

ON THE MODULI OF ANALYTIC FUNCTIONS

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The problem to be considered in this note, in its most concrete form, is the determination of all quartets f_1, f_2, g_1, g_2 of functions analytic on some domain and satisfying

$$(*) \quad |f_1(z)|^p + |f_2(z)|^p = |g_1(z)|^p + |g_2(z)|^p,$$

where $p > 0$. When $p=2$ the question can be reformulated in terms of finding a necessary and sufficient condition for (two-dimensional) Hilbert space valued analytic functions to have equal pointwise norms, and the answer (Theorem 1) justifies this point of view. If $p \neq 2$, the problem is solved by reducing to the case $p=2$, and the reformulation in terms of the norm equality of l^p valued analytic functions gives no clue to the answer.

The following theorem is essentially due to Nevanlinna and Polya [2] for finite-dimensional H , as pointed out to the author by David Drasin and Harley Flanders. It is of greatest interest in the formulation given; i.e., in terms of Hilbert space valued mappings, but does not appear to be widely known. The following proof was suggested by Henry Helson.

THEOREM 1. *Let H be a Hilbert space and let $F(z), G(z)$ be analytic in some region Ω with values in H and such that*

$$\|F(z)\| = \|G(z)\| \quad \text{for all } z \in \Omega.$$

Then there is an isometry U defined on the range of F such that

$$G(z) = U F(z) \quad \text{for all } z \in \Omega.$$

Proof. Assume $0 \in \Omega$ and let $F(z), G(z)$ have power series expansions

$$F(z) = \sum_{n=0}^{\infty} \phi_n z^n, \quad G(z) = \sum_{n=0}^{\infty} \psi_n z^n,$$

where the coefficients $\phi_n, \psi_n \in H$. Then the hypothesis is that

$$\left\| \sum_{n=0}^{\infty} \phi_n r^n e^{ni\theta} \right\| = \left\| \sum_{n=0}^{\infty} \psi_n r^n e^{ni\theta} \right\|,$$

or

$$\sum_{n,m} (\phi_n, \phi_m) r^{n+m} e^{(n-m)i\theta} = \sum_{n,m} (\psi_n, \psi_m) r^{n+m} e^{(n-m)i\theta},$$

Received by the editors July 30, 1969.

⁽¹⁾ This work was supported in part by the National Science Foundation Grant GP-12020.

where (\cdot, \cdot) denotes the inner product of H . A series of the form $\sum_{n,m \geq 0} a_{n,m} r^{n+m} e^{(n-m)i\theta}$ uniquely determine its coefficients, since if

$$\sum_{n,m \geq 0} a_{n,m} r^{(n+m)} e^{(n-m)i\theta} = \sum_{p=-\infty}^{\infty} \sum_{m=0}^{\infty} a_{m+p,m} r^{2m+p} e^{pi\theta} = 0,$$

then $\sum_{m=0}^{\infty} a_{m+p,m} r^{2m+p} = 0$ because of the uniqueness of the coefficients in a Fourier series, and then for each p , $a_{m+p,m} = 0$ for all m by the uniqueness of power series coefficients. Thus $(\phi_n, \phi_m) = (\psi_n, \psi_m)$ for all n, m and this guarantees that the linear mapping defined (unambiguously) on the span of the ϕ 's by $U(\sum a_n \phi_n) = \sum a_n \psi_n$ is an isometry. The result, proved for a neighborhood of 0, then extends to the connected open set Ω .

If H is two-dimensional, and

$$F(z) = f_1(z)e_1 + f_2(z)e_2, \quad G(z) = g_1(z)e_1 + g_2(z)e_2,$$

where e_1, e_2 is an orthonormal basis, then the condition $\|F(z)\| = \|G(z)\|$ reduces to (*) for $p=2$. If we define the p norm of $F(z)$ to be $\|F(z)\|_p = [|f_1(z)|^p + |f_2(z)|^p]^{1/p}$, then a sufficient condition for $\|F(z)\|_p = \|G(z)\|_p$ is, of course, that $F(z) = U G(z)$, where U is an isometry in this metric. If this condition were necessary then the only g_1, g_2 satisfying (*) for $p \neq 2$ would be obtained by multiplying f_1, f_2 by constants of modulus 1 and permuting them. (The scarcity of isometries of finite-dimensional Banach spaces with an l^p metric has surely been observed before, though the author could find no reference. When $p=1$, the vertices $(0, \dots, 0, e^{i\theta}, 0, \dots, 0)$ are extreme points of the unit ball and are therefore sent into one another by an isometry. If $p \neq 1$, these points must also be sent into one another (except, of course, when $p=2$), but here their distinguishing property among points on the unit sphere is maximal or minimal curvature (depending on whether $p < 2$ or $p > 2$), in a sense which needs to be made precise. The maximum δ for a fixed ϵ in the definition of "localized uniform rotundity" given in [1, p. 113] is one way of doing this. The details are somewhat complicated.)

COROLLARY 2. *Let F, G be analytic on Ω with values in a finite-dimensional Hilbert space H , and suppose for some $p > 0$*

$$\|F(z)\|_p = \|G(z)\|_p \quad \text{for all } z \in \Omega.$$

Then there is an isometry U of H such that

$$G(z)^{p/2} = U F(z)^{p/2} \quad \text{for } z \in \Omega$$

for some $(p/2)$ th power of F, G .

The proof is immediate. We comment that finite dimensionality is needed to assure the existence of a disk in which none of the coordinate functions of F or G vanish. If $p=1$, the above condition reduces to

$$g_1(z) = a^2 f_1(z) + b^2 f_2(z) + 2ab \sqrt{f_1(z) f_2(\bar{z})}$$

$$g_2(z) = c^2 f_1(z) + d^2 f_2(z) + 2cd \sqrt{f_1(z) f_2(\bar{z})}$$

when H is two-dimensional, and where $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ is unitary. Most of the interest of the corollary is in the existence and construction of such nontrivial quartets satisfying (*).

COROLLARY 3. *If f, g, h are analytic in some region in which*

$$|f(z)|^p = |g(z)|^p + |h(z)|^p$$

then g, h are linearly dependent. In particular, the sum of the moduli of two independent analytic functions is NOT the modulus of an analytic function.

The above can be easily generalized to larger sets of functions, but except when $p=2$ or the dimension of H is 2, the hypothesis cannot be stated very gracefully in terms of linear dependence or independence of the original functions.

REFERENCES

1. M. Day, *Normed linear spaces*, Academic Press, New York, 1962.
2. R. Nevanlinna and G. Polya, *Jahresbericht Deutsche Mathematiker Vereinigung* 43 (1934), 6-7.

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