

AN INTEGRAL FORMULA FOR THE CHERN FORM OF A HERMITIAN BUNDLE

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Introduction

We shall consider a Hermitian n -vector bundle E over a complex manifold X . When X is compact (without boundary), S.S. Chern defined in his paper [3] the Chern classes (the basic characteristic classes of E) $\hat{C}_i(E)$, $i = 1, \dots, n$, in terms of the basic forms ϕ_i on the Grassmann manifold $H(n, N)$ and the classifying map f of X into $H(n, N)$. Moreover he proved ([3], [4]) that if E_k denotes the k -general Stiefel bundle associated with E , the $(n - k + 1)$ -th Chern class $\hat{C}_{n-k+1}(E)$ coincides with the characteristic class $C(E_k)$ of E_k defined as follows: Let K be a simplicial decomposition of X and $K^{2(n-k)+1}$ the $2(n - k) + 1$ -shelton of K . Then there exists a section s of $E_k|K^{2(n-k)+1}$ so that one can define the obstruction cocycle $c(s)$ of s . The cohomology class of $c(s)$ is independent of such a section s . Thus one denotes by $C(E_k)$ the cohomology class of $c(s)$ which is called the characteristic class of E_k . The above fact is well known as the second definition of the Chern classes ([3]).

On the other hand, in case when X is with boundary, R. Bott and S.S. Chern established the so-called Gauss-Bonnet theorem ([1]), which gives an integral formula for the above second definition of the n -th Chern class $\hat{C}_n(E)$, that is, if $C_n(E)$ denotes the n -th Chern form induced by a norm on E (c.f. Prop. 2.1),

$$\int_X C_n(E) = \int_{\partial X} s^* \eta_n + \sum_{j=1}^l \text{zero}(p_j; s),$$

where the p_j are the zero points of a section s of X into E , the zero $(p_j; s)$ denote the zero-numbers of s at p_j , and η_n is the n -th boundary form of E (cf. Def. 3.1).

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The main purpose of this paper is to generalize their theorem to give an integral formula (Theorem 4.1) for the i -th Chern form $C_i(E)$ ($1 \leq i \leq n$) induced by a norm on a Hermitian n -vector bundle E over a complex manifold X of a complex dimension m , according to [1] and the obstruction theory [3] and [4].

Roughly speaking, our main theorem 4.1, which is called the generalized realtive Gauss-Bonnet theorem, is as follows: Let E_k be the k -general Stiefel bundle associated with E and π_k^*E the induced bundle of E under the projection π_k of E_k onto X . Suppose there exist a real $2(m-n+k-1)$ -dimensional oriented submanifold A (with smooth boundary ∂A) of X (here $m = \dim_{\mathbb{C}} X$), and a smooth section s of $(X - A)$ into E . Then for any interior point q of A we can define the k -th complement obstruction number $obs_k^\perp(q, s, A)$ (c.f. Def. 4.2). Let V be a real $2(n-k+1)$ -dimensional oriented manifold and D a compact domain with smooth boundary ∂D . Now given a smooth map f of V into X , we obtain the intersection numbers $n(p_i, f, A)$ of the singular chain $f: D \rightarrow X$ and A at the points $p_j \in D \cap f^{-1}(A)$ ($i = 1, \dots, l$).

Then our integral formula is given by

$$\int_D f^* C_{n-k+1}(E) = \int_{\partial D} f^* s^* \eta_{n-k+1}(\pi_k^* E) + \sum_{j=1}^l obs_k^\perp(f(p_j), s, A) \cdot n(p_j, f, A).$$

As an application of our theorem, we obtain Levine's "The First Main Theorem [7]" concerning holomorphic mappings f from a non-compact complex manifold V into the n -complex projective space $P^n(\mathbb{C})$ (c.f. §5).

Finally we note that technics in [2] are used in the proof of Theorem 4.1.

In Section 1 we review the theory of the Chern forms as described in [1]. In Section 2 we refine this theory for the case of complex analytic Hermitian bundles and state the duality formula according to [1]. In Section 3 we define an (n, k) -trivial bundle and its boundary form (c.f. Def. 3.1 and 3.2). Furthermore we study the boundary form $\eta_{n-k+1}(\pi_k^* E)$ of the (n, k) -trivial bundle $\pi_k^*(E)$ associated with a Hermitian n -bundle E over a complex manifold X , which plays an important role in our theorem. In Section 4 we define the k -th obstruction number (c.f. Def. 4.1 and 4.2), and prove the generalized relative Gauss-Bonnet theorem.

In preparing this paper, I have received many advices from Dr. N. Tanaka. I would like to express my cordial thanks to him.

§1. The Chern forms

1.1 The Chern forms. Let E be a C^∞ -vector bundle of fibre dimension n over a C^∞ -manifold X . We denote by $T^* = T^*(X)$ the cotangent bundle of X and by $A(X) = \sum_j A^j(X)$ the graded ring of C^∞ -complex valued differential forms on X . More generally we write $A(X; E)$ for the differential forms on X with values in E . Thus if $\Gamma(E)$ denotes the smooth sections of E , then it follows that $A(X; E) = A(X) \otimes_{A^0(X)} \Gamma(E)$.

DEFINITION 1.1. A *connection* on E is a differential operator $D: \Gamma(E) \longrightarrow \Gamma(T^* \otimes E)$ satisfying the following rule:

$$(1.1) \quad D(f \cdot s) = df \cdot s + f \cdot Ds$$

for $f \in A^0(X)$, $s \in \Gamma(E)$.

Suppose now that E has a definite connection D . Let $s = \{s_i\}_{1 \leq i \leq n}$ be a frame of E over V , where V is an open subset of X . Then there exist 1-forms θ_{ij} on V which satisfy the following relations:

$$(1.2) \quad Ds_i = \sum_{j=1}^n \theta_{ij} s_j \quad i = 1, \dots, n$$

These 1-forms θ_{ij} define a matrix of 1-forms on V , denoted by $\theta(s, D) = [\theta_{ij}]$, which is called the *connection matrix* relative to the frame s . From $\theta(s, D)$ we now define a matrix $K(s, D) = [K_{ij}]$ of 2-forms on V by $K_{ij} = d\theta_{ij} - \sum_k \theta_{ik} \wedge \theta_{kj}$. In matrix notation:

$$(1.3) \quad K(s, D) = d\theta(s, D) - \theta(s, D) \wedge \theta(s, D).$$

$K(s, D)$ is called the *curvature matrix* of D relative to the frame s ,

Let us consider any two frames s and s' of $E|V$. Then there exist elements $A_{ij} \in A^0(V)$ such that $s'_i = \sum_j A_{ij} s_j$ and in matrix notation we write simply $s' = As$. Then we have the following transformation law

$$(1.4) \quad AK(s, D) = K(s, D)A \quad s' = As.$$

From this and the fact that even forms commute with one another, we have

DEFINITION 1.2. The *Chern form* of E relative to D , denoted by $C(E, D)$, is a global form on X defined as follows: Let us cover X by $\{V_\alpha\}$ which

admit frames s^α over V_α : Let $\det \{1_n + iK(s, D)/2\pi\}$ denote determinants of matrices $1_n + iK(s^\alpha, D)/2\pi$, where $i = \sqrt{-1}$ and 1_n is the unit matrix. Then we set

$$(1.5) \quad C(E, D)|V_\alpha = \det \{1_n + iK(s^\alpha, D)/2\pi\}.$$

Moreover in terms of the transformation law (1.4), the curvature matrices $K(s^\alpha, D) = \|K_{ij}\|$ determine a definite element $K[E, D] \in A^2(X: \text{Hom}(E, E))$ as follows: Let t be any element of $\Gamma(E)$. Then for each open set V_α there exists elements $f_i^\alpha \in A^0(V_\alpha)$ such that $t = \sum_{i=1}^n f_i^\alpha s_i^\alpha$, $s^\alpha = \{s_i^\alpha\}_{1 \leq i \leq n}$. Here we put

$$(1.6) \quad K[E, D] \cdot t = \sum_{i,j=1}^n f_i^\alpha K_{ij}^\alpha \cdot s_j^\alpha \quad \text{on } V_\alpha.$$

$K[E, D]$ is called the *curvature element* of E relative to D .

1.2. Reformulation of the Chern forms. We observe that by using the curvature element $K[E, D]$, we can reformulate the Chern form $C(E, D)$ in the following manner.

DEFINITION 1.3. Let M_n denote the vector space of $n \times n$ matrices over C . A k -linear function φ on M_n is called *invariant* if for any $B \in GL(n: C)$,

$$(1.7) \quad \varphi(A_1, \dots, A_k) = \varphi(BA_1B^{-1}, \dots, BA_kB^{-1}) \text{ for } A_i \in M_n.$$

We denote by $I^k(M_n)$ the vector space of all the k -linear invariant functions.

Now given $\varphi \in I^k(M_n)$ and an open set V of X , we extend φ to a k -linear mapping, denoted by φ_v , from $M_n \otimes A(V)$ into $A(V)$ by putting

$$\omega_v(A_1\omega_1, \dots, A_k\omega_k) = \varphi(A_1, \dots, A_k)\omega_1 \wedge \dots \wedge \omega_k$$

for $A_i \in M_n$, $\omega_i \in A(V)$.

On the other hand if $\xi \in A(X: \text{Hom}(E, E))$ and if $s = \{s_i\}$ is a frame of $E|V$, then ξ determines a matrix of forms $\xi(s) = \|\xi(s)_{ij}\| \in M_n \otimes A(V)$ by $\sum_j \xi(s)_{ij} s_j = \xi \cdot s_i$, and under the substitution $s' = As$, these matrices transform by $\xi(s') = A\xi(s)A^{-1}$. Hence given $\xi_i \in A(X: \text{Hom}(E, E))$ ($i = 1, \dots, k$) and $\varphi \in I^k(M_n)$; we can define a form $\varphi(\xi_1, \dots, \xi_k) \in A(X)$ as follows: Let s be a frame of $E|V$. Then set

$$(1.8) \quad \varphi(\xi_1, \dots, \xi_k)|V = \varphi_v(\xi_1(s), \dots, \xi_k(s))$$

where the $\xi_i(s)$ are matrices of ξ_i relative to s .

For simplicity we put $\varphi(\xi, \dots, \xi) = \varphi((\xi))$.

Now let D be a connection on E and let $C(E, D)$ and $K[E, D]$ denote the Chern form and the curvature element of E relative to D respectively. Then we want to construct k -linear invariant functions $b_k^n \in I^k(M_n)$ ($k=1, \dots, n$) such that

$$C(E, D) = 1 + \sum_{k=1}^n b_k^n (\kappa K[E, D])) \quad \kappa = i/2\pi.$$

For this purpose let L be a k -tuples (i_1, \dots, i_k) of integers from $\{1, \dots, n\}$ such that $i_1 < \dots < i_k$. Then we define linear mappings L_l on M_n ($l = 1, \dots, k$) as follows: For any $A = \|a_{ij}\| \in M_n$, we put

$$L_l(A) = \begin{pmatrix} {}^a i_1 i_l \\ \vdots \\ {}^a i_k i_l \end{pmatrix} \quad l = 1, \dots, k.$$

If $A_\alpha = \|a_{ij}^\alpha\| \in M_n$, ($\alpha = 1, \dots, k$), then $\det \{L_1(A_1), \dots, L_k(A_k)\}$ denotes the determinant of the matrix $\|a_{i_\beta i_\gamma}^\alpha\|_{1 \leq \beta, \gamma \leq k}$. With this notation k -linear functions b_k^n are defined as follows: For any $A_\alpha \in M_n$ ($\alpha = 1, \dots, k$),

$$(1.9) \quad b_k^n(A_1, \dots, A_k) = \sum_{\sigma, L} \frac{1}{k!} \det \{L_1(A_{\sigma(1)}), \dots, L_k(A_{\sigma(k)})\},$$

where the summation is extended over all permutations σ of $\{1, \dots, k\}$ and all k -tuples $L = (i_1, \dots, i_k)$ of integers from $\{1, \dots, n\}$ such that $i_1 < \dots < i_k$.

It is clear from definition that the b_k^n are symmetric, that is, for any permutation σ of $\{1, \dots, k\}$,

$$b_k^n(A_1, \dots, A_k) = b_k^n(A_{\sigma(1)}, \dots, A_{\sigma(k)}) \quad A_i \in M_n.$$

Therefore in a case of $A_1 = \dots = A_k = A$, it follows that

$$(1.10) \quad b_k^n((A)) = \sum_L \det \{L_1(A), \dots, L_k(A)\}$$

Hence we find that

$$(1.11) \quad \det(1_n + A) = 1 + \sum_{k=1}^n b_k^n((A)) \quad A \in M_n,$$

where 1_n is the unit matrix of M_n .

LEMMA 1.1. *The k -linear function b_k^n is invariant, i.e., $b_k^n \in I^k(M_n)$.*

Proof. Let $\lambda_1, \dots, \lambda_k$ be indeterminates and let A_1, \dots, A_k be any fixed elements of M_n . Then it follows from (1.10) and (1.11) that

$$(1.12) \quad \det(1_n + \sum_{\alpha=1}^k \lambda_\alpha A_\alpha) = 1 + \sum_{r=1}^n [\sum_{L=(i_1, \dots, i_k), j_1, \dots, j_r=1} \sum_{j_1, \dots, j_r=1}^k \lambda_{j_1}, \dots, \lambda_{j_r} \\ \det \{L_1(A_{j_1}) \cdots L_r(A_{j_r})\}]$$

Since both sides of (1.2) are considered smooth functions of k variables $\lambda_1, \dots, \lambda_k$, we operate $\partial^k / \partial \lambda_1 \cdots \partial \lambda_k$ on each side of (1.12) at the origin $(\lambda_1, \dots, \lambda_k) = (0, \dots, 0) = 0$. Then from $\frac{\partial^k}{\partial \lambda_1 \cdots \partial \lambda_k} \Big|_0 (\lambda_{j_1} \cdots \lambda_{j_r})$

$$= \begin{cases} 1 & \text{if } r = k \text{ and } \{j_1, \dots, j_r\} = \{1, \dots, k\} \\ 0 & \text{otherwise,} \end{cases}$$

$$(1.13) \quad \frac{\partial^k}{\partial \lambda_1 \cdots \partial \lambda_k} \Big|_0 \det(1_n + \sum_{\alpha=1}^k \lambda_\alpha A_\alpha) = \sum_{\sigma, L=(i_1, \dots, i_k)} \det \{L_1(A_{\sigma(1)}), \dots, L_k(A_{\sigma(k)})\}$$

Thus it follows from (1.9) and (1.13) that

$$(1.14) \quad b_k^n(A_1, \dots, A_k) = \frac{1}{k!} \frac{\partial^k}{\partial \lambda_1 \cdots \partial \lambda_k} \Big|_0 \det(1_n + \sum_{\alpha=1}^k \lambda_\alpha A_\alpha).$$

It is clear from (1.14) that b_k^n is invariant. Q.E.D.

Now let $C(E, D)$ and $K[E, D]$ be as before. Then in views of Lemma 1.1 and (1.11), we find that the b_k^n are invariant and satisfy the next relation:

$$(1.15) \quad C(E, D) = 1 + \sum_{k=1}^n b_k^n((\kappa K[E, D])).$$

Notice that $b_k^n((\kappa K[E, D]))$ becomes a global form of degree $2k$ on X because of $K[E, D] \in A^2(X: \text{Hom}(E, E))$. Here we have

DEFINITION 1.4. Let $K[E, D]$ be the curvature element of E relative to D . Let b_k^n denote the k -linear invariant function defined by (1.9). Then the $2k$ -form $b_k^n((\kappa K[E, D]))$ is called the k th Chern form of E relative to D , denoted by $C_k(E, D)$.

