric space bi-Lipschitz embeds into a Banach space X if and only if all of its finite subsets admit uniformly bi-Lipschitz embeddings into X (see [46] or [47, Theorem 2.6]). Thus, from Proposition 5.2, we obtain also the following.

Corollary 5.4. *Let M be a locally finite metric space. Then, the set of those $\mu \in \mathcal{P}_\infty$ for which M admits a bi-Lipschitz embedding into X_μ is a $G_{\delta\sigma}$ -set in \mathcal{P}_∞ .*

It is well-known that many important classes of separable Banach spaces are not Borel. This concerns, for example, reflexive spaces, spaces with separable dual, spaces containing ℓ_1 , spaces with the Radon-Nikodým property, spaces isomorphic to $L_p[0,1]$ for $p \in (1,2) \cup (2,\infty)$, or spaces isomorphic to c_0 . We refer to [7, page 130 and Corollary 3.3] and [36, Theorem 1.1] for papers which contain the corresponding results and to the monograph [17] and the survey [26] for some more information. Thus, for example, in combination with Corollary 5.4, we see that none of those classes might be characterized as a class into which a given locally finite metric space bi-Lipschitz embeds. Let us give an example of such a result, which is related to [45, Problem 12.5(b)]. This is an elementary but interesting application of the whole theory.

Corollary 5.5. *There does not exist a locally finite metric space M , such that any separable Banach space X is not reflexive if and only if M admits a bi-Lipschitz embeddings into X .*

Remark 5.6. Let us draw the attention of the reader once more to the remarkable paper [45], where the authors found a metric characterization of reflexivity, even though such a condition is necessarily non-Borel (as mentioned above).

5.2. Szlenk indices

In this subsection, we give estimates on the Borel classes of spaces with Szlenk index less than or equal to a given ordinal number. Note that it is a result by Bossard, see [7, Section 4], that those sets are Borel and their Borel classes are unbounded. So our contribution here is that we provide certain quantitative estimates from above. Similarly, we give an estimate on the Borel class of spaces with summable Szlenk index, which is a quantitative improvement of the result mentioned in [25, page 367]. Let us start with the corresponding definitions. Let X be a real Banach space and $K \subseteq X^*$ a w^* -compact set. Following [39], for $\varepsilon > 0$, we define $s_\varepsilon(K)$ as the Szlenk derivative of the set K (see Section 4.2), and then we inductively define $s_\varepsilon^\alpha(K)$ for an ordinal α by $s_\varepsilon^{\alpha+1}(K) := s_\varepsilon(s_\varepsilon^\alpha(K))$ and $s_\varepsilon^\alpha(K) := \bigcap_{\beta < \alpha} s_\varepsilon^\beta(K)$ if α is a limit ordinal. Given a real Banach space X , $Sz(X, \varepsilon)$ is

the least ordinal α , such that $s_\varepsilon^\alpha(B_{X^*}) = \emptyset$, if such an ordinal exists (otherwise, we write $Sz(X, \varepsilon) = \infty$). The Szlenk index is defined by $Sz(X) = \sup_{\varepsilon > 0} Sz(X, \varepsilon)$.

Recall that for a separable infinite-dimensional Banach space X , the Szlenk index is either ∞ or ω^α for some $\alpha \in [1, \omega_1)$ (see [39, Section 3]).

Theorem 5.7. *Let $\alpha \in [1, \omega_1)$ be an ordinal. Then*

$$\{\mu \in \mathcal{P}_\infty : Sz(X_\mu) \leq \omega^\alpha\}$$

is a $\Pi_{\omega^{\alpha+1}}^0$ -set in \mathcal{P}_∞ .

Proof. Using Lemma 4.10, it is easy to prove by induction on n that the mapping $\mathcal{K}(B_{\ell_\infty}) \ni F \mapsto s_\varepsilon^n(F) \in \mathcal{K}(B_{\ell_\infty})$ is Σ_{2n+1}^0 -measurable for every $n \in \mathbb{N}$. Further, the mapping $\mathcal{K}(B_{\ell_\infty}) \ni F \mapsto s_\varepsilon^\omega(F) \in \mathcal{K}(B_{\ell_\infty})$ is $\Sigma_{\omega+1}^0$ -measurable. Indeed, for every open $V \subseteq B_{\ell_\infty}$, by compactness argument, we have

$$\{F : s_\varepsilon^\omega(F) \subseteq V\} = \bigcup_{n=1}^\infty \{F : s_\varepsilon^n(F) \subseteq V\}$$

which is a Σ_ω^0 -set, so by Lemma 4.8, the mapping s_ε^ω is $\Sigma_{\omega+1}^0$ -measurable. Similarly, we prove by transfinite induction that s_ε^β is $\Sigma_{\beta+1}^0$ -measurable whenever $\beta \in [\omega, \omega_1)$ is a limit ordinal.

