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ABSTRACT

We give an equivalent definition of the local volume of an isolated singularity $\text{Vol}_{\text{BdFF}}(X, 0)$ given in [S. Boucksom, T. de Fernex, C. Favre, *The volume of an isolated singularity*. Duke Math. J. **161** (2012), 1455–1520] in the \mathbb{Q} -Gorenstein case and we generalize it to the non- \mathbb{Q} -Gorenstein case. We prove that there is a positive lower bound depending only on the dimension for the non-zero local volume of an isolated singularity if X is Gorenstein. We also give a non- \mathbb{Q} -Gorenstein example with $\text{Vol}_{\text{BdFF}}(X, 0) = 0$, which does not allow a boundary Δ such that the pair (X, Δ) is log canonical.

1. Introduction

Let X be a normal variety and let $f : X \rightarrow X$ be a finite endomorphism, i.e. a finite surjective morphism of degree greater than 1. If X is projective, an abundant literature shows that the existence of an endomorphism imposes strong restrictions on the global geometry of X . In a recent paper [BdFF12], Boucksom *et al.* introduce the volume $\text{Vol}_{\text{BdFF}}(X, 0)$ of an isolated singularity and show that $\text{Vol}_{\text{BdFF}}(X, 0) = 0$ if there exists an endomorphism preserving the singularity. When X is \mathbb{Q} -Gorenstein, they show that $\text{Vol}_{\text{BdFF}}(X, 0) = 0$ if and only if X has log canonical singularities. For a better understanding of $\text{Vol}_{\text{BdFF}}(X, 0)$, they suggest two problems.

Problem A. Does there exist a positive lower bound, only depending on the dimension, for the volume of isolated Gorenstein singularities with positive volume?

Problem B. Is it true that $\text{Vol}_{\text{BdFF}}(X, 0) = 0$ implies the existence of an effective \mathbb{Q} -boundary Δ such that the pair (X, Δ) is log canonical? (The converse is easily shown.)

In this paper, we will give an alternative definition of the volume in the \mathbb{Q} -Gorenstein case via log canonical modification (the existence of these modifications is shown in [OX12]). By using the DCC for the volume established in [HMX12], we will give a positive answer to Problem A.

THEOREM 1.1 (See Theorem 3.3). *There exists a positive lower bound, only depending on the dimension, for the volume of isolated Gorenstein singularities with positive volume.*

We will then generalize this new definition to the non- \mathbb{Q} -Gorenstein case. We will define the augmented volume $\text{Vol}^+(X)$ as the liminf of the m th limiting volumes $\text{Vol}_m(X)$. We will show that the augmented volume $\text{Vol}^+(X)$ is greater than or equal to the volume $\text{Vol}_{\text{BdFF}}(X, 0)$. When there exists a boundary Δ on X such that the pair (X, Δ) is log canonical, we have the following theorem.

THEOREM 1.2 (See Corollary 4.6). *The following statements are equivalent.*

- (i) *There exists a boundary Δ on X such that (X, Δ) is log canonical.*
- (ii) *$\text{Vol}_m(X) = 0$ for some (hence any multiple of) integer $m \geq 1$.*

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In § 4.1, we will give a counterexample to Problem B.

THEOREM 1.3 (See Theorem 4.9). *There exists a polarized smooth variety (V, H) such that the affine cone $X = C(V, H)$ has positive $\text{Vol}_m(X)$ for any positive integer m , but $\text{Vol}_{\text{BdFF}}(X, 0) = 0$.*

A new idea is needed to investigate whether $\text{Vol}^+(X) = \text{Vol}_{\text{BdFF}}(X, 0)$.

In [Ful12], Fulger introduces a different invariant $\text{Vol}_F(X, 0)$ associated to an isolated singularity. It is shown that $\text{Vol}_{\text{BdFF}}(X, 0) \geq \text{Vol}_F(X, 0)$, with equality if X is \mathbb{Q} -Gorenstein. In [BdFF12, Example 5.4], an example is given where $\text{Vol}_{\text{BdFF}}(X, 0) > \text{Vol}_F(X, 0)$.

It is conjectured that for a normal variety X (perhaps not \mathbb{Q} -Gorenstein) which has only isolated singularities, there is a log canonical modification $f : Y \rightarrow X$ in the sense that $K_Y + E_f$ is f -ample and (Y, E_f) is log canonical. In [BH12, Proposition 2.4], it is proved that if such modification exists, then $\text{Vol}_{\text{BdFF}}(X, 0) = 0$ if and only if f is an isomorphism in codimension 1.

2. Preliminaries

Throughout this paper, X is a normal variety over \mathbb{C} .

2.1 Valuations of \mathbb{Q} -divisors

Let X be a normal variety. A divisorial valuation v on X is a discrete valuation of the function field of X of the form $v = q \text{val}_F$ where q is a positive integer and F is a prime divisor over X . Let $\mathcal{J} \subset \mathcal{K}$ be a finitely generated sub- \mathcal{O}_X -module of the constant sheaf of rational functions $\mathcal{K} = \mathcal{K}_X$ on X . We will refer to \mathcal{J} as a fractional ideal sheaf on X , for short.

The valuation $v(\mathcal{J})$ of a non-zero fractional ideal sheaf $\mathcal{J} \subset \mathcal{K}$ along v is given by

$$v(\mathcal{J}) = \min\{v(\phi) \mid \phi \in \mathcal{J}(U), U \cap c_X(v) \neq \emptyset\}.$$

The valuation $v(I)$ of a formal linear combination $I = \sum a_k \cdot \mathcal{J}_k$ of fractional ideal sheaves $\mathcal{J}_k \subset \mathcal{K}$ along v is defined by $v(I) = \sum a_k \cdot v(\mathcal{J}_k)$, where a_k are real numbers.

The \natural -valuation (or natural valuation) along v of an \mathbb{R} -Weil divisor D on X is $v^\natural(D) = v(\mathcal{O}_X(-D)) = v(\mathcal{O}_X(\lfloor -D \rfloor))$. If C is Cartier, then we have that $v^\natural(C) = v(C)$ and $v^\natural(C + D) = v(C) + v^\natural(D)$. Note also that, as $\mathcal{O}_X(D) \cdot \mathcal{O}_X(-D) \subseteq \mathcal{O}_X$, we have that $v^\natural(D) + v^\natural(-D) \geq 0$.

