

## THE $p$ -ZASSENHAUS FILTRATION OF A FREE PROFINITE GROUP AND SHUFFLE RELATIONS

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*Abstract* For a prime number  $p$  and a free profinite group  $S$  on the basis  $X$ , let  $S_{(n,p)}$ ,  $n = 1, 2, \dots$ , be the  $p$ -Zassenhaus filtration of  $S$ . For  $p > n$ , we give a word-combinatorial description of the cohomology group  $H^2(S/S_{(n,p)}, \mathbb{Z}/p)$  in terms of the shuffle algebra on  $X$ . We give a natural linear basis for this cohomology group, which is constructed by means of unitriangular representations arising from Lyndon words.

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### 1. Introduction

The purpose of this paper is to study the  $p$ -Zassenhaus filtration of a free profinite group  $S$  and its cohomology by means of the combinatorics of words. Here  $p$  is a fixed prime number, and we recall that the  $p$ -Zassenhaus filtration of a profinite group  $G$  is given by  $G_{(n,p)} = \prod_{ip^j \geq n} (G^{(i)})^{p^j}$ ,  $n = 1, 2, \dots$  – that is,  $G_{(n,p)}$  is generated as a profinite group by all  $p^j$ -powers of elements of the  $i$ th term of the (profinite) lower central filtration  $G^{(i)}$  of  $G$  for  $ip^j \geq n$ .

This filtration was introduced by Zassenhaus [39] for discrete groups (under the name *dimension subgroups modulo  $p$* ) as a tool to study free Lie algebras in characteristic  $p$ . It proved itself to be a powerful tool in a variety of group-theoretic and arithmetic problems: the Golod–Shafarevich solution to the class field tower problem ([20], [21, §7.7], [40], [13]), the structure of finitely generated pro- $p$  groups of finite rank [5, Ch. 11], mild groups [24] and one-relator pro- $p$  groups [15, §2.4], multiple residue symbols and their knot-theory analogues ([29], [30, Ch. 8], [37]), and more.

In the Galois-theory context, where  $G = G_F$  is the absolute Galois group of a field  $F$  containing a root of unity of order  $p$ , it was shown in [12] that the quotient  $G/G_{(3,p)}$

determines the full cohomology ring  $H^*(G) = \bigoplus_{i \geq 0} H^i(G)$  with the cup product. Here and in the sequel we abbreviate  $H^i(G) = H^i(G, \mathbb{Z}/p)$  for the profinite cohomology group of  $G$  with its trivial action on  $\mathbb{Z}/p$ . Moreover,  $G/G_{(3,p)}$  is the smallest Galois group of  $F$  with this property (see also [3]).

In the present paper we focus on the cohomology group  $H^2(G/G_{(n,p)})$  for a profinite group  $G$  and  $n \geq 2$ . Its importance is that it controls the relator structure in the pro- $p$  group  $G/G_{(n,p)}$ , whereas its generators are captured by the group  $H^1(G/G_{(n,p)})$ , which is well understood [31, §3.9].

Our main result gives, for a free profinite group  $S$  on a basis  $X$ , an explicit description of  $H^2(S/S_{(n,p)})$  in terms of the *combinatorics of words*. Namely, we consider  $X$  as an alphabet with a fixed total order, and let  $X^*$  be the monoid of words in  $X$ . For every  $n \geq 0$ , let  $\mathbb{Z}\langle X \rangle_n$  be the free  $\mathbb{Z}$ -module generated by all words in  $X^*$  of length  $n$ . Let  $\text{Sh}(X)_{\text{indec},n}$  be its quotient by the submodule generated by all *shuffle products*  $u\text{w}v$ , where  $u, v$  are nonempty words in  $X^*$  with  $|u| + |v| = n$ . We recall that for words  $u = (x_1 \cdots x_r)$  and  $v = (x_{r+1} \cdots x_{r+s})$  in  $X^*$ , one defines

$$u\text{w}v = \sum_{\sigma} (x_{\sigma^{-1}(1)} \cdots x_{\sigma^{-1}(r+t)}) \in \mathbb{Z}\langle X \rangle,$$

where  $\sigma$  ranges over all permutations of  $\{1, 2, \dots, r + s\}$  such that  $\sigma(1) < \dots < \sigma(r)$  and  $\sigma(r + 1) < \dots < \sigma(r + t)$ . Thus  $\text{Sh}(X)_{\text{indec},n}$  is the  $n$ th homogenous component of the indecomposable quotient of the *shuffle algebra*  $\text{Sh}(X)$  in the sense of [9, §5] (see §9). We prove the following word-combinatorial description of  $H^2(S/S_{(n,p)})$  for  $p$  sufficiently large:

**Main Theorem.** *Suppose that  $n < p$ . There is a canonical isomorphism of  $\mathbb{F}_p$ -linear spaces*

$$\left( \bigoplus_{x \in X} \mathbb{Z}/p \right) \oplus (\text{Sh}(X)_{\text{indec},n} \otimes (\mathbb{Z}/p)) \xrightarrow{\sim} H^2(S/S_{(n,p)}).$$

When  $p \leq n$  we have a similar result, in the form of a canonical epimorphism.

More specifically, to any word  $w$  in  $X^*$  of length  $1 \leq |w| \leq n$  we associate a canonical cohomology element  $\alpha_{w,n} \in H^2(S/S_{(n,p)})$ . Then the isomorphism in the Main Theorem is induced by the map  $w \mapsto \alpha_{w,n}$ , where  $w$  is either a single-letter word or a word of length  $n$ . In these cases,  $\alpha_{w,n}$  turns out to be a *Bockstein element* or an element of an  *$n$ -fold Massey product*, respectively (see Examples 7.1–7.2 and the remarks below). The construction of  $\alpha_{w,n}$  is based on a representation of  $S/S_{(n,p)}$  in a group of *unitriangular* (i.e., unipotent upper-triangular) matrices, which we derive from the *Magnus map* – see §5 and §7 for details.

A main ingredient of the proof, of independent importance, is the construction of a canonical  $\mathbb{F}_p$ -linear basis of  $H^2(S/S_{(n,p)})$ , which we call the *Lyndon basis*. Recall that a nonempty word  $w$  in  $X^*$  is called a *Lyndon word* if it is smaller in the alphabetic order (induced by the fixed total order on  $X$ ) than all its nontrivial right factors (i.e., suffixes). The Lyndon basis then consists of all cohomology elements  $\alpha_{w,n}$ , where  $w$  is a Lyndon

word of length  $\lceil n/p^k \rceil$  for some  $k \geq 0$ . When  $n \leq p$  the possible lengths are only 1 and  $n$ , leading to the two direct summands in the left-hand side of the Main Theorem.

We further use Lyndon words to give a canonical basis of the  $\mathbb{F}_p$ -linear space  $S_{(n,p)}/(S_{(n,p)})^p [S, S_{(n,p)}]$ , and prove a duality (in a unitriangular sense) between the Lyndon basis of  $H^2(S/S_{(n,p)})$  and this latter basis (Corollary 8.2). In the smallest case,  $n = 2$ , this recovers classical duality results between Bockstein elements/cup products and  $p$ -powers/commutators, respectively, proved by Labute in his classical work on Demuškin groups ([22, Prop. 8], [34], [31, Ch. III, §9], [34]). In the case  $n = 3$ , it refines results by Vogel [37, §2].

The paper builds upon our earlier work [8], supplemented by [9], where we proved analogous results for the *lower  $p$ -central filtration*, defined inductively by  $G^{(1,p)} = G$  and  $G^{(n,p)} = (G^{(n-1,p)})^p [G, G^{(n-1,p)}]$  for  $n \geq 2$ . In many respects, this filtration and the  $p$ -Zassenhaus filtration are the opposite extremes among the filtrations related to mod- $p$  cohomology.

While we follow the general philosophy of [8] and [9], their methods fall short when applied to the  $p$ -Zassenhaus filtration. Therefore we modify these methods in several aspects: mainly, whereas in the lower  $p$ -central case one should consider words  $w$  of arbitrary lengths, in the case of Zassenhaus filtration we need to restrict to words of lengths  $\lceil n/p^k \rceil$ ,  $k \geq 0$ , as above. These ‘jumps’ arise when we analyze the filtration for the group  $\mathbb{U}_i(\mathbb{Z}/p^j)$  of unitriangular  $(i + 1) \times (i + 1)$ -matrices over  $\mathbb{Z}/p^j$ . They turn out to have crucial, and quite nonobvious, properties, which are in particular needed for handling the dual  $S_{(n,p)}/(S_{(n,p)})^p [S, S_{(n,p)}]$  of  $H^2(S/S_{(n,p)})$ . Here commutator identities due to Shalev [36] also play a key role. By contrast, the corresponding quotient in the lower  $p$ -central case is  $S^{(n,p)}/S^{(n+1,p)}$ , which is considerably more tractable. In addition, the analysis for the lower  $p$ -central filtration in [8] is based on (mixed) Lie-algebra computations. In the case of Zassenhaus filtration we instead apply the theory of free  $p$ -restricted Lie algebra, following [25] and [15].

The correspondence in the Main Theorem demonstrates deep connections between the  $p$ -Zassenhaus filtration and its cohomology and the  $n$ -fold Massey product  $H^1(G)^n \rightarrow H^2(G)$ . In fact, it was shown in [7] that when  $S$  is a free profinite group,  $S_{(n,p)}/S_{(n+1,p)}$  is dual to the subgroup of  $H^2(S/S_{(n,p)})$  generated by all such products. Moreover, the latter subgroup is the kernel of the inflation map  $H^2(S/S_{(n,p)}) \rightarrow H^2(S/S_{(n+1,p)})$ . The size of  $S_{(n,p)}/S_{(n+1,p)}$  was computed in [26]. The behavior of Massey products for absolute Galois groups  $G = G_F$  has been the focus of extensive research in recent years, where the  $p$ -Zassenhaus filtration has played an important role (see, e.g., [10], [16], [17], [18], [27], [28] and the references therein).

## 2. Hall sets

Let  $X$  be a nonempty set, considered as an alphabet. Let again  $X^*$  be the free monoid on  $X$ . We consider its elements as *associative* words. It is equipped with the binary operation  $(u, v) \mapsto uv$  of associative concatenation. Let  $\mathcal{M}_X$  be the *free magma* on  $X$  (see [35, Part I, Ch. IV, §1], [8, §2]). Thus the elements of  $\mathcal{M}_X$  are the nonempty *nonassociative* words in the alphabet  $X$ , and it is equipped with the binary operation  $(u, v) \mapsto (uv)$

of nonassociative concatenation. There is a natural *foliage* (brackets-dropping) map  $f: \mathcal{M}_X \rightarrow X^*$ , which is the identity on  $X$  (considered as a subset of both  $\mathcal{M}_X$  and  $X^*$ ) and which commutes with the concatenation maps.

We fix a total order on  $X$ . It induces on  $X^*$  the *alphabetic* order  $\leq_{\text{alp}}$ , which is also total. We denote the length of a word  $w \in X^*$  by  $|w|$ .

