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A NATURAL REPRESENTATION OF PARTITIONS AS TERMS OF A UNIVERSAL ALGEBRA

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Abstract

We consider a variety of algebras with two binary commutative and associative operations. For each integer $n \ge 0$, we represent the partitions on an *n*-element set as *n*-ary terms in the variety. We determine necessary and sufficient conditions on the variety ensuring that, for each *n*, these representing terms be all the essentially *n*-ary terms and moreover that distinct partitions yield distinct terms.

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1. Introduction

Following the notation of [4], we denote the set of *n*-ary term operations on an algebra **A** by $\operatorname{Clo}_n \mathbf{A}$. We say that an *n*-ary term $f(x_0, \ldots, x_{n-1})$ does not depend on the variable x_i in **A** if the identity

 $f(x_0,\ldots,x_{i-1},y,x_{i+1},\ldots,x_{n-1})=f(x_0,\ldots,x_{i-1},z,x_{i+1},\ldots,x_{n-1})$

is satisfied in the algebra A. Otherwise, we say that f depends on x_i . Similarly, if \mathscr{V} is a variety of algebras, we say that the term $f(x_0, \ldots, x_{n-1})$ does not

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depend on the variable x_i in \mathscr{V} if \mathscr{V} satisfies the identity

$$f(x_0,\ldots,x_{i-1},y,x_{i+1},\ldots,x_{n-1})=f(x_0,\ldots,x_{i-1},z,x_{i+1},\ldots,x_{n-1})$$

We say that the *n*-ary term $f(x_0, \ldots, x_{n-1})$ is *essentially n-ary* if f depends on all the variables x_0, \ldots, x_{n-1} . Following G. Grätzer [2], we denote by $P_n(\mathbf{A})$ the subset of $\operatorname{Clo}_n \mathbf{A}$ consisting of all essentially *n*-ary term operations of \mathbf{A} .

We denote the set of equivalence relations on a set X by Eqv X and, without further ado, think of them interchangeably as either equivalence relations or as partitions of X. Note that Eqv $\emptyset = \{\emptyset\}$. We denote by \mathbb{N}_n the set $\{0, \ldots, n-1\}$. Note that $\mathbb{N}_0 = \emptyset$. We denote by \mathbb{N} the set $\{0, 1, \ldots\}$ of all natural numbers.

By a *commutative bisemigroup* A we mean an algebra $(A, +, \cdot)$ such that + and \cdot are binary commutative and associative operations. Let A be a commutative bisemigroup with a nullary term denoted 0—whether 0 is a nullary fundamental operation or the value of a constant term of arity > 0 will turn out to be irrelevant. For each integer $n \ge 0$ we define a mapping

$$\Phi_n : \operatorname{Eqv} \mathbb{N}_n \to \operatorname{Clo}_n \mathbf{A}$$

by setting

 $\Phi_0(\emptyset) = 0$

and, for n > 0 and each $\alpha \in \text{Eqv } \mathbb{N}_n$, by setting

$$\Phi_n(B)=\prod(x_i\mid i\in B)$$

for each block B of the partition α , and setting

$$\Phi_n(\alpha)=\sum \Phi_n(B),$$

the sum being taken over all the blocks B of α . For example, for the unique partition α of \mathbb{N}_1 , we have

$$\Phi_1(\alpha)=x_0,$$

and, for the partition $\alpha = \{\{0, 2, 4\}, \{1\}, \{3\}\} \}$ of \mathbb{N}_5 , we have

$$\Phi_5(\alpha) = x_0 x_2 x_4 + x_1 + x_3.$$

We say that a commutative bisemigroup A is a *Bell bisemigroup* if, for each $n \ge 0$, the representation Φ_n is a bijection between Eqv \mathbb{N}_n and $P_n(\mathbf{A})$, that is, if the essentially *n*-ary term operations are precisely those term operations

representing partitions of \mathbb{N}_n and distinct partitions yield distinct term operations. We choose this terminology because the cardinality of Eqv \mathbb{N}_n is often called the *Bell number* B(n)—see [5, page 33]. Clearly, whether or not the commutative bisemigroup A is a Bell bisemigroup depends only on the variety \mathcal{V} generated by A; consequently, we say that a variety of bisemigroups is a *Bell variety of bisemigroups* if it is generated by a Bell bisemigroup.