With this notation the relation (1.15) becomes

$$(1.15)' \quad C(E, D) = 1 + \sum_{k=1}^n C_k(E, D), \quad C_k(E, D) = b_k^n((\kappa K[E, D])).$$

Moreover, applying the next proposition to the invariant functions b_k^n , it follows that

$$(1.16) \quad dC_k(E, D) = 0 \quad k = 1, \dots, n$$

so that

$$(1.17) \quad dC(E, D) = 0$$

PROPOSITION 1.2. [1]. *Let E be a C^∞ -vector bundle of fibre dimension n over a C^∞ -manifold X with a connection D . Let $K[E, D]$ be the curvature element. Given any $\varphi \in I^k(M_n)$, then we obtain*

$$(1.18) \quad d\varphi((K[E, D])) = 0.$$

Next we introduce notations used in the later sections, For $\varphi \in I^k(M_n)$ we abbreviate $\sum_{a=1}^k \varphi(A, \dots, B, \dots, A)$ to $\varphi'((A: B))$. We put for any $A, B \in M_n$

$$\widetilde{\det}((A)) = 1 + \sum_{k=1}^n b_k^n((A)) \text{ and } \widetilde{\det}'((A: B)) = \sum_{k=1}^n b_k^{n'}((A: B)).$$

Then it follows that

$$(1.19) \quad \widetilde{\det}'((A: B)) = \frac{\partial}{\partial \lambda} \Big|_0 \det(1_n + A + \lambda B),$$

$$(1.20) \quad \widetilde{\det}((\kappa K[E, D])) = C(E, D).$$

In order to prove (1.19) it is sufficient to notice that $\det(1_n + A + \lambda B) = 1 + \sum_{k=1}^n b_k^n((A + \lambda B))$. (1.20) is trivial.

REMARK. A connection D on E is extended uniquely to an antiderivation of the $A(X)$ module $A(X: E)$, so as to satisfy the law:

$$(1.21) \quad D(\theta \cdot s) = d\theta \cdot s + (-1)^p \theta \cdot Ds \quad \theta \in A^p(X), s \in \Gamma(E).$$

Then from the definition (1.6) of the curvature element $K[E, D]$, we find that

$$(1.22) \quad D^2 s = K[E, D] \cdot s \quad \text{for any } s \in \Gamma(E).$$

§ 2. The duality formula

2.1. The canonical connection of a Hermitian bundle. Let E be a holomorphic vector bundle over a complex manifold X . Then a norm N on E is a real-valued function $N: E \rightarrow \mathbf{R}$ such that the restriction of N to any fibre is a Hermitian norm on that fibre. Thus for each $x \in X$, a positive definite Hermitian form, denoted by $\langle u, v \rangle_N$, or simply $\langle u, v \rangle$, is defined by putting for any $u, v \in E_x$,

$$\langle u, v \rangle_N = \frac{1}{2} \{N(u + v) - N(u) - N(v)\} + i \frac{1}{2} \{N(u + iv) - N(u) - N(v)\}.$$

Moreover this Hermitian form $\langle \cdot, \cdot \rangle_N$ is extended as follows: For any sections s and s' , we define $\langle s, s' \rangle$ as the function $\langle s, s' \rangle(x) = \langle s(x), s'(x) \rangle$ and we set in general $\langle \theta \cdot s, \theta' \cdot s' \rangle = \theta \wedge \bar{\theta}' \langle s, s' \rangle$ $\theta, \theta' \in A(X)$. A holomorphic vector bundle with a norm is called a hermitian vector bundle. Let E be a Hermitian vector bundle. Then we will find from the following Proposition 2.1 that E has a canonical connection induced by a norm on E . It is our aim to study the Chern form of E relative to this canonical connection.

Now let X be a complex manifold. The complex valued differential forms $A(X)$ split into a direct sum $\sum A^{p,q}(X)$ where $A^{p,q}(X)$ is generated over $A^0(X)$ by forms of the type $df_1 \wedge \cdots \wedge df_p \wedge d\bar{f}_{p+1} \wedge \cdots \wedge d\bar{f}_{p+q}$, the f_i being local holomorphic functions on X . Therefore d splits into $d' + d''$ where

$$d': A^{p,q} \longrightarrow A^{p+1,q} \text{ and } d'': A^{p,q} \longrightarrow A^{p,q+1}.$$

If E is a vector bundle over X , then $A(X; E)$ split into the direct sum $\sum A^{p,q}(X; E) = \sum A^{p,q}(X) \otimes \Gamma(E)$ according to the decomposition of $A(X)$. Hence any connection D on E is decomposed into $D' + D''$:

$$D': \Gamma(E) \longrightarrow A^{1,0}(X; E) \text{ and } D'': \Gamma(E) \longrightarrow A^{0,1}(X; E).$$

With these preliminaries we obtain

PROPOSITION 2.1. [1]. Let N be a norm on a Hermitian vector bundle E . Then N induces a canonical connection $D = D(N)$ on E which is characterized by the two conditions:

(2.1) D preserves the norm N , i.e., for any $s, s' \in \Gamma(E)$

$$d\langle s, s' \rangle = \langle Ds, s' \rangle + \langle s, D's \rangle.$$

(2.2) If s is a holomorphic section of $E|V$, then $D''s = 0$ on V .

This proposition shows that if $s = \{s_i\}$ is a holomorphic frame of $E|V$ and if $N(s)$ denotes the matrix of functions $N(s) = \|\langle s_i, s_j \rangle\|$, then the connection matrix $\theta(s, N)$ of $D(N)$ relative to the frame s is given by

$$(2.3) \quad \theta(s, N) = d'N(s) \cdot N(s)^{-1} \text{ on } V,$$

and the curvature matrix $K(s, N)$ is expressed as follows:

$$(2.4) \quad K(s, N) = d''\theta(s, N), \quad \text{whence } K(s, N) \text{ is of type } (1, 1) \\ \text{and } d''K(s, N) = 0.$$

It follows from (2.4) and Definition 1.4 that the k th Chern forms $C_k(E, D(N))$ are of type (k, k) .

Suppose now that E is a line bundle. Then a holomorphic frame is a nonvanishing holomorphic section s of $E|V$, so that, relative to s ,

$$\theta(s, N) = d' \log N(s) \text{ and } K[E, D(N)] \cdot s = d''d' \log N(s).$$

Thus if E admits a global nonvanishing holomorphic sections s , then

$$(2.5) \quad C_1(E, D(N)) = \frac{i}{2\pi} d''d' \log N(s).$$

(Note that the invariant function b_1^1 defining $C_1(E, D(N))$ becomes the identity mapping of $M_1 = C$.)

2.2. Homotopy lemma. We state the homotopy lemma on which the duality formula is based.

DEFINITION 2.1. A connection D on a holomorphic bundle E over X , is called of type $(1, 1)$ if

- (i) For any holomorphic section s of $E|V$, $D''s = 0$
- (ii) The curvature matrix $K(s, D)$ relative to a holomorphic frame s over V , are of type $(1, 1)$, i.e., $K[E, D] \in A^{1,1}(X: \text{Hom}(E, E))$.

It is obvious from (2.4) that a canonical connection $D(N)$ is of type $(1, 1)$.

DEFINITION 2.2. A family of connections D_t of type $(1, 1)$ will be called bounded by $L_t \in A^0(X: \text{Hom}(E, E))$ if for any frame s ,

$$dD_t(s)/dt = d'L_t(s) + \{L_t(s) \cdot \theta(s, D_t) - \theta(s, D_t)L_t(s)\}.$$

Then we obtain the following homotopy lemma.

PROPOSITION 2.2. [1]. Let D_t be a smooth family of connections of type $(1, 1)$ on a holomorphic vector bundle E . Suppose that D_t is bounded by $L_t \in A^0(X: \text{Hom}(E, E))$. Then for any $\varphi \in I^k(M_n)$, $n = \dim E$,

$$(2.6) \quad \begin{aligned} & \varphi((K[E, D_b])) - \varphi((K[E, D_a])) \\ &= d''d' \int_a^b \varphi'((K[E, D_t]: L_t)) dt \end{aligned}$$

2.3. The duality formula. Now let us consider an exact sequence of holomorphic vector bundles:

$$(2.7) \quad 0 \longrightarrow E_I \longrightarrow E \longrightarrow E_{II} \longrightarrow 0$$

over a complex manifold X . We write ξ for the homomorphism from E onto E_{II} defining (2.7). Let N be a norm on E . Then the norm N on E induces norms N_I on E_I and N_{II} on E_{II} as follows: Let E_I^\perp be the orthogonal complement of E_I , i.e., if for each $x \in X$, we put $(E_I^\perp)_x = \{a \in E_x : \langle a, b \rangle_N = 0\}$, for all $b \in E_x\}$, then $E_I^\perp = \cup_{x \in X} (E_I^\perp)_x$.

Hence E_I^\perp becomes the C^∞ -vector bundle over X . The restriction of ξ to E_I^\perp is the C^∞ -isomorphism of E_I^\perp and E_{II} . Let $\hat{\xi}$ denote the inverse mapping of $\xi|E_I^\perp$. Then the norm N_{II} on E_{II} is defined by

$$N_{II}(a') = N(\hat{\xi} \cdot a') \quad \text{for any } a' \in E_{II}.$$

On the other hand, the norm N_I on E_I is the restriction of N to E_I .

To the exact sequence (2.7), there correspond the canonical connections $D(N) = D$ (on E), $D(N_i)$ (on E_i) and the Chern forms $C(E) = C(E, D(N))$, $C(E_i, D(N_i))$.

Now let P_i ($i = I, II$) be the orthogonal projections

$$(2.8) \quad P_I : E \longrightarrow E_I \quad \text{and} \quad P_{II} : E \longrightarrow E_I^\perp.$$

Since P_i ($i = I, II$) are elements of $\Gamma(\text{Hom}(E, E))$, these are interpreted as degree zero operator, that is, $P_i(\theta \cdot s) = \theta \cdot P_i \cdot s$, $\theta \in A(X)$, $s \in \Gamma(E)$. Then the connection $D = D(N)$ is decomposed into four parts

$$(2.9) \quad D = \sum_{i,j} P_i D P_j \quad j, i = I, II.$$

With these preliminaries we obtain

LEMMA 2.3, [1]. *In the decomposition*

- (i) $P_i D P_i$ ($i \neq j$) are degree zero operators of type $(1,0)$ and $(0,1)$ respectively:

$$(2.10) \quad P_{II} D'' P_I = 0, \quad P_I D' P_{II} = 0.$$

- (ii) $P_i D P_i$ induces the connection $D(N_i)$ on E_i ($i = I, II$).

Proof. The first statement is already proved in [1]. We shall prove only (ii). Let $\xi, \hat{\xi}$ be as above. Then ξ and $\hat{\xi}$ are considered as degree zero operators. Therefore it is clear that $\xi D \hat{\xi}$ defines a connection on E_{II} . We show that $\xi D \hat{\xi}$ is the canonical connection $D(N_{II})$. In order to prove this, it is sufficient to check the conditions (2.1) and (2.2) in Proposition

2.1. At first, (2.1) follows directly from the definition of N_{II} and the fact that D preserves the inner product $\langle \cdot, \cdot \rangle_N$:

Let t, t' be sections of E_{II} . Then it follows that

$$\begin{aligned} d\langle t, t' \rangle_{N_{II}} &= d\langle \hat{\xi}t, \hat{\xi}t' \rangle = \langle D\hat{\xi}t, \hat{\xi}t' \rangle_N + \langle \hat{\xi}t, D\hat{\xi}t' \rangle_N \\ &= \langle \xi D\hat{\xi}t, t' \rangle_{N_{II}} + \langle t, \xi D\hat{\xi}t' \rangle_{N_{II}}. \end{aligned}$$

For (2.2), let s be a holomorphic section of $E|V$. Then, D satisfying the condition (2.2), it follows that $D''s = 0$ on V . Hence from (2.9) we have

$$0 = D''s = (P_I D'' P_{II} + P_I D'' P_I) \cdot s + P_{II} D' P_{II} s + P_{II} D'' P_I s.$$

Thus we find from (2.10) that if s is a holomorphic section of $E|V$, then

$$(2.11) \quad P_{II} D'' P_{II} s = 0 \quad \text{on } V.$$

Now let t be a holomorphic section of $E_{II}|V$. Then for each $x \in V$, there exist a neighborhood $V(x) \subset V$ of x and a holomorphic section s of $E|V(x)$ such that $\xi \cdot s = t$ on $V(x)$. On the other hand, it is clear that $(\xi D\hat{\xi})'' = \xi D''\hat{\xi}$, $\xi = \xi P_{II}$ and $\hat{\xi}\hat{\xi} = P_{II}$. Therefore we have

$$(\xi D\hat{\xi})'' \cdot t = \xi D''\hat{\xi} \cdot t = \xi D''\hat{\xi} \cdot \xi s = \xi P_{II} D'' P_{II} s.$$

From (2.11) it follows that $(\xi D\hat{\xi})'' t = 0$ on $V(x)$. Thus we have proved that $(\xi D\hat{\xi})'' t = 0$ on V . Therefore $\xi D\hat{\xi}$ is the canonical connection $D(N_{II})$.

Hence if we identify E_I and E_{II} under the isomorphism $\hat{\xi}$, then we can also identify $P_{II} D P_{II}$ and $\xi D\hat{\xi}$. Therefore, as we have proved, $P_{II} D P_{II}$ is regarded as the connection $D(N_{II})$ on E_{II} . Similarly it is proved that $P_I D P_I$ induces the connection $D(N_I)$ on E_I . Q.E.D.

Now a family D_t which we need for the duality theorem is given by

$$(2.12) \quad D_t = D + (e^t - 1) P_{II} D P_I \quad \text{for all } t \in \mathbf{R}.$$

From (i) in Lemma 2.3 and the fact that D is the connection of type (1.1), D_t is a connection of type (1, 1) for every $t \in \mathbf{R}$. We have further

LEMMA 2.4, [1]. *The family D_t defined by (2.12) is “bounded” by the element $P_I \in \Gamma(\text{Hom}(E, E))$.*

Using the identifications $P_i D P_i = D(N_i)$ ($i = I, II$), we obtain the following decompositions of $K[E, D_t]$ according to P_i ($i = I, II$), [1]: Let $P_i K$, $[E, D_t] P_j$ be denoted by $K_{ij}[E, D_t]$. Then we have

$$(2.13) \quad K_{II}[E, D_t] = K[E_I, D(N_I)] + e^t \square_I$$

$$(2.14) \quad K_{III\,II}[E, D_t] = K[E_{II}, D(N_{II})] + e^t \square_{II}$$

$$(2.15) \quad K_{I\,II}[E, D_t] = e^t K_{I\,II}[E, D], \quad K_{II\,I}[E, D_t] = K_{II\,I}[E, D]$$

where $\square_I = P_I D P_{II} D P_I$ and $\square_{II} = P_{II} D P_I D P_{II}$.