To any non-trivial fractional ideal sheaf \mathcal{J} on X , we associate the divisor $\text{div}(\mathcal{J}) = \sum \text{val}_E^\natural(\mathcal{J}) \cdot E$, where the sum is taken over all prime divisors E on X . Consider now a birational morphism $f : Y \rightarrow X$ from a normal variety Y . For any divisor D on X , the \natural -pullback (or natural pullback) of D to Y is given by $f^\natural D = \text{div}(\mathcal{O}_X(-D) \cdot \mathcal{O}_Y)$. In the other words, $f^\natural D = \sum \text{val}_E^\natural(D) \cdot E$, where the sum is taken over all prime divisors E on Y . In particular, $\mathcal{O}_Y(-f^\natural D) = (\mathcal{O}_X(-D) \cdot \mathcal{O}_Y)^{\vee\vee}$.

For every divisor D on X and every positive integer m , it is shown in [dFH09, Lemma 2.8] that $m \cdot v^\natural(D) \geq v^\natural(mD)$ and

$$\inf_{k \geq 1} \frac{v^\natural(kD)}{k} = \liminf_{k \rightarrow \infty} \frac{v^\natural(kD)}{k} = \lim_{k \rightarrow \infty} \frac{v^\natural(k!D)}{k!} \in \mathbb{R}.$$

Let D be an \mathbb{R} -divisor on X . We define the valuation along v of D by

$$v(D) = \lim_{k \rightarrow \infty} \frac{v^\natural(k!D)}{k!} \in \mathbb{R}.$$

Remark 2.1. It is not hard to see that actually

$$v(D) = \lim_{k \rightarrow \infty} \frac{v^{\natural}(kD)}{k} \in \mathbb{R}.$$

See [BdFF12, Proposition 2.1].

Remark 2.2. Even if D is a \mathbb{Q} -divisor on X , the valuation $v(D)$ may not be a rational number. See [Urb12, §3].

If $f : Y \rightarrow X$ is a birational morphism from a normal variety Y , then the *pullback of D to Y* is defined by

$$f^*D = \sum \text{val}_E(D) \cdot E,$$

where the sum is taken over all prime divisors E on Y . Notice that if D is a \mathbb{Q} -Cartier \mathbb{Q} -divisor and m is a positive integer such that mD is Cartier, then

$$v(D) = \frac{v(mD)}{m} \quad \text{and} \quad f^*D = \frac{f^*(mD)}{m},$$

which coincide with the usual valuation and pullback of \mathbb{Q} -Cartier \mathbb{Q} -divisor. If C is \mathbb{Q} -Cartier, then $v(C + D) = v(C) + v(D)$ and $f^*(C + D) = f^*C + f^*D$.

LEMMA 2.3. *Let $f : Y \rightarrow X$ and $g : V \rightarrow Y$ be two birational morphisms of normal varieties. Then, for every divisor D on X , the divisor $(fg)^{\natural}D - g^{\natural}(f^{\natural}D)$ is effective and g -exceptional. Moreover, if $\mathcal{O}_X(-D) \cdot \mathcal{O}_Y$ is an invertible sheaf, then $(fg)^{\natural}D = g^{\natural}(f^{\natural}D)$. A similar statement applies to f^* and g^* .*

Proof. See [dFH09, Lemma 2.7 and Remark 2.13]. □

2.2 Relative canonical divisors

We recall that a canonical divisor K_X on a normal variety X is, by definition, the (componentwise) closure of any canonical divisor of the regular locus of X . We also recall that X is said to be \mathbb{Q} -Gorenstein if some (equivalently, every) canonical divisor K_X is \mathbb{Q} -Cartier. For a proper birational morphism $f : Y \rightarrow X$ of normal varieties, we fix a canonical divisor K_Y on Y such that $f_*K_Y = K_X$. For any divisor D on X , we will write D_Y for the strict transform $f_*^{-1}D$ of D on Y .

For every $m \geq 1$, the m th limiting relative canonical \mathbb{Q} -divisor $K_{m,Y/X}$ of Y over X is

$$K_{m,Y/X} = K_Y - \frac{1}{m} f^{\natural}(mK_X).$$

The relative canonical \mathbb{R} -divisor $K_{Y/X}$ of Y over X is

$$K_{Y/X} = K_Y - f^*K_X.$$

Clearly, $K_{Y/X}$ is the limsup of the \mathbb{Q} -divisors $K_{m,Y/X}$. A \mathbb{Q} -divisor Δ on X is said to be a *boundary*, if $[\Delta] = 0$ and $K_X + \Delta$ is \mathbb{Q} -Cartier. The *log relative canonical \mathbb{Q} -divisor* of (Y, Δ_Y) over (X, Δ) is given by

$$K_{Y/X}^{\Delta} = K_Y + \Delta_Y - f^*(K_X + \Delta).$$

Remark 2.4. Our definition of the relative canonical \mathbb{R} -divisor is different from that in [dFH09]. In this paper, the relative canonical \mathbb{R} -divisor is defined as $K_{Y/X} = K_Y + f^*(-K_X)$. And $K_Y - f^*K_X$ is denoted by $K_{Y/X}^-$. It can be shown that, with this notation, $K_{Y/X} \geq K_{Y/X}^-$. But they are not equal in general. See [dFH09, Example 3.4].