2. Bell varieties of bisemigroups

In this section and the next we characterize Bell varieties of bisemigroups. We consider the following identities, where 0 denotes a nullary,

- (1) x+y=y+x
- (3) (x + y) + z = x + (y + z)
- (4) (xy)z = x(yz)
- (5) 0+x=0
- 0x = 0
- (8) xx = 0
- (9) x + xy = 0
- (10) xy + xz = 0,

and the identities

(11) (x + y)z = 0,(11') (x + y)z = xyz.

In this section we prove:

LEMMA 1. If \mathscr{V} is a Bell variety of bisemigroups, then \mathscr{V} satisfies the identities (1)–(10) and either the identity (11) or (11').

To prove Lemma 1, let \mathscr{V} be a Bell variety of bisemigroups. Then there is exactly one nullary term,

0,

exactly one unary term f(x),

х,

exactly two essentially binary terms f(x, y),

$$x + y$$
 and xy ,

and exactly five essentially ternary terms f(x, y, z),

$$x + y + z$$
, xyz , $x + yz$, $y + xz$, $xy + z$.

Identities (1)–(4) hold by the definition of Bell variety. The key to the proof is the following lemma:

LEMMA 2. \mathscr{V} satisfies one of the identities (11) or (11').

PROOF. If the term f(x, y, z) = (x + y)z does not depend on the variable z, then

$$(x + y)z = (x + y)(u + v) = (u + v)(x + y) = (u + v)w,$$

that is, (x + y)z is constant, and so we have (11).

If (x + y)z does not depend on x, then, by the commutativity of +, it also does not depend on y. Consequently, if (x + y)z is not constant, the identity (x + y)z = z holds. But then we have the sequence of identities

$$x + y = (x + z)(x + y) = (x + y)(x + z) = x + z,$$

contradicting the fact that x + y is essentially binary.

Consequently, either (11) holds and we are done, or (x + y)z is essentially ternary. In this latter case, by the symmetry in x and y (and the distinctness of the five essentially ternary terms), \mathscr{V} must satisfy one of the identities

 $(11') \qquad (x+y)z = xyz$

(12)
$$(x + y)z = x + y + z$$

(13) (x+y)z = xy + z.

Identity (12) yields

$$x + y + uv = (x + y)uv = ((x + y)u)v = (x + y + u)v = x + y + u + v,$$

that is, the contradiction

$$\Phi_4(\{\{0\},\{1\},\{2\},\{3\}\}) = \Phi_4(\{\{0\},\{1\},\{2,3\}\}).$$

Similarly, identity (13) yields the contradiction

$$xy + uv = (x + y)uv = ((x + y)u)v = (xy + u)v = xyu + v.$$

Thus, if (x + y)z is essentially ternary, then identity (11') holds, concluding the proof of Lemma 2.

We now establish identities (5)–(10). We first consider the unary term f(x) = xx. Either (8) holds or we have the identity

$$xx = x$$
.

But then we have the identity

$$x + y = (x + y)(x + y).$$

If (11) holds, then we get the contradiction

$$x + y = 0$$

If (11') holds, then we get the contradiction

$$x + y = xy(x + y) = (x + y)xy = xyxy = xyy = xy.$$

Thus, identity (8) is established.

Similarly, if (7) does not hold, then we have the identity

$$x + x = x$$

But then

[5]

$$xy = (x + x)y.$$

If (11) holds, we get the contradiction

$$xy = 0.$$

Similarly, if (11') holds, then, since (8) was established above,

$$xy = xxy = 0y,$$

yielding the contradiction that the term xy does not depend on x. Thus (7) is established.

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We now establish (6). If (11) holds, then (6) follows immediately from (7):

$$0x = (x + x)x = 0.$$

On the other hand, if (11') holds and (6) does not hold, then we must have the identity

$$0x = x$$
.

But then, using (11'), we get the contradiction

$$x + y = 0(x + y) = (x + y)0 = xy0 = x(y0) = x(0y) = xy.$$

Thus we have established (6).

We now establish (5). If (5) does not hold, then we have the identity

0 + x = x.

Then

xy = (0+x)y.

If (11) holds, we get the immediate contradiction

xy = 0.

If (11') holds, then, by (6), we again get the contradiction

$$xy = x0y = 0.$$

Thus (5) holds.