Notice that $\xi K[E, D] \in A^{1,1}(X; \text{Hom}(E_{II}, E_{II}))$ is identified with $K_{III,II}[E, D]$ under the isomorphism $\hat{\xi}: E_{II} \longrightarrow E_I^\perp$. Under this identification, \square_{II} is also considered as the element of $A^2(X; \text{Hom}(E_{II}, E_{II}))$, that is, from (2.14),

$$\square_{II} = K_{III\,II}[E, D] - K[E_{II}, D(N_{II})] \in A^2(X; \text{Hom}(E_{II}, E_{II})).$$

We are now in a position to state the duality theorem. Let us suppose that $\dim E = n$ and let $b_k^n \in I^k(M_n)$, ($k=1, \dots, n$) and let $\widetilde{\det}$ be as defined in §1. Then from Lemma 2.4 we can apply Proposition 2.2 to D_t, P_I and $\widetilde{\det}$. Here it follows that

$$(2.16) \quad C(E, D) - C(E, D_t) = d''d' \int_t^0 \widetilde{\det}'((\kappa K[E, D_t]; \kappa P_I)).$$

In the case of $\dim E_I = 1$, we calculate (2.16). Let us take a frame $u = \{u_i\}_{1 \leq i \leq n}$ of E over an open set V of X such that u_1 and $v = \{u_i\}_{2 \leq i \leq n}$, respectively, form frames of $E_I|V$ and $E_I^\perp|V$. Then $v = \{u_i\}_{2 \leq i \leq n}$ is considered as the frame of $E_{II}|V$. As, relative to the frame u , $P_I(u) = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ we find from (1.19), (2.13), (2.14) and (2.15) that $\det'((\kappa K[E, D_t]; \kappa P_I))|_v = \frac{\partial}{\partial \lambda} \Big|_{\lambda=0} \{1_n + \kappa K[E, D_t](u) + \lambda \kappa P_I(u)\} = \frac{\partial}{\partial \lambda} \Big|_{\lambda=0} \det$

$$\left(\frac{1 + \kappa K[E_I, D(N_I)](u_1) + \kappa e^t \square_I(u_1) + \lambda \kappa}{\kappa K_{II\,I}[E, D](u)} \right| \frac{\kappa e^t K_{I\,II}[E, D](u)}{1_{n-1} + \kappa K[E_{II}, D(N_{II})](v) + \kappa e^t \square_{II}(v)} \right)$$

$$= \kappa \det \{1_{n-1} + \kappa K[E_{II}, D(N_{II})](v) + e^t \kappa \square_{II}(v)\}$$

$$= \kappa \{1 + \sum_{k=1}^{n-1} b_k^{n-1} v((\kappa K[E_{II}, D(N_{II})](v) + \kappa e^t \square_{II}(v)))\}$$

$$= \kappa \{1 + \sum_{k=1}^{n-1} b_k^{n-1} ((\kappa K[E_{II}, D(N_{II})] + \kappa e^t \square_{II}))\}|V|,$$

so that, $\widetilde{\det}'((\kappa K[E, D_t]; \kappa P_I)) = \kappa \{1 + \sum_{k=1}^{n-1} b_k^{n-1} ((\kappa K[E_{II}, D(N_{II})] + e^t \kappa \square_{II}))\}$ on X . For simplicity put $b_\alpha^{n-1}((A: (l)B)) = b_\alpha^{n-1}(\overbrace{A, \dots, A}^{\alpha-l}, \overbrace{B, \dots, B}^l)$ $A, B \in M_{n-1}$ and set $b_0^{n-1}((A)) = 1$, $A \in M_{n-1}$. Then in terms of the symmetry of b_α^{n-1} and $K[E_{II}, D(N_{II})], \square_{II} \in A^2(X; \text{Hom}(E_{II}, E_{II}))$, it follows that $b_\alpha^{n-1}((\kappa K[E_{II}] + e^t \kappa \square_{II})) = \sum_{l=1}^{\alpha} \binom{\alpha}{l} e^{lt} b_\alpha^{n-1}((\kappa K[E_{II}] : (l) \kappa \square_{II}))$ where $K[E_{II}] = K[E_{II}, D(N_{II})]$ and $\binom{\alpha}{0} = 1$ for $l = 0$. Therefore it follows that

$$\begin{aligned} & \widetilde{\det}'((\kappa K[E, D_t]: \kappa P_I)) \\ &= \kappa \sum_{\alpha=0}^{n-1} b_\alpha^{n-1} ((\kappa K[E_{II}]) + \kappa \sum_{\alpha=0}^{n-1} \sum_{l=1}^{\alpha} \binom{\alpha}{l} e^{lt} b_\alpha^{n-1} ((\kappa K[E_{II}]: (l)\kappa \square_{II}))). \quad \text{Hence as} \\ & d''d'(\sum_{\alpha=0}^{n-1} b_\alpha^{n-1} ((\kappa K[E_{II}])) = d''d'C_\alpha(E_{II}) = 0, \text{ we have} \end{aligned}$$

$$\begin{aligned} & \lim_{t \rightarrow -\infty} d''d' \int_t^0 \widetilde{\det}'((\kappa K[E, D_t]: \kappa P_I)) \\ &= \kappa \sum_{\alpha=1}^{n-1} \sum_{l=1}^{\alpha-1} \frac{1}{l} \binom{\alpha}{l} b_\alpha^{n-1} ((\kappa K[E_{II}, D(N_{II})]: (l)\kappa \square_{II})). \end{aligned}$$

On the other hand, it is obvious that

$$\lim_{t \rightarrow -\infty} C(E, D_t) = C(E_I) \cdot C(E_{II}).$$

Thus we obtain from (2.16) the duality formula for the case of $\dim E_I = 1$:

$$\begin{aligned} (2.17) \quad & C(E) = C(E_I) \cdot C(E_{II}) \\ &= \kappa d''d' \sum_{\alpha=1}^{n-1} \sum_{l=1}^{\alpha} \frac{1}{l} \binom{\alpha}{l} b_\alpha^{n-1} ((\kappa K[E_{II}, D(N_{II})]: (l)\kappa \square_{II})). \end{aligned}$$

Here we put, in general,

$$C_0(E) = 1 \quad \text{and} \quad C_\alpha(E) = 0 \quad \text{if} \quad \alpha > \dim E.$$

Then using $b_\alpha^{n-1} ((\kappa K[E_{II}, D(N_{II})]: (l)\kappa \square_{II})) \in A^{2\alpha}(X)$, we obtain from (2.17) the following

PROPOSITION 2.5. *Let $0 \rightarrow E_I \rightarrow E \rightarrow E_{II} \rightarrow 0$ be an exact sequence of holomorphic vector bundles over a complex manifold X , and let $C(E)$, and $C(E_i)$ $i = I, II$ be the Chern forms induced by a norm N on E . Suppose now $\dim E = n$. Then if $\dim E_I = 1$, we obtain*

$$\begin{aligned} (2.18) \quad & C_{n-k+1}(E) = C_1(E_I) \cdot C_{n-k}(E_{II}) - C_{n-k+1}(E_{II}) \\ &= \kappa d''d' \sum_{l=1}^{n-k} \frac{1}{l} \binom{n-k}{l} b_{n-k}^{n-1} ((\kappa K[E_{II}, D(N_{II})]: (l)\kappa \square_{II})), \\ & \quad k = 1, \dots, n, \end{aligned}$$

where $\square_{II} = P_{II}K[E, D(N)]P_{II} - K[E_{II}, D(N_{II})] \in A^2(X: \text{Hom}(E_{II}, E_{II}))$.

Here we require explicit representations of $K[E_{II}, D(N_{II})]$ and \square_{II} .

LEMMA 2.6. *Notations being as above, let $u = \{u_i\}_{1 \leq i \leq n}$ be a frame of $E|V$ such that u_1 and $v = \{u_i\}_{2 \leq i \leq n}$, respectively, are frames of $E_I|V$ and $E_I^\perp|V$. Then, relative to the frame v ,*

$$(2.19) \quad K[E_{II}, D(N_{II})](v) = \|d\theta_{ij} - \sum_{k=2}^n \theta_{ik} \wedge \theta_{kj}\|_{2 \leq i, j \leq n}$$

$$(2.20) \quad \square_{II}(v) = \|-\theta_{i1} \wedge \theta_{1j}\|_{2 \leq i, j \leq n}.$$

Proof. It is trivial from assumptions that

$$P_{II}DP_{II} \cdot u_i = \sum_{j=2}^n \theta_{ij} \cdot u_j \quad i = 2, \dots, n.$$

Therefore it follows from (1.22) and $P_{II}DP_{II} = D(N_{II})$ that

$$\begin{aligned} K[E_{II}, D(N_{II})] \cdot u_i &= (P_{II}DP_{II})^2 \cdot u_i \\ &= \sum_{j=2}^n (d\theta_{ij} - \sum_{k=2}^n \theta_{ik} \wedge \theta_{kj}) u_j, \quad 2 \leq i \leq n. \end{aligned}$$

Thus (2.19) is proved. On the other hand, it follows that; for each integer i ($2 \leq i \leq n$),

$$\begin{aligned} P_{II}K[E, D]P_{II} \cdot u_i &= P_{II}D^2P_{II}u_i = P_{II}D^2u_i \\ &= \sum_{j=2}^n (d\theta_{ij} - \sum_{k=1}^n \theta_{ik} \wedge \theta_{kj}) u_j \end{aligned}$$

Then, relative to the frame v ,

$$P_{II}K[E, D]P_{II}(v) = \|d\theta_{ij} - \sum_{k=1}^n \theta_{ik} \wedge \theta_{kj}\|_{2 \leq i, j \leq n}.$$

Therefore (2.20) follows immediately:

$$\begin{aligned} \square_{II}(v) &= P_{II}K[E, D]P_{II}(v) - K[E_{II}, D(N_{II})](v). \\ &= \|-\theta_{i1} \wedge \theta_{1j}\|_{2 \leq i, j \leq n}. \end{aligned}$$

Q.E.D.

Using these relations (2.19) and (2.20), we shall apply Proposition 2.5 to the case when E is the product bundle $X \times \mathbf{C}^n$ over X . Let (\cdot, \cdot) be the inner product of \mathbf{C}^n defined as follows: Let e_1, \dots, e_n be the natural basis of \mathbf{C}^n and let z^1, \dots, z^n denote the complex coordinates corresponding to this basis. Then put

$$(2.21) \quad (u, v) = \sum_{i=1}^n \bar{z}^i(u) \bar{z}^i(v) \quad u, v \in \mathbf{C}^n.$$

We take a norm N_0 on the product bundle E to be one induced by the inner product (\cdot, \cdot) of \mathbf{C}^n . Then we have

COROLLARY 2.7. *Let $0 \longrightarrow E_I \longrightarrow E \longrightarrow E_{II} \longrightarrow 0$ be as in Proposition 2.5. Suppose that E is the product bundle $X \times \mathbf{C}^n$ over X and that $\dim E_I = 1$. Then it follows that*

$$(2.22) \quad C_k(E_{II}) = (-C_1(E_I))^k \quad 1 \leq k.$$

Proof. Let $s = \{s_i\}_{1 \leq i \leq n}$ be a global holomorphic frame of E defined by $s_i(x) = (x, e_i)$, $x \in X$, $i = 1, \dots, n$.

Further let E_I denote the orthocomplement to E_I and let us take a frame $u = \{u_i\}_{1 \leq i \leq n}$ of $E|V$ as defined in Lemma 2.6. Then there exist elements $a_{ij} \in A(V)$ such that $v_i = \sum_{j=1}^n a_{ij} \cdot s_j$, $i = 1, \dots, n$. Let A be the matrix of functions $\|a_{ij}\|$, and let put $A^{-1} = \|b_{ij}\|$. Then from $D(N_0) \cdot s_i = 0$ ($i = 1, \dots, n$) we have

$$D(N_0) \cdot u_i = \sum_{k=1}^n (\sum_{j=1}^n d a_{ik} b_{kj}) \cdot u_j.$$

Therefore if we put $\omega_{ij} = \sum_{k=1}^n d a_{ik} b_{kj}$ ($i, j = 1, \dots, n$), it follows that, relative to the frame u ,

$$\theta(u, D(N_0)) = \|\omega_{ij}\|_{1 \leq i, j \leq n}.$$

Thus if N_{oII} denotes a norm on E_{II} induced by N_0 , we find from (2.19) and (2.20) that, relative to the frame $v = \{u_i\}_{2 \leq i \leq n}$,

$$(2.23) \quad K[E_{II}, D(N_{oII})](v) = \|d\omega_{ij} - \sum_{k=2}^n \omega_{ik} \wedge \omega_{kj}\|$$

$$(2.24) \quad \square_{II}(v) = \|-\omega_{i1} \wedge \omega_{1j}\|.$$

On the other hand, it is proved that

$$(2.25) \quad d\omega_{ij} - \sum_{k=1}^n \omega_{ik} \wedge \omega_{kj} = 0, \quad i, j = 1, \dots, n.$$

We obtain from (2.23), (2.24) and (2.25),

$$(2.26) \quad K[E_{II}, D(N_{oII})] = -\square_{II}.$$

Hence the right hand side of (2.17) equals zero. Indeed it follows that, for each k , ($1 \leq k \leq n$),

$$\begin{aligned} b_{n-k}^{n-1}((\kappa K[E_{II}, D(N_{oII})]: (l)\kappa \square_{II})) &= (-1)^l b_{n-k}^{n-1}((\kappa K[E_{II}, D(N_{oII})]) \\ &= (-1)^l C_{n-k}(E_{II}). \end{aligned}$$

From $dC_{n-k}(E_{II}) = 0$, we find that $d''d'b_{n-k}^{n-1}((\kappa K[E_{II}]: (l)\kappa \square_{II})) = 0$. Thus we have from (2.17)

$$(2.27) \quad C_{n-k+1}(E) - C_1(E_I) \cdot C_{n-k}(E_{II}) = C_{n-k+1}(E_{II}), \quad k = 1, \dots, n.$$

It is trivial that $C(E) = 1$, that is, $C_0(E) = 1$ and $C_k(E) = 0$, if $k \geq 1$. Therefore from (2.27)

$$(2.28) \quad C_l(E_{II}) = -C_1(E_I) \cdot C_{l-1}(E_{II}) \quad l = 1, \dots, n.$$

By noting $C_n(E_{II}) = 0$ and $C_o(E_{II}) = 1$, (2.22) follows directly from (2.28).

Q.E.D.

§ 3. The (n, k) -trivial bundle

3.1. Let E be a Hermitian vector bundle of fibre dimension n over a complex manifold X , which admits k linearly independent holomorphic sections, say s_1, \dots, s_k , ($1 \leq k \leq n$). At first, let us introduce the next notation: Let V be a complex vector space and let v_1, \dots, v_k be k vectors of V . Then we denote by $[v_1, \dots, v_k]$ the linear subspace of V spanned by the vectors v_1, \dots, v_k .