Let  $\mathcal{H}$  be a subset of words in  $\mathcal{M}_X$  and  $\leq$  any total order on  $\mathcal{H}$ . We say that  $(\mathcal{H}, \leq)$  is a *Hall set in  $\mathcal{M}_X$*  if the following conditions hold [33, §4.1]:

- (1)  $X \subseteq \mathcal{H}$  as ordered sets.
- (2) If  $h = (h'h'') \in \mathcal{H} \setminus X$ , then  $h'' \in \mathcal{H}$  and  $h < h''$ .
- (3) For  $h = (h'h'') \in \mathcal{M}_X \setminus X$ , one has  $h \in \mathcal{H}$  if and only if
  - $h', h'' \in \mathcal{H}$  and  $h' < h''$ , and
  - either  $h' \in X$  or  $h' = (h_1h_2)$  with  $h_2 \geq h''$ .

In this case we say that  $H = f(\mathcal{H})$  is a *Hall set in  $X^*$* .

Every  $w \in H$  can be written as  $w = f(h)$  for a *unique*  $h \in \mathcal{H}$  [33, Cor. 4.5]. If  $w \in H \setminus X$ , then we can uniquely write  $h = (h'h'')$  with  $h', h'' \in \mathcal{H}$  [33, p. 89]. Setting  $w' = f(h')$ ,  $w'' = f(h'') \in H$ , we call  $w = w'w''$  the *standard factorization* of  $w$ .

**Example 2.1.** The set of all Lyndon words in  $X^*$  (see the Introduction) is a Hall set with respect to  $\leq_{\text{alp}}$  [33, Th. 5.1].

The standard factorization of Lyndon words is explicitly given as follows:

**Lemma 2.2.** *Let  $w, u, v \in X^*$  be nonempty words such that  $w = uv$  and  $w$  is Lyndon. The following conditions are equivalent:*

- (a)  $w = uv$  is the standard factorization of  $w$  in the set of Lyndon words.
- (b)  $v$  is the  $\leq_{\text{alp}}$ -minimal nontrivial right factor of  $w$  which is Lyndon.
- (c)  $v$  is the longest nontrivial right factor of  $w$  which is Lyndon.

**Proof.** (a) $\Leftrightarrow$ (b): This is shown in the proof of [33, Th. 5.1].

(b) $\Rightarrow$ (c): Let  $v'$  be a nontrivial Lyndon right factor of  $w$ . By (b),  $v \leq_{\text{alp}} v'$ . Since  $v'$  is Lyndon,  $v$  cannot be a nontrivial right factor of  $v'$ . Hence  $v'$  is a right factor of  $v$ , so  $|v'| \leq |v|$ .

(c) $\Rightarrow$ (b): Let  $v'$  be a nontrivial Lyndon right factor of  $w$ . By (c), it is a right factor of  $v$ . Since  $v$  is Lyndon,  $v \leq_{\text{alp}} v'$ . □

We order  $\mathbb{Z}_{\geq 0} \times X^*$  lexicographically with respect to the usual order on  $\mathbb{Z}_{\geq 0}$  and  $\leq_{\text{alp}}$ . We then define a second total order  $\preceq$  on  $X^*$  by setting

$$w_1 \preceq w_2 \iff (|w_1|, w_1) \leq (|w_2|, w_2) \tag{2.1}$$

with respect to the latter order on  $\mathbb{Z}_{\geq 0} \times X^*$ .

### 3. Lie algebras

Let  $R$  be a unital commutative ring. We write  $R\langle X \rangle$  for the free associative  $R$ -algebra over the set  $X$ . We view its elements as polynomials in the set  $X$  of noncommuting variables

and with coefficients in  $R$ . Alternatively, it is the free  $R$ -module on the basis  $X^*$  with multiplication induced by concatenation. The algebra  $R\langle X \rangle$  is graded with respect to total degree.

We write  $R\langle\langle X \rangle\rangle$  for the  $R$ -algebra of formal power series in the set  $X$  of noncommuting variables and with coefficients in  $R$ .

Let  $k$  be a field. For an associative  $k$ -algebra  $A$ , let  $A_{\text{Lie}}$  be the Lie algebra on  $A$  with Lie bracket  $[a, b] = ab - ba$ .

We now assume that  $X$  is a nonempty totally ordered set, and fix a Hall set  $H$  in  $X^*$ .

Let  $L(X)$  be the free Lie  $k$ -algebra on the set  $X$ . The universal enveloping algebra of  $L(X)$  is  $k\langle X \rangle$  [35, Part I, Ch. IV, Th. 4.2].

Let  $L$  be a Lie  $k$ -algebra containing  $X$ . Define a map  $P_L = P_L^H : H \rightarrow L$  by  $P_L(x) = x$  for  $x \in X$ , and  $P_L(w) = [P_L(u), P_L(v)]$ , if  $w = uv$  is the standard factorization of  $w$ , as in §2. This construction is functorial in  $L$  in the natural sense.

**Proposition 3.1.**

- (a) When  $L = L(X)$ , the images  $P_L(w)$ , where  $w \in H$ , form a  $k$ -linear basis of  $L(X)$ .
- (b) Let  $L$  be a Lie  $k$ -algebra containing  $X$ . Then the image of  $P_L$   $k$ -linearly spans the Lie  $k$ -subalgebra of  $L$  generated by  $X$ .

**Proof.**

- (a) See [33, Th. 4.9(i)].
- (b) This follows from (a), the universal property of  $L(X)$ , and the functoriality of  $P_L$ . □

By Proposition 3.1(a) and the Poincaré–Birkhoff–Witt theorem [35, Part I, Ch. III, §4], the products  $\prod_{i=1}^m P_{L(X)}(w_i)$ , with  $w_1 \geq_{\text{alp}} \dots \geq_{\text{alp}} w_m$  in  $H$ , form a  $k$ -linear basis of the universal enveloping algebra  $k\langle X \rangle$  of  $L(X)$ .

Next assume that  $\text{char } k = p > 0$ . A *restricted Lie  $k$ -algebra*  $L$  is a Lie  $k$ -algebra with an additional unary operation  $a \mapsto a^{[p]}$  for which there is an associative  $k$ -algebra  $A$  and a Lie  $k$ -algebra monomorphism  $\theta : L \rightarrow A_{\text{Lie}}$  such that  $\theta(a^{[p]}) = \theta(a)^p$  for every  $a \in L$  ([5, §12.1]; see also [19] for an alternative equivalent definition). A morphism of restricted Lie  $k$ -algebras is a morphism of Lie  $k$ -algebras which commutes with the  $(\cdot)^{[p]}$ -maps.

Every associative  $k$ -algebra  $A$  is endowed with the structure of a restricted Lie algebra  $A_{\text{res.Lie}}$ , where we set  $[a, b] = ab - ba$  and  $a^{[p]} = a^p$ . Every restricted Lie  $k$ -algebra  $L$  has a unique *restricted universal enveloping algebra*  $\mathcal{U}_{\text{res}}(L)$ . This means that  $\mathcal{U}_{\text{res}}(L)$  is an associative  $k$ -algebra, and the functor  $A \mapsto A_{\text{res.Lie}}$  from the category of associative  $k$ -algebras to the category of restricted Lie  $k$ -algebras and the functor  $L \mapsto \mathcal{U}_{\text{res}}(L)$  from the category of restricted Lie  $k$ -algebras to the category of associative  $k$ -algebras are adjoint ([5, §12.1], [19, Ch. V, Th. 12]).

Given a restricted Lie  $k$ -algebra  $L$  containing  $X$ , we define a map  $\widehat{P}_L = \widehat{P}_L^H : \mathbb{Z}_{\geq 0} \times H \rightarrow L$  by  $\widehat{P}_L(j, w) = P_L(w)^{[p]^j}$ , where  $(\cdot)^{[p]^j}$  denotes applying  $j$  times the operation  $(\cdot)^{[p]}$ . In analogy with Proposition 3.1(b) we have the following:

**Proposition 3.2.** *The image of  $\widehat{P}_L$   $k$ -linearly spans the restricted Lie  $k$ -subalgebra of  $L$  generated by  $X$ .*

**Proof.** Let  $\widehat{L}_0$  be the  $k$ -linear subspace of  $L$  spanned by  $\text{Im}(\widehat{P}_L)$ . Let  $L_0$  be the  $k$ -linear subspace of  $L$  spanned by  $\text{Im}(P_L)$ . Clearly,  $X \subseteq L_0 \subseteq \widehat{L}_0$ . By Proposition 3.1(b),  $L_0$  is the Lie  $k$ -subalgebra of  $L$  generated by  $X$ .

Since  $\text{char } k = p$ , the binomial formula implies that the subspace  $\widehat{L}_0$  is closed under  $(\cdot)^{[p]}$ .

If  $w, u \in H$ , then  $[P_L(w), P_L(u)] \in L_0$ . It follows from the  $k$ -bilinearity of the Lie bracket that for every  $\alpha, \beta \in L_0$ , also  $[\alpha, \beta] \in L_0$ . By induction on  $m \geq 1$ , the  $m$ -times iterated Lie brackets

$$[\alpha, \dots, \beta] = [\alpha, [\alpha, [\dots [\alpha, \beta] \dots]]] \quad \text{and} \quad [\alpha, \beta, \dots, \beta] = [\dots [[\alpha, \beta], \beta], \dots, \beta]$$

are also contained in  $L_0$ . Using the identities  $[\alpha, \beta^{[p]}] = [\alpha, \beta, \beta]$  and  $[\alpha^{[p]}, \beta] = [\alpha, \beta, \beta]$  (see [5, p. 297]), we deduce that  $[\alpha^{[p]^j}, \beta^{[p]^r}] \in L_0$  for every  $j, r \geq 0$ . By the bilinearity again,  $\widehat{L}_0$  is therefore closed under the Lie bracket.

Hence  $\widehat{L}_0$  is the restricted Lie  $k$ -subalgebra of  $L$  generated by  $X$ . □

There is a *free restricted  $k$ -algebra*  $\widehat{L}(X)$  on the generating set  $X$ , with the standard universal property. It is the restricted Lie  $k$ -subalgebra of  $k\langle X \rangle_{\text{res.Lie}}$  generated by  $X$ , and its restricted universal enveloping algebra is  $k\langle X \rangle$  [15, Prop. 1.2.7]. We note that in the algebra  $k\langle X \rangle$  one has

$$\widehat{P}_{\widehat{L}(X)}(j, w) = P_{L(X)}(w)^{p^j}$$

for every  $j \geq 0$  and  $w \in H$ . The following analogue of Proposition 3.1(a) generalizes a result of Gärtner [15, Th. 1.2.11] (who considers a specific Hall family  $H$ ):

**Corollary 3.3.** *The polynomials  $\widehat{P}_{\widehat{L}(X)}(j, w)$ , where  $j \geq 0$  and  $w \in H$ , form a  $k$ -linear basis of  $\widehat{L}(X)$ .*

**Proof.** We consider  $\widehat{L}(X)$  as a  $k$ -linear subspace of  $k\langle X \rangle$ . By Proposition 3.2, it is spanned by the powers  $\widehat{P}_{\widehat{L}(X)}(j, w)$ , where  $j \geq 0$  and  $w \in H$ . As already observed, the products  $\prod_{i=1}^m P_{L(X)}(w_i)$ , with  $w_1 \geq_{\text{alp}} \dots \geq_{\text{alp}} w_m$  in  $H$ , form a  $k$ -linear basis of  $k\langle X \rangle$ . In particular, the powers  $\widehat{P}_{\widehat{L}(X)}(j, w) = P_{L(X)}(w)^{p^j}$  are  $k$ -linearly independent. Hence they form a  $k$ -linear basis of  $L(X)_{\text{res}}$ . □

We grade  $L(X)$  and  $\widehat{L}(X)$  by total degree, and write  $L(X)_n, \widehat{L}(X)_n$  for their homogenous components of degree  $n$ .