For every integer $m \geq 1$, the m th limiting log discrepancy \mathbb{Q} -divisor $A_{m,Y/X}$ of Y over X is

$$A_{m,Y/X} = K_Y + E_f - \frac{1}{m} f^{\flat}(mK_X),$$

where E_f is the reduced exceptional divisor of f . The log discrepancy \mathbb{R} -divisor $A_{Y/X}$ of Y over X is

$$A_{Y/X} = K_Y + E_f - f^*K_X.$$

The log discrepancy \mathbb{Q} -divisor of (Y, Δ_Y) over (X, Δ) is given by

$$A_{Y/X}^{\Delta} = K_Y + \Delta_Y + E_f - f^*(K_X + \Delta).$$

Consider a pair $(X, I = \sum a_k \cdot \mathcal{J}_k)$ where \mathcal{J}_k are non-zero fractional ideal sheaves on X and a_k are real numbers. A log resolution of (X, I) is a proper birational morphism $f : Y \rightarrow X$ from a smooth variety Y such that for every k the sheaf $\mathcal{J}_k \cdot \mathcal{O}_Y$ is the invertible sheaf corresponding to a divisor E_k on Y , the exceptional locus $\text{Ex}(f)$ of f is also a divisor, and $\text{Ex}(f) \cup E$ has simple normal crossing, where $E = \bigcup \text{Supp}(E_k)$. If Δ is a boundary on X , then a log resolution of the log pair $((X, \Delta), I)$ is given by a log resolution $f : Y \rightarrow X$ of (X, I) such that $\text{Ex}(f) \cup E \cup \text{Supp}(f^*(K_X + \Delta))$ has simple normal crossings. The log resolution always exists (see [dFH09, Theorem 4.2]).

Let X be a normal variety, and fix an integer $m \geq 2$. Given a log resolution $f : Y \rightarrow X$ of $(X, \mathcal{O}_X(-mK_X))$, a boundary Δ on X is said to be m -compatible for X with respect to f if:

- (i) $m\Delta$ is integral and $\lfloor \Delta \rfloor = 0$;
- (ii) f is a log resolution for the log pair $((X, \Delta); \mathcal{O}_X(-mK_X))$; and
- (iii) $K_{Y/X}^{\Delta} = K_{m,Y/X}$.

THEOREM 2.5. *For any normal variety X , any integer $m \geq 2$ and any log resolution $f : Y \rightarrow X$ of $(X, \mathcal{O}_X(-mK_X))$, there exists an m -compatible boundary Δ for X with respect to f .*

Proof. See [dFH09, Theorem 5.4]. □

2.3 Shokurov’s b -divisors

Let X be a normal variety. The set of all proper birational morphisms $\pi : X_{\pi} \rightarrow X$ from a normal variety X_{π} modulo isomorphism is (partially) ordered by $\pi' \geq \pi$ if and only if π' factors through π , and any two proper birational morphisms can be dominated by a third one. The Riemann–Zariski space \mathcal{X} is defined as the projective limit, $\mathcal{X} = \varprojlim_{\pi} X_{\pi}$. The group of Weil b -divisors over X is defined as $\text{Div}(\mathcal{X}) = \varprojlim_{\pi} \text{Div}(X_{\pi})$, where $\text{Div}(X_{\pi})$ denotes the group of Weil divisors on X_{π} and the limit is taken with respect to the push-forwards. The group of Cartier b -divisors over X is defined as $\text{CDiv}(\mathcal{X}) = \varinjlim_{\pi} \text{CDiv}(X_{\pi})$, where $\text{CDiv}(X_{\pi})$ denotes the group of Cartier divisors on X_{π} and the limit is taken with respect to the pullbacks. An element in $\text{Div}_{\mathbb{R}}(\mathcal{X}) = \text{Div}(\mathcal{X}) \otimes \mathbb{R}$ (respectively, $\text{CDiv}_{\mathbb{R}}(\mathcal{X}) = \text{CDiv}(\mathcal{X}) \otimes \mathbb{R}$) will be called an \mathbb{R} -Weil b -divisor (respectively, \mathbb{R} -Cartier b -divisor), and similarly with \mathbb{Q} in place of \mathbb{R} . Clearly, a Weil b -divisor W over X consists of a family of Weil divisors $W_{\pi} \in \text{Div}(X_{\pi})$ that are compatible under push-forward. We say that W_{π} is the trace of W on the model X_{π} . Let C be an Cartier b -divisor. We say that $\pi : X_{\pi} \rightarrow X$ is a determination of C , if C can be obtained by pulling back C_{π} to models dominating π and pushing forward to other models, in which case we denote $C = \overline{C_{\pi}}$.

Let Z and W be two \mathbb{R} -Weil b -divisors over X . We say that $Z \leq W$, if for any model $\pi : X_{\pi} \rightarrow X$ we have $Z_{\pi} \leq W_{\pi}$. We say that an \mathbb{R} -Cartier b -divisor is relatively nef over X , if

its trace is relatively nef on one (hence any sufficiently high) determination. An \mathbb{R} -Weil b -divisor W is *relatively nef* over X if and only if there is a sequence of relatively nef \mathbb{R} -Cartier b -divisors over X such that the traces converge to the trace of W in the numerical class over X on each model. We will need the following variant of the negativity lemma in the future.

LEMMA 2.6. *Let W be a relatively nef \mathbb{R} -Weil b -divisor over X . Let $\pi : X_\pi \rightarrow X$ and $\pi' : X_{\pi'} \rightarrow X$ be two models over X such that π' factors through π via $\rho : X_{\pi'} \rightarrow X_\pi$. Then $W_{\pi'} \leq -\rho^*(-W_\pi)$.*

Proof. See [BdFF12, Proposition 2.12]. □

Let C_1, \dots, C_n be \mathbb{R} -Cartier b -divisors, where $n = \dim X$. Let f be a common determination. It is clear that the intersection number $C_{1,f} \cdot \dots \cdot C_{n,f}$ is independent of the choice of f by the projection formula. We define $C_1 \cdot \dots \cdot C_n$ to be the above intersection number. If W_1, \dots, W_n are relatively nef \mathbb{R} -Weil b -divisors over X , we define

$$W_1 \cdot \dots \cdot W_n = \inf(C_1 \cdot \dots \cdot C_n) \in [-\infty, \infty),$$

where the infimum is taken over all relatively nef \mathbb{R} -Cartier b -divisors C_i over X such that $C_i \geq W_i$ for each i . It is obvious that the intersection number is monotonic in the sense that, if $W_i \leq W'_i$ for each i , then $W_1 \cdot \dots \cdot W_n \leq W'_1 \cdot \dots \cdot W'_n$. For further properties of the intersection number, we refer to [BdFF12, § 4.3 and Appendix A].