Since s_1, \dots, s_k are k linearly independent holomorphic sections of E , we can define, with the notation above, the following holomorphic vector bundles over X :

$$(3.1) \quad E'_0 = \bigcup_{x \in X} [s_1(x)]$$

$$(3.2) \quad E'_i = \bigcup_{x \in X} [s_{i+1}(x)]/[s_1(x), \dots, s_i(x)] \quad i = 1, \dots, k-1$$

$$(3.3) \quad E''_i = \bigcup_{x \in X} E_x/[s_1(x), \dots, s_i(x)] \quad i = 1, \dots, k.$$

For convenience sake put $E''_0 = E$. Then one notes that each E'_i is a subbundle of E''_i of fibre dimension 1, and that E''_i is of fibre dimension $(n-i)$ for $i = 0, \dots, k$. Now let $\xi_i: E''_{i-1} \rightarrow E''_i$ ($i = 1, \dots, k$) be homomorphisms defined by setting, for each $x \in X$

$$\begin{aligned} \xi_1(e) &= e/[s_1(x)] \quad \text{and} \quad \xi_i(e/[s_1(x), \dots, s_i(x)]) = e/[s_1(x), \dots, s_{i+1}(x)], \\ &\quad i = 2, \dots, k, \end{aligned}$$

for any $e \in E_x$. Then there exists a system of exact sequences:

$$(3.4) \quad 0 \longrightarrow E'_{i-1} \longrightarrow E''_{i-1} \longrightarrow E''_i \longrightarrow 0 \quad (i = 1, \dots, k)$$

over X . Let N be a norm on E . First of all, in terms of the exact sequence: $0 \longrightarrow E'_0 \longrightarrow E''_0 \longrightarrow E''_1 \longrightarrow 0$, the norm N on $E = E''_0$ induces norms N'_0 on E'_0 and N''_1 on E''_1 as defined in § 2. Next N''_1 induces norms N''_1 on E'_1 and N''_2 on E''_2 from $0 \longrightarrow E'_1 \longrightarrow E''_1 \longrightarrow E''_2 \longrightarrow 0$. Thus the norm N on E induces norms N''_{i-1} on E'_{i-1} and N''_i on E''_i inductively. Here we write $C(E)$, $C(E'_{i-1})$ and $C(E''_i)$ ($i = 1, \dots, k$) for the Chern forms induced by the norm N . We shall now apply the duality formula (2.17) to each exact sequence of (3.4). Let $0 \longrightarrow E'_{i-1} \longrightarrow E''_{i-1} \longrightarrow E''_i \longrightarrow 0$ be as in (3.4). Let $(E'_{i-1})^\perp$ denote the orthocomplement to E'_{i-1}

and let $P_{i-1}^{II}: E_{i-1}^{II} \longrightarrow (E_{i-1}^I)^\perp$ be the projection. Then we define an element $\square_i \in A^2(X: \text{Hom}(E_i^{II}, E_i^{II}))$ by

$$(3.5) \quad \square_i = P_{i-1}^{II} K[E_{i-1}^{II}, D(N_{i-1}^{II})] P_{i-1}^{II} - K[E_i^{II}, D(N_i^{II})]$$

where $K(E_\alpha^{II}, D(N_\alpha^{II}))$ is the curvature element of the canonical connection $D(N_\alpha^{II})$ induced by N_α^{II} ($\alpha = i-1, i$). Then noting that $\dim E_{i-1}^{II} = (n-i+1)$, we have from (2.17)

$$(3.6) \quad \begin{aligned} & C_{n-k+1}(E_{i-1}^{II}) - C_1(E_{i-1}^I) \cdot C_{n-k}(E_i^{II}) - C_{n-k+1}(E_i^{II}) \\ &= \kappa d'' d' \sum_{l=1}^{n-k} \frac{1}{l} \binom{n-k}{l} b_{n-k}^{n-l} ((\kappa K E_i^{II}, D(N_i^{II})) : (l) \kappa \square_i)), \quad i = 1, \dots, k. \end{aligned}$$

Let $\tilde{s}_i: X \rightarrow E_{i-1}^I$ ($i = 1, \dots, k$) be holomorphic sections defined as follows: For each $x \in X$,

$$(3.7) \quad \tilde{s}_1(x) = s_1(x), \text{ and } \tilde{s}_i(x) = s_i(x)/[s_1(x), \dots, s_{i-1}(x)] \text{ for } i = 2, \dots, k.$$

Then these sections become global nonvanishing holomorphic sections, so that from (2.5)

$$(3.8) \quad C_1(E_{i-1}^I) = \chi d'' d' \log N_{i-1}^I(s_i) \quad i = 1, \dots, k.$$

As $\sum_{i=1}^k \{C_{n-k+1}(E_{i-1}^{II}) - C_{n-k+1}(E_i^{II})\} = C_{n-k+1}(E)$ and $d' C_{n-k}(E_i^{II}) = 0$ $i = 1, \dots, k$, it follows from (3.6) and (3.8) that

$$(3.9) \quad \begin{aligned} & C_{n-k+1}(E) \\ &= \kappa d'' d' \sum_{i=1}^k \{\log N_{i-1}^I(\tilde{s}_i) C_{n-k}(E_i^{II}) + \sum_{l=1}^{n-k} \frac{1}{l} \binom{n-k}{l} b_{n-k}^{n-l} \\ & \quad ((\kappa K E_i^{II}, D(N_i^{II})) : \kappa \square_i)). \end{aligned}$$

Put

$$(3.10) \quad \begin{aligned} & \eta_{n-k+1}(E, N, \{s_i\}_{1 \leq i \leq k}) \\ &= -\frac{1}{4} d^c \sum_{i=1}^k \left\{ \log N_{i-1}^I(\tilde{s}_i) \cdot C_{n-k}(E_i^{II}) + \sum_{l=1}^{n-k} \frac{1}{l} \binom{n-k}{l} b_{n-k}^{n-l} \right. \\ & \quad \left. ((\kappa K [E_i^{II}, D(N_i^{II})] : (l) \kappa \square_i)) \right\}. \end{aligned}$$

where $d^c = i(d' - d')$.

Then from $dd^c = -2id''d'$, $C_{n-k+1}(E) = d\eta_{n-k+1}(E, N, \{s_i\}_{1 \leq i \leq k})$. One notes that $\eta_{n-k+1}(E, N, \{s_i\}_{1 \leq i \leq k})$ is an element of $A^{2(n-k)+1}(X)$.

DEFINITION 3.1. Let E be a holomorphic vector bundle of fibre dimension n with a norm N , over a complex manifold X . Suppose further

E admits k linearly independent holomorphic sections s_1, \dots, s_k . Then E is called the (n, k) -trivial bundle with the norm N and the k -frames $\{s_i\}_{1 \leq i \leq k}$, over X , or simply the (n, k) -trivial bundle with (N, s) over X . Moreover the $2(n - k) + 1$ -form $\eta_{n-k+1}(E, N, s)$ on X defined by (3.10) is called the boundary form of the (n, k) -trivial bundle E .

With this definition, we resume discussions above as

PROPOSITION 3.1. *Let E be an (n, k) -trivial bundle with (N, s) , over a complex manifold X , and let $\eta_{n-k+1}(E, N, s)$ be the boundary form of E . If $C_{n-k+1}(E)$ denotes the $(n - k + 1)$ th Chern form induced by the norm N on E , then*

$$(3.11) \quad C_{n-k+1}(E) = d\eta_{n-k+1}(E, N, s).$$

3.2. The properties of boundary forms. We shall next study a local expression of the boundary form $\eta_{n-k+1}(E, N, s)$. Let E be an (n, k) -trivial bundle with $(N, s = \{s_i\})$ over X . Then a frame $u = \{u_i\}_{1 \leq i \leq n}$ of E over an open set V of X , is called a compatible frame with the k -frame s if:

- (i) u is an orthonormal frame of $E|V$.
- (ii) For each $x \in X$, $[u_1(x), \dots, u_i(x)] = [s_1(x), \dots, s_i(x)]$ $i = 1, \dots, k$, i.e., u_1, \dots, u_k are global orthonormal sections constructed from the k -frame s , in terms of Schmidt's orthogonalization.

Let $0 \rightarrow E_{i-1}^I \rightarrow E_{i-1}^{II} \xrightarrow{\xi_i} E_i^{II} \rightarrow 0$ be as defined in (3.4) and put $\xi_0 =$ identity mapping of E . Let $u = \{u_i\}_{1 \leq i \leq n}$ be a compatible frame of $E|V$ with the k -frame s . Then for each i , $(1 \leq i \leq k)$, $\{\xi_{i-1} \cdots \xi_0 u_i\}_{i \leq t \leq n}$ becomes an orthonormal frame of E_{i-1}^{II} such that $\xi_{i-1} \cdots \xi_0 u_i$ and $\{\xi_i \cdots \xi_1 u_t\}_{i+1 \leq t \leq n}$ form orthonormal frames of $E_{i-1}^I|V$ and $E_i^{II}|V$ respectively. Moreover if $\hat{\xi}_i: E_i^{II} \rightarrow (E_{i-1}^I)^\perp$ denotes the inverse mapping of $\xi_i|(E_{i-1}^I)^\perp$, $i = 1, \dots, k$, then from (ii) in Lemma 2.3 it follows that $D(N_i^{II}) = \xi_i \cdots \xi_1 D \hat{\xi}_1 \cdots \hat{\xi}_i$, $i = 1, \dots, k$. Combining these facts with Lemma 2.6, we can prove inductively

LEMMA 3.2. *Let u be a compatible frame of $E|V$ with the k -frame θ and let $\theta(u, D(N)) = \|\theta_{ij}\|$ be the connection matrix of the connection $D(N)$ relative to the frame u . Let us put, for each i , $(i = 1, \dots, k)$,*

$$(3.12) \quad \Theta_{ii} = \|d\theta_{si} - \sum_{l=i+1}^n \theta_{sl} \wedge \theta_{lt}\|_{i+1 \leq s, t \leq n}$$

$$(3.13) \quad \Theta_i = \|-\theta_{si} \wedge \theta_{it}\|_{i+1 \leq s, t \leq n}$$

$$(3.14) \quad s_i = \sum_{j=1}^i g_{ij} u_j, \quad g_{ij} \in A^o(X).$$

Then relative to the frame $\{\xi_{i-1} \cdots \xi_1 u_t\}_{i+1 \leq t \leq n}$,

$$(3.15) \quad K[E_i^{II}, D(N_i^{II})] = \Theta_{ii}$$

$$(3.16) \quad \square_i = \Theta_i$$

$$(3.17) \quad N_{i-1}^I(\tilde{s}_i) = |g_{ii}|^2, \text{ for } i = 1, \dots, k.$$

Therefore we obtain from (3.10)

$$(3.18) \quad \eta_{n-k+1}(E, N, s)|V \\ = \frac{-1}{4\pi} d^c \sum_{i=1}^k \left\{ \log |g_{ii}|^2 b_{n-k}^{n-i} ((\kappa \Theta_{ii})) + \sum_{l=1}^{n-k} \frac{1}{l} {}^{(n-k)} b_{n-k}^{n-i} ((\kappa \Theta_{ii}; (l) \kappa \Theta_0)) \right\}.$$

From this lemma we have

COROLLARY 3.3. *The boundary form $\eta_{n-k+1}(E, N, s)$ is a real form on X .*

Proof. At first, let $u = \{u_i\}_{1 \leq i \leq n}$ be a compatible frame of $E|V$ with s and put $\theta(u, D(N)) = \|\theta_{ij}\|$. Then since $D(N)$ preserves the inner product \langle , \rangle_N and $\langle u_i, u_j \rangle_N = \delta_{ij}$, $i, j = 1, \dots, n$, we observe that $\bar{\theta}_{ij} = -\theta_{ji}$, $i, j = 1, \dots, n$. Therefore if Θ_{ii} and Θ_i are as defined by (3.12) and (3.13) respectively, then $\bar{\Theta}_{ii} = -{}^t \Theta_{ii}$ and $\bar{\Theta}_i = -{}^t \Theta_i$ for each i . On the other hand, from the definition (1.9) of b_k^n ,

$$b_k^n(A_1, \dots, A_k) = b_k^n({}^t A_1, \dots, {}^t A_k) \quad A_i \in M_n.$$

Hence, $b_{n-k}^{n-i} ((\bar{\kappa} \bar{\Theta}_{ii})) = b_{n-k}^{n-i} ((\kappa {}^t \Theta_{ii})) = b_{n-k}^{n-i} ((\kappa \Theta_{ii}))$, and $b_{n-k}^{n-i} ((\bar{\kappa} \bar{\Theta}_{ii}; (l) \bar{\kappa} \bar{\Theta}_i)) = b_{n-k}^{n-i} ((\kappa \Theta_{ii}; (l) \kappa \Theta_i))$. Further, as $\bar{d}^c = d^c$ this corollary is proved. Q.E.D.

3.3 Naturality of boundary forms. We shall next state the naturality of the boundary form. For this purpose, in general, let E be a Hermitian vector bundle over a complex manifold X , and let Y be a complex manifold. Now given a holomorphic mapping $f: Y \rightarrow X$, we have the induced bundle, denoted by f^*E , of E under f defined as follows: Let $\Pi: E \rightarrow X$ be the projection. Then

$$f^*E = \{(y, e) \in Y \times E : f(y) = \Pi(e)\}.$$

If $t \in \Gamma(E)$, then $t.f$ is considered as an element of $\Gamma(f^*E)$. Let N be a norm on E . Then a norm f^*N on f^*E is defined by, $f^*N(y, e) = N(e)$, $(y, e) \in f^*E$. This norm f^*N is called the *induced norm* of N under f . It is

trivial from definition that

$$(3.20) \quad f^*\langle t, t' \rangle_N = \langle t \cdot f, t' \cdot f \rangle_{f^*N}, \quad t, t' \in \Gamma(E).$$

Moreover we can define a connection f^*D on f^*E as follows: Let $t \in \Gamma(f^*E)$. For each $x \in X$, we take a neighborhood V of x such that there exists a frame $s = \{s_i\}$ of $E|V$. Then there exist elements such $f_i \in A^0(f^{-1}(V))$ that $t = \sum_i f_i \cdot (s \cdot f)$ on $f^{-1}(V)$. If $\theta(s, D(N)) = \|\theta_{ij}\|$ the connection matrix relative to the frame s , then put

$$(3.21) \quad f^*D \cdot t = \sum_i df_i \cdot (s_i f) + \sum_{i,j} f_i \cdot f^* \theta_{ij} (s_j f) \text{ on } V.$$

That this definition is well-defined need not the assumption that f is holomorphic. However the next Lemma 3.4 follows from the facts that f is holomorphic and that $D(N) = D$ is the canonical connection induced by the norm N on E .

LEMMA 3.4. *The connection f^*D is equal to the canonical connection $D(f^*N)$, i.e., $f^*D(N) = D(f^*N)$.*

This is proved as (ii) in Lemma 2.3. Let $u = \{u_i\}$ be a frame of $E|V$. Then we denote by $f^*u = \{u_i \cdot f\}$ the induced frame of $f^*E|f^{-1}(V)$. Then we observe from Lemma 3.4 that

$$(3.22) \quad f^*\theta(u, D(N)) = \theta(f^*u, D(f^*N)).$$

If $C(E)$ and $C(f^*E)$ denote the Chern form induced by norms N and f^*N , respectively, then

$$(3.23) \quad f^*C(E) = C(f^*E).$$

Now let E be an (n, k) -trivial bundle with (N, s) over a complex manifold X . Let Y be a complex manifold and let $f: Y \rightarrow X$ be a holomorphic mapping. Then the induced bundle f^*E becomes the (n, k) -trivial bundle with (f^*N, f^*s) over Y . Hence if $\eta_{n-k+1}(E, N, s)$ and $\eta_{n-k+1}(f^*E, f^*N, f^*s)$ denote the boundary forms of E and f^*E respectively, then we obtain

PROPOSITION 3.5. *(Naturality of boundary form)*

$$(3.24) \quad f^*\eta_{n-k+1}(E, N, s) = \eta_{n-k+1}(f^*E, f^*N, f^*s)$$

Proof. As $d^c f^* = f^* d^c$, this proposition follows directly from (3.18), (3.20) and (3.22). Q.E.D.