We now establish (10). If the term xy + xz does not depend on at least one of the variables x, y, z, then, substituting 0 for that variable and using (5) and (6), we get (10). Thus we need only show that the term xy + xz is not essentially ternary. Assume, to the contradictory, that it is. Then, by the symmetry in y and z, \mathscr{V} must satisfy one of the identities

$$(14) xy + xz = x + y + z,$$

$$(15) xy + xz = xyz,$$

$$(16) xy + xz = x + yz.$$

If (14) holds, we get the identity

$$x + y + z + u = x(y + z) + xu.$$

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If $\mathscr V$ satisfies (11), we then get the contradiction

$$x + y + z + u = 0 + xu = 0.$$

If \mathscr{V} satisfies (11'), we get the contradiction

$$x + y + z + u = xyz + xu = x + yz + u$$
,

using (14) once more to get the second equality. Thus (14) does not hold.

If (15) holds, then we get the contradiction

$$xyuv = (xy)u + (xy)v = x(yu) + x(yv) = xyuyv = 0xuv = 0.$$

If (16) holds, then we get the contradiction

$$xy + uv = (xy)u + (xy)v = x(yu) + x(yv)$$

= x + yuyv = x + 0uv = x + 0 = 0.

Consequently, xy + xz is not essentially ternary, and so must be constant, that is, (10) holds.

Finally, we establish (9). If x + xy is not constant, then \mathscr{V} must satisfy one of the identities

$$x + xy = xy,$$

$$x + xy = x + y,$$

$$x + xy = x,$$

$$x + xy = y.$$

But then, substituting uv for x and using (10), we get the respective contradictions

$$0 = uvy,$$

$$0 = uv + y,$$

$$0 = uv,$$

$$0 = y.$$

Thus (9) holds, concluding the proof of Lemma 1.

3. The free Bell bisemigroups

In this section we prove our main result:

THEOREM. There are precisely two Bell varieties of bisemigroups, the variety \mathscr{B} given by the identities (1)–(11), and the variety \mathscr{B}' given by the identities (1)–(10), (11').

In the process of the proof we shall give a natural representation of the free algebras on \aleph_0 generators in these varieties.

We first note the following two lemmas:

LEMMA 3. Let \mathscr{V} be one of the varieties $\mathscr{B}, \mathscr{B}'$, and let $f(x_0, \ldots, x_{n-1})$ be a term in which the variable $x_i, 0 \leq i < n$, appears. Then \mathscr{V} satisfies the identity

$$f(x_0,\ldots,x_{i-1},0,x_{i+1},\ldots,x_{n-1})=0$$

PROOF. The proof follows in a straight-forward manner from identities (5) and (6) by induction on the complexity of the term f.

LEMMA 4. Let \mathscr{V} be one of the varieties $\mathscr{B}, \mathscr{B}'$, and let $f(x_0, \ldots, x_{n-1})$ be a term in which all of the variables x_0, \ldots, x_{n-1} appear. Then either \mathscr{V} satisfies the identity

$$f(x_0,\ldots,x_{n-1})=0$$

or there is an $\alpha \in Eqv \mathbb{N}_n$ such that \mathscr{V} satisfies the identity

$$f(x_0,\ldots,x_{n-1})=\Phi_n(\alpha).$$

PROOF. The proof follows in a straight-forward manner from each of the sets of identities (1)-(11) and (1)-(10), (11') by induction on the complexity of the term f. More precisely, we prove the following inductively:

Let $n \ge 1$ and let $\varphi : \mathbb{N}_n \to \mathbb{N}$, the set of natural numbers, be an injection. If f is a term involving all of the variables $x_{\varphi(0)}, \ldots, x_{\varphi(n-1)}$ and no others, then either \mathscr{V} satisfies the identity

$$f = 0$$

or there is an $\alpha \in Eqv \mathbb{N}_n$ such that \mathscr{V} satisfies the identity

$$f = g(x_{\varphi(0)}, \ldots, x_{\varphi(n-1)}),$$

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where $g = \Phi_n(\alpha)$.