Given a canonical divisor K_X on X , there is a unique canonical divisor K_{X_π} for each model $\pi : X_\pi \rightarrow X$ with the property that $\pi_*K_{X_\pi} = K_X$. Hence, a choice of K_X determines a *canonical b -divisor* $K_{\mathcal{X}}$ over X .

2.4 Nef envelopes

The *nef envelope* $\text{Env}_X(D)$ of an \mathbb{R} -divisor D on X is an \mathbb{R} -Weil b -divisor over X whose trace on a model $\pi : X_\pi \rightarrow X$ is $-\pi^*(-D)$. For more details, see [BdFF12, § 2]. If D is \mathbb{Q} -Cartier, then $\text{Env}_X(D)$ is the \mathbb{Q} -Cartier b -divisor \overline{D} .

The *nef envelope* $\text{Env}_{\mathcal{X}}(W)$ of an \mathbb{R} -Weil b -divisor W over X is the largest relatively nef \mathbb{R} -Weil b -divisor Z over X such that $Z \leq W$. It is well defined by [BdFF12, Proposition 2.15]. It is clear that if $W_1 \leq W_2$, then $\text{Env}_{\mathcal{X}}(W_1) \leq \text{Env}_{\mathcal{X}}(W_2)$.

The *log discrepancy b -divisor* is defined as

$$A_{\mathcal{X}/X} = K_{\mathcal{X}} + E_{\mathcal{X}/X} + \text{Env}_X(-K_X),$$

where the trace of $E_{\mathcal{X}/X}$ in any model π is equal to the reduced exceptional divisor E_π over X . It is clear that the trace of $A_{\mathcal{X}/X}$ on a model $\pi : X_\pi \rightarrow X$ is $A_{X_\pi/X}$. Similarly, for every integer $m \geq 1$, we define the *m th limiting log discrepancy b -divisor* $A_{m,\mathcal{X}/X}$ to be a \mathbb{Q} -Weil b -divisor whose trace on a model $\pi : X_\pi \rightarrow X$ is $A_{m,X_\pi/X}$. It is easy to check that $A_{m,\mathcal{X}/X} \leq A_{\mathcal{X}/X}$ and $A_{\mathcal{X}/X}$ is the limsup of $A_{m,\mathcal{X}/X}$.

The *volume of the singularity* on X is defined by

$$\text{Vol}_{\text{BdFF}}(X, 0) = -\text{Env}_{\mathcal{X}}(A_{\mathcal{X}/X})^n.$$

It is shown in [BdFF12] that if X has isolated singularity, then $\text{Vol}_{\text{BdFF}}(X, 0)$ is a well-defined non-negative finite real number.

2.5 Log canonical modification

Suppose that (X, Δ) is a pair such that X is a normal variety, Δ is an effective \mathbb{Q} -divisor and $K_X + \Delta$ is \mathbb{Q} -Cartier. A birational projective morphism $f : Y \rightarrow X$ is called a log canonical

modification of (X, Δ) if:

- (i) $(Y, \Delta_Y + E_f)$ is log canonical;
- (ii) $K_Y + \Delta_Y + E_f$ is f -ample.

Here Δ_Y is the strict transform of Δ and E_f is the reduced exceptional divisor of f . It is shown in [OX12] that the log canonical modification exists uniquely up to isomorphism for any log pair (X, Δ) . Clearly, if $f' : Y' \rightarrow X$ is a log resolution of the pair (X, Δ) , then

$$Y \cong \mathbf{Proj}_X \bigoplus_{m \in \mathbb{Z}_{\geq 0}} f_* \mathcal{O}_{Y'}(m(K_{Y'} + \Delta_{Y'} + E_{f'})).$$

LEMMA 2.7. *Let (X, Δ) be a pair as above which is not log canonical. Let $f : Y \rightarrow X$ be the log canonical model. Write $f^*(K_X + \Delta) \sim_{\mathbb{Q}} K_Y + \Delta_Y + B$, and $B = \sum b_i B_i$ as the sum of distinct prime divisors such that $f_*(B) = \Delta$. We let $B^{>1}$ be the non-zero divisor $\sum_{b_i > 1} b_i B_i$; then $\text{Supp}(B^{>1}) = \text{Ex}(f)$. In particular, $\text{Ex}(f) \subset Y$ is of pure codimension 1.*

Proof. See [OX12, Lemma 2.4]. □

3. \mathbb{Q} -Gorenstein case

Assume that X is a \mathbb{Q} -Gorenstein normal variety with isolated singularities. We pick $\Delta = 0$ and suppose that $f : Y \rightarrow X$ is the log canonical modification of X . Let $F = f^*K_X - K_Y - E_f$. We define $\text{Vol}(X) = -(K_Y + E_f - f^*K_X)^n$. By the negativity lemma (see [KM98, Lemma 3.39]), $F \geq 0$. Since $K_Y + E_f$ is f -ample and F is f -exceptional, we have that

$$\text{Vol}(X) = -(K_Y + E_f - f^*K_X)^n = F \cdot (K_Y + E_f)^{n-1} \geq 0.$$

Remark 3.1. This definition can be extended to the case where X has isolated non-log-canonical locus.

THEOREM 3.2. *If X is a \mathbb{Q} -Gorenstein normal variety which has isolated singularities, then $\text{Vol}_{\text{BdFF}}(X, 0) = \text{Vol}(X)$.*

Proof. We show that $\text{Env}_{\mathcal{X}}(A_{\mathcal{X}/X})$ is a \mathbb{Q} -Cartier b -divisor and equals $\overline{A_{Y/X}}$, where $f : Y \rightarrow X$ is the log canonical modification of $(X, 0)$. Then the theorem follows immediately.

We only need to show that on a sufficiently high model $f' : Y' \rightarrow X$ which factors through $f : Y \rightarrow X$ via $g : Y' \rightarrow Y$, we have that $D = \text{Env}_{\mathcal{X}}(A_{\mathcal{X}/X})_{f'}$ equals to $g^*A_{Y/X}$.

