

## ON THE INNER AUTOMORPHISMS OF FINITE TRANSFORMATION SEMIGROUPS

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If the group of inner automorphisms of a semigroup  $S$  of transformations of a finite  $n$ -element set contains an isomorphic copy of the alternating group  $\text{Alt}_n$ , then  $S$  is an  $S_n$ -normal semigroup and all the automorphisms of  $S$  are inner.

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### 1. Introduction

Given a semigroup  $S$  of transformations of a set  $X_n = \{1, 2, \dots, n\}$ , denote by  $G_S$  the subgroup of the symmetric group  $S_n$  of all the permutations  $h$  of  $X_n$  satisfying  $hSh^{-1} \subseteq S$ . Therefore for each  $h \in G_S$ , the mapping  $\phi_h: S \rightarrow S$  defined by  $\phi_h(\alpha) = h\alpha h^{-1}$ , for  $\alpha \in S$ , is an automorphism of  $S$ . Such an automorphism of  $S$  is termed *inner* [5] and the set of all inner automorphisms of  $S$ ,  $\text{Inn } S = \{\phi_h: h \in G_S\}$ , forms a subgroup of the group  $\text{Aut } S$  of all automorphisms of  $S$ .

Observe that if  $S = T_n$ , the semigroup of all total transformations of  $X$ , then  $G_S = S_n$ . A subsemigroup  $S$  of  $T_n$  is said to be  *$S_n$ -normal* if  $G_S = S_n$ . In this case all the automorphisms of  $S$  are inner, and  $\text{Aut } S = \text{Inn } S \cong S_n$  [6].

The main result of this paper asserts that if  $G_S$  contains the alternating group  $\text{Alt}_n$ , then  $G_S = S_n$ , so that  $S$  is an  $S_n$ -normal semigroup, and  $\text{Aut } S = \text{Inn } S \approx S_n$ . Therefore, there is no  $S \subseteq T_n$  such that  $G_S = \text{Alt}_n$ .

We generally use letters  $h, p, g$  to denote permutations of  $X_n$ , and  $\alpha, \beta, \gamma, \delta$  to denote non-permutations in  $T_n$ . In the following series of results we prove the theorem stated below.

**Theorem.** *Let  $S$  be a subsemigroup of  $T_n$ ,  $n \geq 3$ . If the group  $\text{Inn } S$  contains a subgroup  $G$  isomorphic to  $\text{Alt}_n$ , then  $\text{Aut } S = \text{Inn } S \cong S_n$ , and  $S$  is an  $S_n$ -normal semigroup.*

Given  $\alpha \in T_n$  and a subgroup  $G$  of  $S_n$ , let  $\langle \alpha: G \rangle = \langle \{h\alpha h^{-1}: h \in G\} \rangle$  be the subsemigroup of  $T_n$  generated by all the conjugates of  $\alpha$  by the elements of  $G$ . Observe that if  $\beta \in \langle \alpha: G \rangle$ , then  $\beta = h_1\alpha h_1^{-1}h_2\alpha h_2^{-1}\dots h_k\alpha h_k^{-1}$  for some  $h_1, h_2, \dots, h_k \in G$ , and so for any  $h \in G$ ,  $h\beta h^{-1} = hh_1\alpha h_1^{-1}h^{-1}hh_2\alpha h_2^{-1}h^{-1}\dots hh_k\alpha h_k^{-1}h^{-1} = (hh_1)\alpha(hh_1)^{-1}(hh_2)\alpha(hh_2)^{-1}\dots (hh_k)\alpha(hh_k)^{-1} \in \langle \alpha: G \rangle$ . Therefore  $\langle \beta: G \rangle \subseteq \langle \alpha: G \rangle$ .