3.4. The k -general Stiefel bundle. We shall study properties of the boundary form of an (n, k) -trivial bundle constructed from a Hermitian vector bundle. At first let V be a complex vector space of dimension n . Then we denote by $F_k(V)$ the k -general Stiefel manifold consisting of all the k -frames (v_1, \dots, v_k) of V . Now let E be a Hermitian vector bundle of fibre dimension n over a complex manifold X . Then let E_k be a holomorphic bundle defined by

$$(3.25) \quad E_k = \bigcup_{x \in X} F_k(E_x).$$

This bundle E_k is called the k -general Stiefel bundle of E . Clearly E_k has the k -general Stiefel manifold $F_k(\mathbf{C}^n)$ as fibre. Let $\pi_k: E_k \rightarrow X$ be the projection. Then we obtain the induced bundle π_k^*E of E under π_k . This induced bundle π_k^*E is a holomorphic vector bundle of fibre dimension n over E_k , which admits k linearly independent holomorphic sections of π_k^*E , say s_1, \dots, s_k , defined by setting

$$(3.26) \quad s_i(v_1, \dots, v_k) = \{(v_1, \dots, v_k), v_i\}, \quad (v_1, \dots, v_k) \in E_k, \quad i = 1, \dots, k.$$

Moreover let N be a norm on E . Then π_k^*E becomes the (n, k) -trivial bundle with the induced norm π_k^*N and the k -frame $s = \{s_i\}_{1 \leq i \leq k}$, over E_k . Therefore if $\eta_{n-k+1}(\pi_k^*E, \pi_k^*N, s)$ denotes the boundary form of π_k^*E , and if $C_{n-k+1}(\pi_k^*E)$ is the $(n - k + 1)$ th Chern form induced by the norm π_k^*N on π_k^*E , then from Proposition 3.1, $C_{n-k+1}(\pi_k^*E) = d\eta_{n-k+1}(\pi_k^*E, \pi_k^*N, s)$. Further let $C_{n-k+1}(E)$ be the $(n - k + 1)$ th Chern form induced by the norm N on E . Then it follows from (3.23) that $\pi_k^*C_{n-k+1}(E) = C_{n-k+1}(\pi_k^*E)$. We have

$$(3.27) \quad \pi_k^*C_{n-k+1}(E) = d\eta_{n-k+1}(\pi_k^*E, \pi_k^*N, s) \quad \text{on } E_k.$$

Let x be any fixed point of X , and let us take a neighborhood V of x such that $\varphi: V \times F_k(\mathbf{C}^n) \rightarrow \pi_k^{-1}(V)$ is a trivialization of $E_k|V$. Then we define a holomorphic mapping $\varphi_x: F_k(\mathbf{C}^n) \rightarrow E_k$ by

$$(3.28) \quad \varphi_x(v_1, \dots, v_k) = \varphi\{x, (v_1, \dots, v_k)\} \quad (v_1, \dots, v_k) \in F_k(\mathbf{C}^n).$$

This mapping φ_x is called the inclusion map at x . Then it is obvious from (3.27) that a $2(n - k) + 1$ -form

$\varphi_x^* \eta_{n-k+1}(\pi_k^* E, \pi_k^* N, s)$ on $F_k(\mathbf{C}^n)$ is a closed form, i.e.,

$$d\varphi_x^* \eta_{n-k+1}(\pi_k^* E, \pi_k^* N, s) = 0,$$

and that $\varphi_x^* \pi_k^* E = (\pi_k \cdot \varphi_x)^* E$ is the product bundle $F_k(\mathbf{C}^n) \times E_x$ over $F_k(\mathbf{C}^n)$. Let us consider the product bundle $F_k(\mathbf{C}^n) \times \mathbf{C}^n$ over $F_k(\mathbf{C}^n)$. We consider $F_k(\mathbf{C}^n) \times \mathbf{C}^n$ as the (n, k) -trivial bundle with (No. s^o) defined as follows: We take a norm No to be one induced by the inner product $(,)$ of \mathbf{C}^n as defined in § 2, and we define a k -frame $s^o = \{s_i^o\}_{1 \leq i \leq k}$ by $s_i^o(v_1, \dots, v_k) = \{(v_1, \dots, v_k), v_i\}$ for $(v_1, \dots, v_k) \in F_k(\mathbf{C}^n)$, $i = 1, \dots, k$.

Then the boundary form of $F_k(\mathbf{C}^n) \times \mathbf{C}^n$ is also a cocycle form.

DEFINITION 3.2. Let $-\Phi_k$ be the boundary form of the (n, k) -trivial bundle $F_k(\mathbf{C}^n) \times \mathbf{C}^n$ with (No. s^o). Then Φ_k is called the *obstruction form* of $F_k(\mathbf{C}^n)$.

PROPOSITION 3.6. *Notations being as above, let $\{\varphi_x^* \eta_{n-k+1}(\pi_k^* E, \pi_k^* N, s)\}$ and $\{\Phi_k\}$, respectively, denote the cohomology class of $\varphi_x^* \eta_{n-k+1}(\pi_k^* E, \pi_k^* N, s)$ and Φ_k . Then*

$$(3.29) \quad -\{\Phi_k\} = \{\varphi_x^* \eta_{n-k+1}(\pi_k^* E, \pi_k^* N, s)\}$$

$$(3.30) \quad \{\Phi_k\} \text{ is a generator of } 2(n-k)+1\text{-dimensional cohomology group of } F_k(\mathbf{C}^n), H^{2(n-k)+1}(F_k(\mathbf{C}^n); \mathbf{Z}) = \mathbf{Z}.$$

Proof. At first we shall prove (3.29). Since φ_x is a holomorphic map, it follows from (3.24) that

$$\varphi_x^* \eta_{n-k+1}(\pi_k^* E, \pi_k^* N, s) = \eta_{n-k+1}((\pi_k \varphi_x)^* E, (\pi_k \varphi_x)^* N, \varphi_x^* s).$$

There exists an element $g \in GL(n: \mathbf{C})$ such that the (n, k) -trivial bundle $(\pi_k \varphi_x)^* E$ with $\{(\pi_k \varphi_x)^* N, \varphi_x^* s\}$ is identified with the (n, k) -trivial bundle $F_k(\mathbf{C}^n) \times \mathbf{C}^n$ with (No. s^o) under the transformation T_g of $F_k(\mathbf{C}^n)$ defined by, $T_g(v_1, \dots, v_k) = (g \cdot v_1, \dots, g \cdot v_k)$ for any $(v_1, \dots, v_k) \in F_k(\mathbf{C}^n)$, that is,

$$\begin{aligned} & T_g^* \eta_{n-k+1}((\pi_k \varphi_x)^* E, (\pi_k \varphi_x)^* N, \varphi_x^* s) \\ &= \eta_{n-k+1}(T_g^* (\pi_k \varphi_x)^* E, T_g^* (\pi_k \varphi_x)^* N, T_g^* \varphi_x^* s) \\ &= \eta_{n-k+1}(F_k(\mathbf{C}^n) \times \mathbf{C}^n, \text{No, } s^o) = -\Phi_k. \end{aligned}$$

However T_g is homotopic to the identity mapping of $F_k(\mathbf{C}^n)$. Thus, (3.29) is proved. On the other hand, (3.30) follows from the next lemma.

LEMMA 3.7. Let $F: \mathbf{C}^{n-k+1} - \{0\} \longrightarrow F_k(\mathbf{C}^n)$ be a mapping defined by

$$F(v) = (e_1, \dots, e_{k-1}, v) \text{ for any } v \in \mathbf{C}^{n-k+1} - \{0\}$$

where \mathbf{C}^{n-k+1} is regarded as the subspace $\overbrace{0 \times \cdots \times 0}^{k-1} \times \mathbf{C}^{n-k+1}$ of \mathbf{C}^n , and e_1, \dots, e_n is the natural basis of \mathbf{C}^n .

Then if $S_{n-k+1}(\mathbf{C})$ is the unit sphere about the origin in \mathbf{C}^{n-k+1} , it follows that the restriction of $F^*\Phi_k$ to $S_{n-k+1}(\mathbf{C})$ becomes the normalized volume element of $S_{n-k+1}(\mathbf{C})$, i.e.,

$$(3.31) \quad \int_{S_{n-k+1}(\mathbf{C})} F^*\Phi_k = 1.$$

Proof. For simplicity put $E = F_k(\mathbf{C}^n) \times \mathbf{C}^n$. Since $-\Phi_k$ is the boundary form of E with (No, s^0) and $F: \mathbf{C}^{n-k+1} - \{0\} \longrightarrow F_k(\mathbf{C}^n)$ is holomorphic, $F^*(-\Phi_k)$ is the bounadry form of the (n, k) -trivial bundle F^*E with $(F^*\text{No}, F^*s^0)$, over $\mathbf{C}^{n-k+1} - \{0\}$. In terms of the definitions of F and the k -frame s^0 , we have

$$s_i^0 F(v) = e_i \quad i = 1, \dots, k-1, \text{ and } s_k^0 F(v) = v \text{ for } v \in \mathbf{C}^{n-k+1} - \{0\}.$$

Hence $F^*(-\Phi_k)$ is equal to the boundary form of the $(n-k+1, 1)$ -trivial bundle $E(\mathbf{C}) = (\mathbf{C}^{n-k+1} - \{0\}) \times \mathbf{C}^{n-k+1}$ with the norm No and the 1-frame s_1 defined by $s_1(v) = v \times v$, $v \in \mathbf{C}^{n-k+1} - \{0\}$. Here let us consider the following exact sequence:

$$0 \longrightarrow E(\mathbf{C})_0^I \longrightarrow E(\mathbf{C}) \longrightarrow E(\mathbf{C})_1^{II} \longrightarrow 0$$

where $E(\mathbf{C})_0^I = \bigcup_{v \in \mathbf{C}^{n-k+1} - \{0\}} [s_1(v)]$ and $E(\mathbf{C})_1^{II} = \bigcup_{v \in \mathbf{C}^{n-k+1} - \{0\}} \mathbf{C}^{n-k+1}/[s_1(v)]$. Then $C_1(E(\mathbf{C})_0^I) = \frac{i}{2\pi} d''d' \log \text{No}(s_1)$, so that, from Corollary 2.7, $C_{n-k}(E(\mathbf{C})_1^{II}) = \left(-\frac{i}{2\pi} d''d' \log \text{No}(s_1) \right)^{n-k}$. Let z^1, \dots, z^{n-k+1} be complex coordinates of \mathbf{C}^{n-k+1} . Then as $\text{No}(s_1(v)) = (v, v) = \sum_{j=1}^{n-k+1} z^j(v)\bar{z}^j(v)$, we obtain

$$\begin{aligned} F^*(-\Phi_k) &= -\frac{1}{4\pi} d^c \log \text{No}(s_1) \cdot C_{n-k}(E(\mathbf{C})_1^{II}) \\ &= -\frac{1}{4\pi} d^c \log \sum_{j=1}^{n-k+1} |z^j|^2 \cdot \left(-\frac{i}{2\pi} d''d' \log \sum_{j=1}^{n-k+1} |z^j|^2 \right)^{n-k}. \end{aligned}$$

Therefore $F^*\Phi_k$ is the normalized volume element of $S_{n-k+1}(\mathbf{C})$, [2]. Q.E.D.

One notes that in the case of $k = 1$, the mapping F defined in Lemma 3.7 becomes the identity mapping of $\mathbf{C}^n - \{0\}$, so that, the restriction of the

obstruction from Φ_1 of $F_2(\mathbf{C}^n) = \mathbf{C}^n - \{0\}$ to the unit sphere $S_{n-1}(\mathbf{C}^n)$, $\Phi_1|S_{n-1}(\mathbf{C})$, is the normalized volume element of $S_{n-1}(\mathbf{C})$.

§ 4. The generalized relative Gauss-Bonnet formula.

4.1. In this section we shall establish an integral formula for the i th Chern form $C_i(E)$. In the case of $i = \dim E = \dim X$, Bott and Chern established the integral formula of $C_n(E)$ as the relative Gauss-Bonnet theorem. Here we want to extend this theorem.

Let E be a holomorphic vector bundle of fibre dimension n with a norm N , over an m -dimensional complex manifold X , and let E_k be the k -general Stiefel bundle of E with the projection $\pi_k: E_k \rightarrow X$. Let π_k^*E be the (n, k) -trivial bundle with the induced norm π_k^*N and the k -frame defined by (3.26). We denote by $\eta_{n-k+1}(\pi_k^*E)$ the boundary form of Π_k^*E and by $C_{n-k+1}(E)$ the $(n - k + 1)$ th Chern form induced by the norm N on E . Now let A be a real $2(m - n + k - 1)$ -dimensional oriented submanifold of X with boundary ∂A , and let $s: (X - A) \rightarrow E_k$ be a smooth section. Moreover let V be a real $2(n - k + 1)$ -dimensional (non-compact) oriented manifold and let $D \subset V$ be a compact domain with the smooth boundary ∂D . Then we obtain

THEOREM 4.1. *Let us suppose that there exists a smooth mapping $f: V \rightarrow X$ such that $f^{-1}(A) \cap D = \{p_1, \dots, p_t\}$ is a set of isolated points, $f^{-1}(A) \cap \partial D = \emptyset$, and $f(D) \cap \partial A = \emptyset$. If $n(p_j, f, A)$ denotes the intersection number at $(p_j; f(p_j))$ of the singular chains $f: D \rightarrow X$ and $\iota_A: A \rightarrow X$ (ι_A = the inclusion map), for each j , then*

$$(4.1) \quad \int_D f^* C_{n-k+1}(E) = \sum_{j=1}^t obs_k(p_j, sf, D)$$

$$(4.2) \quad obs_k(p_j, sf, D) = obs_k^\perp(f(p_j), s, A)n(p_j, f, A), \quad j = 1, \dots,$$

$$(4.3) \quad \int_D f^* C_{n-k+1}(E) = \int_{\partial D} f^* s^* \eta_{n-k+1}(\pi_k^* E) + \sum_{j=1}^t obs_k^\perp(f(p_j), s, A)n(p_j, f, A),$$

where $obs_k(p_j, sf, D)$ and $obs_k^\perp(f(p_j), s, A)$ are integers defined in Definition 4.1 and 4.2, respectively.

