

FORMATION OF HUMBOLDTINE DURING THE DISSOLUTION OF HEMATITE IN OXALIC ACID – DENSITY FUNCTIONAL THEORY (DFT) CALCULATIONS AND EXPERIMENTAL VERIFICATION

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Abstract—Understanding the reactions taking place in the hematite-oxalic acid system is important in order to clean iron oxides from filters and to remove iron from mineral concentrates. Previous studies reported the formation of an unwanted solid phase during this process. The objective of the current work, therefore, was to visualize and rationalize the iron dissolution steps taking place in the hematite–oxalic acid reaction by combining density functional theory (DFT) calculations and experimental data. The results of DFT calculations indicated that a precipitate was formed in this reaction; XRD analysis of the solid phase after the dissolution experiment revealed the formation of humboldtine as the precipitate. The attachment of oxalate on the hematite surface and the reduction of Fe(III) to Fe(II) were key steps for humboldtine formation. Both simulations and the experimental results showed that greater oxalic acid concentrations yielded more precipitate, suggesting a simple and novel route to synthesize humboldtine, a material which is relevant to the demand for clean energy.

Keywords-Density Functional Theory · Hematite · Humboldtine · Iron dissolution · Oxalates · XRD analysis

INTRODUCTION

Reactions at a solid-liquid interphase play a vital role in understanding a dissolution phenomenon. Recent research efforts have helped to improve dissolution-based processes such as the regeneration of ceramic filter media (Salmimies et al., 2013; Smith et al., 2018) and leaching iron from clays (Mandal & Banerjee, 2004). Sulfuric and nitric acid are commonly used leaching agents for iron oxides, but more effective dissolution can be achieved using a solution that contains complexing ligands. Complexing agents such as ethylenediaminetetraacetic acid (EDTA), trisodium nitrilotriacetate (NTA), hydroxyethylethylenediaminetriacetic acid (HEDTA), pentetic acid (DTPA), and methanesulfonic acid (MSA) have been used to enhance the dissolution of iron oxides (Chang & Matijevic, 1983; Blesa et al., 1984; Wang et al., 2017). Among these ligands, oxalic acid has also received a lot of attention as it can dissociate into strong complexing oxalate ions for the dissolution (Cornell & Schindler, 1987; Panias et al., 1996; Lee et al., 2007; Salmimies et al., 2016). Oxalic acid was chosen for the present study because it is environmentally friendly. Moreover, it can also dissolve aluminum and manganese, which are often

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also present in clay minerals (Rennert et al., 2021).

The reaction scheme for dissolution of hematite in oxalic acid can be described via Eqs. 1–10 (Stumm & Furrer, 1987; Siffert & Sulzenberger, 1991; Panias et al., 1996).

Dissociation of oxalic acid:

$$H_2C_2O_4 \leftrightarrow HC_2O_4^- + H^+$$
 (1)

$$\mathrm{HC}_{2}\mathrm{O}_{4}^{-}\leftrightarrow\mathrm{C}_{2}\mathrm{O}_{4}^{2-}+\mathrm{H}^{+} \tag{2}$$

Surface protonation:

$$> Fe(III)-O + H^+ \leftrightarrow > Fe(III)-OH^+$$
 (3)

Surface complexation:

$$> \operatorname{Fe}(\operatorname{III}) - \operatorname{OH}^{+} + \operatorname{L}^{n-} + \operatorname{H}^{+} \leftrightarrow [> \operatorname{Fe}(\operatorname{III}) - \operatorname{L}]^{(n-2)^{-}} + \operatorname{H}_{2}\operatorname{O}$$

$$(4)$$

Electron transfer from ox to Fe(III) and detachment of Fe(II)

$$[> Fe(III)-ox] \leftrightarrow [> Fe(II)-ox]$$
(5)

$$2[Fe(II)-ox] + 2H^{+} \rightarrow 2Fe_{(aq)}^{2+} + 2CO_{2} + ox + 2 > H (6)$$

Autocatalytic dissolution:

$$[> Fe(III)-ox] + [Fe^{2+}-ox]_{(aq)} \rightarrow$$
$$> Fe(III)-ox...Fe^{2+}-ox$$
(7)

> Fe(III)-ox...Fe²⁺-ox
$$\rightarrow$$

> Fe(II)-ox...Fe³⁺-ox (8)

