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# On a Conjecture of Goresky, Kottwitz and MacPherson

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*Abstract.* We settle a conjecture of Goresky, Kottwitz and MacPherson related to Koszul duality, *i.e.*, to the correspondence between differential graded modules over the exterior algebra and those over the symmetric algebra.

We show that a variant of Conjecture (13.9) in [GKM] holds whereas the original form of the conjecture does not. We assume that the reader is familiar with [GKM] and we apply the notation used therein.

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## 1 The Minimal Hirsch-Brown Model

We begin by recalling some results of [AP, Appendix B] concerning the so-called minimal Hirsch-Brown model of the Borel construction. We concentrate on the case where the connected, graded commutative algebra called *R* in [AP] is a polynomial ring *S* in finitely many variables  $\xi_i$ , i = 1, ..., r of even degrees  $|\xi_i|$  over a field *k*.

Let  $\tilde{K} = S \otimes K$  be a "twisted tensor product", *i.e.*, *K* here is a graded *k*-vector space and  $\tilde{K}$  a differential graded *S*-module, which is equal to  $S \otimes K$  as an *S*-module (disregarding the differential); in other words,  $\tilde{K}$  is a differential graded *S*-module, which is free as an *S*-module (the differential on *S* is trivial). The *S*-linear differential  $\delta$  of  $S \otimes K$  induces a *k*-linear differential  $\delta_1 = id_k \otimes_S \tilde{\delta}$  on *K*, where *k* is considered an *S*-module via the standard augmentation  $\epsilon: S \to k$ . The differential graded *S*-module ( $\tilde{K}, \tilde{\delta}$ ) can be viewed as obtained from the differential graded *k*-module ( $K, \delta_1$ ) by "twisting" the differential of the usual tensor product  $S \otimes K$  with respect to the "parameter space" *S* (*cf.* [AP]).

**Proposition 1.1** Let  $\tilde{f}: \tilde{K} \to \tilde{L}$  be a morphism of twisted tensor products. Assume that  $\tilde{K}$  and  $\tilde{L}$  are bounded from below. Then the following statements are equivalent:

- ( $\tilde{a}$ )  $\tilde{f}$  is a homotopy equivalence in the category  $\delta gS$ -Mod of differential graded S-modules
- (b) H(f) is an isomorphism
- (a)  $f := id_k \otimes_S \tilde{f} : k \otimes_S (S \otimes K) \cong K \to L \cong k \otimes_S (S \otimes L)$  is a homotopy equivalence in the category  $\delta gk$ -Mod of differential graded k-modules
- (b) H(f) is an isomorphism.

For the proof of this proposition *cf.* [AP, (B.2)], in particular (B.2.1) and (B.2.2).

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Since the differential  $\tilde{\delta}$  of a twisted tensor product  $\tilde{K} = S \otimes K$  is S-linear, it is deter-

mined by the *k*-linear restriction  $\tilde{\delta}|_K : K \cong 1 \otimes K \subset S \otimes K \to S \otimes K$ . The map  $\tilde{\delta}|_K$  corresponds to a family of *k*-linear maps  $\{\delta_s : K^q \to K^{q+1-|s|}, s = \xi_1^{n_1} \cdots \xi_r^{n_r}, |s| = \sum_{i=1}^r n_i |\xi_i|\}, i.e., \tilde{\delta}(1 \otimes x) = \tilde{\delta}|_K(x) = \sum_s s \otimes \delta_s(x)$  for  $x \in K$ , where the sum is taken over the set  $\mathcal{M}(S)$  of all monomials in *S*.