4.2. Definition of obstruction numbers. Before the proof of this theorem we define $obs_k(p_j, sf, D)$ and $obs_k^\perp(f(p_j), s, A)$. Let Φ_k be the obstruction form of the k -general Stiefel manifold $F_k(\mathbf{C}^n)$. Let Y be a real $2(n - k + 1)$ -

demensional oriented manifold Y with boundary ∂Y . Let p be any point in $(Y - \partial Y)$. Now, given a smooth mapping $t: Y - \{p\} \rightarrow E_k$ such that $\pi_k t$ can be regarded as the smooth mapping from Y into X , we define an integer, denoted by $obs_k(p, t, Y)$ as follows: Let $\pi_k t(p) = q \in X$ and choose a neighborhood $V(q)$ of q which admits a trivialization $\varphi: V(q) \times F_k(\mathbf{C}^n) \rightarrow \pi_k^{-1}(V(q))$ of $E_k|V(q)$. Then let $\psi: \pi_k^{-1}(V(q)) \rightarrow F_k(\mathbf{C}^n)$ be a holomorphic mapping defined by

$$(4.4) \quad \psi \cdot \varphi \{q', (v_1, \dots, v_k)\} = (v_1, \dots, v_k), \quad q' \in V(q), \quad (v^1, \dots, v^k) \in F_k(\mathbf{C}^n).$$

Next take a chart $(U_\delta(p), h = (y^1, \dots, y^{2(n-k+1)})$) of Y at p such that $h(p) = 0$, $h(U_\delta(p))$ is the ball of raduis $U\delta$, ($\delta > 0$) and $\pi_k t(U_\delta(p)) \subset V(q)$. For an ε -ball $U_\varepsilon(p)$, $0 < \varepsilon < \delta$, let us take the normalized volume element ω_k of $\partial U_\varepsilon(p)$. Further let $r: U_\delta(p) - \{p\} \rightarrow \partial U_\varepsilon(p)$ be a smooth mapping defined by

$$(4.5) \quad r_\varepsilon(p') = h^{-1} \left(\varepsilon \frac{y^1(p')}{\|h(p')\|}, \dots, \varepsilon \frac{y^{2(n-k+1)}(p')}{\|h(p')\|} \right) \quad p' \in U_\delta(p),$$

where $\|h(p')\| = (\sum_{j=1}^{2(n-k+1)} (y^j(p'))^2)^{1/2}$

Then $r_\varepsilon^* \omega_k$ becomes a cocycle form on $(U_\delta(p) - \{p\})$ whose cohomology class $\{r_\varepsilon^* \omega_k\}$ is a generator of $H^{2(n-k)+1}(U_\delta(p) - \{p\}; \mathbf{Z}) = \mathbf{Z}$. On the other hand as $\{\Phi_k\}$ is also a generator of $H^{2(n-k)+1}(F_k(\mathbf{C}^n); \mathbf{Z}) = \mathbf{Z}$, it follows from the fact that $\psi \cdot t$ is a smooth mapping of $(U_\delta(p) - \{p\})$ into $F_k(\mathbf{C}^n)$ that there exists an integer n such that

$$(4.6) \quad \{(\psi \cdot t)^* \Phi_k\} = n \{r_\varepsilon^* \omega_k\}, \quad \text{i.e.,}$$

$$(4.6)' \quad n = \int_{\partial U_\varepsilon(p)} (\psi \cdot t)^* \Phi_k.$$

Here put, $obs_k(p, t, Y) = n = \int_{\partial U_\varepsilon(p)} (\psi \cdot t)^* \Phi_k$

DEFINITION 4.1. The integer $obs_k(p, t, Y)$ defined by (4.6) or (4.6)' is called the k th obstruction number of t at p relative to Y . We show that (4.6)' is independent of $U_\varepsilon(p)$ and ψ . It is clear from $d\Phi_k = 0$ and Stockes formula that

$$(4.7) \quad \int_{\partial U_\varepsilon(p)} (\psi \cdot t)^* \Phi_k = \lim_{\varepsilon \rightarrow 0} \int_{\partial U_\varepsilon(p)} (\psi \cdot t)^* \Phi_k$$

We have

LEMMA 4.2. Let notations be as above. Then

$$(4.8) \quad \int_{\partial U_\epsilon(p)} (\phi \cdot t)^* \Phi_k = \lim_{\epsilon \rightarrow 0} \int_{\partial U_\epsilon(p)} t^* \eta_{n-k+1}(\pi_k E), \quad 0 < \epsilon < \delta.$$

Proof. Let $\varphi_q: F_k(C^n) \rightarrow E_k$ be the inclusion map at $q = \pi_k t(p)$ defined from the trivialization $\varphi: V(q) \times F_k(C^n) \rightarrow \pi_k^{-1}(V(q))$. From $d\eta_{n-k+1}(\pi_k^\# E) = \pi_k^\# C_{n-k+1}(E)$, we have

$$d\varphi^* \eta_{n-k+1}(\pi_k^\# E) = C_{n-k+1}(E) \quad \text{on } V(q) \times F_k(C^n).$$

Moreover, as $dC_{n-k+1}(E) = 0$, we obtain a $2(n-k)+1$ -form ω on $U(q)$ such that $C_{n-k+1}(E)|V(q) = d\omega$. Then $\varphi^* \eta_{n-k+1}(\pi_k E) - \omega$ is a cocycle form on $V(q) \times F_k(C^n)$. However $H^{2(n-k)+1}(V(q) \times F_k(C^n)) = H^{2(n-k)+1}(F_k(C^n)) = \mathbf{R}$. Therefore there exists a real number a such that

$$\{\varphi^* \eta_{n-k+1}(\pi_k E) - \omega\} = a\{\Phi_k\} \quad \text{on } V(q) \times F_k(C^n).$$

Let $j_q: F_k(C^n) \rightarrow V(q) \times F_k(C^n)$ be a mapping defined by

$$j_q(v_1, \dots, v_k) = \{q, (v_1, \dots, v_k)\} \quad (v_1, \dots, v_k) \in F_k(C^n).$$

Then from (3.29), $a\{\Phi_k\} = a\{j_q^* \Phi_k\} = \{(\varphi j_q)^* \eta_{n-k+1}(\pi_k^\# E) - j_q^* \omega\} = \{\varphi_q^* \eta_{n-k+1}(\pi_k^\# E)\} = -\{\Phi_k\}$. Hence $a = -1$. Therefore we have

$$(4.9) \quad \{\varphi^* \eta_{n-k+1}(\pi_k E) - \omega\} = -\{\Phi_k\} \quad \text{on } V(q) \times F_k(C^n).$$

Since $\pi_k t$ is a smooth mapping of $U_\epsilon(p)$ into $V(q)$, Lemma 4.2 follows directly from (4.7) and (4.9) as follows:

$$\begin{aligned} \int_{\partial U_\epsilon(p)} (\phi \cdot t)^* \Phi_k &= \lim_{\epsilon \rightarrow 0} \int_{\partial U_\epsilon(p)} (\phi \cdot t)^* \Phi_k = \lim_{\epsilon \rightarrow 0} \int_{\partial U_\epsilon(p)} (\varphi^{-1} t)^* \Phi_k \\ &= \lim_{\epsilon \rightarrow 0} \int_{\partial U_\epsilon(p)} (\varphi^{-1} t)^* (\omega - \varphi^* \eta_{n-k+1}(\pi_k^\# E)) \\ &= \lim_{\epsilon \rightarrow 0} \int_{\partial U_\epsilon(p)} (\pi_k t)^* \omega - \lim_{\epsilon \rightarrow 0} \int_{\partial U_\epsilon(p)} t^* \eta_{n-k+1}(\pi_k^\# E) \\ &= -\lim_{\epsilon \rightarrow 0} \int_{\partial U_\epsilon(p)} t^* \eta_{n-k+1}(\pi_k^\# E). \end{aligned} \quad \text{Q.E.D.}$$

Thus Definition 4.1. is well-defined. This definition is extended as follows: Let $p \in Y - \partial Y$. If p is an isolated singular point of a smooth mapping t , that is, there exists a neighborhood $U(p)$ of p such that t is a smooth mapping of $(U(p) - \{p\})$ into E_k , and $\pi_k t$ is differentiable on $U(p)$, then we can

define $obs_k(p, t, U(p))$. Then put

$$obs_k(p, t, Y) = obs_k(p, t, U(p)).$$

In particular, the 1 th obstruction, $obs_1(p, t, Y)$, becomes the degree of t at p because ϕ_1 is regarded as the normalized volume element of the unit sphere in C^n . If t is a smooth mapping of Y into E such that

- $\alpha)$ $t \neq 0$ on ∂Y
- $\beta)$ t has isolated zeroes only, say p_1, \dots, p_ℓ ,

then for each point p_j , $obs_1(p_j, t, Y)$ is the order of vanishing of t , so that we write by zero (p_j, t, Y) the 1 th obstruction of t at p_j relative to Y .

4.3. Let A be the submanifold of X as defined in Theorem 5.1. Let q be a point in $(A - \partial A)$. Then a *complemental submanifold to A at q* , denoted by A_q^\perp , is a real $2(n - k + 1)$ -dimensional oriented submanifold of X (with boundary A_q^\perp) satisfying the following conditions:

$$(4.10) \quad A_q^\perp \cap A = \{q\} \quad q \in A_q^\perp - \partial A_q^\perp$$

$$(4.11) \quad \begin{aligned} & \text{There exists a chart } (U, h = (z^1, \dots, z^{2(n-k+1)} \\ & y^1, \dots, y^{2(n-k+1)})) \text{ at } q \text{ in } X \text{ such that, } h(q) = (0, \dots, 0) \\ & A_q^\perp \cap U = \{q' \in U : z^1(q') = \dots = z^{2(n-k+1)}(q') = 0\} \\ & A \cap U = \{q' \in U : y^1(q') = \dots = y^{2(n-k+1)}(q') = 0\} \end{aligned}$$

$$(4.12) \quad A_q^\perp \text{ is compact.}$$

Then we choose the orientation of A_q^\perp as follows: Put $u = (z^1, \dots, z^{2(n-k+1)})$ and $u = (y^1, \dots, y^{2(n-k+1)})$. If h and u are positive coordinates systems on U and $A \cap U$ respectively, then v is also the positive coordinates system on $A_q^\perp \cap U$.

Since A is the submanifold of X , there exists, of course, such a submanifold of X . Now let $s : (X - A) \rightarrow E_k$ be the smooth cross section and let $q \in (A - \partial A)$. Then taking a complementary submanifold A_q^\perp to A at q , we can define the k th obstruction number $obs_k(q, s, A_q^\perp)$. It will be shown in the proof of Theorem 4.1 that $obs_k(q, s, A_q^\perp)$ is independent of A_q^\perp .

DEFINITION 4.2. For any point $q \in (A - \partial A)$, $obs_k^\perp(q, s, A)$ which is called the *k th obstruction number of s at q corresponding to A* , is defined as follows:

Let A_q^\perp be a complemental sybmanifold to A at q . Then put

$$(4.13) \quad obs_k^\perp(q, s, A) = obs_k(q, s, A_q^\perp).$$

4.4. Proof of Theorem 4.1. Withoutloss of generality we can assume that $f^{-1}(A) \cap D = \{p\}$, $p \notin \partial D$ and $f(p) \notin \partial A$. and that $f(D)$ is contained in a coordinate δ_1 -ball U_{δ_1} of $f(p)$ which admits a trivialization $\varphi: U_{\delta_1} \times F_k(\mathbf{C}^n) \longrightarrow \pi_k^{-1}(U_{\delta_1})$ of $E_k|U_{\delta_1}$. Let $V_{\epsilon_1}(p)$ be an ϵ_1 -ball of p contained completely in D and let put $D_{\epsilon_1} = D - V_{\epsilon_1}(p)$. Since $s.f: D_{\epsilon_1} \longrightarrow E_k$ is the smooth mapping and $\pi_k^* C_{n-k+1}(E) = d\eta_{n-k+1}(\pi_k^* E)$ on E_k , we obtain from Stokes formula

$$\int_{D_{\epsilon_1}} f^* C_{n-k+1}(E) = \int_{\partial D} f^* \{s^* \eta_{n-k+1}(\pi_k^* E)\} - \int_{\partial V_{\epsilon_1}(p)} (s \cdot f)^* \eta_{n-k+1}(\pi_k^* E).$$

Here let $\phi: \pi_k^{-1}(U_{\delta_1}) \longrightarrow F_k(\mathbf{C}^n)$ be as defined by (4.4). Then from (4.7),

$$-\lim_{\epsilon \rightarrow 0} \int_{\partial V_{\epsilon}(p)} (s \cdot f)^* \eta_{n-k+1}(\pi_k E) = \int_{\partial V_{\epsilon}(p)} (\phi(sf))^* \Phi_k \quad 0 < \epsilon < \epsilon_1.$$

Therefore

$$\int_D f^* C_{n-k+1}(E) = \int_{\partial D} f^* \{s^* \eta_{n-k+1}(\pi_k E)\} + \int_{\partial V_{\epsilon}(p)} (\phi(sf))^* \Phi_k.$$

This relation implies (4.1) because of $\int_{\partial V_{\epsilon}(p)} (\phi(sf))^* \Phi_k = obs_k(p, s.f, D)$. In order to prove (4.2) and (4.3), we calculate the integration $\int_{\partial V_{\epsilon}(p)} (\phi(sf))^* \Phi_k$, Let ϵ be fixed ($0 < \epsilon < \epsilon_1$). Let us put $q = f(p) \in X$ and take a complemental submanifold A_q^\perp to A at q . Then from the conditions (4.10) and (4.11) it follows that $A_q^\perp \cap A = \{q\}$ and that there exists a chart $\{U, h = (z^1, \dots, z^{2(n-k+1)}, y^1, \dots, y^{2(n-k+1)})\}$ in X at q such that $h(q) = 0$

$$A \cap U = \{q' \in U : y^1(q') = \dots = y^{2(n-k+1)}(q') = 0\}$$

$$A_q^\perp \cap U = \{q' \in U : z^1(q') = z^{2(n-k+1)}(q') = 0\}$$

Assume $U = U_{\delta_1}$ and put $U_{\delta_1}(q) = U_{\delta_1}$. Further we assume that $f(V_\epsilon(p)) \subset U_{\delta_1}(q) \subsetneq U_{\delta_1}(q)$, $0 < \delta < \delta_1$. Let put $u = (z^1, \dots, z^{2(n-k+1)})$ and $v = (y^1, \dots, y^{2(n-k+1)})$. Then let us consider a homotopy mapping H_t given by

$$H_t = h^{-1}\{(1-t)u \times v\} : V_{\epsilon_1}(p) \longrightarrow U_{\delta_1}(q), \text{ for all } t \in [0, 1].$$

For $t = 1$, H_1 is the smooth mapping of $V_{\epsilon_1}(p)$ into $A_q^\perp \cap U_{\delta_1}(q)$, and for each $t \in [0, 1]$, $V(p) \cap H_t^{-1}(A) = \phi$ and $H_t(V_\epsilon(p)) \cap A = \{q\}$. Hence, as $f = H_0$ is ho-

motopic to H_1 , we obtain

$$(4.14) \quad \int_{\partial V_\epsilon(p)} (\psi_S f)^* \Phi_k = \int_{\partial V_\epsilon(p)} H_1^* (\psi_S)^* \Phi_k$$

If $\iota_{A_q^\perp}: A_q^\perp \longrightarrow X$ denotes the inclusion mapping, then from $H_1(V_\epsilon(p)) \subset A_q^\perp \cap U_\delta(q)$, (note $f(V_\epsilon(p)) \subset U_\delta(q)$),

$$(4.15) \quad \int_{\partial V_\epsilon(p)} H_1^* (\psi_S)^* \Phi_k = \int_{\partial V_\epsilon(p)} H_1^* (\psi_S \iota_{A_q^\perp})^* \Phi_k$$

Here if ω_k denotes the normalized volume element of $\partial(A_q^\perp \cap U_\delta(q))$, and if $\gamma_\delta: (A_q^\perp \cap U_\delta(q) - \{q\}) \longrightarrow \partial(A_q^\perp \cap U_\delta(q))$ denotes a smooth mapping as defined by (4.5), then from $\{(\psi_S \iota_{A_q^\perp})^* \Phi_k\} = obs_k(q, s, A_q^\perp) \{\gamma_\delta^* \omega_k\}$,

$$(4.16) \quad \int_{\partial V_\epsilon(p)} H_1^* (\psi_S \iota_{A_q^\perp})^* \Phi_k = obs_k(q, s, A_q^\perp) \int_{\partial V_\epsilon(p)} (\gamma_\delta H_1)^* \omega_k$$

It follows from (4.14), (4.16) and (4.16) that

$$(4.17) \quad \int_{\partial V_\epsilon(p)} (\psi_S f)^* \Phi_k = obs_k(q, s, A_q^\perp) \int_{\partial V_\epsilon(p)} (\gamma_\delta H_1)^* \omega_k$$

where H_1 is homotopic to f .