**Corollary 3.4.** *Let  $n$  be a positive integer.*

- (a) *The  $P_{L(X)}(w)$ , with  $w \in H$  and  $|w| = n$ , form a  $k$ -linear basis of  $L(X)_n$ .*
- (b) *The  $\widehat{P}_{\widehat{L}(X)}(j, w)$ , with  $j \geq 0$  and  $w \in H$  satisfying  $n = |w|p^j$ , form a  $k$ -linear basis of  $\widehat{L}(X)_n$ .*

**Proof.**

- (a) This follows from Proposition 3.1(a), since  $P_{L(X)}(w)$  has degree  $|w|$  in  $k\langle X \rangle$ .
- (b) This follows from Corollary 3.3, since  $\widehat{P}_{\widehat{L}(X)}(j, w)$  has degree  $|w|p^j$  in  $k\langle X \rangle$ . □

**4. The  $p$ -Zassenhaus filtration**

We fix as before a prime number  $p$ . For an integer  $1 \leq i \leq n$ , let  $j_n(i) = \lceil \log_p(n/i) \rceil$  – that is,  $j_n(i)$  is the least integer  $j$  such that  $ip^j \geq n$ .

**Lemma 4.1.** *The following conditions on  $1 \leq i \leq n$  are equivalent:*

- (a)  $i'p^{j_n(i')} \geq ip^{j_n(i)}$  for every  $1 \leq i' \leq i$ .
- (b)  $i = \lceil n/p^k \rceil$  for some  $k \geq 0$ .

**Proof.** Set  $i_k = \lceil n/p^k \rceil$ . Thus  $i_0 = n$ , and the sequence  $i_k$  is weakly decreasing to 1. We may restrict ourselves to  $k$  such that  $p^k \leq n$ . Then  $(n/p^k) + 1 \leq n/p^{k-1}$ , so  $n/p^k \leq i_k < n/p^{k-1}$ . Thus  $j_n(i_k) = k$ .

Since  $n/p^k \leq \lceil n/p^{k+1} \rceil p$ , one has  $i_k p^k \leq i_{k+1} p^{k+1}$  – that is, the sequence  $i_k p^{j_n(i_k)}$  is weakly increasing in the above range.

We also observe that if  $i < i_{k-1}$ , then  $i < n/p^{k-1}$  – that is,  $j_n(i) \geq k$ .

(a)  $\Rightarrow$  (b): Since (b) certainly holds for  $i = n$ , we may assume that  $i < n$ , so there is  $k$  in the above range such that  $i_k \leq i < i_{k-1}$ . By the previous observation,  $j_n(i) \geq k$ . We take in (a)  $i' = i_k$  to obtain

$$i_k p^{j_n(i_k)} \geq ip^{j_n(i)} \geq i_k p^k = i_k p^{j_n(i_k)}.$$

Hence  $i = i_k$ .

(b)  $\Rightarrow$  (a): Suppose that  $1 \leq i' < i_k$ . There exists  $l$  in the above range such that  $i_l \leq i' < i_{l-1}$ . Necessarily,  $l > k$ , so  $i_l p^l \geq i_k p^k$ . As we have observed,  $j_n(i') \geq l$ . Hence

$$i' p^{j_n(i')} \geq i_l p^l \geq i_k p^k = i_k p^{j_n(i_k)}. \quad \square$$

We define  $J(n)$  to be the set of all  $1 \leq i \leq n$  such that the equivalent conditions of Lemma 4.1 hold.

**Remark 4.2.**

- (1) When  $n \leq p$ , one has  $J(n) = \{1, n\}$ .
- (2) Let  $1 \neq i \in J(n)$  and take  $k$  such that  $i = \lceil n/p^k \rceil$ . By the first paragraph of the proof of Lemma 4.1,  $j_n(i) = k$ .

Now let  $G$  be a profinite group. Given closed subgroups  $K, K'$  of  $G$  and a positive integer  $m$ , we write  $[K, K']$  (resp.,  $K^m$ ) for the closed subgroup of  $G$  generated by all commutators  $[k, k'] = k^{-1}(k')^{-1}kk'$  (resp., powers  $k^m$ ) with  $k \in K$  and  $k' \in K'$ .

Recall that the (profinite) *lower central series*  $G^{(i)}$ ,  $i = 1, 2, \dots$ , of  $G$  is defined inductively by  $G^{(1)} = G$ ,  $G^{(i+1)} = [G, G^{(i)}]$ . As in the Introduction, we denote the  $p$ -Zassenhaus filtration of  $G$  by  $G_{(n,p)}$ ,  $n = 1, 2, \dots$ . Since  $G^{(i)} \leq G^{(n)}$  for  $i > n$ ,

$$G_{(n,p)} = \prod_{ip^j \geq n} \left(G^{(i)}\right)^{p^j} = \prod_{i=1}^n \left(G^{(i)}\right)^{p^{j_n(i)}}.$$

The subgroups  $G_{(n,p)}$  of  $G$  are characteristic, hence normal. We note that  $G^{(n)} \leq G_{(n,p)}$ .

The Zassenhaus filtration can also be defined inductively by

$$G_{(1,p)} = G, \quad G_{(n,p)} = (G_{(\lceil n/p \rceil, p)})^p \prod_{i+j=n} [G_{(i,p)}, G_{(j,p)}], \tag{4.1}$$

for  $n \geq 2$ . Indeed, this follows from a theorem of Lazard in the case of discrete groups ([5, Th. 11.2], [25, p. 209, Equation (3.14.5)]), and the profinite analog follows by a density argument. It follows from definition (4.1) that for  $n \geq 2$ ,

$$G_{(np,p)} \leq (G_{(n,p)})^p [G, G_{(n,p)}]. \tag{4.2}$$

Let  $r \geq 0$ . The following identity was proved in the discrete case by Shalev [36, Prop. 1.2]; the profinite analog follows again by a density argument:

$$\prod_{ip^j \geq n} (G^{(i+r+1)})^{p^j} = \left[ G, \prod_{ip^j \geq n} (G^{(i+r)})^{p^j} \right].$$

In particular,

$$\prod_{ip^j \geq n} (G^{(i+1)})^{p^j} = [G, G_{(n,p)}]. \tag{4.3}$$

**Proposition 4.3.** *Let  $1 \leq i \leq n$  be an integer such that  $i \notin J(n)$ . Then*

$$(G^{(i)})^{p^{j_n(i)}} \leq (G_{(n,p)})^p [G, G_{(n,p)}].$$

**Proof.** As  $i \notin J(n)$ , there exists  $1 \leq i' < i$  such that  $ip^{j_n(i)} > i'p^{j_n(i')}$ . We abbreviate  $j = j_n(i)$  and  $j' = j_n(i')$ , so  $ip^j, i'p^{j'} \geq n$ .

If  $j > j'$ , then

$$(G^{(i)})^{p^j} \leq \left( (G^{(i')})^{p^{j'}} \right)^{p^{j-j'}} \leq (G_{(n,p)})^p.$$

If  $j \leq j'$ , then the inequality  $i > i'p^{j'-j}$  and equation (4.3) give

$$(G^{(i)})^{p^j} \leq \left( G^{(i'p^{j'-j}+1)} \right)^{p^j} \leq [G, G_{(n,p)}]. \quad \square$$

It follows from definition (4.1) that for every  $n$  the quotient  $G_{(n,p)}/G_{(n+1,p)}$  is abelian of exponent dividing  $p$ . Consider the graded  $\mathbb{F}_p$ -module

$$\text{gr}G = \bigoplus_{n \geq 0} G_{(n,p)}/G_{(n+1,p)}.$$

The commutator map and the  $p$ -power map induce on  $\text{gr}G$  the structure of a  $p$ -restricted Lie  $\mathbb{F}_p$ -algebra (see [5, §12.2], [15, Prop. 1.2.14]).

We now specialize to the case where  $S$  is a free profinite group on the basis  $X$ , in the sense of [14, §17.4]. It is the inverse limit of the free profinite groups on finite subsets of  $X$  [14, Lemma 17.4.9], so in our following results one may assume whenever convenient that  $X$  is actually finite, and use limit arguments for the general case.

By [15, Th. 1.3.8], there is a well-defined isomorphism  $\text{gr}S \xrightarrow{\sim} \widehat{L}(X)$  of graded restricted Lie algebras. Specifically, the coset of  $x \in X$  in  $\text{gr}_1 S = S/S_{(2,p)}$  maps to  $x$ .

Let  $H$  be, as before, a fixed Hall set in  $X^*$ . For every word  $w \in H$  we associate an element  $\tau_w \in S$  as in [8]. Thus  $\tau_{(x)} = x$  for  $x \in X$ , and for a word  $w \in H$  of length  $i > 1$  with standard factorization  $w = uv$ , where  $u, v \in H$  (see §2), we set  $\tau_w = [\tau_u, \tau_v]$ . Then  $\tau_w \in S^{(i)}$ . Hence if  $ip^j \geq n$ , then  $\tau_w^{p^j} \in (S^{(i)})^{p^j} \leq S_{(n,p)}$ .

**Proposition 4.4.** *Let  $n \geq 1$ . The cosets of the powers  $\tau_w^{p^j}$ , with  $w \in H$  and  $n = |w|p^j$ , form an  $\mathbb{F}_p$ -linear basis of  $S_{(n,p)}/S_{(n+1,p)}$ .*

**Proof.** We use the terminology of §3 with the ground field  $k = \mathbb{F}_p$ . By induction on the structure of  $w$ , the isomorphism  $\text{gr}S \xrightarrow{\sim} \widehat{L}(X)$  of restricted Lie  $\mathbb{F}_p$ -algebras maps the coset of  $\tau_w$  to  $P_{L(X)}(w)$ . Therefore it maps the coset of  $\tau_w^{p^j}$  to  $\widehat{P}_{\widehat{L}(X)}(j, w) = P_{L(X)}(w)^{p^j}$  considered as polynomials in  $\mathbb{F}_p\langle X \rangle$ . The assertion now follows from Corollary 3.4(b). □

**Remark 4.5.** Vogel [37, Ch. I, §3] uses a specific Hall set  $H$  to give  $\mathbb{F}_p$ -linear bases of  $S_{(n,p)}/S_{(n+1,p)}$  for  $n = 2, 3$ , as well as generating sets for arbitrary  $n$ . Namely, for similarly defined basic commutators  $c_w \in S^{(i)}$  of words  $w \in H$  with  $|w| = i$ , the generating set consists of all  $c_w^{p^j}$  with  $n = ip^j$ . Furthermore, according to [26, Cor. 3.12] the set of all such powers forms a basis of  $S_{(n,p)}/S_{(n+1,p)}$ , but the proof lacks details. I thank J. Mináč for a correspondence on the latter reference.