First, we show that $g^*A_{Y/X} \leq D$. Since (Y, E_f) is log canonical, we have that

$$\begin{aligned} A_{Y'/X} - g^*A_{Y/X} &= K_{Y'} + E_{f'} - f'^*K_X - g^*(K_Y + E_f - f^*K_X) \\ &= K_{Y'} + (E_f)_{Y'} + E_g - g^*(K_Y + E_f) \\ &\geq 0, \end{aligned}$$

where $(E_f)_{Y'}$ is the strict transform of E_f on Y' . As $A_{Y/X}$ is f -ample, we have that $g^*A_{Y/X}$ is f' -nef. We can conclude that $\overline{A_{Y/X}}$ is a relatively nef \mathbb{Q} -Cartier b -divisor such that $\overline{A_{Y/X}} \leq A_{\mathcal{X}/X}$. By the definition of nef envelope, we have that $\overline{A_{Y/X}} \leq \text{Env}_{\mathcal{X}}(A_{\mathcal{X}/X})$. In particular, $g^*A_{Y/X} \leq D$.

On the other hand, by the definition of nef envelope, we have that $\text{Env}_{\mathcal{X}}(A_{\mathcal{X}/X})$ is relatively nef over X . We may apply Lemma 2.6. Thus, $D \leq -g^*(-\text{Env}_{\mathcal{X}}(A_{\mathcal{X}/X})_f)$. By definition, $\text{Env}_{\mathcal{X}}(A_{\mathcal{X}/X})_f \leq A_{Y/X}$. Hence, $D \leq -g^*(-A_{Y/X}) = g^*A_{Y/X}$, since $A_{Y/X}$ is \mathbb{Q} -Cartier. Therefore, $D = g^*A_{Y/X}$. □

THEOREM 3.3. *There exists a positive lower bound, only depending on the dimension, for the volume of isolated Gorenstein singularities with positive volume.*

Proof. Suppose that X is a Gorenstein normal variety with isolated singularities and $f : Y \rightarrow X$ is its log canonical modification. Let $F = f^*K_X - K_Y - E_f = \sum a_i \cdot E_i$, where E_i are f -exceptional divisors. Since X is Gorenstein, we have that a_i are positive integers by Lemma 2.7. If $\text{Vol}(X) > 0$, then $F \neq 0$, hence $F \geq E_f$. We have that

$$\text{Vol}(X) \geq E_f \cdot (K_Y + E_f)^{n-1} = ((K_Y + E_f)|_{E_f})^{n-1} = (K_{E_f} + \text{Diff}_{E_f}(0))^{n-1}.$$

Since (Y, E_f) is log canonical, by [Kol92, 16.6], the coefficients of $\text{Diff}_{E_f}(0)$ lie in $\{0, 1\} \cup \{1 - 1/m \mid m \geq 2\}$, which is a DCC set. By [HMX12, Theorem 1.3], we have that $(K_{E_f} + \text{Diff}_{E_f}(0))^{n-1}$ lies in a DCC set. The theorem follows. \square

4. Non- \mathbb{Q} -Gorenstein case

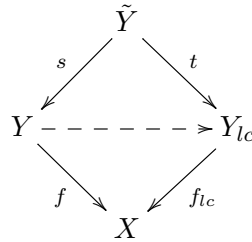
Let X be a normal variety which has only isolated singularities. For any integer $m \geq 2$, fix a log resolution $f : Y \rightarrow X$ of $(X, \mathcal{O}_X(-mK_X))$. By Theorem 2.5, we can find a boundary Δ such that $K_{Y/X}^\Delta = K_{m,Y/X}$. Let $f_{lc} : Y_{lc} \rightarrow X$ be the log canonical modification of the pair (X, Δ) . Then

$$Y_{lc} \cong \mathbf{Proj}_X \bigoplus_{m \in \mathbb{Z}_{\geq 0}} f_* \mathcal{O}_Y(m(K_Y + \Delta_Y + E_f)).$$

Assuming that Δ' is another m -compatible boundary for X with respect to f and $f'_{lc} : Y'_{lc} \rightarrow X$ is the corresponding log canonical modification, we have that $K_{Y/X}^\Delta = K_{Y/X}^{\Delta'}$. Hence, $\Delta_Y - \Delta'_Y = f^*(\Delta - \Delta')$. Now

$$\begin{aligned} f_* \mathcal{O}_Y(m(K_Y + \Delta'_Y)) &= f_* \mathcal{O}_Y(m(K_Y + \Delta_Y - f^*(\Delta - \Delta'))) \\ &= f_* \mathcal{O}_Y(m(K_Y + \Delta_Y)) \otimes \mathcal{O}_X(m(\Delta' - \Delta)) \end{aligned}$$

for sufficiently divisible m , as $\Delta - \Delta'$ is \mathbb{Q} -Cartier. Thus, there is a natural X -isomorphism $\sigma : Y_{lc} \rightarrow Y'_{lc}$ such that $f_{lc} = f'_{lc} \circ \sigma$. Fix a common resolution of Y and Y_{lc} , $\tilde{f} : \tilde{Y} \rightarrow X$, as in the following diagram:



Noticing that \tilde{Y} is also a common resolution of Y and Y'_{lc} , we have that the morphism $s : \tilde{Y} \rightarrow Y$ is independent of the choice of Δ .

THEOREM 4.1. *The \mathbb{R} -Weil b -divisor $\text{Env}_{\mathcal{X}}(A_{m,\mathcal{X}/X})$ is a \mathbb{Q} -Cartier b -divisor. If Δ is m -compatible for X with respect to $\tilde{f} : \tilde{Y} \rightarrow X$, then*

$$\text{Env}_{\mathcal{X}}(A_{m,\mathcal{X}/X}) = \overline{A_{Y_{lc}/X}^\Delta}.$$

Proof. We will mimic the proof of Theorem 3.2. We only need to show that on a sufficiently high model $\rho : Z \rightarrow X$ which factors through $\tilde{f} : \tilde{Y} \rightarrow X$ by $\pi : Z \rightarrow \tilde{Y}$, we have that $D = \text{Env}_{\mathcal{X}}(A_{m,\mathcal{X}/X})_{\rho}$ is equal to $(t \circ \pi)^* A_{Y_{lc}/X}^{\Delta}$.