**Lemma 1.** *Let  $G_1 \leq G_2 \leq S_n$  and  $[G_2:G_1]=2$ . Let  $\alpha \in T_n - S_n$ . Then  $\langle \alpha:G_1 \rangle = \langle \alpha:G_2 \rangle$  if and only if there is an  $h \in G_2 - G_1$  such that  $h\alpha h^{-1} \in \langle \alpha:G_1 \rangle$ .*

**Proof.** If  $\langle \alpha:G_1 \rangle = \langle \alpha:G_2 \rangle$  then for any  $h \in G_2$ ,  $h\alpha h^{-1} \in \langle \alpha:G_1 \rangle$ . To show the converse assume that  $h \in G_2 - G_1$  is such that  $\beta = h\alpha h^{-1} \in \langle \alpha:G_1 \rangle$ . Let  $p \in G_2 - G_1$ . It suffices to show that  $p\alpha p^{-1} \in \langle \alpha:G_1 \rangle$ . Since  $h, p \in G_2 - G_1$  and  $[G_2:G_1]=2$ , we have  $G_1 h = G_1 p$ , so there exists  $q \in G_1$ , with  $q = ph^{-1}$ . Therefore  $p\alpha p^{-1} = qh\alpha(qh)^{-1} = qh\alpha h^{-1} q^{-1} = q\beta q^{-1} \in \langle \beta:G_1 \rangle \subseteq \langle \alpha:G_1 \rangle$ , as required.  $\square$

The following is used to show that if  $\alpha \in T_n - S_n$  then  $\langle \alpha:Alt_n \rangle = \langle \alpha:S_n \rangle$ .

**Corollary 2.**  *$\langle \alpha:Alt_n \rangle = \langle \alpha:S_n \rangle$  if and only if there exists an odd permutation  $h$  of  $X_n$  such that  $h\alpha h^{-1} \in \langle \alpha:Alt_n \rangle$ .*

Recall that a subgroup  $G$  of  $S_n$  is said to be  $k$ -transitive if for any two  $k$ -subsets  $A$  and  $B$  of  $X_n$  and any bijection  $t$  from  $A$  onto  $B$ , there exists  $h \in G$  such that  $h(a) = t(a)$  for every  $a \in A$ . We say that a subgroup  $G$  of  $S_n$  is  $k$ -block-transitive if for any two  $k$ -subsets  $A$  and  $B$  of  $X_n$  there exists  $h \in G$  such that  $h(A) = B$ . Thus any  $k$ -transitive semigroup is at least  $k$ -block-transitive. For example,  $Alt_n$  is  $(n-2)$ -transitive [4, 10.4.6], and for all  $1 \leq k \leq n-1$ ,  $Alt_n$  is  $k$ -block transitive.

Given a transformation  $\alpha$  of  $X_n$  denote by  $\pi(\alpha)$  the partition of  $X_n$  determined by  $\alpha$  such that  $a$  and  $b$  are in the same class of  $\pi(\alpha)$  if and only if  $\alpha(a) = \alpha(b)$ . Let  $im \alpha = \alpha(X_n)$  be the image of  $\alpha$ . Note that if  $h \in S_n$  then  $\pi(h\alpha h^{-1}) = h(\pi(\alpha)) = \{h(A) : A \in \pi(\alpha)\}$ , and  $im(h\alpha h^{-1}) = h(im \alpha)$ .

**Lemma 3.** *Let  $G \leq S_n$  be a  $k$ -block transitive group. Then for any  $\alpha \in T_n - S_n$  with  $|im \alpha| = k$ ,  $\langle \alpha:G \rangle$  contains an idempotent  $\beta$  with  $\pi(\beta) = \pi(\alpha)$ .*