Let Ω be the mapping from Lemma 4.9. Then, by Lemma 4.7, we have

$$\begin{aligned} \{\mu \in \mathcal{P}_\infty : Sz(X_\mu) \leq \omega^\alpha\} &= \bigcap_{k \in \mathbb{N}} \{\mu \in \mathcal{P}_\infty : Sz(X_{\mu, \frac{1}{k}}) \leq \omega^\alpha\} \\ &= \bigcap_{k \in \mathbb{N}} \{\mu \in \mathcal{P}_\infty : s_{1/k}^{\omega^\alpha}(\Omega(\mu)) = \emptyset\}, \end{aligned}$$

which, by the above and Lemma 4.9, is the countable intersection of preimages of closed sets under $\Sigma_{\omega^{\alpha+1}}^0$ -measurable mapping, so it is a $\Pi_{\omega^{\alpha+1}}^0$ -set in \mathcal{P}_∞ . □

Let us recall that a Banach space X has a *summable Szlenk index* if there is a constant M , such that for all positive $\varepsilon_1, \dots, \varepsilon_n$ with $s_{\varepsilon_1} \dots s_{\varepsilon_n} B_{X^*} \neq \emptyset$, we have $\sum_{i=1}^n \varepsilon_i \leq M$.

Proposition 5.8. *The set $\{\mu \in \mathcal{P}_\infty : X_\mu \text{ has a summable Szlenk index}\}$ is a $\Sigma_{\omega+2}^0$ -set in \mathcal{P}_∞ .*

Proof. Let Ω be the mapping from Lemma 4.9. It is easy to see that the set $\{\mu \in \mathcal{P}_\infty : X_\mu \text{ has a summable Szlenk index}\}$ is equal to

$$\bigcup_{M \in \mathbb{N}} \bigcap_{\substack{\varepsilon_1, \dots, \varepsilon_n \in \mathbb{Q}_+ \\ \sum_{i=1}^n \varepsilon_i > M}} \{\mu \in \mathcal{P}_\infty : s_{\varepsilon_1} \dots s_{\varepsilon_n} \Omega(\mu) = \emptyset\},$$

which by Lemmas 4.9 and 4.10 is a $\Sigma_{\omega+2}^0$ -set in \mathcal{P}_∞ . □

Finally, let us note that similarly, one can of course estimate Borel complexity of various other classes of spaces related to Szlenk derivations, for example, spaces with Szlenk power type at most p etc.

5.3. Spaces having Schauder basis-like structures

It is an open problem whether the class of spaces with Schauder basis is a Borel set in \mathcal{B} (see, e.g. [17, Problem 8]), and note that by the results from [14, Section 3], it does not matter whether we use the coding $SB(C([0,1]))$ or \mathcal{B} . However, it was proved by Ghawadrah that the class of spaces with π -property is Borel (actually, it is a Σ_6^0 -set in \mathcal{P}_∞ , which follows immediately from [21, Lemma 2.1], see also [24]) and that the class of spaces with the bounded approximation property (BAP) is Borel (actually, it is a Σ_7^0 -set in \mathcal{P}_∞ , which follows immediately from [23, Lemma 2.1], and this estimate has recently been improved to a Σ_6^0 -set in any admissible topology, see [24]). One is therefore led to the question of finding examples of Banach spaces having BAP but not Schauder basis. Such an example was constructed by Szarek [50]. Actually, Szarek considered classes of separable spaces with *local basis structure (LBS)* and *local Π -basis structure (LIIBS)* for which we have

$$\text{basis} \implies (\text{LIIBS}) \implies ((\text{LBS}) \text{ and } (\text{BAP})) \implies (\text{BAP}),$$

and he proved that the converses to the second and the third implication do not hold in general. The problem of whether the converse to the first implication holds seems to be open (see [50, Problem 1.8]). In this subsection, we prove that both (LBS) and (LIIBS) give rise to a Borel class of separable Banach spaces (we even compute an upper bound on their Borel complexities, see Theorem 5.13). Note that this result somehow builds a bridge between both open problems mentioned above, that is, between the problem of whether $\langle \text{spaces with Schauder basis} \rangle$ is a Borel set in \mathcal{B} and the problem of whether every separable Banach space with (LIIBS) has a basis.