Let  $n \geq 1$ . For a word  $w \in H$  of length  $1 \leq i \leq n$  we abbreviate

$$\sigma_w = \tau_w^{p^{j_n(i)}}.$$

Thus  $\sigma_w \in S_{(n,p)}$ .

**Theorem 4.6.** *The cosets of  $\sigma_w$ , where  $w \in H$  has length  $i \in J(n)$ , generate  $S_{(n,p)}/(S_{(n,p)})^p [S, S_{(n,p)}]$ .*

**Proof.** Proposition 4.4 implies, by induction on  $r \geq 1$ , that  $S_{(n,p)}/S_{(n+r,p)}$  is generated by the cosets of  $\tau_w^{p^j}$ , where  $w \in H$  has length  $i$ , and  $n \leq ip^j < n+r$ . We apply this for  $n+r = np$ . By the inclusion (4.2),  $S_{(np,p)} \leq (S_{(n,p)})^p [S, S_{(n,p)}]$ , and we deduce that  $S_{(n,p)}/(S_{(n,p)})^p [S, S_{(n,p)}]$  is generated by the cosets of  $\tau_w^{p^j}$ , where  $w \in H$  has length  $i$  and  $n \leq ip^j < np$ . Moreover, it suffices to take such powers with  $j = j_n(i)$ , since otherwise  $\tau_w^{p^j} \in (S_{(n,p)})^p$ . Finally, by Proposition 4.3, if  $i \notin J(n)$  then the coset of  $\sigma_w = \tau_w^{p^{j_n(i)}}$  is trivial. We are therefore left with the generators  $\sigma_w$ , as in the assertion. □

### 5. The fundamental matrix

For a profinite ring  $R$ , let  $R\langle\langle X \rangle\rangle^\times$  be the group of invertible elements in  $R\langle\langle X \rangle\rangle$  (see §3). As before, let  $S$  be the free profinite group over the basis  $X$ . The *continuous Magnus homomorphism*

$$\Lambda = \Lambda_R: S \rightarrow R\langle\langle X \rangle\rangle^\times$$

is defined on the (profinite) generators  $x \in X$  of  $S$  by  $\Lambda(x) = 1 + x$  (see [7, §5] for details, and note that  $1 + x$  is invertible by the geometric progression formula). For an arbitrary  $\sigma \in S$  we write

$$\Lambda(\sigma) = \sum_{w \in X^*} \epsilon_{w,R}(\sigma)w,$$

with  $\epsilon_{w,R}(\sigma) \in R$ . The map  $\epsilon_{w,R}: S \rightarrow R$  is continuous, and  $\epsilon_{\emptyset,R}(\sigma) = 1$  for every  $\sigma$  (where  $\emptyset$  denotes the empty word).

Let  $U_i(R)$  be the profinite group of all unitriangular  $(i + 1) \times (i + 1)$ -matrices over  $R$ . Given a word  $w = (x_1 \cdots x_i) \in X^*$  of length  $i$ , we define a continuous map  $\rho_w: S \rightarrow U_i(R)$  by

$$\rho_w(\sigma) = (\epsilon_{(x_k x_{k+1} \cdots x_{l-1}),R}(\sigma))_{1 \leq k \leq l \leq i+1}.$$

The fact that  $\Lambda$  is a homomorphism implies that  $\rho_w$  is a homomorphism of profinite groups [7, Lemma 7.5]. We call it the *Magnus representation* of  $S$  corresponding to  $w$ .

The subgroup  $S^{(n)}$  of  $S$  is characterized in terms of the Magnus map as the set of all  $\sigma \in S$  such that  $\epsilon_{w,\mathbb{Z}_p}(\sigma) = 0$  for every word  $w$  of length  $1 \leq i < n$  [8, Prop. 4.1(a)]. The following result gives similar restrictions on the Magnus coefficients of elements of  $S_{(n,p)}$ . In the discrete case it was proved in [2, Example 4.6], where it was further shown that these restrictions in fact characterize  $S_{(n,p)}$ . While it is possible to derive the proposition from the discrete case using a density argument, we provide a direct proof.

**Proposition 5.1.** *If  $\sigma \in S_{(n,p)}$ , then  $\epsilon_{w,\mathbb{Z}_p}(\sigma) \in p^{j_n(i)}\mathbb{Z}_p$  for every word  $w \in X^*$  of length  $i \geq 1$ .*

**Proof.** Consider the subset

$$I = \sum_{i \geq 1} \sum_{|w|=i} p^{j_n(i)}\mathbb{Z}_p w = \sum_{1 \leq i \leq n} \sum_{|w|=i} p^{j_n(i)}\mathbb{Z}_p w + \sum_{i > n} \sum_{|w|=i} \mathbb{Z}_p w$$

of  $\mathbb{Z}_p\langle\langle X \rangle\rangle$ . It is an ideal in  $\mathbb{Z}_p\langle\langle X \rangle\rangle$ , and therefore  $1 + I$  is closed under multiplication. Moreover, the identity  $\alpha^{-1} = 1 - \alpha^{-1}(\alpha - 1)$  shows that  $1 + I$  is in fact a subgroup of  $\mathbb{Z}_p\langle\langle X \rangle\rangle^\times$ .

As  $S_{(n,p)} = \prod_{i=1}^n (S^{(i)})^{p^{j_n(i)}}$ , it therefore suffices to show that  $\Lambda_{\mathbb{Z}_p}(\tau^{p^{j_n(i)}}) \in 1 + I$  for every  $\tau \in S^{(i)}$  with  $1 \leq i \leq n$ . We abbreviate  $j = j_n(i)$ . Then  $\Lambda_{\mathbb{Z}_p}(\tau) = 1 + \sum_{|w| \geq i} \epsilon_{w,\mathbb{Z}_p}(\tau)w$ , by [8, Prop. 4.1(a)]. For every  $1 \leq l \leq p^j$  such that  $il \leq n$ , one has  $p^{j_n(il)} \mid \binom{p^j}{l}$  [2, Example 3.9]. Hence

$$\begin{aligned} \Lambda_{\mathbb{Z}_p}(\tau^{p^j}) &= \sum_{0 \leq l \leq p^j} \binom{p^j}{l} \left( \sum_{|w| \geq i} \epsilon_{w,\mathbb{Z}_p}(\tau)w \right)^l \subseteq 1 + \sum_{1 \leq l \leq p^j} \binom{p^j}{l} \left( \sum_{|w| \geq i} \mathbb{Z}_p w \right)^l \\ &\subseteq 1 + \sum_{1 \leq l \leq p^j, il \leq n} p^{j_n(il)} \left( \sum_{|w| \geq i} \mathbb{Z}_p w \right)^l + \sum_{1 \leq l \leq p^j, il > n} \left( \sum_{|w| \geq i} \mathbb{Z}_p w \right)^l \\ &\subseteq 1 + I, \end{aligned}$$

as desired. □

As before, let  $H$  be a Hall set in  $X^*$ .

**Corollary 5.2.** *Let  $w, w'$  be nonempty words in  $X^*$  of lengths  $1 \leq i, i' \leq n$ , respectively, with  $w' \in H$ . Then  $\epsilon_{w, \mathbb{Z}_p}(\sigma_{w'}) \in p^{j_n(i)}\mathbb{Z}_p$ .*

For an integer  $1 \leq i \leq n$ , let

$$\pi_i: \mathbb{Z}_p \rightarrow \mathbb{Z}/p^{j_n(i)+1}$$

be the natural epimorphism. For words  $w, w'$  of lengths  $i, i'$ , respectively, with  $w' \in H$ , we define

$$\langle w, w' \rangle_n = \pi_i(\epsilon_{w, \mathbb{Z}_p}(\sigma_{w'})).$$

By Corollary 5.2,  $\langle w, w' \rangle_n \in p^{j_n(i)}\mathbb{Z}_p/p^{j_n(i)+1}\mathbb{Z}_p$ . We identify the latter group with  $\mathbb{Z}/p$ , and thus view  $\langle w, w' \rangle_n$  as an element of  $\mathbb{Z}/p$ .

Consider the (possibly infinite) *transposed* matrix

$$\left[ \langle w, w' \rangle_n \right]_{w, w'}^T$$

over  $\mathbb{Z}/p$ , where  $w, w'$  range over all words in  $H$  of lengths in  $J(n)$ , and indexed with respect to the total order  $\preceq$  on  $X^*$  defined in §2. We call it the *fundamental matrix of level  $n$  of  $H$* .

We now focus on the Hall set of Lyndon words (see the Introduction). We record the following fundamental *triangularity property* of  $H$  [33, Th. 5.1]: For every Lyndon word  $w \in X^*$ , one has

$$\Lambda_{\mathbb{Z}_p}(\tau_w) = 1 + w + \text{a combination of words strictly larger than } w \text{ in } \preceq. \tag{5.1}$$

**Proposition 5.3.** *Let  $H$  be the Hall set of all Lyndon words in  $X^*$ . The fundamental matrix of  $H$  of level  $n$  is unitriangular (i.e., unipotent and upper-triangular).*

**Proof.** Let  $w$  be a Lyndon word of length  $i \leq n$ . By (5.1),

$$\Lambda_{\mathbb{Z}_p}(\sigma_w) = (1 + w + \dots)^{p^{j_n(i)}} = 1 + p^{j_n(i)}w + \dots,$$

where the remaining terms are multiples of words strictly larger than  $w$  in  $\preceq$ . Therefore  $\langle w, w \rangle_n = \pi_i(p^{j_n(i)}) = 1$  in  $\mathbb{Z}/p$ .

Furthermore, for Lyndon words  $w \prec w'$  we get  $\epsilon_{w, \mathbb{Z}_p}(\sigma_{w'}) = 0$ , whence  $\langle w, w' \rangle_n = 0$  (note that the empty word is not Lyndon).

Consequently, the matrix  $[\langle w, w' \rangle_n]_{w, w'}$  is unipotent lower-triangular, and therefore its transpose is unitriangular.  $\square$

**Example 5.4.** Suppose that  $n = 2$ . Then  $J(n) = \{1, 2\}$ .

The Lyndon words of length  $\leq 2$  are the words  $w = (x)$  and  $w = (xy)$ , with  $x, y \in X$ ,  $x < y$ . Then  $\sigma_w$  is  $\tau_w^{p^{j_2(1)}} = x^p$  and  $\tau_w^{p^{j_2(2)}} = [x, y]$ , respectively. In [8, §10] it is shown that the value of  $\langle w, w' \rangle$ , where  $w, w'$  are Lyndon words of lengths  $\leq 2$ , is 1 if  $w = w'$  and is 0 otherwise. Thus the fundamental matrix of level 2 for the Lyndon words is the identity matrix.