The details are left to the reader. We remark only that in both \mathscr{B} and \mathscr{B}' we have the identities

$$f + g = fg = 0$$

whenever the terms f and g have a variable in common. Thus, in view of identities (5) and (6), if any two subterms of f have a variable in common, we get the identity

$$f=0.$$

Now let \mathscr{W} be a proper subvariety of $\mathscr{V} = \mathscr{B}$ or \mathscr{B}' , and let

$$f = g$$

be an identity satisfied in \mathscr{W} but not in \mathscr{V} . Without loss of generality, we may assume that f is not constant in \mathscr{V} and so that $f = f(x_0, \ldots, x_{n-1})$ for $n \ge 1$, where all the variables x_0, \ldots, x_{n-1} occur in f. Applying Lemma 4 to f, we get an $\alpha \in \text{Eqv } \mathbb{N}_n$ such that \mathscr{W} satisfies the identity

$$\Phi_n(\alpha)(x_0,\ldots,x_{n-1})=g,$$

not satisfied by \mathscr{V} . If there is a variable occurring on one side of this identity and not the other, then, substituting 0 for that variable and applying Lemma 3, we see that $\Phi_n(\alpha)$ is constant in \mathscr{W} , that is, that \mathscr{W} is not Bell. Otherwise, the variables x_0, \ldots, x_{n-1} are precisely the variables occurring in g, and, applying Lemma 4 to g, we have a $\beta \in \text{Eqv } \mathbb{N}_n$ such that the identity

$$\Phi_n(\alpha) = \Phi_n(\beta)$$

holds in \mathscr{W} but not in \mathscr{V} . Then $\alpha \neq \beta$, and so Φ_n is not injective in \mathscr{W} , that is, again, \mathscr{W} is not Bell. Thus no proper subvariety of \mathscr{B} or \mathscr{B}' is Bell. In view of Lemma 1, the proof of the theorem will be complete if we exhibit algebras in \mathscr{B} and \mathscr{B}' in which, for each $n \geq 1$, the term operations in $\Phi_n(\text{Eqv } \mathbb{N}_n)$ are all essentially *n*-ary and are all distinct. We proceed to this task.

Let the sets F = F' denote the set of all ordered pairs

 $\langle \alpha, X \rangle$

where X is a finite subset of \mathbb{N} and $\alpha \in \text{Eqv } X$. We define two algebraic structures $\mathbf{F} = \langle F, +, \cdot \rangle$ and $\mathbf{F}' = \langle F', +, \cdot \rangle$. In both \mathbf{F} and \mathbf{F}' we set

(17)
$$\langle \emptyset, \emptyset \rangle + \langle \alpha, X \rangle = \langle \alpha, X \rangle + \langle \emptyset, \emptyset \rangle = \langle \emptyset, \emptyset \rangle$$

and

(18)
$$\langle \emptyset, \emptyset \rangle \langle \alpha, X \rangle = \langle \alpha, X \rangle \langle \emptyset, \emptyset \rangle = \langle \emptyset, \emptyset \rangle$$

for all $\langle \alpha, X \rangle$.

For any other pair $\langle \alpha, X \rangle$, $\langle \beta, Y \rangle$, that is, when $X \neq \emptyset$, $Y \neq \emptyset$, the sum is defined the same way in both algebras:

(19)
$$\langle \alpha, X \rangle + \langle \beta, Y \rangle = \begin{cases} \langle \alpha \cup \beta, X \cup Y \rangle & \text{if } X \cap Y = \emptyset, \\ \langle \emptyset, \emptyset \rangle & \text{if } X \cap Y \neq \emptyset, \end{cases}$$

where $\alpha \cup \beta$ is the ordinary set union, and has the same effect whether we regard α and β as equivalence relations, that is, subsets of X^2 , Y^2 , respectively, or as partitions, that is, sets of subsets of X, Y, respectively; since the sets X and Y are disjoint, $\alpha \cup \beta \in \text{Eqv} (X \cup Y)$.

The product is defined differently for **F** and **F**'. If $X \neq \emptyset$, $Y \neq \emptyset$, then in **F** we set

$$(20)\langle \alpha, X \rangle \langle \beta, Y \rangle = \begin{cases} \langle \iota_{X \cup Y}, X \cup Y & \text{if } X \cap Y = \emptyset \text{ and } \alpha = \iota_X, \beta = \iota_Y, \\ \langle \emptyset, \emptyset \rangle & \text{otherwise,} \end{cases}$$

where, for each set X, ι_X (or simply ι when the context is clear) denotes the equivalence relation X^2 , equivalently, the partition with only one block.

For \mathbf{F}' we set

(21)
$$\langle \alpha, X \rangle \langle \beta, Y \rangle = \begin{cases} \langle \iota_{X \cup Y}, X \cup Y \rangle & \text{if } X \cap Y = \emptyset, \\ \langle \emptyset, \emptyset \rangle & \text{if } X \cap Y \neq \emptyset, \end{cases}$$

whenever $X \neq \emptyset$ and $Y \neq \emptyset$. Note that in both **F** and **F**' we have

 $\langle \emptyset, \emptyset \rangle = 0.$

LEMMA 5. $\mathbf{F} \in \mathscr{B}$ and $\mathbf{F}' \in \mathscr{B}'$.