**Proof.** Let  $\alpha_1 (= \alpha), \alpha_2, \alpha_3, \dots$  be conjugates of  $\alpha$  by elements of  $G$  such that  $im \alpha_i$  is a transversal of  $\pi(\alpha_{i+1})$  ( $k$ -block transitivity of  $G$  insures their existence). Consider all the products of the form  $\alpha_1, \alpha_2\alpha_1, \alpha_3\alpha_2\alpha_1, \dots$ . Since  $\langle \alpha:G \rangle$  is finite there exist integers  $m < j$  such that  $\alpha_j\alpha_{j-1} \dots \alpha_{m+1}\alpha_m \dots \alpha_1 = \alpha_m \dots \alpha_1$ . Let  $\delta = \alpha_j \dots \alpha_{m+1}$  and  $\gamma = \alpha_m \dots \alpha_1$ . Then  $\delta\gamma = \gamma$  so  $im \delta \supseteq im \gamma$ , and since  $|im \delta| = |im \alpha| = |im \gamma|$  we have that  $im \delta = im \gamma$ . Thus  $\delta$  is the identity on its image, and so  $\delta$  is an idempotent having  $im \delta = im \alpha_j$  and  $\pi(\delta) = \pi(\alpha_{m+1})$ . Let  $h \in G$  be such that  $\alpha_{m+1} = h\alpha h^{-1}$ , then  $\beta = h^{-1}\delta h$  is the required idempotent. Indeed  $\beta^2 = h^{-1}\delta h h^{-1}\delta h = h^{-1}\delta^2 h = h^{-1}\delta h = \beta$  and  $\pi(\beta) = \pi(h^{-1}\delta h) = h^{-1}(\pi(\delta)) = h^{-1}(\pi(\alpha_{m+1})) = h^{-1}(\pi(h\alpha h^{-1})) = h^{-1}(\pi(h(\alpha))) = \pi(\alpha)$ .  $\square$

Since  $Alt_n$  is  $k$ -block-transitive for any  $1 \leq k \leq n-1$  we have the following.

**Corollary 4.**  *$\langle \alpha:Alt_n \rangle$  contains an idempotent  $\beta$  with  $\pi(\beta) = \pi(\alpha)$ .*

We say that  $\alpha$  has a partition of type  $1^{k_1}2^{k_2} \dots r^{k_r}$  if  $\pi(\alpha)$  has  $k_i$  classes of size  $i$ ,

$i = 1, \dots, r[1]$ . Note that  $\sum_{i=1}^r ik_i = n$  and we do not exclude the possibility that  $k_i = 0$  for some  $i$ .

**Lemma 5.** *Let  $\alpha \in T_n - S_n$  be an idempotent. There exists an  $h \in S_n - \text{Alt}_n$  such that  $h\alpha h^{-1} \in \langle \alpha : \text{Alt}_n \rangle$ ,  $n \geq 3$ .*

**Proof.** Assume that there exist  $x, y \in \text{im } \alpha$  such that  $\alpha^{-1}(x) = \{x\}$  and  $\alpha^{-1}(y) = \{y\}$ . Then for the transposition  $h = (x, y)$  we have  $h\alpha h^{-1} = \alpha \in \langle \alpha : \text{Alt}_n \rangle$ . Now suppose  $\pi(\alpha)$  contains a class  $A$  having  $|A| \geq 3$ . Let  $a, b \in A - \text{im } \alpha$ . Then for  $h = (a, b)$  we have  $h\alpha h^{-1} = \alpha \in \langle \alpha : \text{Alt}_n \rangle$ .

If none of the above holds then  $\alpha$  has a partition of type  $1^{02^k} = 2^k$  ( $k = n/2$ ,  $n$  is even) or  $1^{12^k}$  ( $k = (n-1)/2$ ,  $n$  is odd). Let  $\alpha_1, \alpha_2$  be idempotents in  $T_{2k}$  and  $T_{2k+1}$  respectively,  $\alpha_1 = [1, 1, 3, 3, \dots, 2k-1, 2k-1]$  and  $\alpha_2 = [1, 1, 3, 3, \dots, 2k-1, 2k-1, 2k+1]$  (we write  $[a_1, a_2, \dots, a_i]$  for a transformation mapping  $i$  to  $\alpha_i$ ). We may assume without loss of generality that  $\alpha$  equals to either  $\alpha_1$  or  $\alpha_2$ . It is easy to verify that for  $h = (12)$  and  $n \geq 5$  we have

$$h\alpha_i h^{-1} = (12)(35)\alpha_i(35)(12)\alpha_i \in \langle \alpha : \text{Alt}_n \rangle.$$