The property  $\tilde{\delta} \circ \tilde{\delta} \equiv 0$  corresponds to a family of relations

$$\sum_{s=s's''}\delta_{s'}\circ\delta_{s''}\equiv 0 \quad ext{for all } s\in \mathcal{M}(S),$$

where the sum for a fixed  $s \in \mathcal{M}(S)$  is taken over all product decompositions of *s* into two factors, in particular

$$\delta_{1} \circ \delta_{1} \equiv \mathbf{0} \quad (\mathbf{1} \in \mathcal{M}(S))$$
$$\delta_{1} \circ \delta_{\xi_{i}} + \delta_{\xi_{i}} \circ \delta_{1} \equiv \mathbf{0} \quad (\xi_{i} \in \mathcal{M}(S))$$

Let *H*(*K*) denote the homology of *K* with respect to the differential  $\delta_1 = id_k \otimes_S \tilde{\delta}$ .

**Proposition 1.2** Let  $\tilde{K} = S \otimes K$  be a twisted tensor product, bounded from below. There exists a differential  $\tilde{\delta}^H$  on  $S \otimes H(K)$ , which gives a twisted tensor product structure  $S \otimes H(K)$ , called minimal Hirsch-Brown model, such that  $\mathrm{id}_k \otimes_S \tilde{\delta}^H \equiv 0$ , and  $S \otimes K$  and  $S \otimes H(K)$ are homotopy equivalent in  $\delta gS$ -Mod. By these properties  $S \otimes H(K)$  is uniquely determined up to isomorphism in  $\delta gS$ -Mod.

The differential  $\tilde{\delta}^H$  can be given by

$$ilde{\delta}^{H}(1\otimes [x]) = \sum_{i=1}^{r} \xi_{i} \otimes [\delta_{\xi_{i}}(x)] + \sum_{\ell(s)\geq 2} s \otimes \delta_{s}^{H}[x]$$

where [ ] denotes the class in H(K) of a cycle in K with respect to the differential  $\delta_1$ ;  $\ell(s)$ denotes the length of  $s \in \mathcal{M}(S)$  as a product.

The proof of Proposition 1.2 is essentially given in [AP, pp. 451-454], in particular (B.2.4), Exercise (B.7).

The Exercise (B.7), *i.e.*, the uniqueness of the minimal Hirsch-Brown model up to isomorphism, is easily proved using the fact that the functor  $k \otimes_S -: \delta gS$ -Mod  $\rightarrow \delta gk$ -Mod preserves homotopies, and that a morphism  $\tilde{f}: S \otimes K \to S \otimes L$  is an isomorphism if (and only if)  $f := \operatorname{id}_k \otimes_S \tilde{f}: K \to L$  is an isomorphism (*cf.* [AP, (A.7.3)]). In [AP] the above expression for  $\delta^H(1 \otimes [x])$  is verified only in case r = 1, but the argument for the general case is analogous.

**Remark 1.3** The differential  $\tilde{\delta}^H$  of  $S \in H(K)$  corresponds to a family of "cohomology" operations"

$$\{\delta^H_s\colon H^q(K)\to H^{q+1-|s|}(K), s\in \mathcal{M}(S)\}.$$

The property  $\tilde{\delta}^H \circ \tilde{\delta}^H \equiv 0$  corresponds to

$$\sum_{s=s's''}\delta^H_{s'}\circ\delta^H_{s''}=0\quad ext{for all }s\in\mathcal{M}(S).$$

Since  $\delta_1^H \equiv 0$  one has in particular

$$\delta^{H}_{\xi_{i}} \circ \delta^{H}_{\xi_{i}} + \delta^{H}_{\xi_{i}} \circ \delta^{H}_{\xi_{i}} \equiv 0 \quad \text{for } i, j = 1 \dots r$$

Since the minimal Hirsch-Brown model is only unique up to isomorphism in  $\delta gS$ -Mod, the family of cohomology operations  $\{\delta_s^H, s \in \mathcal{M}(S)\}$  is only unique up to the corresponding equivalence. Clearly H(K) together with  $\{\delta_s^H, s \in \mathcal{M}(S)\}$  determine  $S \otimes H(K)$  up to isomorphism in  $\delta gS$ -Mod and hence, by Proposition 1.2,  $S \otimes K$  up to homotopy equivalence.