To prove that $\int_{\partial V_\epsilon(p)} (\gamma_\delta H_1)^* \omega_k$ is equal to the intersection number at $(p, H_1(p) = q)$ of the singular chains $H_1 = h^{-1}(0 \times vf): V_\epsilon(p) \longrightarrow X$ and $\iota_A: A \longrightarrow X$, we change the mapping $v.f$ for a mapping $g_1: V_{\epsilon_1}(p) \longrightarrow v(U_\delta(q)) \subset \mathbf{R}^{2(n-k+1)}$ which agrees with $v.f$ on a neighborhood of the boundary $\partial V_\epsilon(p)$, which is homotopic to $v.f$, and which has a maximal rank at each $p' \in g_1^{-1}(0)$. In terms of Thom's Transversality Lemma [6], there exists such a mapping g_1 . Hence put $G_1 = h^{-1}(0 \times g_1)$. Then G_1 is, of course, homotopic to H_1 . Thus from (4.17),

$$(4.18) \quad \int_{\partial V_\epsilon(p)} (\psi_S f)^* \Phi_k = obs_k(q, s, s, A_q^\perp) \int_{\partial V_\epsilon(p)} (\gamma_\delta G_1)^* \omega_k$$

$$(4.19) \quad G_1 = h^{-1}(0 \times g_1): V_{\epsilon_1}(p) \longrightarrow U_\delta(q), \text{ has a maximal rank at each } p' \in G_1^{-1}(q).$$

$$(4.20) \quad G_1 \text{ is homotopic to } f, \text{ and each } p' \in G_1^{-1}(q) \text{ belongs to } V_\epsilon(p) - \partial V_\epsilon(p)$$

Then we have

LEMMA 4.3.

$$(4.21) \quad \int_{\partial V_\epsilon(p)} (\gamma_\delta G_1)^* \omega_k = n(q, f, A).$$

Proof. From definition of G_1 it is clear that $G_1(V_\epsilon(p)) \cap A = \{q\}$, $G_1(\partial V_\epsilon(p)) \cap A = \emptyset$ and $G_1(V_\epsilon(p)) \cap \partial A = \emptyset$. Therefore from (4.20), $n(p, f, A) = n(V_\epsilon(p), G_1, A)$. Hence, at first, we compute $n(V_\epsilon(p), G_1, A)$. Let put $\alpha = 2(m - n + k - 1)$ and $\beta = 2(n - k + 1)$. Let $h = (z^1, \dots, z^\alpha, y^1, \dots, y^\beta)$, $u = (z^1, \dots, z^\alpha)$ and $v = (y^1, \dots, y^\beta)$, respectively, be coordinate systems on $U_{\delta_1}(q)$, $A \cap U_{\delta_1}(q)$ and $A_q^\perp \cap U_{\delta_1}(q)$, as before. Assume now that h and u are positive coordinate systems. Then, from the choice of the orientation of A_q^\perp , v is also the positive coordinate system. Let (x^1, \dots, x^β) be a coordinate system of $V_{\delta_1}(p)$ which is positive. Let us put $G_1^{-1}(q) = \{p'_1, \dots, p'_s\}$, that is, $g_1^{-1}(0) = \{p'_1, \dots, p'_s\}$. Then we define a mapping $\iota_A \times G_1: (A \cap U_{\delta_1}(q)) \times V_{\delta_1}(p) \rightarrow X$ by

$$\begin{aligned} x^i(\iota_A \times G_1)(q', p') &= z^i \iota_A(q') & i = 1, \dots, \alpha \\ y^i(\iota_A \times G_1)(q', p') &= y^i G_1(p') & i = 1, \dots, \beta \end{aligned}$$

Here for each $p'_j \in G_1^{-1}(q)$, let $J_{(p'_j, q)}(\iota_A \times G_1)$ be the Jacobian of the mapping $\iota_A \times G_1$ at (p'_j, q) , that is,

$$J_{(p'_j, q)}(\iota_A \times G_1) = \left| \frac{\partial z^1(\iota_A \times G_1), \dots, z^\alpha(\iota_A \times G_1), y^1(\iota_A \times G_1), \dots, y^\beta(\iota_A \times G_1)}{\partial (z^1, \dots, z^\alpha, x^1, \dots, x^\beta)} \right|_{(p'_j, q)}$$

Then it follows from $z^i(\iota_A \times G_1) = z^i$ that

$$\begin{aligned} (4.22) \quad J_{(p'_j, q)}(\iota_A \times G_1) &= \left| \frac{\partial (y^1(\iota_A \times G_1), \dots, y^\beta(\iota_A \times G_1))}{\partial (x^1, \dots, x^\beta)} \right|_{(p'_j, q)} \\ &= \left| \frac{\partial (y^1 \cdot g_1, \dots, y^\beta \cdot g_1)}{\partial (x^1, \dots, x^\beta)} \right|_{p'_j}, \quad \text{for each } p'_j \in g_1^{-1}(0) \end{aligned}$$

so that, from (4.19), $J_{(p'_j, q)}(\iota_A \times G_1) \neq 0$ for each p'_j . Since the right hand side of (4.22) is the Jacobian $J_{p'_j}(g_1)$ of the mapping $g_1: V_\epsilon(p) \rightarrow \mathbf{R}^{2(n-k+1)}$ at p'_j , it follows from definition of the intersection number ([5]) that

$$(4.23) \quad n(V_\epsilon(p), G_1, A) = \sum_{j=1}^s \operatorname{sign} J_{p'_j}(g_1)$$

Thus we have: $n(p, f, A) = \sum_{j=1}^s \operatorname{sign} J_{p'_j}(g_1)$ where the p'_j are points of $g_1^{-1}(0)$.

Next we shall calculate $\int_{\partial V_\epsilon(p)} (\gamma_\delta G_1)^* \omega_k$. Since ω_k is the normalized volume

element of $\partial(A_{\frac{1}{n}}^{\perp} \cap U_{\delta}(q))$, and for each $p' \in (V_{\epsilon_1}(p) - g_1^{-1}(0))$

$$\gamma_{\delta} G_1(p') = h^{-1}\left(\overline{0, \dots, 0}, \delta \frac{y^1 g_1(p')}{\|g_1(p')\|}, \dots, \delta \frac{y^n g_1(p')}{\|g_1(p')\|}\right)$$

where $\|g_1(p')\| = \sqrt{\sum_{j=1}^n (y^j(p'))^2}$,

We can reformulate $\int_{\partial V_{\epsilon}(p)} (\gamma_{\delta} G_1)^* \omega_k$ as follows: Let y^1, \dots, y^n be coordinates of \mathbf{R}^n and let S_{n-1} be the unit sphere about the origin in \mathbf{R}^n . We denote by ω the normalized volume element of S_{n-1} . Let $\gamma: \mathbf{R}^n - \{0\} \rightarrow S_{n-1}$ be the boundary mapping defined by

$$\gamma(y^1, \dots, y^n) = (y^1 / \sqrt{\sum (y^i)^2}, \dots, y^n / \sqrt{\sum (y^i)^2}).$$

Further let D_1 be a compact domain of \mathbf{R}^n . Now, given a smooth mapping $g: \mathbf{R}^n \rightarrow \mathbf{R}^n$ such that $g_1^{-1}(0) \cap D_1 = \{p'_1, \dots, p'_s\}$, $g_1^{-1}(0) \cap \partial D_1 = \phi$ and for each $p'_j, J_{p'_j}(g_1) \neq 0$.

Under this situation, we show that

$$(4.24) \quad \int_{\partial D_1} (\gamma g_1)^* \omega = \sum_{j=1}^s \operatorname{sign} J_{p'_j}(g_1).$$

Indeed, let $V_{\epsilon}(p'_j)$ be ϵ -balls about p'_j in D_1 which are pairwise disjoint. Put $D_{1,\epsilon} = D - \cup V_{\epsilon}(p'_j)$. Then, as $\gamma \cdot g_1 = g/\|g_1\|$ is differentiable on $D_{1,\epsilon}$, we have from Stokes formula, $\int_{\partial D} (\gamma g_1)^* \omega = \sum_{j=1}^s \int_{\partial V_{\epsilon}(p'_j)} (\gamma g_1)^* \omega$. In terms of $J_{p'_j}(g_1) = 0$, (j, \dots, s), we can assume that for each j , $\|g_1\| = \epsilon$ on $\partial V_{\epsilon}(p'_j)$, and $J(g_1) \neq 0$ on $V_{\epsilon}(p'_j)$. Now let $\operatorname{vol}(S_{n-1})$ denote the volume of S_{n-1} and let put $\tau = \sum_{j=1}^n (-1)^{j-1} y^j dy^1 \wedge \dots \wedge dy^{j-1} \wedge dy^{j+1} \wedge \dots \wedge dy^n$. Then $\omega = \frac{1}{\operatorname{vol}(S_{n-1})} \tau|_{S_{n-1}}$. By noting that $y^i \left(\frac{1}{\epsilon} g_1 \right) = \frac{1}{\epsilon} y^i(g_1)$, ($i=1, \dots, n$), we have: for each j ,

$$\begin{aligned} \int_{\partial V_{\epsilon}(p'_j)} (\gamma g_1)^* \omega &= \int_{\partial V_{\epsilon}(p'_j)} \left(\frac{g_1}{\epsilon} \right)^* \omega = \frac{1}{\operatorname{vol}(S_{n-1})} \int_{\partial V_{\epsilon}(p'_j)} \left(\frac{g_1}{\epsilon} \right)^* \tau \\ &= \frac{1}{\epsilon^n \operatorname{vol}(S_{n-1})} \int_{\partial V_{\epsilon}(p'_j)} g_1^* \tau \\ &= \frac{n}{\epsilon^n \operatorname{vol}(S_{n-1})} \int_{V_{\epsilon}(p'_j)} g_1^*(dy^1 \wedge \dots \wedge dy^n) \\ &= \frac{n}{\epsilon^n \operatorname{vol}(S_{n-1})} \operatorname{sign} J_{p'_j}(g_1) \int_{(y^1 g_1)^2 + \dots + (y^n g_1)^2 \leq \epsilon^2} d(y' g_1) \wedge \dots \wedge d(y^n g_1) \\ &= \operatorname{sign} J_{p'_j}(g_1). \end{aligned}$$

Thus (4.24) is proved, so that, we have proved Lemma 4.3. Q.E.D.

Now we return to the proof of Theorem 4.1. At first it follows from (4.18), (4.21) and $q = f(p)$ that

$$\int_{\partial V_s(p)} (\phi s f)^* \Phi_k = obs_k(f(p), s, A_{f(p)}^\perp) n(p, f, A),$$

that is,

$$(4.25) \quad obs_k(p, sf, D) = obs_k(f(p), s, A_{f(p)}^\perp) n(p, f, A).$$

In particular, let us take any complemental submanifold A'^\perp to A at $q \in (A - \partial A)$ as a compact domain D and the inclusion mapping $\iota_{A'^\perp} : A'^\perp \rightarrow X$. Then clearly $n(q, \iota_{A'^\perp}, A) = 1$, so that, from (4.25) we have

$$obs_k(q, s, A'^\perp) = obs_k(q, s, A_q^\perp).$$

Thus $obs_k^\perp(q, s, A)$ is independent of A_q^\perp . Therefore

$$obs_k(p, sf, D) = obs_k^\perp(f(p), s, A) \cdot n(p, f, A).$$

Hence (4.2) is proved. On the other hand, (4.3) follows immediately from (4.1) and (4.2). Q.E.D.

4.5. COROLLARY 4.4. (c.f. [1]). *Let E be a Hermitian vector bundle of fibre dimension n over an m -dimensional complex manifold X , ($n \leq m$) and let $s: X \rightarrow E$ be a smooth section of E which is $\neq 0$ on ∂X , and which is transversal to the zero of s . Let $\text{zero}(s)$ be the set of zeroes of s . Then $\text{zero}(s)$ becomes a real $2(m-n)$ -dimensional oriented closed submanifold of X and the proper homology class of $\text{zero}(s)$ is the Poincaré dual of $C_n(E)$.*

Proof. Notice that the 1-general Stiefel bundle E_1 of E is the subbundle of E , i.e., $E_1 = \{e \in E : e \neq 0\}$. Let q be any point of $\text{zero}(s)$. From $q \in X - \partial X$, we can take a neighborhood V in X about q , which admits a trivialization $\varphi: V \times \mathbb{C}^n \rightarrow E|V$. Here let $\psi: E|V \rightarrow \mathbb{C}^n$ be a holomorphic map defined by,

$$(4.26) \quad \psi \cdot \varphi(q', v) = v, \quad q' \in V, \quad v \in \mathbb{C}^n.$$

Then put $\psi s = (s_1, \dots, s_n)$ and $s_i = s^i + \sqrt{-1} s^{n-i}$, $i = 1, \dots, n$. That s is transversal to the zero section of X in E , implies that $ds_q^1 \wedge \dots \wedge ds_q^{2n} = 0$

for each $q' \in V \cap \text{zero}(s)$. We obtain a family of charts $\{V_\alpha, h_\alpha = (s_\alpha^1, \dots, s_\alpha^{2n}, t_\alpha^1, \dots, t_\alpha^{2(m-n)})\}$ of X such that $\{V_\alpha\}$ cover $\text{zero}(s)$, and for each α ,

(i) V_α admits a trivialization $\varphi_\alpha: V \times \mathbf{C}^n \rightarrow E|V_\alpha$, and so,

$$\varphi_\alpha: E|V_\alpha \rightarrow \mathbf{C}^n \text{ defined by (4.26).}$$

(ii) $s_\alpha^1, \dots, s_\alpha^{2n}$ are real-valued functions defined by φ_α and s ,

$$\text{i.e., } \varphi_\alpha s = (s_\alpha^1 + \sqrt{-1}s_\alpha^n, \dots, s_\alpha^n - 1s_\alpha^{2n}).$$

(iii) $V_\alpha \cap \text{zero}(s) = \{q \in V_\alpha : s_\alpha^1(q) = \dots = s_\alpha^{2n}(q) = 0\}$

(iv) h_α is the positive coordinate system on V_α .