**Example 5.5.** Suppose that  $n = 3$ . Then  $J(n) = \{1, 3\}$  for  $p \geq 3$  and  $J(3) = \{1, 2, 3\}$  for  $p = 2$ .

The Lyndon words  $w$  of length 3 are of the forms

$$(xxy), (xyy), (xyz), (xzy),$$

where  $x, y, z \in X$  and  $x < y < z$ . For these words we have

$$\sigma_{(xxy)} = [x, [x, y]], \quad \sigma_{(xyy)} = [[x, y], y], \quad \sigma_{(xyz)} = [x, [y, z]], \quad \sigma_{(xzy)} = [[x, z], y],$$

respectively. We recall that  $\langle w, w \rangle_3 = 1$  for every  $w$ , and  $\langle w, w' \rangle_3 = 0$  when  $w \prec w'$ . It remains to compute  $\langle w, w' \rangle_3$  when  $w' \prec w$ .

If  $|w|, |w'| \leq 2$ , then by Example 5.4,  $\langle w, w' \rangle_3 = 0$ . We may therefore assume that  $|w'| \leq |w| = 3$ .

If  $w$  contains a letter which does not appear in  $w'$ , then  $\epsilon_{w, \mathbb{Z}_p}(\sigma_{w'}) = 0$ , whence  $\langle w, w' \rangle_3 = 0$ . Thus we may assume that every letter in  $w$  appears in  $w'$ .

When  $w = (xxy)$  and  $w' = (xyy)$ , where  $x < y$ , the proof of [8, Prop. 11.2] gives

$$\langle w, w' \rangle_3 = \epsilon_{(xxy)}([x, [x, y]]) = 0.$$

Similarly, when  $w = (xzy)$  and  $w' = (xyz)$ , where  $x < y < z$ , the proof of [8, Prop. 11.2] gives

$$\langle w, w' \rangle_3 = \epsilon_{(xzy), \mathbb{Z}_p}([x, [y, z]]) = -1.$$

This covers all possible cases when  $p \geq 3$ . When  $p = 2$  we also need to consider Lyndon words  $w' = (xy)$  of length 2, where  $x < y$ . Then  $w = (xxy)$  or  $w = (xyy)$ . An explicit computation gives

$$\Lambda_{\mathbb{Z}_2}([x, y]) = 1 + xy - yx + xyx - yxy - x^2y + y^2x + \dots,$$

where the remaining terms are of degree  $\geq 4$ . The square of this series has no terms  $(xxy)$  and  $(xyy)$ , so  $\epsilon_{(xxy), \mathbb{Z}_2}([x, y]^2) = \epsilon_{(xyy), \mathbb{Z}_2}([x, y]^2) = 0$ . Therefore  $\langle (xxy), (xy) \rangle_3 = \langle (xyy), (xy) \rangle_3 = 0$ .

Altogether, we have shown that

$$\langle w, w' \rangle_3 = \begin{cases} 1 & \text{if } w = w', \\ -1 & \text{if } w = (xzy), w' = (xyz), \text{ where } x, y, z \in X, x < y < z, \\ 0 & \text{otherwise.} \end{cases}$$

In particular, the fundamental matrix need not be the identity matrix.

### 6. Unitriangular matrices

Let  $i \geq 1$  and  $j \geq 0$  be integers and consider the ring  $R = \mathbb{Z}/p^{j+1}$ . In this section we study the  $p$ -Zassenhaus filtration of the group  $\mathbb{U} = \mathbb{U}_i(R)$  of all unitriangular  $(i + 1) \times (i + 1)$ -matrices over  $R$ , and in particular characterize the values of  $i, j$  for which  $\mathbb{U}_{(n,p)} \cong \mathbb{Z}/p$  (see §5 for the notation).

We denote the unit matrix in  $\mathbb{U}$  by  $I$ , and write  $E_{1,i+1}$  for the matrix which is 1 at entry  $(1, i + 1)$  and is 0 elsewhere. For  $i' \geq 1$ , the subgroup  $\mathbb{U}^{(i')}$  of  $\mathbb{U}$  consists of all matrices in  $\mathbb{U}$  which are zero on the first  $i' - 1$  diagonals above the main diagonal [1, Th. 1.5(i)].

We record the following fact about binomial coefficients:

**Lemma 6.1.** *Let  $t, j'$  be positive integers such that  $1 \leq t \leq p^{j'}$ . The following conditions are equivalent:*

- (a)  $p^j \mid \binom{p^{j'}}{l}, l = 1, 2, \dots, t.$
- (b)  $p^j \mid \binom{p^{j'}}{l}$  for  $l = p^{\lfloor \log_p t \rfloor}.$
- (c)  $j' \geq j + \lfloor \log_p t \rfloor.$

**Proof.** (a) $\Rightarrow$ (b) is trivial. For (a)  $\Rightarrow$ (c) and (b)  $\Rightarrow$ (c) see [9, Prop. 2.2(c)] and its proof.  $\square$

**Proposition 6.2.** *Let  $1 \leq i' \leq i$  and  $j' \geq 0$ .*

- (a) *One has  $(\mathbb{U}^{(i')})^{p^{j'}} = \{I\}$  if and only if  $j' \geq j + 1 + \lfloor \log_p(i/i') \rfloor.$*
- (b) *One has  $(\mathbb{U}^{(i')})^{p^{j'}} = I + p^j \mathbb{Z}E_{1,i+1}$  if and only if  $j' = j + \log_p(i/i')$  (in particular,  $i/i'$  is a  $p$ -power).*
- (c) *One has  $(\mathbb{U}^{(i')})^{p^{j'}} \leq I + p^j \mathbb{Z}E_{1,i+1}$  if and only if  $j' \geq j + \log_p(i/i').$*

**Proof.** Let  $N$  be an  $(i + 1) \times (i + 1)$ -matrix over  $\mathbb{Z}/p^{j+1}$  such that  $I + N \in \mathbb{U}^{(i')}$ . Then  $N^l = 0$  for every integer  $l$  with  $i/i' < l$ . Hence

$$(I + N)^{p^{j'}} = \sum_{l=0}^{p^{j'}} \binom{p^{j'}}{l} N^l = \sum_{l=0}^{\min(p^{j'}, \lfloor i/i' \rfloor)} \binom{p^{j'}}{l} N^l.$$

Further, if  $i' \mid i$ , then  $N^{i/i'} \in \mathbb{Z}E_{1,i+1}$ .

In particular, let  $M$  be the  $(i + 1) \times (i + 1)$ -matrix over  $\mathbb{Z}/p^{j+1}$  which is 1 on the (first) super-diagonal and is 0 elsewhere. Then the matrix  $M^{i'l}$  is 1 on the  $i'l$ th diagonal above the main one and is 0 elsewhere. In particular,  $I + M^{i'} \in \mathbb{U}^{(i')}$ . By what we have just noted,

$$(1 + M^{i'})^{p^{j'}} = \sum_{l=0}^{\min(p^{j'}, \lfloor i/i' \rfloor)} \binom{p^{j'}}{l} M^{i'l}.$$

This matrix is  $\binom{p^{j'}}{l}$  on the  $i'l$ th diagonals above the main one and is 0 elsewhere.

- (a) By the previous observations,  $(\mathbb{U}^{(i')})^{p^{j'}} = \{I\}$  holds if and only if

$$p^{j+1} \mid \binom{p^{j'}}{l}, \quad l = 1, 2, \dots, \min(p^{j'}, \lfloor i/i' \rfloor).$$

In light of Lemma 6.1, this is equivalent to  $j' \geq j + 1 + \min(j', \lfloor \log_p \lfloor i/i' \rfloor \rfloor)$ , and it remains to note that  $\lfloor \log_p \lfloor i/i' \rfloor \rfloor = \lfloor \log_p(i/i') \rfloor$ .

(b) First assume that  $i = i'$ . Then  $\mathbb{U}^{(i')} = I + \mathbb{Z}E_{1,i+1}$ . Hence  $(\mathbb{U}^{(i')})^{p^{j'}} = I + \mathbb{Z}p^{j'}E_{1,i+1}$ , and the equality  $(\mathbb{U}^{(i')})^{p^{j'}} = I + \mathbb{Z}p^jE_{1,i+1}$  means that  $j' = j$ , as desired.

Next we assume that  $i > i'$ . By the previous observations,  $(\mathbb{U}^{(i')})^{p^{j'}} = I + \mathbb{Z}p^jE_{1,i+1}$  holds if and only if the following conditions hold:

- (i)  $i/i'$  is an integer  $\leq p^{j'}$ ;
- (ii)  $p^{j+1} \mid \binom{p^{j'}}{l}$ ,  $l = 1, 2, \dots, (i/i') - 1$ ;
- (iii)  $p^j \mid \binom{p^{j'}}{i/i'}$ ,  $p^{j+1} \nmid \binom{p^{j'}}{i/i'}$ .

By Lemma 6.1 again, (i)–(iii) mean that  $i/i'$  is an integer  $\leq p^{j'}$ , and

$$\begin{aligned} j' &\geq j + 1 + \lfloor \log_p((i/i') - 1) \rfloor \\ j' &\geq j + \lfloor \log_p(i/i') \rfloor \\ j' &< j + 1 + \lfloor \log_p(i/i') \rfloor. \end{aligned}$$

This amounts to saying that  $j' = j + \log_p(i/i')$ .

(c) This follows from (a) and (b). □

The case  $i' = 1$  of Proposition 6.2(a) was shown by Sawin (see [9, Prop. 2.3]).

The following corollary stands behind our definition of the sets  $J(n)$ . In the case  $i = n$  it was proved by Mináč, Rogelstad and Tân [26, Cor. 3.7].

**Corollary 6.3.** *Suppose that  $1 \leq i \leq n$  and  $j = j_n(i)$ . One has  $\mathbb{U}_{(n,p)} = I + p^{j_n(i)}\mathbb{Z}E_{1,i+1}$  if and only if  $i \in J(n)$ .*

**Proof.** Recall that  $\mathbb{U}_{(n,p)} = \prod_{i'=1}^n (\mathbb{U}^{(i')})^{p^{j_n(i')}}$ .

If  $i' > i$ , then  $\mathbb{U}^{(i')} = \{I\}$ , whence  $(\mathbb{U}^{(i')})^{p^{j_n(i')}} = \{I\}$ .

Taking in Proposition 6.2(b),  $i' = i$ , and  $j' = j = j_n(i)$ , we obtain that  $(\mathbb{U}^{(i)})^{p^{j_n(i)}} = I + p^{j_n(i)}\mathbb{Z}E_{1,i+1}$ .