We can now apply the above results to obtain a variant of Conjecture (13.9) in [GKM]. Using the notation of [GKM], let  $N \in D_+(\Lambda_{\bullet})$ , where  $D_+(\Lambda_{\bullet})$  is the derived (with respect to quasi-isomorphism) category of the homotopy category of differential graded  $\Lambda_{\bullet}$ -modules, which are bounded from below;  $\Lambda_{\bullet}$  is the exterior algebra on a graded vector space  $P = \bigoplus_{i>0} P_i$  with  $P_{2i} = 0$  for all j.

By the Koszul duality theorem (8.4) in [GKM] there are equivalences of categories  $t: D_+(\Lambda_{\bullet}) \to D_+(S)$ ,  $h: D_+(S) \to D_+(\Lambda_{\bullet})$  which are quasi-inverse to each other;  $D_+(S)$  is the derived category of the homotopy category of differential graded *S*-modules, bounded from below.

In [GKM, (8.3)], t(N) is defined as a twisted tensor product  $S \otimes N$  with differential

$$d(s\otimes n)=s\otimes d_Nn+\sum_{i=1}^r\xi_is\otimes\lambda_in$$

where  $\lambda_1, \ldots, \lambda_r$  generate  $\Lambda_{\bullet}$  and  $\xi_1, \ldots, \xi_r$  generate *S*.

By Proposition 1.2, t(N) is equivalent to its minimal Hirsch-Brown model in  $\delta gS$ -Mod, which is in turn determined (up to isomorphism) by H(N) and the family  $\{\delta_s^H, s \in \mathcal{M}(S)\}$ ; in particular,  $t(N) \cong S \otimes H(N)$  in  $D_+(S)$ . The maps  $\delta_{\xi_i}^H \colon H(N) \to H(N), i = 1, ..., r$ coincide with the action induced by  $\lambda_i \colon N \to N$  in cohomology. Since *h* is quasi-inverse to *t*, one has  $N \cong h \circ t(N)$  in  $D_+(\Lambda_{\bullet})$ . Hence one gets the following corollary.

**Corollary 1.4**  $N \in D_+(\Lambda_{\bullet})$  is determined by H(N) and  $\{\delta_s^H, s \in \mathcal{M}(S)\}$ .

Let  $K_{+}^{fr}(S)$  denote the homotopy category of differential graded *S*-modules, which are bounded from below and free over *S*. Let  $M_1$  and  $M_2$  be objects in  $K_{+}^{fr}(S)$ . The group  $\operatorname{Hom}_{D_+(S)}(M_1, M_2)$  is defined as the direct limit of the system { $\operatorname{Hom}_{K_+(S)}(M'_1, M_2)$ ; f:  $M'_1 \to M_1$  quasi-isomorphism in  $K_+(S)$ }. For any  $M'_1$  in  $K_+(S)$  the map  $\Theta$ :  $th(M'_1) \to M'_1$ (see [GKM, (16.6)]) is a quasi-isomorphism and  $M''_1 := th(M'_1)$  is in  $K_{+}^{fr}(S)$ . Therefore the above limit can be restricted to the cofinal subsystem, where  $M'_1$  is in  $K_{+}^{fr}(S)$ . By Proposition 1.1 any quasi-isomorphism in  $K_{+}^{fr}(S)$  is an isomorphism in  $K_+(S)$ . Hence  $\operatorname{Hom}_{K_+(S)}(M'_1, M_2) \cong \operatorname{Hom}_{K_+(S)}(M_1, M_2)$  and taking the direct limit gives:

$$\operatorname{Hom}_{K_{+}^{\operatorname{fr}}(S)}(M_{1}, M_{2}) \cong \operatorname{Hom}_{D_{+}(S)}(M_{1}M_{2}).$$

One therefore gets the following proposition.

**Proposition 1.5** The canonical functor  $i: K_{+}^{fr}(S) \to K_{+}(S)$  induces an equivalence of categories  $\overline{i}: K_{+}^{fr}(S) \to D_{+}(S)$ .