First, we show that $(t \circ \pi)^* A_{Y_{lc}/X}^{\Delta} \leq D$. Since Δ is m -compatible for X with respect to \tilde{f} , we have that

$$K_{\tilde{Y}} + \Delta_{\tilde{Y}} - \tilde{f}^*(K_X + \Delta) = K_{\tilde{Y}} - \frac{1}{m} \tilde{f}^{\sharp}(mK_X).$$

Hence,

$$-\frac{1}{m} \rho^{\sharp}(mK_X) = \pi^* \left(-\frac{1}{m} \tilde{f}^{\sharp}(mK_X) \right) = \pi^* \Delta_{\tilde{Y}} - \rho^*(K_X + \Delta),$$

by Lemma 2.3 and the fact that \tilde{Y} is smooth. Now the difference

$$\begin{aligned} & A_{m,Z/X} - (t \circ \pi)^* A_{Y_{lc}/X}^{\Delta} \\ &= K_Z + E_{\rho} - \frac{1}{m} \rho^{\sharp}(mK_X) - (t \circ \pi)^*(K_{Y_{lc}} + \Delta_{Y_{lc}} + E_{f_{lc}}) + \rho^*(K_X + \Delta) \\ &= K_Z + E_{\rho} + \pi^* \Delta_{\tilde{Y}} - (t \circ \pi)^*(K_{Y_{lc}} + \Delta_{Y_{lc}} + E_{f_{lc}}) \\ &= (K_Z + \Delta_Z + (E_{f_{lc}})_Z + E_{t \circ \pi} - (t \circ \pi)^*(K_{Y_{lc}} + \Delta_{Y_{lc}} + E_{f_{lc}})) + (\pi^* \Delta_{\tilde{Y}} - \Delta_Z). \end{aligned}$$

Since $(Y_{lc}, \Delta_{Y_{lc}} + E_{f_{lc}})$ is log canonical, we have that the first term is effective and $(t \circ \pi)$ -exceptional. As Δ_Z is the strict transform of the effective divisor $\Delta_{\tilde{Y}}$, the second term is effective and π -exceptional, hence $(t \circ \pi)$ -exceptional. We conclude that $A_{m,Z/X} - (t \circ \pi)^* A_{Y_{lc}/X}^{\Delta}$ is effective and $(t \circ \pi)$ -exceptional. Since $A_{Y_{lc}/X}^{\Delta}$ is f -ample, we have that $(t \circ \pi)^* A_{Y_{lc}/X}^{\Delta}$ is ρ -nef. We can conclude that $\overline{A_{Y_{lc}/X}^{\Delta}}$ is a relatively nef \mathbb{Q} -Cartier b -divisor such that $\overline{A_{Y_{lc}/X}^{\Delta}} \leq A_{m,\mathcal{X}/X}$. By the definition of nef envelope, we have that $\overline{A_{Y_{lc}/X}^{\Delta}} \leq \text{Env}_{\mathcal{X}}(A_{m,\mathcal{X}/X})$. In particular, $(t \circ \pi)^* A_{Y_{lc}/X}^{\Delta} \leq D$.

On the other hand, by the definition of nef envelope, we have that $\text{Env}_{\mathcal{X}}(A_{m,\mathcal{X}/X})$ is relatively nef over X . We may apply Lemma 2.6. Thus, $D \leq -(t \circ \pi)^*(-\text{Env}_{\mathcal{X}}(A_{m,\mathcal{X}/X})_{f_{lc}})$. By definition, $\text{Env}_{\mathcal{X}}(A_{m,\mathcal{X}/X})_{f_{lc}} \leq A_{m,Y_{lc}/X}$. Hence, $D \leq -(t \circ \pi)^*(-A_{m,Y_{lc}/X}) = (t \circ \pi)^* A_{Y_{lc}/X}^{\Delta}$, since $A_{Y_{lc}/X}^{\Delta}$ is \mathbb{Q} -Cartier. Therefore, $D = (t \circ \pi)^* A_{Y_{lc}/X}^{\Delta}$. \square

We can define the volume of singularities of X as follows.

DEFINITION 4.2. The m th limiting volume of singularity of X is

$$\text{Vol}_m(X) = -\text{Env}_{\mathcal{X}}(A_{m,\mathcal{X}/X})^n.$$

COROLLARY 4.3. In the setting of Theorem 4.1, if Δ is m -compatible for X with respect to \tilde{f} , then

$$\text{Vol}_m(X) = -(A_{Y_{lc}/X}^{\Delta})^n = -A_{Y_{lc}/X}^{\Delta} \cdot (K_{Y_{lc}} + \Delta_{Y_{lc}} + E_{f_{lc}})^{n-1} \geq 0.$$

Proof. The first equation is straightforward by Theorem 4.1 and the definition of intersection number. The second equation is valid since $A_{Y_{lc}/X}^{\Delta}$ is f_{lc} -exceptional. By the negativity lemma, we have that $A_{Y_{lc}/X}^{\Delta} \leq 0$. Since $K_{Y_{lc}} + \Delta_{Y_{lc}} + E_{f_{lc}}$ is f_{lc} -ample, we have the inequality in the corollary. \square

For an arbitrary boundary Δ on X , we have the following inequalities.

PROPOSITION 4.4. *Suppose that Δ is a boundary on X , m is the index of $K_X + \Delta$ and $f : Y \rightarrow X$ is the log canonical modification of (X, Δ) . Then:*

- (i) $\text{Env}_{\mathcal{X}}(A_{m, \mathcal{X}/X}) \geq \overline{A_{Y/X}^{\Delta}}$;
- (ii) $\text{Vol}_m(X) \leq -(A_{Y/X}^{\Delta})^n$.