Therefore $\text{zero}(s)$ is a real $2(m-n)$ -dimensional closed submanifold of X , which admits charts $\{V_\alpha \cap \text{zero}(s), (t_\alpha^1, \dots, t_\alpha^{2(m-n)})\}$. We want to prove that $\text{zero}(s)$ is orientable. Let us suppose $V_\alpha \cap V_\beta \cap \text{zero}(s) \neq \emptyset$. Then there exists a translation function $g_{\alpha\beta} = \|(g_{\alpha\beta})_j^i\|$ on $V_\alpha \cap V_\beta$ such that

$$s_\alpha^i = \sum_{j=1}^{2n} (g_{\alpha\beta})_j^i s_\beta^j \quad i = 1, \dots, 2n, \text{ and } \det(g_{\alpha\beta}) > 0.$$

Let us put $a(q) = \det \begin{pmatrix} \frac{\partial t_\alpha^1}{\partial t_\beta^1}, \dots, \frac{\partial t_\alpha^1}{\partial t_\beta^{2(m-n)}} & \frac{\partial t_\alpha^1}{\partial s_\beta^1}, \dots, \frac{\partial t_\alpha^1}{\partial s_\beta^{2n}} \\ \vdots & \vdots \\ \frac{\partial t_\alpha^{2(m-n)}}{\partial t_\beta^1}, \dots, \frac{\partial t_\alpha^{2(m-n)}}{\partial t_\beta^{2(m-n)}} & \frac{\partial t_\alpha^{2(m-n)}}{\partial s_\beta^1}, \dots, \frac{\partial t_\alpha^{2(m-n)}}{\partial s_\beta^{2n}} \\ \frac{\partial s_\alpha^1}{\partial t_\beta^1}, \dots, \frac{\partial s_\alpha^1}{\partial t_\beta^{2(m-n)}} & \frac{\partial s_\alpha^1}{\partial s_\beta^1}, \dots, \frac{\partial s_\alpha^1}{\partial s_\beta^{2n}} \\ \vdots & \vdots \\ \frac{\partial s_\alpha^{2n}}{\partial t_\beta^1}, \dots, \frac{\partial s_\alpha^{2n}}{\partial t_\beta^{2(m-n)}} & \frac{\partial s_\alpha^{2n}}{\partial s_\beta^1}, \dots, \frac{\partial s_\alpha^{2n}}{\partial s_\beta^{2n}} \end{pmatrix}_q$

for each $q \in V_\alpha \cap V_\beta$. Hence, as $\partial s_\alpha^i / \partial t_\beta^j(q) = 0$ for any $q \in V_\alpha \cap V_\beta \cap \text{zero}(s)$, $i = 1, \dots, 2n$, $j = 1, \dots, 2(m-n)$, it follows from (iv) that $a(q) = \det \left(\frac{\partial t_\alpha^i}{\partial t_\beta^j} \right) \det(g_{\alpha\beta}) > 0$ $q \in V_\alpha \cap V_\beta \cap \text{zero}(s)$, so that, from $\det(g_{\alpha\beta}) > 0$, we find that

$$\det \left(\frac{\partial t_\alpha^i}{\partial t_\beta^j} \right) > 0 \text{ on } V_\alpha \cap V_\beta \cap \text{zero}(s).$$

Therefore $\text{zero}(s)$ is orientable. As $s \neq 0$ on ∂X , $\text{zero}(s)$ has not the boundary. We shall next prove the second statement. For simplicity put $A = \text{zero}(s)$. Since s is the smooth cross-section of $E|(X-A)$, and $\partial A = \emptyset$, we can define $\text{obs}_1^\perp(q, s, A)$ for any $q \in A$. Let $q \in V_\alpha \cap A$. Then we

calculate $\text{obs}_1^\perp(q, s, A)$. From the condition (iii) the set $A_q^\perp = \{q' \in V_\alpha : t_\alpha^1(q') = \dots = t_\alpha^{2m-n}(q') = 0\}$ becomes a complementary submanifold to A at q . Then, of course, $(s_\alpha^1, \dots, s_\alpha^{2n})$ is the coordinate system of $A_q^\perp \cap V_\alpha$. Hence the restriction of $\phi_\alpha \cdot s$ to A_q^\perp is consider as the inclusion mapping as follows: Let us put $v_\alpha(s_\alpha^1, \dots, s_\alpha^{2n})$ and let z^1, \dots, z^n be complex coordinates of C^n . If x^1, \dots, x^{2n} are coordinates of R^{2n} with $x^i + \sqrt{-1}x^{n+i} = z_i$, then from definition of s_i^i , ($i = 1, \dots, 2n$),

$$x^i \phi_\alpha^i s v_\alpha^{-1}(s_\alpha^1, \dots, s_\alpha^{2n}) = s_\alpha^i \quad i = 1, \dots, 2n.$$

Therefore we have from $\text{obs}_1(q, s, A_q^\perp) = \text{zero}(q, s, A_q^\perp)$, $\text{obs}_1(q, s, A_q^\perp) = 1$. Thus for any $q \in A$, we obtain

$$(4.27) \quad \text{obs}_1^\perp(q, s, A) = 1 \quad A = \text{zero}(s).$$

Now let γ be a smooth singular $2n$ -cycle in the interior of X such that every singular chain σ in γ which intersects $\text{zero}(s)$, meets σ in an isolated interior point. Hence we can apply Theorem 4.1 to each singular chain σ in γ . Then from (4.3) and (4.27),

$$\int_{\sigma} C_n(E) = \int_{\partial\sigma} s^* \eta_n(\pi_1^* E) + n(\sigma, \text{zero}(s))$$

where $n(\sigma, \text{zero}(s))$ is the intersection number of σ and $\text{zero}(s)$. Hence summing over σ in γ , we find

$$\int_{\gamma} C_n(E) = n(\gamma, \text{zero}(s)). \quad \text{Q.E.D.}$$

COROLLARY 4.5. [1]. (*The relative Causs-Bonnet theorem*). *Let E be a Hermitian n -bundle over an n -complex manifold X with the boundary ∂X . Now, given a smooth section s of E such that*

- i) $s \neq 0$ on ∂X ,
 - ii) s has isolated zeroes only,
- then we have

$$\sum_{j=1}^l \text{zero}(p_j; s) = \int_X C_n(E) - \int_{\partial X} s^* \eta_n(\pi_1^* E)$$

where the p_j are zeroes of s .

Indeed, if we apply (4.1) to the case when $k = 1$, $\dim X = \dim E = n$, $D = X$, and $f =$ the identity mapping of X , then this corollary follows from the fact that $\text{obs}_1(p_j, s, X) = \text{zero}(p_j; s)$ $j = 1, \dots, l$ Q.E.D.

§5. An application to complex projective space

In this section we will investigate Levine's "The First Main Theorem" for holomorphic mappings of non-compact, complex manifolds into complex projective space [2].

Let $\mathbf{P}^n(\mathbf{C})$ be n -dimensional complex projective space of all the 1-dimensional subspaces of \mathbf{C}^{n+1} , and let V be a non-compact real $2(n-k+1)$ -dimensional oriented manifold. Let $D \subset V$ be a compact domain with the smooth boundary ∂D . We assume that there exists a smooth mapping f of V into $\mathbf{P}^n(\mathbf{C})$.

THEOREM 5.1. ([2]). *Let A be a complex $(k-1)$ -dimensional linear subspace of $\mathbf{P}^n(\mathbf{C})$ such that $f^{-1}(A) \cap D$ is a set of isolated points in $(D - \partial D)$. Let ι denoted the inclusion mapping of A into $\mathbf{P}^n(\mathbf{C})$. If $n(D, f, A)$ denotes the intersection number of the singular chains $f: D \rightarrow \mathbf{P}^n(\mathbf{C})$ and $\iota: A \rightarrow \mathbf{P}^n(\mathbf{C})$, and if $V(D)$ denotes the volume of $f(D)$, then*

$$(5.1) \quad V(D) - n(D, f, A) = \int_{\partial D} f^* \Lambda$$

where Λ is a real $2(n-k)+1$ -form on $(\mathbf{P}^n(\mathbf{C}) - A)$, which is given by (5.11).

The volume element of $\mathbf{P}^n(\mathbf{C})$ is the one induced by the standard unitary invariant Kähler metric, normalized so that the volume of $\mathbf{P}^n(\mathbf{C})$ equals 1.

(Levine assumes in [2] that V is a complex manifold and that f is holomorphic.)

Proof. In order to prove this by using Theorem 4.1, let us consider the canonical holomorphic vector bundles L , T , and E over $\mathbf{P}^n(\mathbf{C})$, defined as follows, ([1]):

(5.2) T is the product bundle $\mathbf{P}^n(\mathbf{C}) \times \mathbf{C}^{n+1}$

(5.3) L is the subbundle of T consisting of all the pairs (l, v) , where $v \in l$.

(5.4) E is the quotient bundle T/L (Note $\dim E = n$). Then, over $\mathbf{P}^n(\mathbf{C})$ we obtain the following exact sequence:

(5.5) $0 \longrightarrow L \longrightarrow T \longrightarrow E \longrightarrow 0.$

Let N_0 be the norm on T induced by the inner product $(,)$ of \mathbf{C}^{n+1} as before. In terms of (5.5), the norm N_0 on T induces norms N_1 on L and N_2 on E as stated in §2. We shall apply Theorem 4.1 to this holomorphic

n -bundle E with the norm N_0 , over $\mathbf{p}^n(\mathbf{C})$. Let $C(E)$, E_k and $\eta_{n-k+1}(\pi_k^*E)$ be as defined in previous sections. Now let z^0, \dots, z^n be homogeneous coordinates of $\mathbf{p}^n(\mathbf{C})$ corresponding to the natural basis e_0, \dots, e_n of \mathbf{C}^{n+1} . Here put

$$(5.6) \quad \Omega = \frac{i}{2\pi} d' d'' \log \sum_{j=0}^n z^j \bar{z}^j.$$

It is well-known ([5]) that Ω is the real 2-form on $\mathbf{p}^n(\mathbf{C})$ induced by the standard, unitary invariant, Kähler metric. Then we have

LEMMA 5.2. *Let $C_l(E)$ be the l th Chern form of E . Then we obtain*

$$(5.7) \quad C_l(E) = \Omega^l, \quad (l = 1, \dots, n)$$

Proof. Let V_j be open sets defined by $V_j = \{l \in \mathbf{p}^n(\mathbf{C}): z^j(l) \neq 0\}$, $j = 0, \dots, n$. For each j let $(\xi^0, \dots, \xi^{j-1}, \xi^{j+1}, \dots, \xi^n)$ be the coordinate system on V_j defined by $\xi^i = z^i/z^j$, $i = 0, \dots, j-1, j+1, \dots, n$. Then we obtain a holomorphic nonvanishing section $s_j: V_j \rightarrow L$ given by

$$s_j(l) = \{l, (\xi^0(l), \dots, \xi^{j-1}(l), 1, \xi^{j+1}(l), \dots, \xi^n(l))\}.$$

Of course, from definition of the norm N , on L ,

$$N_1(s_j(l)) = 1 + (\xi(l), \xi(l))_j \quad \text{for each } l \in V_j$$

where $(\xi(l), \xi(l))_j = \xi^0(l)\bar{\xi}^0(l) + \dots + \xi^{j-1}(l)\bar{\xi}^{j-1}(l) + \xi^{j+1}(l)\bar{\xi}^{j+1}(l) + \dots + \xi^n(l)\bar{\xi}^n(l)$. Therefore it follows from (2.5) that $C_1(L)|_{V_j} = -\frac{i}{2\pi} d' d'' \log (1 + (\xi, \xi)_j)$, so that, from (5.6) we have $C_1(L) = -\Omega$. However in terms of Corollary 2.7, $C_l(E) = (-C_1(L))^l$. Hence (5.7) is proved. Q.E.D.

Further we can prove

LEMMA 5.3.

$$(5.8) \quad \int_{\mathbf{p}^n(\mathbf{C})} C_n(E) = 1$$

Proof. Let $v \in \mathbf{C}^{n+1}$ and let $\hat{s}_v: \mathbf{p}^n(\mathbf{C}) - [v] \rightarrow E \subset E$ be a holomorphic section defined by $\hat{s}_v(l) = (l, v/l)$, $l \in \mathbf{p}^n(\mathbf{C}) - [v]$. Then from Corollary 4.5 we have

$$\int_{\mathbf{p}^n(\mathbf{C})} C_n(E) = \text{zero } ([v], \hat{s}_v).$$

It is sufficient to prove $\text{zero } ([v], \hat{s}_v) = 1$. For convenience sake we assume

$v = e_0$. Then we obtain a frame $t = \{t_i\}_{1 \leq i \leq n}$ of $E|V_0$ given by $t_i(l) = (l, -e_i/l)$ $l \in V_0$. Let $\varphi: V_0 \times \mathbf{C}^n \rightarrow E|V_0$ be the trivialization defined by

$$\varphi(l, v) = \sum_{i=1}^n z^i(v) t_i(l) \quad (l, v) \in V_0 \times \mathbf{C}^n$$

where z^1, \dots, z^n are complex coordinates of \mathbf{C}^n . Further let $\psi: E|V_0 \rightarrow \mathbf{C}^n$ be a holomorphic mapping defined by φ , i.e., $\psi\varphi(l, v) = v$, for $(l, v) \in V_0 \times \mathbf{C}^n$. To show zero $([e_0], \hat{s}_{e_0}) = 1$, we estimate the mapping $\psi \cdot \hat{s}_{e_0}: V_0 \rightarrow \mathbf{C}^n$. If ξ^1, \dots, ξ^n denote the coordinates on V_0 , as before, then it is easy to prove that

$$\psi(l) = (\xi^1(l), \dots, \xi^n(l)) \quad \text{for each } l \in V_0$$

Therefore

$$\text{zero}([e_0], \hat{s}_{e_0}) = 1.$$

Q.E.D.

From Lemma 5.2 and 5.3, $C_n(E) = \Omega^n$ becomes the normalized volume element of $\mathbf{P}^n(\mathbf{C})$. Moreover from the fact that $C(E)$ (or Ω) is invariant under unitary transformations it follows that: Let A^\perp be any complex $(n-k+1)$ -dimensional linear subspace of $\mathbf{P}^n(\mathbf{C})$. Then

$$(5.9) \quad \int_A C_{n-k+1}(E) = \int_A \Omega^{n-k+1} = 1.$$

Now let $f, D, V(D)$ and A be as described in Theorem 5.1. Then, of course, we have

$$(5.10) \quad V(D) = \int_D f^* \Omega^{n-k+1} = \int_D f^* C_{n-k+1}(E).$$

Let l be any fixed point in A and let us take an orthonormal basis v_0, \dots, v_n of \mathbf{C}^{n+1} such that

(α) v_0, \dots, v_{k-1} belong to A

(β) $v_{k-1} \in l$.

Then we denote by A_l^\perp the complex $(n-k+1)$ -dimensional projective space consisting of all the 1-dimensional subspace of $[v_{k-1}, \dots, v_n]$. Note $A \cap A_l^\perp = \{l\}$. It is obvious that A_l^\perp is a complementary submanifold to A at l without boundary. Moreover we define a holomorphic section $s: (\mathbf{P}^n(\mathbf{C}) - A) \rightarrow E_k$ by $s(l) = \{l, (v_0/l, \dots, v_{k-1}/l)\}$ for all $l \in (\mathbf{P}^n(\mathbf{C}) - A)$. It is clear that s is the well-defined section. Here put

$$(5.11) \quad A = s^* \eta_{n-k+1}(\pi_k^* E) \quad \text{on } p^n(C) - A.$$

The boundary form $\eta_{n-k+1}(\pi_k^* E)$ is a real $2(n-k) + 1$ -form, and so is. Hence, from (4.3) we have: $\int_{A_t^\perp} C_{n-k+1}(E) = \int_{A_t^\perp} A + obs_k^\perp(l, s, A)n(l, A_t^\perp, A)$ where $\iota_{A_t^\perp}: A_t^\perp \rightarrow p^n(C)$ is the inclusion mapping. However $\partial A_t^\perp = \phi$, $n(l, A_t^\perp, A) = 1$, and from (5.9), $\int_{A_t^\perp} C_{n-k+1}(E) = 1$. so that, we have: for any $l \in A$ $obs_k^\perp(l, s, A) = 1$. Again using (4.3) we have

$$(5.12) \quad \int_D f^* C_{n-k+1}(E) = \int_{\partial D} f^* A + \sum_{j=1}^l n(p_j, f, A)$$

where

$$f^{-1}(A) \cap D = \{p_1, \dots, p_l\}.$$

But, from definition of $n(D, f, A)$, $\sum_{j=1}^l n(p_j, f, A) = n(D, f, A)$. (5.1) follows from (5.10) and (5.12). Q.E.D.

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*Mathematical Institute
Nagoya University*