Let  $H^{\text{fr}}_+(S)$  denote the homotopy category of differential graded *S*-modules, which are bounded from below, free over *S* and minimal in the sense that  $\mathrm{id}_k \otimes_S d_M \equiv 0$  for *M* in  $H^{\text{fr}}_+(S)$ . Then Proposition 1.2 implies that the canonical functor  $m: H^{\text{fr}}_+(S) \to K^{\text{fr}}_+(S)$  is an equivalence. As a consequence one has

**Corollary 1.6** All functors in the following diagram are equivalences of triangulated categories.

$$\begin{array}{cccc} D_+(\Lambda_{\bullet}) & \stackrel{t'}{\longrightarrow} & K^{\mathrm{fr}}_+(S) & \stackrel{\bar{\imath}}{\longrightarrow} & D_+(S) \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & & \\$$

with  $\overline{i}t' = t$ .

**Remark 1.7** Koszul duality can be viewed as an algebraic analogue of the following topological situation (*cf. e.g.* [tD, I.8], in particular (8.18)). Let hGTop be the homotopy category of *G*-spaces and *G*-maps, *G* a topological group, hG<sup>fr</sup> Top the full subcategory, whose objects are numerable free *G*-spaces; let hTop<sub>BG</sub> the homotopy category of spaces and maps over BG and hFib<sub>BG</sub> the full subcategory of numerable fibre bundles over BG. Let *i*: hG<sup>fr</sup> Top  $\rightarrow$  hGTop and *j*: hFib<sub>BG</sub>  $\rightarrow$  hTop<sub>BG</sub> be the canonical inclusion functors. Define *t'*: hGTop  $\rightarrow$  hFib<sub>BG</sub> by the Borel construction, *i.e.*, *t'*(*X*) := {*X*×<sub>*G*</sub> EG  $\rightarrow$  BG}, and *h'*: hTop<sub>BG</sub>  $\rightarrow$  hG<sup>fr</sup> Top by *h'*({*Y*  $\rightarrow$  BG}) := *Y*×<sub>BG</sub> EG, *i.e.*, the total space of the principal *G*-bundle, classified by {*Y*  $\rightarrow$  BG}.

The composition  $h' \circ j \circ t'$ : hGTop  $\rightarrow$  hG<sup>fr</sup> Top is equivalent to fr: hGTop  $\rightarrow$  hG<sup>fr</sup> Top, where fr(X) :=  $X \times EG$  (with diagonal *G*-action), since

 $(X \times_G \operatorname{EG}) \times_{\operatorname{BG}} \operatorname{EG} \cong X \times \operatorname{EG}$  as *G*-spaces  $([x, e], ge) \to (gx, ge), \quad ([x, e], e) \leftarrow (x, e).$ 

Since fr  $\circ$  *i* is equivalent to the identity (for  $X \in hG^{fr}$  Top,  $pr: X \times EG \to X$ ,  $(x, e) \to x$  is an isomorphism in  $hG^{fr}$  Top) one gets that  $h' \circ j \circ t' \circ i$  is equivalent to the identity on  $hG^{fr}$  Top. In a similar way one gets that  $t' \circ i \circ h' \circ j$  is equivalent to the identity on  $hFib_{BG}$ . (Note that  $\{Y \to BG\} \in hFib_{BG}$  is isomorphic to  $\{(Y \times_{BG} EG) \times_G EG \to BG\}$  in  $hFib_{BG}$ : The *G*-map  $(y, e) \to (y, e, e)$  from  $(Y \times_{BG} EG)$  to  $(Y \times_{BG} EG) \times EG$  induces a homotopy equivalence on the quotients,

$$Y \cong (Y \times_{BG} EG)/G \to (Y \times_{BG} EG) \times_G EG,$$
$$y \leftarrow [y, e] \to [(y, e), e]$$

which is a map over BG; and since  $\{Y \rightarrow BG\}$  and  $\{(Y \times_{BG} EG) \times_G EG \rightarrow BG\}$  fulfil the homotopy lifting property this map is even a homotopy equivalence over BG.)