> Fe(II)-ox...Fe³⁺-ox
$$\rightarrow$$

> Fe(II)-ox + [Fe³⁺ox]_(aq) (9)

$$> Fe(II) - ox \rightarrow [Fe^{2+} - ox]_{(aq)}$$
 (10)

Symbol '>' describes the solid surface, L is the ligand, II or III is the oxidation state of iron in the solid phase, 2+ or 3+ is the oxidation state of iron in the liquid, '...' is the adsorbed species on the solid surface, and ox refers to species formed from oxalic acid, i.e. $HC_2O_4^-$ or $C_2O_4^-$

In previous studies (Lee et al., 2007; Salmimies et al., 2016), the formation of a solid precipitate was observed during the dissolution of hematite in oxalic acid. This reaction product, however, was not considered in the original reaction scheme (Eqs. 1–10). Often, solid precipitation is a drawback that hinders the dissolution process, blocks the filter media, or reduces the whiteness of the clay mineral, which cannot then be used again as a raw material in the ceramics industry. This precipitate is humboldtine, named after Friedrich Wilhelm Heinrich Alexander von Humboldt (1769–1859), naturalist, explorer, and scientist. The formation of humboldtine can be described by Eq. 11, which can take place after the reduction of surface Fe(III) to Fe(II) (Eq. 5).

$$\operatorname{Fe}(\mathrm{II}) + \operatorname{C}_{2}\operatorname{O}_{4}^{2^{-}} + 2 \cdot \operatorname{H}_{2}\operatorname{O} \rightarrow \operatorname{Fe}(\mathrm{II})(\operatorname{C}_{2}\operatorname{O}_{4}) \cdot 2\operatorname{H}_{2}\operatorname{O}_{(s)}$$
(11)

The thermal decomposition of humboldtine reverses this reaction by restoring both magnetite (Angermann & Töpfer, 2008) and hematite (Ogasawara & Koga, 2014). Such thermal decomposition may also occur on Mars (Applin et al., 2015). The formation of humboldtine is of great interest because, though it can have drawbacks in terms of dissolution, its use has recently been demonstrated for high conductivity (Yamada et al., 2009; Huskić et al., 2016; Yao et al., 2019) and for photocatalysis (Fan et al., 2016), and thus its facile synthesis has become a relevant research topic (Müller et al., 2021). The conditions under which humboldtine is formed in nature remain unknown (Robinson, 2004), but formation has been observed when pyrite rocks are in contact with oxalic acid (Green, 2004). Moreover, the formation of humboldtine has also been associated with oxalic acid produced by microorganisms near iron surfaces (Aramendia et al., 2015). Clearly,

the formation of humboldtine in nature is dependent on the iron composition of the rock substrate (Gadd et al., 2014). Very often humboldtine is synthesized via several steps (Müller et al., 2021) whereas the formation of humboldtine via dissolution-precipitation reaction under mild conditions (at the temperature of 35°C) could offer a simpler route. Understanding the mechanism causing humboldtine formation under dissolution conditions is, therefore, important. The reaction steps shown in Eqs. 1–10 are, thus, hypothetical. DFT calculations offer a unique window to visualize the actual mechanisms taking place during the dissolution, and such calculations have already been deployed successfully to study the hematite surface (Huang et al., 2016; Si et al., 2020) and a hematite and benzene system (Dzade et al., 2014).

The aim of the current study, therefore, was to examine the conditions and mechanisms leading to the formation of humboldtine via dissolution of hematite in oxalic acid, with emphasis on the DFT calculations combined with experimental verification. A further objective was to verify the formation of humboldtine in the specific acid system being studied here. The hypothesis was that the DFT calculations would define the actual dissolution mechanisms taking place in the system and provide understanding of the adsorption, electron-transfer, and precipitation processes.