If  $n = 4$ , then  $\alpha_1 = [1, 1, 3, 3]$ , and for  $h = (12)$ ,

$$h\alpha_i h^{-1} = ((132)\alpha_1(123))((134)\alpha_1(143))\alpha_1 \in \langle \alpha : \text{Alt}_4 \rangle.$$

If  $n = 3$ ,  $\alpha_2 = [1, 1, 3]$ , and for  $h = (12)$ ,

$$h\alpha_2 h^{-1} = ((132)\alpha_2(123))((123)\alpha_2(132)\alpha_2)^2 \in \langle \alpha : \text{Alt}_n \rangle. \quad \square$$

**Proposition 6.** *Let  $\alpha \in T_n$ ,  $n \geq 3$ . Then  $\langle \alpha : S_n \rangle$ .*

**Proof.** Observe that we only need to show that  $\langle \alpha : S_n \rangle \subseteq \langle \alpha : \text{Alt}_n \rangle$ . If  $\alpha \in \text{Alt}_n$  then  $\langle \alpha : S_n \rangle \subseteq \text{Alt}_n \subseteq S_n$ . Also  $\langle \alpha : \text{Alt}_n \rangle \subseteq \text{Alt}_n$ , and since  $\text{Alt}_n$  is simple for  $n \neq 4$  [4, 10.8.7] we have that  $\langle \alpha : \text{Alt}_n \rangle = \text{Alt}_n$  if  $\alpha \neq (1)$  and  $\langle (1) : \text{Alt}_n \rangle = \{(1)\}$  (provided  $n \neq 4$ ). If  $n = 4$ ,  $\alpha \neq (1)$  and  $\langle \alpha : \text{Alt}_4 \rangle \neq \text{Alt}_4$  then  $\langle \alpha : \text{Alt}_4 \rangle = V$ , the 4-group, and  $\alpha \in V$ . Since  $V \subseteq S_4$ ,  $\langle \alpha : S_4 \rangle \subseteq V$  also, and therefore  $\langle \alpha : S_4 \rangle \subseteq \langle \alpha : \text{Alt}_4 \rangle$ , as required.

If  $\alpha$  is an odd permutation then for any  $q \in S_n - \text{Alt}_n$ ,  $q = \alpha(\alpha^{-1}q)$ ,  $\alpha^{-1}q \in \text{Alt}_n$ , and  $q\alpha q^{-1} = \alpha(\alpha^{-1}q)\alpha(\alpha^{-1}q)^{-1}\alpha^{-1} \in \langle \alpha : \text{Alt}_n \rangle$ , so  $\langle \alpha : S_n \rangle \subseteq \langle \alpha : \text{Alt}_n \rangle$  again.

Now let  $\alpha \in T_n - S_n$ . By Corollary 4,  $\langle \alpha : \text{Alt}_n \rangle$  contains an idempotent  $\beta$  with  $\pi(\beta) = \pi(\alpha)$ . By Lemma 5 and Corollary 2,  $\langle \alpha : \text{Alt}_n \rangle \supseteq \langle \beta : \text{Alt}_n \rangle = \langle \beta : S_n \rangle = \langle \alpha : S_n \rangle$  (for a transformation  $\gamma$  the semigroup  $\langle \gamma : S_n \rangle$  comprises all  $\delta \in T_n$  having  $\pi(\delta) \supseteq Q$ , a partition of the same type as  $\pi(\gamma)$  [2]). □

**Corollary 7.** *There is no  $S \subseteq T_n$  such that  $G_S = \text{Alt}_n$ .*

**Proof.** Suppose  $\text{Alt}_n \subseteq G_S$ . Then by Proposition 6, for any  $\alpha \in S$ ,  $h \in S_n$ , we have that  $h\alpha h^{-1} \in \langle \alpha : S_n \rangle = \langle \alpha : \text{Alt}_n \rangle \subseteq S$ , that is  $h \in G_S$  and  $G_S = S_n$ . □