Let us start with the definitions as they are given in [50].

Definition 5.9. By the *basis constant* of a basis $(x_i)_{i=1}^d$ of a Banach space X of dimension $d \in [0, \infty]$, we mean the least number $C \geq 1$, such that $\|\sum_{i=1}^n a_i x_i\| \leq C \|\sum_{i=1}^m a_i x_i\|$ whenever $n, m \in \mathbb{N}$, $n \leq m \leq d$ and $a_1, \dots, a_m \in \mathbb{R}$. The basis constant of $(x_i)_{i=1}^d$ is denoted by $\text{bc}((x_i)_{i=1}^d)$. We further denote

$$\text{bc}(X) = \inf \{ \text{bc}((x_i)_{i=1}^d) : (x_i)_{i=1}^d \text{ is a basis of } X \}.$$

Definition 5.10. A Banach space X is said to have the *local basis structure (LBS)* if $X = \overline{\bigcup_{n=1}^\infty E_n}$, where $E_1 \subseteq E_2 \subseteq \dots$ are finite-dimensional subspaces satisfying $\sup_{n \in \mathbb{N}} \text{bc}(E_n) < \infty$.

Further, X is said to have the *local Π -basis structure (LIIBS)* if $X = \overline{\bigcup_{n=1}^\infty E_n}$, where $E_1 \subseteq E_2 \subseteq \dots$ are finite-dimensional subspaces satisfying $\sup_{n \in \mathbb{N}} \text{bc}(E_n) < \infty$ for which there are projections $P_n : X \rightarrow E_n$, such that $P_n(X) = E_n$ and $\sup_{n \in \mathbb{N}} \|P_n\| < \infty$.

Lemma 5.11. *Whenever E is a finite-dimensional subspace of a Banach space X , $\delta \in (0, 1)$, $K > 0$, $T : E \rightarrow X$ is a $(1 + \delta)$ -isomorphism (not necessarily surjective) with*

$\|T - I\| < \delta$ and $P : X \rightarrow E$ is a projection with $P(X) = E$ and $\|P\| \leq K$, then, for every subspace F of E , we have $\|TP|_{T(F)} - I_{T(F)}\| \leq 4\delta K$.

Moreover, whenever $\|TP|_{T(E)} - I_{T(E)}\| \leq q < 1$, then $T(E)$ is $\frac{(1+\delta)K}{1-q}$ -complemented in X .

Proof. Let $\{f_1, \dots, f_n\}$ be a basis of F . Then, for every $x = \sum_{i=1}^n a_i T(f_i) \in T(F)$, we have

$$\|TPx - x\| = \|TP\left(\sum_{i=1}^n a_i(T(f_i) - f_i)\right)\| \leq (1 + \delta)K\|(T - I)T^{-1}x\| \leq (1 + \delta)^2\delta K\|x\|.$$

Moreover, if $\|TP|_{T(E)} - I_{T(E)}\| < 1$, then the mapping $TP|_{T(E)}$ is an isomorphism with $\|(TP|_{T(E)})^{-1}\| \leq \sum_{i=0}^{\infty} q^i = \frac{1}{1-q}$. It is now straightforward to prove that $P' := (TP|_{T(E)})^{-1}TP : X \rightarrow T(E)$ is a projection onto $T(E)$ with $\|P'\| \leq \frac{(1+\delta)K}{1-q}$. \square

Lemma 5.12. For every $\mu \in \mathcal{B}$, $K, l \in \mathbb{N}$ and $v_1, \dots, v_m \in V$, let us denote by $\Phi(\mu, K, v_1, \dots, v_m)$ and $\Psi(\mu, K, l, v_1, \dots, v_m)$ the formulae

$$\Phi(\mu, K, v_1, \dots, v_m) = \forall a_1, \dots, a_m \in \mathbb{R} : \max_{1 \leq k \leq m} \mu\left(\sum_{i=1}^k a_i v_i\right) \leq K\mu\left(\sum_{i=1}^m a_i v_i\right)$$

and

$$\Psi(\mu, K, l, v_1, \dots, v_m) = \exists u_1, \dots, u_l \in \mathbb{Q}\text{-span}\{v_1, \dots, v_m\} \forall a_1, \dots, a_m, b_1, \dots, b_l \in \mathbb{R} : \mu\left(\sum_{i=1}^m a_i v_i + \sum_{i=1}^l b_i u_i\right) \leq K\mu\left(\sum_{i=1}^m a_i v_i + \sum_{i=1}^l b_i e_i\right).$$

Then, for every $\nu \in \mathcal{B}$, the following holds.