MATERIALS AND METHODS

Computational Methodology

 α -Fe₂O₃ with lattice parameters a = b = 5.036 Å, c = 13.75 Å, $\alpha = \beta = 90^\circ$, and $\gamma = 120^\circ$ was used here, consistent with previous experimental work (Blake et al., 1966). The (0001) surface with a 2×2 supercell and the nine-layer periodic slab model was constructed. A >12 Å vacuum length was set in the z direction to avoid interactions between the periodic images. The surface was optimized with the atoms on the uppermost four layers allowed to relax. The adsorption energies (E_{ads}) were calculated with the equation: $E_{ads} = E_{complex} - (E_{molecule} + E_{surface})$, where $E_{complex}$, E_{molecule} , and E_{surface} represent the total energy of the complex after adsorption, the energy of the free molecule (e.g. H2C2O4, H_2O), and energy of the free surface, respectively. The free molecules were also optimized with the same parameters as used for the surface. The Bader charges for all configurations were calculated for the analysis of the electron-transfer processes (Henkelman et al., 2006). In the Bader charge-analysis scheme, the simulation system was partitioned into different volumes by the surfaces of minimum electronic density. The electronic density within one volume is then assigned to the atom in that volume. The Bader charge analysis scheme is a powerful tool which allows the change in the atomic charges during the reaction to be analyzed. Furthermore, the oxidation state-determination method to examine the changes in the oxidation states of Fe during the reaction (Sit et al., 2011) was also adopted. In this method, a 5×5 occupation matrix was constructed for each electron spin by projecting the Kohn-Sham wave functions from the DFT calculations onto the atomic d orbitals of the transition metal ion. The eigenvalues of the matrix are called the occupation numbers. As shown earlier (Sit et al., 2011), the occupation numbers close to

unity correspond to the d electrons belonging to the ion. The oxidation state can then be assigned with knowledge of the number of d electrons in the ion. The method has been applied successfully to study the oxidation states of transition metal ions in various problems (Sit et al., 2012; Majumdar et al., 2017; Wei et al., 2017; Ku and Sit, 2019; Ricca et al., 2019; Wang et al., 2019; Jensen et al., 2020). Further details of this method can be found in Sit et al. (2011). All the DFT calculations were performed using the PWscf module of the Quantum Espresso (QE) package (Giannozzi et al., 2009). The generalized gradient approximation (GGA) proposed by Perdew, Burke, and Ernzerh (PBE) was used to describe the exchange correlation energy (Perdew et al., 1996). The ultrasoft pseudopotentials were used to simulate electron-ionic core interactions (Vanderbilt, 1990). The van der Waals interaction correction with the DFT-D2 scheme proposed by Grimme (2006) was used to simulate the weak interactions. The cutoff energies were set equally for all the calculations, which were 30 Rydberg (408 eV) and 240 Rydberg (3265 eV) for the wavefunctions and the augmented part of the charge density, respectively. The 2×2×1 Monkhorst-Pack k-point mesh was found to be adequate in this study after testing. Spinpolarized calculations were carried out for the systems involving α -Fe₂O₃ because α -Fe₂O₃ has unpaired electrons. Also, the Hubbard U value was set to 5 eV to consider accurately the strongly correlated d electrons (Dzade et al., 2014). Constrained optimization simulations were carried out to calculate the activation barrier of the C–C bond of the $H_2C_2O_4$.

Materials

Analytical-grade oxalic dihydrate powder from Merck (99%, Darmstadt, Germany) and Millipore-water were used to prepare 0.33 M oxalic acid solution for the dissolution experiment. The solid synthetic hematite powder was from Alfa Aesar (98%, Kandel, Germany). Analytical-grade, concentrated nitric acid from Merck (65%, Darmstadt, Germany) was used for the preparation of the nitric acid solution for the Fe concentration analysis.

Dissolution Experiment

The dissolution experiment followed a similar set-up as in the previous studies of the authors (Salmimies et al., 2016; Vehmaanperä et al., 2021). The experiment was done in a 1 L water-jacketed glass reactor, which was equipped with a Lauda Proline RP855 thermostat to keep the reaction temperature constant. The system was equilibrated with air and the experiment was done under light. A pitched-blade turbine with a mixing speed of 800 rpm and four baffles ensured effective mixing. The experiment was run in the following manner: (1) oxalic acid solution was heated to 35°C; (2) 12 g of hematite powder was added to the reactor; and (3) mixing was switched on. Solution samples were collected from the reactor with a syringe, filtered using a 0.22 µm syringe filter, and diluted with 14 wt.% nitric acid to avoid any changes in the samples before analysis.

The slurry was collected after the experiment and filtered using a Büchner funnel equipped with a Whatman® 42 filter paper. After this, the solids were dried in an oven at 40°C. According to Schwertmann & Cornell (2003), this temperature is low enough to avoid any phase modification or transformation caused by oven drying. After drying, the solids were ground gently in a mortar before XRD and SEM-EDS analysis.