Therefore,  $\mathbb{U}_{(n,p)} = I + p^{j_n(i)}\mathbb{Z}E_{1,i+1}$  holds if and only if for every  $1 \leq i' \leq i$  one has  $(\mathbb{U}^{(i')})^{p^{j_n(i')}} \leq I + p^{j_n(i)}\mathbb{Z}E_{1,i+1}$ . By Proposition 6.2(c), this inclusion is equivalent to  $i'p^{j_n(i')} \geq ip^{j_n(i)}$ . □

Thus, for  $i \in J(n)$  and  $\mathbb{U} = \mathbb{U}_i(\mathbb{Z}/p^{j_n(i)+1})$  there is a central extension

$$0 \rightarrow \mathbb{U}_{(n,p)}(\cong \mathbb{Z}/p) \rightarrow \mathbb{U} \rightarrow \overline{\mathbb{U}} := \mathbb{U}/\mathbb{U}_{(n,p)} \rightarrow 1, \tag{6.1}$$

where the isomorphism is the projection on the  $(1, i + 1)$ -entry composed with the isomorphism  $p^{j(i)}\mathbb{Z}/p^{j(i)+1}\mathbb{Z} \cong \mathbb{Z}/p$ .

**7. The cohomology elements  $\alpha_{w,n}$**

Let  $S$  be again a free profinite group on the basis  $X$ , and let  $n \geq 2$ . Consider the transgression homomorphism  $\text{trg}: H^1(S_{(n,p)})^S \rightarrow H^2(S/S_{(n,p)})$  (recall that the cohomology groups are with respect to the coefficient module  $\mathbb{Z}/p$  with trivial action). It is the differential  $d_2^{01}$  in the Lyndon–Hochschild–Serre spectral sequence corresponding to the closed normal subgroup  $S_{(n,p)}$  of  $S$  [31, Th. 2.4.3]. From the five-term sequence in profinite cohomology [31, Prop. 1.6.7] and the fact that  $S$  has cohomological dimension 1, it follows that  $\text{trg}$  is an isomorphism.

Now consider a word  $w$  of length  $i \in J(n)$ . Consider the ring  $R_i = \mathbb{Z}/p^{j_n(i)+1}$ , and set  $\mathbb{U} = \mathbb{U}_i(R_i)$ . As before, let  $\overline{\mathbb{U}} = \mathbb{U}/\mathbb{U}_{(n,p)}$ . By Corollary 6.3, the projection on the  $(1, i + 1)$ -entry gives an isomorphism

$$\mathbb{U}_{(n,p)} \xrightarrow{\sim} p^{j_n(i)}\mathbb{Z}/p^{j_n(i)+1}\mathbb{Z}.$$

The Magnus representation  $\rho = \rho_w: S \rightarrow \mathbb{U}$  induces continuous homomorphisms

$$\bar{\rho}_w: S/S_{(n,p)} \rightarrow \overline{\mathbb{U}}, \quad \rho_w^0 = \rho|_{S_{(n,p)}}: S_{(n,p)} \rightarrow \mathbb{U}_{(n,p)}.$$

Let  $\bar{\rho}_w^*: H^2(\overline{\mathbb{U}}) \rightarrow H^2(S/S_{(n,p)})$  be the pullback of  $\bar{\rho}_w$ .

Let  $\gamma = \gamma_{n,R_i} \in H^2(\overline{\mathbb{U}})$  correspond to the extension (6.1) under the Schreier correspondence [31, Th. 1.2.4]. We set

$$\alpha_{w,n} = \bar{\rho}_w^*(\gamma) \in H^2(S/S_{(n,p)}).$$

**Example 7.1**  $\alpha_{w,n}$  for a word  $w = (x)$  of length 1. Let  $j = j_n(1) = \lceil \log_p n \rceil$ , so  $\mathbb{U} = \mathbb{U}_1(\mathbb{Z}/p^{j+1}) \cong \mathbb{Z}/p^{j+1}$ . As  $1 \in J(n)$ , we have  $\mathbb{U}_{(n,p)} \cong \mathbb{Z}/p$ , and the central extension (6.1) becomes

$$0 \rightarrow \mathbb{Z}/p \rightarrow \mathbb{Z}/p^{j+1} \rightarrow \mathbb{Z}/p^j \rightarrow 0. \tag{7.1}$$

We consider this extension as a sequence of trivial  $S/S_{(n,p)}$ -modules. The *Bockstein homomorphism*

$$\text{Bock}_{p^j, S/S_{(n,p)}}: H^1(S/S_{(n,p)}, \mathbb{Z}/p^j) \rightarrow H^2(S/S_{(n,p)})$$

is the associated connecting homomorphism.

We may identify  $\rho_{(x)}: S \rightarrow \mathbb{U}$  with  $\epsilon_{(x), \mathbb{Z}/p^{j+1}}: S \rightarrow \mathbb{Z}/p^{j+1}$ , and  $\bar{\rho}_{(x)}: S/S_{(n,p)} \rightarrow \overline{\mathbb{U}}$  with  $\epsilon_{(x), \mathbb{Z}/p^j}: S/S_{(n,p)} \rightarrow \mathbb{Z}/p^j$ , which are both continuous homomorphisms. Thus  $\alpha_{(x),n}$  corresponds to the pullback of the extension (7.1) under  $\epsilon_{(x), \mathbb{Z}/p^j}$ . By [8, Remark 7.3],

$$\alpha_{(x),n} = \text{Bock}_{p^j, S/S_{(n,p)}}(\epsilon_{(x), \mathbb{Z}/p^j}).$$

For the next Example, we first recall a few facts about Massey products. While these products are defined in the general context of differential graded algebras, in the special case of the  $n$ -fold Massey product  $H^1(G, R)^n \rightarrow H^2(G, R)$  in profinite (or discrete) group cohomology it can be alternatively described in terms of unitriangular representations. This was discovered by Dwyer [6] in the discrete case, and we refer to [7, §8] for the profinite case, which is considered here. We assume as before that  $n \geq 2$  and  $R$  is a finite commutative ring on which  $G$  acts trivially (see [38] for the case of a nontrivial action).

Specifically, let  $\mathbb{U} = \mathbb{U}_n(R)$  and let  $\bar{\mathbb{U}}$  be again the quotient of  $\mathbb{U}$  by the central subgroup  $I + RE_{1,n+1} (\cong R^+)$ . The central extension

$$0 \rightarrow R^+ \rightarrow \mathbb{U} \rightarrow \bar{\mathbb{U}} \rightarrow 1 \tag{7.2}$$

of trivial  $G$ -modules corresponds to a cohomology element  $\gamma_R \in H^2(G, R^+)$ . Given  $\psi_1, \dots, \psi_n \in H^1(G, R^+)$ , we consider the continuous homomorphisms  $\bar{\rho}: G \rightarrow \bar{\mathbb{U}}$  whose projection  $\bar{\rho}_{k,k+1}: G \rightarrow R$  on the  $(k, k+1)$ -entry is  $\psi_k$ , for  $k = 1, 2, \dots, n$ . As before, let  $\bar{\rho}^*: H^2(\bar{\mathbb{U}}, R^+) \rightarrow H^2(G, R^+)$  be the pullback of  $\bar{\rho}$ . Then  $\bar{\rho}^*(\gamma_R)$  corresponds to the central extension

$$0 \rightarrow R^+ \rightarrow \mathbb{U} \times_{\bar{\mathbb{U}}} G \rightarrow G \rightarrow 1,$$

where the fiber product is with respect to the natural projection  $\mathbb{U} \rightarrow \bar{\mathbb{U}}$  and to  $\bar{\rho}$ . The  $n$ -fold Massey product  $\langle \psi_1, \dots, \psi_n \rangle$  is the subset of  $H^2(G, R^+)$  consisting of all pullbacks  $\bar{\rho}^*(\gamma_R)$  [7, Prop. 8.3]. Thus the  $n$ -fold Massey product  $\langle \cdot, \dots, \cdot \rangle: H^1(G, R^+)^n \rightarrow H^2(G, R^+)$  is a *multivalued map*. In the special case  $n = 2$ , one has  $\langle \psi_1, \psi_2 \rangle = \{ \psi_1 \cup \psi_2 \}$ .

**Example 7.2**  $\alpha_{w,n}$  for a word  $w$  of length  $n \geq 2$ . Since  $j_n(n) = 0$  we have  $R_n = \mathbb{Z}/p$ , so  $\mathbb{U} = \mathbb{U}_n(\mathbb{Z}/p)$ . As  $n \in J(n)$ , Corollary 6.3 shows that  $\mathbb{U}_{(n,p)} = I + \mathbb{Z}E_{1,n+1} \cong \mathbb{Z}/p$ . Thus the extension (7.2) (for  $R = \mathbb{Z}/p$ ) coincides with the extension (6.1) with  $i = n$ .

Now take a word  $w = (x_1 \cdots x_n) \in X^*$  of length  $n$ . Let  $\bar{\rho} = \bar{\rho}_w: S/S_{(n,p)} \rightarrow \bar{\mathbb{U}}$  and let  $\bar{\rho}_{k,k+1}$  be homomorphisms as before. By its definition as the pullback of the extension (6.1),  $\alpha_{w,n}$  is an element of the  $n$ -fold Massey product  $\langle \rho_{12}, \rho_{23}, \dots, \rho_{n,n+1} \rangle$  in  $H^2(S/S_{(n,p)})$ . Note that  $\bar{\rho}_{k,k+1}$  is given by  $\bar{\rho}_{k,k+1}(x_l) = \delta_{kl}$  for every  $1 \leq k, l \leq n$ .

### 8. The Lyndon bases

We continue with the setup of §7. Identifying  $H^1(S_{(n,p)}) = \text{Hom}(S_{(n,p)}, \mathbb{Z}/p)$ , we obtain a nondegenerate bilinear map

$$S_{(n,p)} / (S_{(n,p)})^p [S, S_{(n,p)}] \times H^1(S_{(n,p)})^S \rightarrow \mathbb{Z}/p, \quad (\bar{\sigma}, \varphi) \mapsto \varphi(\sigma)$$

[11, Cor. 2.2]. It gives rise to the bilinear *transgression pairing*

$$\begin{aligned} (\cdot, \cdot)_n: S_{(n,p)} / (S_{(n,p)})^p [S, S_{(n,p)}] \times H^2(S/S_{(n,p)}) &\rightarrow \mathbb{Z}/p, \\ (\bar{\sigma}, \alpha)_n &= -(\text{trg}^{-1} \alpha)(\sigma), \end{aligned} \tag{8.1}$$

where  $\bar{\sigma}$  denotes the coset of  $\sigma \in S_{(n,p)}$ . It is therefore also nondegenerate.

By Proposition 5.1 and Corollary 6.3, for a word  $w$  of length  $i \in J(n)$  there is a commutative diagram

$$\begin{array}{ccc} S_{(n,p)} & \xrightarrow{\epsilon_{w, \mathbb{Z}/p}} & p^{j_n(i)} \mathbb{Z}/p \\ \rho_w^0 \downarrow & & \downarrow \pi_i \\ \mathbb{U}_{(n,p)} & \xrightarrow{\sim} & p^{j_n(i)} \mathbb{Z}/p^{j_n(i)+1} \mathbb{Z}, \end{array} \tag{8.2}$$

where, as before,  $\pi_i: \mathbb{Z}_p \rightarrow \mathbb{Z}/p^{j_n(i)+1}$  is the natural projection, and the lower isomorphism is the projection on the  $(1, i + 1)$ -entry. We deduce the following link between cohomology and the Magnus map. As before, we identify  $p^{j_n(i)}\mathbb{Z}/p^{j_n(i)+1}\mathbb{Z}$  with  $\mathbb{Z}/p$ .