Proof. For any model $\pi : X_{\pi} \rightarrow X$, we have that

$$\pi^*(m(K_X + \Delta)) + \pi^{\sharp}(-m\Delta) = \pi^{\sharp}(mK_X).$$

Hence,

$$\begin{aligned} A_{m, X_{\pi}/X} &= K_{X_{\pi}} + E_{\pi} - \frac{1}{m}\pi^{\sharp}(mK_X) \\ &= K_{X_{\pi}} + E_{\pi} - \frac{1}{m}\pi^{\sharp}(-m\Delta) - \frac{1}{m}\pi^*(m(K_X + \Delta)) \\ &\geq K_{X_{\pi}} + E_{\pi} + \Delta_{X_{\pi}} - \pi^*(K_X + \Delta) = A_{X_{\pi}/X}^{\Delta}. \end{aligned}$$

Thus, as b -divisors, $A_{m, \mathcal{X}/X} \geq A_{\mathcal{X}/X}^{\Delta}$, hence,

$$\text{Env}_{\mathcal{X}}(A_{m, \mathcal{X}/X}) \geq \text{Env}_{\mathcal{X}}(A_{\mathcal{X}/X}^{\Delta}).$$

On the other hand, for any model $f' : Y' \rightarrow Y$ factoring through f via $g : Y' \rightarrow Y$, we have that $A_{Y'/X}^{\Delta} \geq g^*A_{Y/X}^{\Delta}$, since $(Y, \Delta_Y + E_f)$ is log canonical. As b -divisors, $A_{\mathcal{X}/X}^{\Delta} \geq \overline{A_{Y/X}^{\Delta}}$. As $K_Y + \Delta_Y + E_f$ is f -ample, we have that $\overline{A_{Y/X}^{\Delta}}$ is relatively nef over X . Thus, $\text{Env}_{\mathcal{X}}(A_{\mathcal{X}/X}^{\Delta}) \geq \overline{A_{Y/X}^{\Delta}}$. We have proved (i).

Since both $\text{Env}_{\mathcal{X}}(A_{m, \mathcal{X}/X})$ and $\overline{A_{Y/X}^{\Delta}}$ are relatively nef and exceptional over X , (ii) follows from the inequality between intersection numbers. \square

Remark 4.5. In Proposition 4.4, one can show that $\text{Env}_{\mathcal{X}}(A_{\mathcal{X}/X}^{\Delta}) = \overline{A_{Y/X}^{\Delta}}$.

For any two positive integers m and l and any model $f : Y \rightarrow X$, since

$$\frac{1}{m}f^{\sharp}(mK_X) \geq \frac{1}{lm}f^{\sharp}(lmK_X) \geq f^*K_X,$$

we have that

$$A_{m, Y/X} \leq A_{lm, Y/X} \leq A_{Y/X},$$

hence

$$A_{m, \mathcal{X}/X} \leq A_{lm, \mathcal{X}/X} \leq A_{\mathcal{X}/X}.$$

By the definition of nef envelope, we have that

$$\text{Env}_{\mathcal{X}}(A_{m, \mathcal{X}/X}) \leq \text{Env}_{\mathcal{X}}(A_{lm, \mathcal{X}/X}) \leq \text{Env}_{\mathcal{X}}(A_{\mathcal{X}/X}).$$

Since they are both exceptional over X by Theorem 4.1, we have the following inequality of volumes:

$$\text{Vol}_m(X) \geq \text{Vol}_{lm}(X) \geq \text{Vol}_{\text{BdFF}}(X, 0).$$

COROLLARY 4.6. *The following statements are equivalent.*

- (i) *There exists a boundary Δ on X such that (X, Δ) is log canonical.*
- (ii) *$\text{Vol}_m(X) = 0$ for some (hence any multiple of) integer $m \geq 1$.*

Proof. (i) \Rightarrow (ii). Suppose that $m(K_X + \Delta)$ is Cartier. By Proposition 4.4,

$$A_{m,\mathcal{X}/X} \geq A_{\mathcal{X}/X}^\Delta \geq 0,$$

since (X, Δ) is log canonical. As 0 is a relatively nef b -divisor over X , we have that $\text{Env}_{\mathcal{X}}(A_{m,\mathcal{X}/X}) \geq 0$. On the other hand, by Theorem 4.1 and the negativity lemma, $\text{Env}_{\mathcal{X}}(A_{m,\mathcal{X}/X}) \leq 0$. Hence, $\text{Env}_{\mathcal{X}}(A_{m,\mathcal{X}/X}) = 0$. We can conclude that $\text{Vol}_m(X) = 0$.

(ii) \Rightarrow (i). Let Δ be an m -compatible boundary for X with respect to \tilde{f} in the setting of Theorem 4.1. By Theorem 2.5, such a boundary always exists. Since $\text{Vol}_m(X) = 0$, by Corollary 4.3, we have that

$$-A_{Y_{lc}/X}^\Delta \cdot (K_{Y_{lc}} + \Delta_{Y_{lc}} + E_{f_{lc}})^{n-1} = 0.$$

Since $K_{Y_{lc}} + \Delta_{Y_{lc}} + E_{f_{lc}}$ is f_{lc} -ample, this is equivalent to $A_{Y_{lc}/X}^\Delta = 0$. Thus, we have that

$$f_{lc}^*(K_X + \Delta) = K_{Y_{lc}} + \Delta_{Y_{lc}} + E_{f_{lc}}.$$

For any model $\rho : Z \rightarrow X$ factoring through f_{lc} via $\pi : Z \rightarrow Y_{lc}$, we have that

$$\begin{aligned} A_{Z/X}^\Delta &= K_Z + \Delta_Z + E_\rho - \rho^*(K_X + \Delta) \\ &= K_Z + \Delta_Z + (E_{f_{lc}})_Z + E_\pi - \pi^*(K_{Y_{lc}} + \Delta_{Y_{lc}} + E_{f_{lc}}) \\ &\geq 0, \end{aligned}$$

since $(Y_{lc}, \Delta_{Y_{lc}} + E_{f_{lc}})$ is log canonical. Therefore, (X, Δ) is log canonical. □

DEFINITION 4.7. The augmented volume of singularities on X is

$$\text{Vol}^+(X) = \liminf_m \text{Vol}_m(X) = \lim_{k \rightarrow \infty} \text{Vol}_{k!}(X) \geq \text{Vol}_{\text{BdFF}}(X, 0).$$

Remark 4.8. While it is proved in the appendix of [BdFF12] that the intersection number is continuous, it is not clear that $\text{Env}_{\mathcal{X}}(A_{m,\mathcal{X}/X})$ converges to $\text{Env}_{\mathcal{X}}(A_{\mathcal{X}/X})$. It would be interesting to have an example with $\text{Vol}^+(X) > \text{Vol}_{\text{BdFF}}(X, 0)$.