If DGTop denotes the "derived" category of hGTop, obtained by inverting morphisms  $\alpha: X_1 \to X_2$  such that  $(h' \circ j \circ t')(\alpha)$  are isomorphisms in hG<sup>fr</sup> Top (which is equivalent

to  $\alpha$  being the equivalence class of a *G*-map that is a homotopy equivalence in Top), then *i* induces an equivalence of categories  $\bar{i}$ :  $h\bar{G}^{fr}$  Top  $\rightarrow$  DGTop.

Similarly, if DTop<sub>BC</sub> denotes the "derived" category of hTop<sub>BC</sub> obtained by inverting morphisms (over BG)  $\beta: Y_1 \to Y_2$  such that  $(t' \circ i \circ h')(\beta)$  are isomorphisms in hFib<sub>BG</sub> (which is equivalent to  $\beta$  being the equivalence class of a map over BG that is a homotopy equivalence in Top), then *j* induces an equivalence of categories  $\bar{j}$ : hFib<sub>BG</sub>  $\rightarrow$  DTop<sub>BG</sub>.

Altogether one has the following diagram

The functors  $\bar{i}$ ,  $\bar{j}$  are equivalences;  $h' \circ j \circ t' \circ i$  and  $t' \circ i \circ h' \circ j$  are equivalent to the respective identity functors: so  $(t' \circ i)$  and  $(h' \circ j)$  are quasi-inverse equivalences, which induce quasi-inverse equivalences

 $t: \text{ DGTop} \to \text{DTop}_{BG} \text{ and } h: \text{ DTop}_{BG} \to \text{DGTop}$ .

Work of Eilenberg-Moore and many other mathematicians is concerned with translating the above (and more general topological) situation into an algebraic set up which can be related to Koszul duality (cf. [McC, Chapters 7 and 8] for a comprehensive presentation of this translation and for detailed references).

## 2 Examples

For  $N \in D_+(\Lambda_{\bullet})$  a family of "higher cohomology operations"  $\{\lambda_s\}$  on  $H^*(N)$  is defined in [GKM, Section 13]. They can be considered as the differentials which start at  $E_n^{0,*}$  in the spectral sequence which converges to  $H^*(tN)$  having  $E_2^{*,*} \cong S \otimes H^*(N)$ . This spectral sequence can be obtained by filtering  $tN = S \otimes N$  according to degree in *S*, *i.e.*,  $\mathcal{F}^p(tN)$  is generated by elements  $s \otimes n \in S \otimes N$  with  $|s| \geq p$ . Since  $S \otimes N$  is homotopy equivalent to  $S \otimes H(N)$  one obtains the same spectral sequence by filtering the latter complex in an analogous way.

#### **Proposition 2.1**

- (a) The family {δ<sub>s</sub><sup>H</sup>, s ∈ M(S)} determines the family {λ<sub>s</sub>}.
  (b) {δ<sub>s</sub><sup>H</sup>, s ∈ M(S)} is trivial if and only if {λ<sub>s</sub>} is trivial.

**Proof** Part (a) is immediate since  $\{\delta_s^H, s \in \mathcal{M}(S)\}$  determines the differential in  $S \otimes H(N)$ . For part (b) let

$$ilde{\delta}^{H}ig(1\otimes [\pmb{x}]ig) = \sum_{|\pmb{s}|=\gamma} \pmb{s}\otimes \delta^{H}_{\pmb{s}}ig([\pmb{x}]ig) + \sum_{|\pmb{s}|>\gamma} \pmb{s}\otimes \delta^{H}_{\pmb{s}}ig([\pmb{x}]ig)$$

for  $[x] \in H(K)$ . Then

$$d_n(1 \otimes [\mathbf{x}]) = \begin{cases} 0 & \text{for } n < \gamma \\ \sum_{|s|=\gamma} [s \otimes \delta_s^H([\mathbf{x}])] & \text{for } n = \gamma, \end{cases}$$

with  $1 \otimes [x] \in E_{\gamma}^{o,*} \subseteq \cdots \subseteq E_2^{o,*}$ , where  $[s \otimes \delta_s^H([x])]$  denotes the equivalence class in  $E_{\gamma}^{*,*}$ , *i.e.*,  $\lambda_s([\mathbf{x}]) = [\delta_s^H([\mathbf{x}])]$  for  $|\mathbf{s}| = \gamma$ , where  $[\delta_s^H([\mathbf{x}])]$  denotes the equivalence class in the appropriate quotient of H(N), dividing out the indeterminancy of the cohomology operation. Hence part (b) follows.