Equilibrium was reached within 413 h, but the solubility data are not reported here because the present investigation was of the formation of humboldtine using DFT with experimental verification. Experimental data and discussion of the kinetics and equilibrium of dissolution of iron oxides collected with the described set-up have been reported elsewhere (Salmimies et al., 2016; Vehmaanperä et al. 2021).

Analysis

The pH of solutions from the reactor was measured using a WTW pH 401 i-meter with a WTW SenTix 41 electrode (Xylem Analytics, Weinheim, Germany). The pH ranged from 0.8 to 1.0 during the dissolution, which was favorable for the formation of humboldtine (Lee et al., 2007). The concentration of total dissolved Fe was determined using a flame atomic absorption spectrometer (Thermo Scientific iCE 3000 AAS with a detection limit of 0.02 mg/L for Fe, China).

The mineralogical composition of the residual solid was analyzed using X-ray diffraction (XRD, Bruker D8 Focus with CuK α radiation, Karlsruhe, Germany). The XRD data were recorded from 10 to 70°2 θ with a step size of 0.02°2 θ and a scanning speed of 0.02°2 θ s⁻¹. The phase identification was done using the *DIFFRAC.EVA* software and the PDF 4 database. A scanning electron microscope (SEM, Hitachi SU 3500 with Thermo Scientific, UltraDry SDD EDS, Madison, Wisconsin, USA) was used to estimate the elemental composition and morphology of the solids.

RESULTS

DFT Calculations

As in the paper by Dzade et al. (2014), the present study considered the (0001) surface of hematite because it is the dominant surface obtained under most conditions (Parkinson, 2016). The adsorption of oxalic acid onto the Fe_2O_3 surface and the charge transfer were studied using Bader charge analysis and oxidation state determination. For oxalic acid adsorption, spontaneous proton transfer from the oxalic acid to the surface took place. Therefore, only stable structures for singly de-protonated and oxalate adsorption could be obtained. For both cases, electron transfer from the molecule to the surface was observed (Table 1), suggesting that the molecule was oxidized partially upon adsorption. Oxalate adsorption was stronger than singly de-protonated adsorption, and it also showed a larger electron transfer.

A main objective of the DFT study was to understand the adsorption and the electron-transfer processes. In the charge analysis, although oxalate was oxidized, the reduction of the surface Fe was not very significant. A possible reason is that the electron from the oxalate was shared by the whole Fe_2O_3

Table 1 Bader charges of the adsorbed singly de-protonated $(HC_2O_4^{-})$ and doubly de-protonated $(C_2O_4^{-2})$ species and the top-surface Fe with the Hubbard U of 5 eV

	Before adsorption	After adsorption		
HC ₂ O ₄ ⁻	-1	-0.76		
Fe ₍₁₎	1.61	1.62		
Fe(2)	1.61	1.70		
Fe ₍₃₎	1.61	1.70		
Fe ₍₄₎	1.61	1.62		
$C_2 O_4^{2-}$	-2	-1.44		
Fe ₍₁₎	1.61	1.64		
Fe(2)	1.61	1.73		
Fe ₍₃₎ Fe ₍₄₎	1.61	1.73		
Fe ₍₄₎	1.61	1.63		

slab instead of reducing a particular Fe. This fact was also confirmed by Stone and Morgan (1987). Clearly, the reduction of Fe did not occur during adsorption as supported by the oxidation states of the Fe (Table 2).

When the C–C bond broke, reduction of two surface Fe atoms from Fe(III) to Fe(II) was noted. The oxidation state calculations method through DFT calculations allowed assignment of d electrons to various Fe ions, and thus to extract their oxidation states. The present analysis indicated that the reaction proceeded first as the reduction of surface Fe(III) to Fe(II) by the $C_2O_4^{2-} \rightarrow 2CO_2$ reaction. Then the remaining oxalate reacted with the surface Fe(II) to form humboldtine. The top view and side view of the structure after the C–C bond was broken with four representative Fe positions are shown in Fig. 1. The DFT results revealed specific locations on the surface, namely, Fe₍₁₎ and Fe₍₂₎, that were the most favorable sites for initiating the formation of the precipitate.