(a) The space X_ν has LBS if and only if

$$\exists K \in \mathbb{N} \forall n \in \mathbb{N} \exists m \in \mathbb{N} \exists v_1, \dots, v_m \in V, \{e_1, \dots, e_n\} \subseteq \text{span}\{v_1, \dots, v_m\} \\ \Phi(\nu, K, v_1, \dots, v_m).$$

(b) The space X_ν has LIIBS if and only if

$$\exists K \in \mathbb{N} \forall n \in \mathbb{N} \exists m \in \mathbb{N} \exists v_1, \dots, v_m \in V, \{e_1, \dots, e_n\} \subseteq \text{span}\{v_1, \dots, v_m\} \\ \Phi(\nu, K, v_1, \dots, v_m) \wedge \forall l \in \mathbb{N} \Psi(\nu, K, l, v_1, \dots, v_m).$$

Proof. We prove only the more difficult part (b). Since $\nu \in \mathcal{B}$, the space X_ν is just the completion of (c_{00}, ν) (it is not necessary to consider a quotient). So, the notions of linear span and of linear independence have the same meaning in c_{00} and in X_ν , if performed on subsets of c_{00} .

Let us suppose that $\nu \in \mathcal{B}$ satisfies the formula in (b) for some $K \in \mathbb{N}$. We put $E_0 = \{0\}$ and choose recursively subspaces $E_1 \subseteq E_2 \subseteq \dots$ of X_ν , each of which is generated by a finite number of elements of V , in the following way. Assuming that E_j has been already chosen, we pick first $n_{j+1} \geq j + 1$, such that $E_j \subseteq \text{span}\{e_1, \dots, e_{n_{j+1}}\}$. Then, we can pick

$m_{j+1} \in \mathbb{N}$ and $v_1^{j+1}, \dots, v_{m_{j+1}}^{j+1} \in V$ with $\{e_1, \dots, e_{n_{j+1}}\} \subseteq \text{span}\{v_1^{j+1}, \dots, v_{m_{j+1}}^{j+1}\}$, such that $\Phi(\nu, K, v_1^{j+1}, \dots, v_{m_{j+1}}^{j+1})$ and for every $l \in \mathbb{N}$, $\Psi(\nu, K, l, v_1^{j+1}, \dots, v_{m_{j+1}}^{j+1})$ hold.

We put $E_{j+1} = \text{span}\{v_1^{j+1}, \dots, v_{m_{j+1}}^{j+1}\}$. In this way, we obtain $E_j \subseteq E_{j+1}$. Also, $X_\nu = \overline{\bigcup_{n=1}^\infty E_n}$ (we have $e_{j+1} \in E_{j+1}$, as $n_{j+1} \geq j+1$). If we take all nonzero vectors $v_i^{j+1}, 1 \leq i \leq m_{j+1}$, we obtain a basis of E_{j+1} with the basis constant at most K .

To show that the sequence $E_1 \subseteq E_2 \subseteq \dots$ witnesses that X_ν has LIIBS, it remains to find a projection P_{j+1} of X_ν onto E_{j+1} , such that $\|P_{j+1}\| \leq K$. Let us pick some $l \in \mathbb{N}$ and put $E^{(l)} = \text{span}\{v_1^{j+1}, \dots, v_{m_{j+1}}^{j+1}, e_1, \dots, e_l\}$. By $\Psi(\nu, K, l, v_1^{j+1}, \dots, v_{m_{j+1}}^{j+1})$, there exists a projection $P^{(l)}$ of $E^{(l)}$ onto E_{j+1} with $\|P^{(l)}\| \leq K$. Since the norms of $P^{(l)}$, for $l \in \mathbb{N}$, are uniformly bounded and have a fixed finite-dimensional range, there exists their accumulation point in strong operator topology (SOT) which is a projection $P_{j+1} : X_\nu \rightarrow E_{j+1}$ of norm bounded by K as desired.