**Proposition 8.1.** *For  $\sigma \in S_{(n,p)}$  and a word  $w \in X^*$  of length  $i \in J(n)$ , one has  $(\bar{\sigma}, \alpha_{w,n})_n = \pi_i(\epsilon_{w, \mathbb{Z}_p}(\sigma))$ .*

**Proof.** The central extension (6.1) gives rise to a transgression homomorphism  $\text{trg}: H^1(\mathbb{U}_{(n,p)})^{\mathbb{U}} \rightarrow H^2(\bar{\mathbb{U}})$ . Let  $\iota: \mathbb{U}_{(n,p)} \xrightarrow{\sim} \mathbb{Z}/p$  be the composition of the lower row in diagram (8.2) with the isomorphism  $p^{j_n(i)}\mathbb{Z}/p^{j_n(i)+1}\mathbb{Z} \cong \mathbb{Z}/p$ . By the results of [8, §7],

$$\gamma = -\text{trg}(\iota).$$

The functoriality of transgression gives a commutative square

$$\begin{CD} H^1(\mathbb{U}_{(n,p)})^{\mathbb{U}} @>\text{trg}>> H^2(\bar{\mathbb{U}}) \\ @V(\rho_w^0)^*VV @VV\bar{\rho}_w^*V \\ H^1(S_{(n,p)})^S @>\text{trg}>> H^2(S/S_{(n,p)}). \end{CD}$$

As  $\sigma \in S_{(n,p)}$ , this square and diagram (8.2) give

$$\begin{aligned} (\bar{\sigma}, \alpha_{w,n})_n &= (\bar{\sigma}, \bar{\rho}_w^*(\gamma))_n = -(\bar{\sigma}, \bar{\rho}_w^*(\text{trg}(\iota)))_n = -\left(\bar{\sigma}, \text{trg}\left((\rho_w^0)^*(\iota)\right)\right)_n \\ &= \left((\rho_w^0)^*(\iota)\right)(\sigma) = \iota(\rho_w^0(\sigma)) = \pi_i(\epsilon_{w, \mathbb{Z}_p}(\sigma)). \end{aligned} \quad \square$$

Now consider words  $w, w' \in X^*$  of lengths  $i, i' \in J(n)$ , respectively, with  $w'$  Lyndon. We deduce from Proposition 8.1 that

$$(\bar{\sigma}_{w'}, \alpha_{w,n})_n = \pi_i(\epsilon_{w, \mathbb{Z}_p}(\sigma_{w'})) = \langle w, w' \rangle_n.$$

We can therefore restate Proposition 5.3 cohomologically:

**Corollary 8.2.** *The transposed matrix  $[(\bar{\sigma}_{w'}, \alpha_{w,n})_n]_{w,w'}^T$ , where  $w, w'$  range over all Lyndon words in  $X^*$  of lengths  $i, i'$ , respectively, in  $J(n)$ , and totally ordered by  $\preceq$ , coincides with the fundamental matrix of level  $n$  of the Lyndon words. In particular, it is untriangular, whence invertible.*

**Example 8.3.** Let  $n = 2$ . Then  $J(n) = \{1, 2\}$ . For every  $x \in X$  let  $\epsilon_x \in H^1(S/S_{(2,p)})$  be the homomorphism induced by  $\epsilon_{(x), \mathbb{Z}/p}$ . It is 1 on the coset of  $x$  and is 0 on the coset of any  $x' \in X, x' \neq x$ .

For a one-letter word  $w = (x)$  (which is always Lyndon) we have  $\sigma_w = \tau_w^p = x^p$  and  $\alpha_{w,2} = \text{Bock}_{p, S/S_{(2,p)}}(\epsilon_x)$  (Example 7.1).

For a two-letter Lyndon word  $w = (xy), x < y$ , the projections of the representation  $\bar{\rho}_w$  on the  $(1, 2)$ - and  $(2, 3)$ -entries are  $\bar{\rho}_{12} = \epsilon_x$  and  $\bar{\rho}_{23} = \epsilon_y$ . Thus  $\sigma_w = \tau_w = [x, y]$ , and  $\alpha_{w,2} = \epsilon_x \cup \epsilon_y$  (Example 7.2).

Recall that the fundamental matrix for Lyndon words and for  $n = 2$  is the identity matrix (Example 5.4). Thus we recover the fundamental duality, discovered by Labute, between Bockstein elements/cup products and  $p$ th powers/commutators, respectively ([22, Prop. 8], [23, §2], [31, Ch. III, §9]).

We will need the following elementary fact in linear algebra [8, Lemma 8.4]:

**Lemma 8.4.** *Let  $R$  be a commutative ring and let  $(\cdot, \cdot): A \times B \rightarrow R$  be a nondegenerate bilinear map of  $R$ -modules. Let  $(I, \leq)$  be a finite totally ordered set, and for every  $w \in I$  let  $a_w \in A, b_w \in B$ . Suppose that the matrix  $[(a_w, b_{w'})]_{w, w' \in I}$  is invertible, and that  $a_w, w \in I$ , generate  $A$ . Then  $a_w, w \in I$ , is an  $R$ -linear basis of  $A$ , and  $b_w, w \in I$ , is an  $R$ -linear basis of  $B$ .*

We now deduce our first main result. Note that part (a) of the theorem strengthens Theorem 4.6 in the special case where  $H$  is the Hall set of Lyndon words.

**Theorem 8.5.**

- (a) *The  $\mathbb{F}_p$ -linear space  $S_{(n,p)} / (S_{(n,p)})^p [S, S_{(n,p)}]$  has a basis consisting of the cosets  $\bar{\sigma}_w$  of  $\sigma_w$ , where  $w$  is a Lyndon word in  $X^*$  of length  $i \in J(n)$ .*
- (b) *The  $\mathbb{F}_p$ -linear space  $H^2(S/S_{(n,p)})$  has a basis consisting of all  $\alpha_{w,n}$ , where  $w$  is a Lyndon word in  $X^*$  of length  $i \in J(n)$ .*

**Proof.** First assume that  $X$  is finite. By Theorem 4.6, the cosets in (a) generate  $S_{(n,p)} / (S_{(n,p)})^p [S, S_{(n,p)}]$ . Furthermore, the bilinear map  $(\cdot, \cdot)_n$  of (8.1) is nondegenerate, and the fundamental matrix  $[(\bar{\sigma}_{w'}, \alpha_{w,n})_n]_{w, w'}$  is invertible, by Corollary 8.2. Therefore Lemma 8.4 implies both assertions.

The case of general  $X$  follows from the finite case by a standard limit argument (see [31, Prop. 1.2.5]). □

When  $2 \leq n \leq p$  we have  $J(n) = \{1, n\}$  (Remark 4.2(1)),  $j_n(1) = 1$ , and  $j_n(n) = 0$ . In view of Examples 7.1 and 7.2, we deduce the following:

**Corollary 8.6.** *Suppose that  $2 \leq n \leq p$ .*

- (a) *The  $\mathbb{F}_p$ -linear space  $S_{(n,p)} / (S_{(n,p)})^p [S, S_{(n,p)}]$  has a basis consisting of:*
  - (i) *the cosets of  $x^p, x \in X$ , and*
  - (ii) *the cosets of  $\tau_w$ , where  $w$  is a Lyndon word in  $X^*$  of length  $n$ .*
- (b) *The  $\mathbb{F}_p$ -linear space  $H^2(S/S_{(n,p)})$  has a basis consisting of:*
  - (i) *the Bockstein elements  $\text{Bock}_{p, S/S_{(n,p)}}(\epsilon_{(x), \mathbb{Z}/p}) = \alpha_{(x), n}, x \in X$ , and*
  - (ii) *the  $n$ -fold Massey product elements  $\alpha_{w,n}$ , where  $w$  is a Lyndon word in  $X^*$  of length  $n$ .*

The number of words of a given length in a Hall set  $H$  can be expressed in terms of Witt’s necklace function, defined for integers  $i, m \geq 1$  by

$$\varphi_i(m) = \frac{1}{i} \sum_{d|i} \mu(d) m^{i/d}.$$

Here  $\mu$  is the Möbius function – that is,  $\mu(d) = (-1)^k$  if  $d$  is a product of  $k$  distinct prime numbers, and  $\mu(d) = 0$  otherwise. We also set  $\varphi_i(\infty) = \infty$ . Then the number of words of length  $i$  in  $H$  is  $\varphi_i(|X|)$  [33, Cor. 4.14]. We deduce the following:

**Corollary 8.7.**

(a) For every  $n \geq 2$ , one has

$$\dim_{\mathbb{F}_p} H^2(S/S_{(n,p)}) = \sum_{i \in J(n)} \varphi_i(|X|).$$

(b) If  $2 \leq n \leq p$ , then  $\dim_{\mathbb{F}_p} H^2(S/S_{(n,p)}) = |X| + \varphi_n(|X|)$ .

**9. Shuffle relations**

Recall that the shuffle product  $umv$  of words  $u, v$  was defined in the Introduction. It extends naturally to a bilinear, commutative, and associative product map  $\mathfrak{m}: \mathbb{Z}\langle X \rangle \times \mathbb{Z}\langle X \rangle \rightarrow \mathbb{Z}\langle X \rangle$ . The *shuffle algebra*  $\text{Sh}(X)$  on  $X$  is the graded  $\mathbb{Z}$ -algebra whose underlying module is the free module on  $X^*$  (graded by the length of words), and its multiplication is  $\mathfrak{m}$ .

We define the *infiltration product*  $u \downarrow v$  of words  $u = (x_1 \cdots x_r), v = (x_{r+1} \cdots x_{r+t})$  in  $X^*$  as follows (see [4], [33, pp. 134–135]). Consider all maps  $\sigma: \{1, 2, \dots, r+t\} \rightarrow \{1, 2, \dots, r+t\}$  with  $\sigma(1) < \dots < \sigma(r)$  and  $\sigma(r+1) < \dots < \sigma(r+t)$ , and which satisfy the following weak form of injectivity: If  $\sigma(i) = \sigma(j)$ , then  $x_i = x_j$ . Let the image of  $\sigma$  consist of  $l_1 < \dots < l_{m(\sigma)}$ . Then we set

$$u \downarrow v = \sum_{\sigma} \left( x_{\sigma^{-1}(l_1)} \cdots x_{\sigma^{-1}(l_{m(\sigma)})} \right) \in \mathbb{Z}\langle X \rangle. \tag{9.1}$$

By our assumption,  $x_{\sigma^{-1}(l_i)}$  does not depend on the choice of the preimages  $\sigma^{-1}(l_i)$  of  $l_i$ . We also write  $\text{Infil}(u, v)$  for the set of all such words  $(x_{\sigma^{-1}(l_1)} \cdots x_{\sigma^{-1}(l_{m(\sigma)})})$ . Thus  $umv$  is the part of  $u \downarrow v$  of degree  $r+t$  – that is, the partial sum corresponding to all such maps  $\sigma$  which in addition are bijective. The product  $\downarrow$  on words extends by linearity to an associative and commutative bilinear map on  $\mathbb{Z}\langle X \rangle$ .