Table 2 Bader charges and oxidation-state analysis for the top-surface Fe before oxalic acid adsorption, after oxalic acid adsorption, and after the C–C bond of the oxalate breaks. The occupation numbers taken as full occupation are in bold. These are the d electrons assigned to the Fe ions

	Bader charge	Occupation m	Oxidation state					
Free surf	face before H ₂ C ₂ O ₄ ad	sorption (the four F	e atoms are eq	uivalent)				
Fe	1.61	Spin up	0.989	0.989	0.990	0.996	0.996	Fe(III)
		Spin down	0.102	0.218	0.218	0.424	0.424	
After sin	gly de-protonated H ₂ C	2O4 adsorption (H2	C ₂ O ₄ breaks in	nto one H ⁺ and	d one $HC_2O_4^{-}$)		
Fe ₍₁₎	1.62	Spin up	0.989	0.990	0.991	0.996	0.996	Fe(III)
		Spin down	0.104	0.213	0.223	0.420	0.423	
Fe ₍₂₎	1.70	Spin up	0.990	0.990	0.994	0.995	0.995	Fe(III)
		Spin down	0.164	0.197	0.221	0.383	0.390	
Fe ₍₃₎	1.70	Spin up	0.989	0.991	0.994	0.995	0.996	Fe(III)
		Spin down	0.089	0.220	0.254	0.345	0.416	
Fe ₍₄₎	1.62	Spin up	0.989	0.989	0.990	0.996	0.996	Fe(III)
		Spin down	0.101	0.212	0.219	0.420	0.426	
After do	ubly de-protonated H20	C ₂ O ₄ adsorption (H	2C2O4 breaks	into two H ⁺ an	nd one $C_2 O_4^{2-2}$)		
Fe ₍₁₎	1.64	Spin up	0.989	0.990	0.991	0.995	0.996	Fe(III)
		Spin down	0.107	0.212	0.224	0.414	0.418	
Fe ₍₂₎	1.73	Spin up	0.989	0.990	0.992	0.995	0.995	Fe(III)
		Spin down	0.152	0.180	0.273	0.355	0.372	
Fe ₍₃₎	1.73	Spin up	0.989	0.992	0.993	0.995	0.996	Fe(III)
		Spin down	0.109	0.196	0.281	0.313	0.410	
Fe ₍₄₎	1.63	Spin up	0.988	0.991	0.993	0.995	0.996	Fe(III)
		Spin down	0.085	0.121	0.303	0.408	0.426	
After the	C-C bond of the adso	orbed C ₂ O ₄ ²⁻ breaks	3					
Fe ₍₁₎	1.64	Spin up	0.989	0.990	0.992	0.996	0.996	Fe(III)
		Spin down	0.128	0.192	0.232	0.416	0.424	
Fe ₍₂₎	1.65	Spin up	0.989	0.990	0.992	0.996	0.996	Fe(III)
		Spin down	0.133	0.197	0.229	0.412	0.421	
Fe ₍₃₎	1.31	Spin up	0.985	0.991	0.993	0.997	0.998	Fe(II)
		Spin down	0.043	0.088	0.199	0.225	0.961	
Fe ₍₄₎	1.38	Spin up	0.987	0.990	0.993	0.997	0.998	Fe(II)
		Spin down	0.057	0.066	0.195	0.208	0.958	

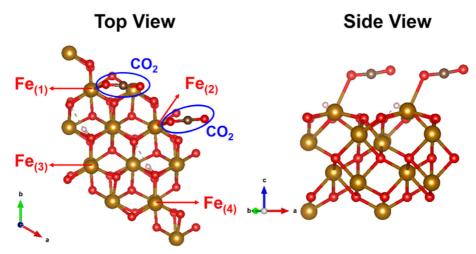


Fig. 1 Top view and side view of the structure after the C-C bond breaks on the Fe₂O₃ (0001) surface. Brown, Fe; Red, O; and White, H

Experimental Findings

To establish the formation of humboldtine, the solid phase before and after dissolution was analyzed using SEM-EDS and XRD. The SEM-EDS data were as follows: 14 wt.% of carbon, 41 wt.% of iron, and ~1 wt.% of other impurities such as aluminum and silicon. The calculated oxygen concentration was 44 wt.%. The XRD analysis showed that a transformation of the solid phase took place as a result of dissolution (Fig. 2). The XRD pattern was in good agreement with hematite before the dissolution. After dissolution, the pattern showed humboldtine in the α monoclinic phase, a small amount of hematite, and a small fraction of humboldtine in the β phase. The XRD peaks were narrow and sharp, indicating a wellformed crystal structure in both cases.