Conversely, suppose that X_ν has LIIBS as witnessed by some $C > 1$ and a sequence $(E_n)_{n \in \mathbb{N}}$ of finite-dimensional subspaces satisfying $X_\nu = \overline{\bigcup_n E_n}$ and $\sup_{n \in \mathbb{N}} \text{bc}(E_n) < C$, for which there are projections $P_n : X_\nu \rightarrow E_n$, such that $P_n(X_\nu) = E_n$ and $\sup_{n \in \mathbb{N}} \|P_n\| < C$. Pick $D > 0$, such that $H_n := (\text{span}\{e_1, \dots, e_n\}, \nu)$ is D -complemented in X_ν , and let $\phi_1 := \phi_2^{e_1, \dots, e_n}$ be the function from Lemma 2.14. Fix $\varepsilon > 0$, such that $\phi_1(t)$ is small enough (to be specified later) whenever $t < \varepsilon$. Find $k \in \mathbb{N}$, such that there are $h_1, \dots, h_n \in E_k$ with $\nu(e_i - h_i) < \varepsilon$. If $\phi_1(\varepsilon)$ is small enough, we have $\frac{(1+\phi_1(\varepsilon))D}{1-4\phi_1(\varepsilon)D} \leq 2D$ (this value refers to the ‘Moreover’ part in Lemma 5.11). By Lemma 5.11, $\text{span}\{h_i : i \leq n\}$ is $2D$ -complemented in X_ν , so let $Q : X_\nu \rightarrow \text{span}\{h_i : i \leq n\}$ be the corresponding projection. Pick a basis $h_{n+1}, \dots, h_{\dim E_k}$ of the space $E_k \cap Q^{-1}(0)$, which is $(2D+1)$ -complemented in E_k . Let $\phi_2 := \phi_2^{h_{n+1}, \dots, h_{\dim E_k}}$ be the function from Lemma 2.14. Fix $\delta > 0$, such that $\phi_2(t)$ is small enough (to be specified later) whenever $t < \delta$. Finally, find $f_{n+1}, \dots, f_{\dim E_k} \in V$ with $\nu(f_j - h_j) < \delta$ for $j = n+1, \dots, \dim E_k$.

We claim that the space $F_n := (\text{span}\{e_1, \dots, e_n, f_{n+1}, \dots, f_{\dim E_k}\}, \nu)$ is $2C$ -complemented in X_ν and $d_{BM}(F_n, E_k) < 2$. If we denote by $T : E_k \rightarrow F_n$ the linear mapping given by $h_i \mapsto e_i, i \leq n$, and $h_j \mapsto f_j, n+1 \leq j \leq \dim E_k$, then for every $y \in \text{span}\{h_i : i \leq n\}$ and $z \in \text{span}\{h_j : j = n+1, \dots, \dim E_k\}$, we have

$$\begin{aligned} \nu(T(y+z) - y - z) &\leq \nu(Ty - y) + \nu(Tz - z) \leq \phi_1(\varepsilon)\nu(y) + \phi_2(\delta)\nu(z) \\ &\leq \left(\phi_1(\varepsilon)2D + \phi_2(\delta)(2D+1)\right)\nu(y+z); \end{aligned}$$

hence, if $\eta := \left(\phi_1(\varepsilon)2D + \phi_2(\delta)(2D+1)\right) < 1$, we obtain $\|T\| \leq 1 + \|I - T\| \leq 1 + \eta$ and $\|Tx\| \geq \|x\| - \|(I - T)x\| \geq (1 - \eta)\|x\|$ for every $x \in E_k$, so T is an isomorphism with $\|T\|^{-1} \leq (1 - \eta)^{-1}$. Thus, by Lemma 5.11, if $\phi_1(\varepsilon)$ and $\phi_2(\delta)$ are small enough (and so η is small enough), we obtain $\|T\|\|T^{-1}\| < 2$ and F_n is $2C$ -complemented in X_ν .