Now to prove our main Theorem suppose that  $G \leq \text{Inn} S$  such that  $G \cong \text{Alt}_n$ . Let  $\bar{G} = \{h \in S_n : \phi_h \in G\}$ . Then  $\bar{G} \leq G_S \leq S_n$ , and the order of  $\bar{G}$  is at least that of  $\text{Alt}_n$ . Therefore  $\bar{G}$  contains  $\text{Alt}_n$ , and by Corollary 7,  $G_S = S_n$ .

We note that the above result is not necessarily true for semigroups of transformations of infinite sets. For example, let  $X = \mathbf{Z}$  be the set of all integers and  $\alpha: \mathbf{Z} \rightarrow \mathbf{Z}$  such that  $\alpha(a) = 2a$ , for all  $a \in \mathbf{Z}$ . Let  $S_{\mathbf{Z}}$  be the symmetric group on  $\mathbf{Z}$ . The alternating subgroup  $\text{Alt}_{\mathbf{Z}}$  of  $S_{\mathbf{Z}}$  consists of all the finite even permutations of  $\mathbf{Z}$ . Then  $\langle \alpha: S_{\mathbf{Z}} \rangle = \{\beta: \mathbf{Z} \rightarrow \mathbf{Z} \mid \beta \text{ is } 1-1 \text{ and } |\mathbf{Z} - \text{im } \beta| = \aleph_0\}$  [3]. In particular  $\langle \alpha: S_{\mathbf{Z}} \rangle$  contains  $\beta$  defined by  $\beta(a) = 2a - 1$  for all  $a \in \mathbf{Z}$ . Observe that for all  $a \in \mathbf{Z}$ ,  $\alpha(a) \neq \beta(a)$ . Since any  $h \in \text{Alt}_{\mathbf{Z}}$  moves at most a finite number of points,  $\beta \notin \langle \alpha: \text{Alt}_{\mathbf{Z}} \rangle$ .

For a transformation  $\alpha$  of  $X$  let shift  $\alpha = |\{x \in X : \alpha(x) \neq x\}|$ . Let  $v$  be an infinite cardinal not exceeding  $|X|^+$ , the cardinal successor of  $|X|$ , and let  $\text{Sym}(X, v)$  be the subgroup of all permutations in  $S_X$  whose shift is less than  $v$ .

- Conjecture 1.** If shift  $\alpha = u$  then  $\langle \alpha: \text{Sym}(X, w) \rangle = \langle \alpha: S_X \rangle$  for all  $w \geq u^+$ .
2. There is no semigroup  $S$  of transformations of  $X$  having  $G_S = \text{Sym}(X, |X|)$ .

Observe that permutations  $h$  and  $p$  in  $G_S$  give rise to equal automorphisms  $\phi_h$  and  $\phi_p$  if and only if  $h^{-1}p$  is in the centralizer  $C(S)$  of  $S$ ,  $C(S) = \{\alpha \in T_n : \alpha\beta = \beta\alpha \text{ for all } \beta \in S\}$ . Thus  $G_S$  is isomorphic to the group  $\text{Inn} S$  of the inner automorphisms of  $S$  if and only if  $C(S) \cap G_S$  consists of the identity permutation. The results of this paper in conjunction with the above observations give rise to the following.

- Problem 1.** Characterize these subgroups  $G$  of  $S_n$  having  $G = G_S$  for some subsemigroup  $S$  of  $S_n$ .
2. Given that  $G = G_T$  for some  $T \subseteq T_n$  characterize all  $S \subseteq T_n$  such that  $G_S = G$ .
3. Characterize these subsemigroups  $S$  of  $T_n$  having  $|C(S) \cap G_S| = 1$ .

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