A remarkable change in color was observed between the samples, indicating the formation of humboldtine which has a typical yellow to amber-yellow color (Lide, 1999). The original hematite powder was red whereas the precipitate appeared yellow after the dissolution (Fig. 3a). The SEM images showed very large crystals and chain structures (Fig. 3c,d), in good agreement with the crystallographic structure of humboldtine (Fig. 3b). The DFT results (described above) showed that the iron atoms of the hematite surface can convert to Fe(II) and can precipitate in the presence of oxalates into large quantities of

humboldtine crystals given the low solubility (K_{sp} (ferrous oxalate) = $3.2 \cdot 10^{-7}$) (Speight et al., 2005).

SUMMARY AND CONCLUSIONS

The present study showed that the adsorption of oxalate ion to the surface of hematite and the electron-transfer of Fe(III) to Fe(II) facilitated the formation of humboldtine. Considering the phase diagram (Lee et al., 2007) and the XRD results, excess amounts of oxalic acid are seen to favor the formation of crystalline humboldtine. The 1:2 molar ratio of Fe/C₂O₄ was required to obtain humboldtine as 1 mole of oxalate was required for the electron transfer of Fe(III) to Fe(II), and another 1 mole was needed to form humboldtine. In theory, ~25 g of humboldtine could be formed using 12 g of synthetic hematite and 40 g of oxalic acid dihydrate powder.

If the target was to dissolve hematite without forming humboldtine, this could be achieved by adding the oxalic acid gradually. In addition, humboldtine formation was unlikely to be observed if the reaction scheme was shifted toward carbon dioxide formation.

The most important result of the current study was the discovery of a new pathway to produce large crystals of humboldtine of good quality. The previous method by which

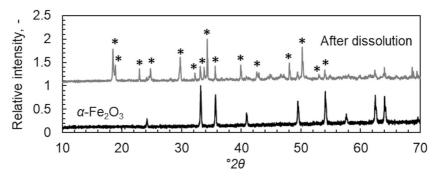


Fig. 2 XRD patterns of solid phases before and after dissolution in 0.33 M oxalic acid at 35°C. * indicates peaks characteristic of humboldtine (Fe₂O₃:2H₂O) in the α monoclinic phase

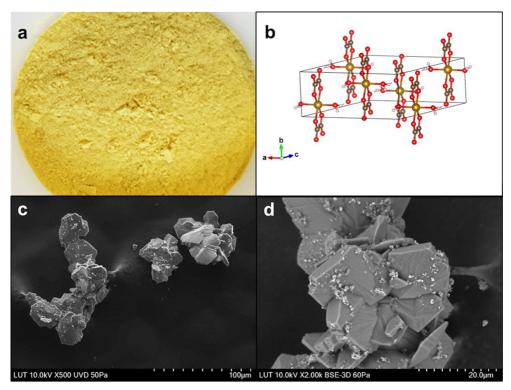


Fig. 3 a Humboldtine powder, b crystal structure of humboldtine, and c, d SEM images at different scales. Brown, Fe; Red, O; Gray, C; and White, H

humboldtine was synthesized involved several steps, whereas the present method requires only mixing of oxalic acid with hematite powder at the appropriate temperature of 35°C and for the appropriate experimental time of < 400 h. The XRD analysis showed that the crystal structure was in the monoclinic α phase suitable for applications requiring significant ion mobility (Yamada et al., 2009). The samples could be used for anode material in lithium-ion batteries, therefore (Zhang et al., 2020). Moreover, the DFT calculations provided important atomistic details about the structures after oxalic acid adsorption, and information about the direction and magnitude of the charge-transfer process, which were exhibited by both the Bader charge and oxidation state analysis. Oxalic acid was doubly de-protonated and adsorbed on the Fe₂O₃ (0001) surface. The adsorption process alone did not lead to surface reduction. The charge transfer and oxidation state analysis showed that cleavage of the adsorbed oxalate C-C bond was key to reducing two surface Fe(III) to Fe(II), providing the sites for subsequent reactions of the oxalate to form humboldtine.

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Declarations

Conflict of Interest

The authors declare that they have no conflict of interest.

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