Thus, $\text{bc}(F_n) \leq \text{bc}(E_k)d_{BM}(E_k, F_n) < 2C$, which is witnessed by some basis $v_1, \dots, v_m \in V$ of F_n . This shows that $\Phi(\nu, 2C, v_1, \dots, v_m)$ holds. Let $P : X_\nu \rightarrow F_n$ be a projection with $P[X_\nu] = F_n$ and $\|P\| \leq 2C$. Given $l \in \mathbb{N}$, let $T \subseteq \{1, \dots, l\}$ be a set, such that $(e_i)_{i \in T}$ together with $(v_i)_{i=1}^m$ form a basis of $\text{span}(\{v_1, \dots, v_m\} \cup \{e_1, \dots, e_l\})$. Pick $A > 0$, such that

$(v_i)_{i=1}^m \cup (e_i)_{i \in T} \overset{A}{\approx} \ell_1^{m+|T|}$. For $i \in T$ pick $u_i \in \text{span}_{\mathbb{Q}}\{v_1, \dots, v_m\}$, such that $\nu(u_i - P(e_i)) < \frac{C}{A}$. Then, for every $a_1, \dots, a_m \in \mathbb{R}$ and every $(b_i)_{i \in T} \in \mathbb{R}^T$, we have

$$\begin{aligned} \nu\left(\sum_{i=1}^m a_i v_i + \sum_{i \in T} b_i u_i\right) &\leq 2C\nu\left(\sum_{i=1}^m a_i v_i + \sum_{i \in T} b_i e_i\right) + \nu\left(\sum_{i \in T} b_i (u_i - P(e_i))\right) \\ &\leq 3C\nu\left(\sum_{i=1}^m a_i v_i + \sum_{i \in T} b_i e_i\right). \end{aligned}$$

Thus, the linear mapping $O : \text{span}(\{v_1, \dots, v_m\} \cup \{e_1, \dots, e_l\}) \rightarrow \text{span}\{v_1, \dots, v_m\}$ given by $v_i \mapsto v_i, i \leq m$, and $e_i \mapsto u_i, i \in T$, is a linear projection, and if we put $u_i := O(e_i) \in V$ for every $i \in \{1, \dots, l\}$, we see that $\Psi(\nu, 3C, l, v_1, \dots, v_m)$ holds and the formula in (b) is satisfied with $K = 3C$. □

Theorem 5.13.

- (a) *The class of spaces which have LBS is a Σ_4^0 -set in \mathcal{B} .*
- (b) *The class of spaces which have LPIBS is a Σ_6^0 -set in \mathcal{B} .*

Proof. This follows from Lemma 5.12 because the conditions given by formulas Φ and Ψ are obviously closed and F_σ , respectively. □

6. Open questions and remarks

In Theorem 2.10, we proved that ℓ_2 is the unique separable infinite-dimensional Banach space (up to isomorphism) whose isomorphism class is an F_σ -set. Following [33], we say that a separable infinite-dimensional Banach space X is *determined by its finite dimensional subspaces* if it is isomorphic to every separable Banach space Y , which is finitely crudely representable in X and for which X is finitely crudely representable in Y . Note that ℓ_2 is determined by its finite dimensional subspaces and that if a separable infinite-dimensional Banach space is determined by its finite dimensional subspaces, then it is obviously determined by its pavings and so, by Theorem 2.12, its isomorphism class is $G_{\delta\sigma}$. Johnson et al. conjectured (see [33, Conjecture 7.3]) that ℓ_2 is the unique, up to isomorphism, separable infinite-dimensional Banach space which is determined by its finite dimensional subspaces. We believe that Theorem 2.10 could be instrumental for proving this conjecture, since it follows from this theorem that the conjecture is equivalent to the positive answer to the following question. We thank Gilles Godefroy who suggested to us that there might be a relation between having F_σ isomorphism class and being determined by finite dimensional subspaces.

Question 1. Let X be a separable infinite-dimensional Banach space determined by its finite dimensional subspaces. Is $\langle X \rangle_{\simeq}$ an F_σ -set in \mathcal{B} ?

It would be interesting to know whether there is a separable infinite-dimensional Banach space X , such that $\langle X \rangle_{\simeq}$ is a G_δ -set in \mathcal{B} or in \mathcal{P}_∞ . Note that the only possible candidate is the Gurariĭ space (see Section 2.1 for more details). One of the possible strategies to answer Question 2 in negative for \mathcal{P}_∞ would be to find an admissible topology τ on

$SB(X)$, such that $\langle \mathbb{G} \rangle_{\simeq}$ is a dense and meagre set in $(SB(X), \tau)$. However, we do not even know whether $\langle \mathbb{G} \rangle_{\simeq}$ is Borel.

Question 2. Is $\langle \mathbb{G} \rangle_{\simeq}$ a G_δ -set in \mathcal{P}_∞ or in \mathcal{B} ? Is it at least Borel?