## Remarks 2.2

- (a) With the notation of Proposition 2.1, if  $\delta_s^H([\mathbf{x}]) \neq 0$  but  $[\delta_s^H([\mathbf{x}])] = 0$  then an operation  $\lambda_{s'}$  with |s'| > |s| may be defined on [x], but may not be determined solely by  $\tilde{\delta}^H(1 \otimes [x])$ ; the values of  $\tilde{\delta}^H$  on other elements could play a role.
- (b) It follows from [CS] that in case r = 1, *i.e.*,  $\Lambda_{\bullet} = \Lambda(\lambda_1)$ ,  $|\lambda_1| = 1$ , all differentials in the above spectral sequence are determined by those starting from  $E_n^{o,*}$ , but this does not hold for r > 1.

*Example 2.3* Let r = 2,  $S = Q[\xi_1, \xi_2]$ ,  $H \neq Q$ -vector space with basis  $\{a, b, u, v\}$ ; |a| = 2, |b| = 4, |u| = |v| = 5. We define two differentials on  $S \otimes H$ , *i.e.*, two objects  $M_1, M_2 \in M_1$  $D_+(S)$ , such that the respective cohomology modules are not isomorphic, but the "higher cohomology operations" in the sense of [GKM, (13.8), (13.9)] coincide. Since, by Koszul duality,  $M_i \in D_+(S)$  can be considered as  $t(N_i)$  for  $N_i \in D_+(\Lambda_{\bullet})$  and  $H(N_i) = H$ , this shows that  $N_i$  is not determined by the  $\Lambda_{\bullet}$ -module  $H(N_i)$  and the collection of higher cohomology operations, in particular, Conjecture (13.9) in its original form, which states that the triangulated category  $D_{+}(\Lambda_{\bullet})$  is equivalent to the category of graded  $\Lambda_{\bullet}$ -modules together with the collection of higher cohomology operations, does not hold.

The non-zero terms of the differential  $\tilde{\delta}|_{H}$  are given by:

- (1)  $\tilde{\delta}(1 \otimes u) = \xi_1 \otimes b; \tilde{\delta}(1 \otimes v) = \xi_2 \otimes b$  for  $M_1$ (2)  $\tilde{\delta}(1 \otimes u) = \xi_1 \otimes b + \xi_1^2 \otimes a; \tilde{\delta}(1 \otimes v) = \xi_2 \otimes b + \xi_2^2 \otimes a$  for  $M_2$ .

 $H^*(M_1)$  and  $H^*(M_2)$  are not isomorphic as graded S-modules, in particular  $M_1$  and  $M_2$ are not isomorphic in  $\delta gS$ -Mod. The differentials in the two spectral sequences which start from  $E_n^{v,*}$  coincide, *i.e.*, the only non-zero differentials of this kind are  $d_2(1 \otimes u) = \xi_1 \otimes b$ and  $d_2(1 \otimes v) = \xi_2 \otimes b$  in both cases. But for  $M_2$  there is another non-zero differential, namely  $d_4([\xi_2 \otimes u - \xi_1 \otimes v]) = [\xi_1^2 \xi_2 \otimes a - \xi_1 \xi_2^2 \otimes a]$ . Note that while  $M_1 = t(H)$  for an appropriate action of  $\Lambda_{\bullet}$  on *H*, it is not possible to get  $M_2$  as t(H) for *H* equipped with a graded  $\Lambda_{\bullet}$ -module structure.