There is a well-defined  $\mathbb{Z}_p$ -bilinear map

$$(\cdot, \cdot): \mathbb{Z}_p\langle\langle X \rangle\rangle \times \mathbb{Z}_p\langle X \rangle \rightarrow \mathbb{Z}_p, \quad (f, g) = \sum_{w \in X^*} f_w g_w,$$

where  $f_w, g_w$  are the coefficients of  $f, g$ , respectively, at  $w$  [33, p. 17].

The following connection between the Magnus representation and the infiltration product is proved in the discrete case in [4, Th. 3.6]. We refer to [37, Prop. 2.25] and [30, Prop. 8.16] for the profinite case. Here we view the infiltration and shuffle products as elements of  $\mathbb{Z}\langle X \rangle \subseteq \mathbb{Z}_p\langle X \rangle$ .

**Proposition 9.1.** For every  $\emptyset \neq u, v \in X^*$  and every  $\sigma \in S$ , one has

$$\epsilon_{u, \mathbb{Z}_p}(\sigma) \epsilon_{v, \mathbb{Z}_p}(\sigma) = (\Lambda_{\mathbb{Z}_p}(\sigma), u \downarrow v).$$

**Corollary 9.2.** *Let  $u, v$  be nonempty words in  $X^*$  with  $i = |u| + |v| \leq n$ . For every  $\sigma \in S_{(n,p)}$ , one has  $(\Lambda_{\mathbb{Z}_p}(\sigma), u\text{III}v) \in p^{j_n(i-1)}\mathbb{Z}_p$ .*

**Proof.** Let  $w$  be a word of length  $1 \leq k \leq i - 1$ . Then  $j_n(k) \geq j_n(i - 1)$ , so by Proposition 5.1,  $\epsilon_{w, \mathbb{Z}_p}(\sigma) \in p^{j_n(k)}\mathbb{Z}_p \subseteq p^{j_n(i-1)}\mathbb{Z}_p$ . In particular, this is the case for  $w = u$ ,  $w = v$ , and for  $w \in \text{Infil}(u, v)$  of length smaller than  $i$ . Since  $u\text{III}v$  is the part of  $u \downarrow v$  consisting of summands of maximal length  $i$ , Proposition 9.1 implies that  $(\Lambda_{\mathbb{Z}_p}(\sigma), u\text{III}v) \in p^{j_n(i-1)}\mathbb{Z}_p$ .  $\square$

We obtain the following *shuffle relations*. Here  $X^i$  stands for the set of words in  $X^*$  of length  $i$ .

**Theorem 9.3.** *Let  $\emptyset \neq u, v \in X^*$  with  $i = |u| + |v| \in J(n)$ . Then*

$$\sum_{w \in X^i} (u\text{III}v)_w \alpha_{w,n} = 0.$$

**Proof.** As  $2 \leq i \in J(n)$ , we have  $(i - 1)p^{j_n(i-1)} \geq ip^{j_n(i)}$ , whence  $j_n(i - 1) > j_n(i)$ .

We recall that  $u\text{III}v$  is homogenous of degree  $i$ . For  $\sigma \in S_{(n,p)}$ , Corollary 9.2 gives

$$\begin{aligned} \sum_{w \in X^i} (u\text{III}v)_w \epsilon_{w, \mathbb{Z}_p}(\sigma) &= \sum_{w \in X^*} (u\text{III}v)_w \epsilon_{w, \mathbb{Z}_p}(\sigma) = (\Lambda_{\mathbb{Z}_p}(\sigma), u\text{III}v) \\ &\in p^{j_n(i-1)}\mathbb{Z}_p \subseteq p^{j_n(i)+1}\mathbb{Z}_p. \end{aligned}$$

Therefore, by Proposition 8.1,

$$\begin{aligned} \left( \bar{\sigma}, \sum_{w \in X^i} (u\text{III}v)_w \alpha_{w,n} \right)_n &= \sum_{w \in X^i} (u\text{III}v)_w (\bar{\sigma}, \alpha_{w,n})_n = \sum_{w \in X^i} (u\text{III}v)_w \pi_i(\epsilon_{w, \mathbb{Z}_p}(\sigma)) \\ &= \pi_i \left( \sum_{w \in X^i} (u\text{III}v)_w \epsilon_{w, \mathbb{Z}_p}(\sigma) \right) = 0. \end{aligned}$$

Now use the fact that  $(\cdot, \cdot)_n$  is nondegenerate.  $\square$

Given a graded  $R$ -algebra  $A = \bigoplus_{i \geq 0} A_i$ , we denote  $A_+ = \bigoplus_{i \geq 1} A_i$ . Let  $\text{WD}(A)$  be the  $R$ -submodule of  $A$  generated by all products  $aa'$ , where  $a, a' \in A_+$ . We call  $\text{WD}(A)$  the submodule of *weakly decomposable elements* of  $A$ . It is also generated by all products  $aa'$ , where  $a, a' \in A_+$  are homogenous. Hence the quotient  $A_{\text{indec}} = A/\text{WD}(A)$  has the structure of a graded  $R$ -module, which we call the *indecomposable quotient* of  $A$ .

Note that  $\text{WD}(A)_0 = \text{WD}(A)_1 = \{0\}$ , so the graded module morphism  $A \rightarrow A_{\text{indec}}$  is an isomorphism in degrees 0 and 1. For example, when  $A = R\langle X \rangle$ , one has  $A_{\text{indec},0} = R$ ,  $A_{\text{indec},1}$  is the free  $R$ -module on the basis  $X$ , and  $A_{\text{indec},i} = 0$  for all  $i \geq 2$ .

When  $A = \text{Sh}(X)$  is the shuffle algebra, we recover the module  $\text{Sh}(X)_{\text{indec},n}$  as defined in the Introduction. The following key fact was proved in [9, Prop. 6.3]. It is based on a construction by Radford [32] and Perrin and Viennot of a basis of  $\mathbb{Z}\langle X \rangle$ , which arises from the decomposition of words in  $X^*$  into Lyndon words.

**Proposition 9.4.** *Suppose that  $1 \leq n < p$ . Then the images of the Lyndon words of length  $n$  span  $\text{Sh}(X)_{\text{indec},n} \otimes (\mathbb{Z}/p)$  as an  $\mathbb{F}_p$ -linear space.*

In fact, in [9, Th. 7.3(b)] it is proved that these images form a linear basis of  $\text{Sh}(X)_{\text{indec},n} \otimes (\mathbb{Z}/p)$ , but we shall not use this stronger result.

**Theorem 9.5.** *Suppose that  $n \geq 2$ . The map  $w \mapsto \alpha_{w,n}$  induces an epimorphism of  $\mathbb{F}_p$ -linear spaces*

$$\left( \bigoplus_{i \in J(n)} \text{Sh}(X)_{\text{indec},i} \right) \otimes (\mathbb{Z}/p) \rightarrow H^2(S/S_{(n,p)}).$$

**Proof.** For  $i \in J(n)$ , the map  $X^i \rightarrow H^2(S/S_{(n,p)})$ ,  $w \mapsto \alpha_{w,n}$ , extends by linearity to a  $\mathbb{Z}$ -module homomorphism

$$\Phi_i: \mathbb{Z}\langle X \rangle_i = \bigoplus_{w \in X^i} \mathbb{Z}w \rightarrow H^2(S/S_{(n,p)}), \quad f = \sum_{w \in X^i} f_w w \mapsto \sum_{w \in X^i} f_w \alpha_{w,n}.$$

By Theorem 9.3,  $\Phi_i(uwv) = 0$  for any nonempty words  $u, v \in X^*$  with  $i = |u| + |v|$ . Consequently,  $\Phi_i$  factors via  $\text{Sh}(X)_{\text{indec},i}$ , and induces an  $\mathbb{F}_p$ -linear map

$$\bar{\Phi}_i: \text{Sh}(X)_{\text{indec},i} \otimes (\mathbb{Z}/p) \rightarrow H^2(S/S_{(n,p)}),$$

where  $\bar{\Phi}_i(\bar{w}) = \alpha_{w,n}$  for  $w \in X^i$ . Since the  $\alpha_{w,n}$ , where  $w$  ranges over all Lyndon words of an arbitrary length  $i \in J(n)$ , form an  $\mathbb{F}_p$ -linear basis of  $H^2(S/S_{(n,p)})$  (Theorem 8.5(b)), we obtain an epimorphism

$$\bigoplus_{i \in J(n)} \bar{\Phi}_i: \left( \bigoplus_{i \in J(n)} \text{Sh}(X)_{\text{indec},i} \right) \otimes (\mathbb{Z}/p) \rightarrow H^2(S/S_{(n,p)}). \quad \square$$

We now obtain the Main Theorem from the Introduction:

**Theorem 9.6.** *Suppose that  $2 \leq n < p$ . Then there is an isomorphism of  $\mathbb{F}_p$ -linear spaces*

$$\left( \bigoplus_{x \in X} \mathbb{Z}/p \right) \oplus \left( \text{Sh}(X)_{\text{indec},n} \otimes (\mathbb{Z}/p) \right) \xrightarrow{\sim} H^2(S/S_{(n,p)}). \quad (9.2)$$

*Specifically, this isomorphism maps a generator  $1_x$  of the  $\mathbb{Z}/p$ -summand at  $x \in X$  to  $\text{Bock}_{p,S/S_{(n,p)}}(\epsilon_{(x),\mathbb{Z}/p})$ , and maps the image  $\bar{w}$  of a word  $w \in X^*$  of length  $n$  to the  $n$ -fold Massey product element  $\alpha_{w,n}$ .*

**Proof.** By Remark 4.2(1),  $J(n) = \{1, n\}$ . Therefore, Theorem 9.5 gives an epimorphism as in (9.2). The generators  $1_x$  and the images  $\bar{w}$  of words  $w$  of length  $n$  are mapped as specified, by Examples 7.1 and 7.2.

The generators  $1_x$ ,  $x \in X$ , clearly span  $\bigoplus_{x \in X} \mathbb{Z}/p$ , and by Proposition 9.4, the images  $\bar{w}$  of the Lyndon words  $w$  in  $X^*$  of length  $n$  span  $\text{Sh}(X)_{\text{indec},n} \otimes (\mathbb{Z}/p)$ . Together they form a spanning set of the left-hand side of the epimorphism (9.2), which is mapped to a linear basis of the right-hand side (Corollary 8.6). It follows that this spanning set is a linear basis, and the map (9.2) is an isomorphism.  $\square$

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**Competing Interest.** None.

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