Solving the homogeneous Banach space problem, Komorowski and Tomczak-Jaegermann ([35]), and Gowers ([29]) proved that if a separable infinite-dimensional Banach space is isomorphic to all of its closed infinite-dimensional subspaces, then it is isomorphic to ℓ_2 . It seems that the isometric variant of this result is open; that is, whether ℓ_2 is the only (up to isometry) separable infinite-dimensional Banach space that is isometric to all of its infinite-dimensional closed subspaces. We note that any Banach space satisfying this criterion must be, by the Gowers's result, isomorphic to ℓ_2 . Our initial interest in this problem was that we observed that a positive answer implies that whenever $\langle X \rangle_{\equiv}$ is closed in \mathcal{P}_∞ , then $X \equiv \ell_2$. Eventually, we found another argument (see Section 2.1), but the question is clearly of independent interest.

Question 3. Let X be a separable infinite-dimensional Banach space which is isometric to all of its closed infinite-dimensional subspaces. Is then X isometric to ℓ_2 ?

We note here that Question 3 was already attacked by de Rancourt [15], who was able to prove that if X is as above (that is, isometric to all of its closed infinite-dimensional subspaces), then, for every $\varepsilon > 0$, X admits a $(1 + \varepsilon)$ -unconditional basis.

In Theorem B, we proved that $\langle \mathbb{G} \rangle_{\equiv}$, respectively, $\langle L_p[0,1] \rangle_{\equiv}$, for $p \in [1, \infty)$, are G_δ -sets; we even proved that they are dense G_δ -sets in \mathcal{P}_∞ , respectively, in $\mathcal{L}_{p,1+} \cap \mathcal{P}_\infty$. Coincidentally, all these spaces are Fraïssé limits (we refer to [18, Proposition 3.7] for this statement about $L_p[0,1]$). According to [18], no other examples of separable Banach spaces which are Fraïssé limits seem to be known. We remark that a characterization of separable Banach spaces with G_δ isometry classes has been obtained in [13], where some new examples are presented.

It also follows that for $1 \leq p < \infty$, $L_p[0,1]$ is a generic $\mathcal{L}_{p,1+}$ -space. On the other hand, by Corollary 2.9, for $p \in [1, 2) \cup (2, \infty)$, $L_p[0,1]$ is not a generic QSL_p -space. For $p = 2$, ℓ_2 is obviously the generic QSL_2 -space, and since QSL_1 -spaces coincide with the class of all Banach spaces, for $p = 1$, \mathbb{G} is the generic QSL_1 -space. This leaves open the next question.

Question 4. For $p \in (1, 2) \cup (2, \infty)$, does there exist a generic QSL_p -space in \mathcal{B} or \mathcal{P}_∞ ?

In Theorem 5.3, we have computed that the class of superreflexive spaces is an $F_{\sigma\delta}$ -set. It is easy to check that the class of superreflexive spaces is dense in \mathcal{P}_∞ and \mathcal{B} , so it cannot be a G_δ -set, as then this class would have a nonempty intersection with the isometry class of \mathbb{G} which is not superreflexive. However, the following is not known to us.

Question 5. Is the class of all superreflexive spaces $F_{\sigma\delta}$ -complete in \mathcal{P}_∞ or \mathcal{B} ?

Taking into account that spaces with a summable Szlenk index form a class of spaces which is a $\Sigma_{\omega+2}^0$ -set, see Proposition 5.8, the following seems to be an interesting problem.

Question 6. Is the set $\{\mu \in \mathcal{P}_\infty : X_\mu \text{ has a summable Szlenk index}\}$ of a finite Borel class?

Even though we do not formulate it as a numbered question, a natural project to consider is to determine at least upper bounds for isometry classes of other (classical or less classical) separable infinite-dimensional Banach spaces, such as $C[0,1]$, $C([0,\alpha])$ with α countable ordinal, Orlicz sequence spaces, Orlicz function spaces, spaces of absolutely continuous functions, Tsirelson's space, etc.

Kechris in [34, page 189] mentions that there are not known any natural examples of Borel sets from topology or analysis that are $\mathbf{\Pi}_\xi^0$ or $\mathbf{\Sigma}_\xi^0$, for $\xi \geq 5$, and not of lower complexity. We think that the area of research investigated in this paper is a good one to find such examples.

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