4.1 Cone singularities

We will give a counterexample to Problem B in this section.

Let (V, H) be a non-singular projective polarized variety of dimension $n - 1$. The vertex 0 is the isolated singularity of the variety

$$X = \text{Spec} \bigoplus_{m \geq 0} H^0(V, \mathcal{O}_V(mH)).$$

We assume that H is sufficiently ample so that X is normal. Blowing up 0 gives a resolution of singularities for X which we denote by Y . The induced map $f : Y \rightarrow X$ is isomorphic to the contraction of the zero section E of the total space of the vector bundle $\mathcal{O}_V(H)$. Let $\pi : Y \rightarrow V$ be the bundle map. We have that $E \cong V$. The co-normal bundle of E in Y is

$$\mathcal{O}_E(-E) \cong \mathcal{O}_V(H).$$

Let us slightly change our notation from previous sections. Let Γ be a boundary on X , Γ_Y be the strict transform of Γ on Y and $\Delta = \Gamma_Y|_E$. Since $A_{Y/X}^\Gamma$ is exceptional, we may assume that $A_{Y/X}^\Gamma = -aE$ for some rational number a . Restricting to E , we have that

$$K_V + \Delta \sim_{\mathbb{Q}} aH$$

by the adjunction formula. On the other hand, assuming that Δ is an effective \mathbb{Q} -Cartier divisor on V such that $\Delta \sim_{\mathbb{Q}} -K_V + aH$, we may set $\Gamma = C_\Delta$ and get that $A_{Y/X}^{C_\Delta} = -aE$, where C_Δ is the cone over Δ in X .

Let C be an elliptic curve, U be a semi-stable vector bundle on C of rank 2 and degree 0 and $V = \mathbb{P}(U)$ be the ruled surface over C . The nef cone $\text{Nef}(V)$ and pseudo-effective cone $\overline{\text{NE}}(V)$ are the same. They are spanned by the section C_0 corresponding to the tautological bundle $\mathcal{O}_{\mathbb{P}(U)}(1)$ and a fiber F of the ruling (for details, see [Laz04, § 1.5.A]). Moreover, as in [Sho00, Example 1.1], if C' is an effective curve on V such that $C' \equiv mC_0$ for some positive integer m , then $C' = mC_0$.

THEOREM 4.9. *Let V be the ruled surface as above. Fix an ample divisor H on V . Let X be the affine cone over (V, H) . Suppose that H is sufficiently ample so that X is normal. Then $\text{Vol}^+(X) = 0$, hence $\text{Vol}_{\text{BdFF}}(X, 0) = 0$. But there is no effective \mathbb{Q} -divisor Γ such that (X, Γ) is log canonical.*

Proof. Fix an ample divisor H on V . Since $K_V \sim -2C_0$, we have that $-K_V + aH$ is ample for any rational number $a > 0$. Let D be a smooth curve in $|n(-K_V + aH)|$ for some sufficiently large positive integer n , and set $\Delta = (1/n)D$. Then $(Y, \pi^*\Delta + E)$ is the log canonical modification of (X, C_Δ) , since $(K_Y + \pi^*\Delta + E)|_E \sim_{\mathbb{Q}} aH$ is ample and (X, C_Δ) is not log canonical. Suppose that m is the index of $K_X + C_\Delta$. By Proposition 4.4(ii),

$$\text{Vol}_m(X) \leq -(A_{Y/X}^{C_\Delta})^3 = (aE)^3 = a^3H^2.$$

As $a \rightarrow 0$, we conclude that $\text{Vol}^+(X) = 0$, hence $\text{Vol}_{\text{BdFF}}(X, 0) = 0$.

If (X, Γ) is log canonical for some effective \mathbb{Q} -divisor Γ on X , then $A_{Y/X}^\Gamma = -aE \geq 0$, hence $a \leq 0$. On the other hand, let $\Delta = \Gamma_Y|_E \in \overline{\text{NE}}(V)$. Then

$$\Delta \sim_{\mathbb{Q}} -K_V + aH \sim_{\mathbb{Q}} 2C_0 + aH.$$

Since $\Delta \geq 0$, we have $a \geq 0$ and hence $a = 0$. Thus $A_{Y/X}^\Gamma = 0$, and $(Y, \Gamma_Y + E)$ is log canonical. But $\Delta = \Gamma_Y|_E$ is an effective \mathbb{Q} -divisor linearly equivalent to $2C_0$, and hence $\Delta = 2C_0$, a contradiction. \square

In [dFH09, Definition 7.1], a normal variety X is defined to be log canonical if for one (hence any sufficiently divisible) positive integer m , the m th limiting log discrepancy b -divisor $A_{m, \mathcal{X}/X} \geq 0$. And in [dFH09, Proposition 7.2], it was proved that X is log canonical if and only if there is a boundary Δ such that the pair (X, Δ) is log canonical. It is natural to ask whether this definition is equivalent to the one requiring that the log discrepancy b -divisor $A_{\mathcal{X}/X} \geq 0$.

COROLLARY 4.10. *Let X be the affine cone over (V, H) as in Theorem 4.9. Then $A_{\mathcal{X}/X} \geq 0$, but X is not log canonical.*

Proof. The corollary follows immediately from the fact that $A_{\mathcal{X}/X} \geq 0$ is equivalent to $\text{Vol}_{\text{BdFF}}(X, 0) = 0$ (see [BdFF12, Proposition 4.19] or Corollary 4.6). \square

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