Remark 2.4 In view of Corollary 1.6, the Conjecture (13.9) in [GKM] could be rephrased in the following way. Let  $SP^0$  be the category which has as objects, graded k-vector spaces bounded from below, together with the operations in the sense of [GKM, (13.8) (13.9)] and as morphisms, degree preserving homomorphisms of graded k-vector spaces, which commute with the operations. Then the conjecture is equivalent to the statement: The functor  $\sigma$ :  $K^{\text{fr}}_{+}(S) \to SP^{\circ}$ , which assigns to each differential graded free *S*-module,  $S \otimes N$ , the  $E_2^{0,*}$ -term of the spectral sequence obtained from the degree filtration on S, together with the differentials starting from  $E_n^{o,*}$ , considered as higher cohomology operations on  $E_2^{0,*} \cong H^*(N)$ , is an equivalence of triangulated categories.

Example 2.3 shows that the conjecture fails for r > 1 already because  $\sigma(S \otimes N)$  does not completely determine the spectral sequence (nor the cohomology  $H^*(S \otimes N)$ ). For

r = 1, though, it is true that  $\sigma(S \otimes N)$  determines  $S \otimes N$  (up to isomorphism in  $K_{+}^{fr}(S)$ ). In fact, in this case:

- (i)  $\sigma(S \otimes N)$  determines the spectral sequence completely (*cf.* Remark 2.2(b)),
- (ii) there is no extension problem in calculating  $H^*(S \otimes N)$ , as an *S*-module, from the  $E_{\infty}$ -term of the spectral sequence,
- (iii)  $H^*(S \otimes N)$  determines  $S \otimes N$  up to homotopy equivalence of differential graded *S*-modules, *i.e.*, up to isomorphism in  $K_+^{\text{fr}}(S)$ , since—for r = 1—*S* is a PID.

Yet Conjecture (13.9) in its original form fails even in case r = 1: The functor  $\sigma$  is not faithful (for any  $r \ge 1$ ) (s. Example 2.5 below).

**Example 2.5** Let  $N_1$  and  $N_2$  be differential graded  $\Lambda_{\bullet}$ -modules generated, as *k*-vector spaces, by  $n_1, |n_1| = 0$ , and  $n_2, |n_2| = 2$ , respectively. (Hence the  $\Lambda_{\bullet}$ -structures and the differentials on  $N_1$  and  $N_2$  are trivial.) Then  $t(N_1) = S \otimes N_1$  and  $t(N_2) = S \otimes N_2$  have trivial differentials, too. So  $\sigma(t(N_1)) = N_1$  and  $\sigma(t(N_2)) = N_2$ , and the cohomology operations are trivial. Already for degree reasons there is only the trivial morphism from  $\sigma(t(N_2))$  to  $\sigma(t(N_1))$  in SP°. But  $n_2 \mapsto \xi \otimes n_1$  extends to a morphism  $f: t(N_2) \to t(N_1)$ , which is non trivial in  $K_+^{\text{fr}}(S)$ . Hence  $\sigma$  is not faithful. Note that although there is only the trivial morphism from  $N_2$  to  $N_1$  in the homotopy category  $K_+(\Lambda_{\bullet})$ , there are non trivial morphisms in the derived category  $D_+(\Lambda_{\bullet})$ :

$$N_2 \xrightarrow{\Phi_2} ht(N_2) = \operatorname{Hom}_k(\Lambda_{\bullet}, S \otimes N_2) \xrightarrow{h(f)} \operatorname{Hom}_k(\Lambda_{\bullet}, S \otimes N_1) = ht(N_1) \xleftarrow{\Phi_1} N_1$$

where  $\Phi_i := \Phi(N_i)$ , i = 0, 1 (s. [GKM, (16.2)], are morphisms in  $K_+(\Lambda_{\bullet})$ . These morphisms induce isomorphisms in homology (s. [GKM, (16.2) (b)], *i.e.*, they become isomorphisms in the derived category  $D_+(\Lambda_{\bullet})$ .

Of course, there are similar examples for r > 1.

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