PROOF. We need only show that **F** satisfies the identities (1)-(11) and that **F**' satisfies the identities (1)-(10) and (11'). This is safely left to the reader. However, in order to preserve a modicum of honesty and at the dire risk of boring the reader, we present the verification of (4) for both **F** and **F**', along with the verification of (11) for **F** and (11') for **F**'.

We first verify (4). In both **F** and **F**' both sides are $0 = \langle \emptyset, \emptyset \rangle$ if at least one of x, y, or z is 0. Otherwise, we may set $x = \langle \alpha, X \rangle$, $y = \langle \beta, Y \rangle$, $z = \langle \gamma, Z \rangle$

for nonempty X, Y, Z. Then, for both **F** and **F'** the left hand side of (4) is 0 unless $X \cap Y \neq \emptyset$ and $(X \cup Y) \cap Z \neq \emptyset$, that is, unless

$$X \cap Y \neq \emptyset, X \cap Z \neq \emptyset$$
, and $Y \cap Z \neq \emptyset$.

From (20) it follows that in F

$$(xy)z = \begin{cases} \langle \iota_{X \cup Y \cup Z}, X \cup Y \cup Z \rangle & \text{if } X \cap Y = X \cap Z = Y \cap Z = \emptyset \\ \text{and } \alpha = \iota_X, \beta = \iota_Y, \gamma = \iota_Z, \\ 0 & \text{otherwise,} \end{cases}$$

which is exactly the computation for x(yz).

Similarly, using (21), we compute in \mathbf{F}' that

$$(xy)z = \begin{cases} \langle \iota_{X \cup Y \cup Z}, X \cup Y \cup Z \rangle & \text{if } X \cap Y = X \cap Z = Y \cap Z = \emptyset \\ 0 & \text{otherwise,} \end{cases}$$

which is exactly the computation for x(yz).

This verifies (4) in \mathbf{F} and in \mathbf{F}' .

We now verify (11) for **F**. Again, we set $x = \langle \alpha, X \rangle$, $y = \langle \beta, Y \rangle$, $z = \langle \gamma, Z \rangle$. If one of X, Y is \emptyset , then (11) follows immediately from (17) and (18). Otherwise, since $\alpha \cup \beta$ can then not be $\iota_{X \cup Y}$, we again get

$$(x+y)z=0,$$

concluding the verification of (11) for F.

To verify (11') for **F**' we note both sides are $\langle \emptyset, \emptyset \rangle$ unless X, Y, Z are all nonempty and $X \cap Y = X \cap Z = Y \cap Z = \emptyset$, in which case

$$x + y = \langle \alpha \cup \beta, X \cup Y \rangle$$

and so

$$(x+y)z = \langle \iota_{X\cup Y\cup Z}, X\cup Y\cup Z \rangle,$$

which is precisely xyz, as derived above.

This concludes Lemma 5.

Now let X be an *n*-element subset of \mathbb{N} and let $\varphi: \mathbb{N}_n \to X$ be a bijection. For each $\alpha \in \text{Eqv } X$ we denote by $\varphi^* \alpha$ the corresponding partition on \mathbb{N}_n ,

$$\varphi^*\alpha = \{ \langle x, y \rangle \mid \langle \varphi(x), \varphi(y) \rangle \in \alpha \}.$$

The following lemma is then immediate from the definitions:

In terms of the concept of p_n sequence, [2], we have presented a representation of the sequence with

 $p_n = B(n), \qquad n \neq 1,$

and

$$p_1 = B(1) - 1.$$

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that is, Φ_n is injective. The variables that occur in $\Phi_n(\alpha)$ are precisely x_0, \ldots, x_{n-1} . If $\Phi_n(\alpha)$ did not depend on one of these variables, then, substituting $0 = \langle \emptyset, \emptyset \rangle$ for that variable and appealing to Lemma 3, we would derive the contradiction

$$\begin{aligned}
\Phi_n(\alpha)(\langle \iota, \{0\} \rangle, \dots, \langle \iota, \{n-1\} \rangle) &= \langle \alpha, \mathbb{N}_n \rangle \\
&= \Phi_n(\beta)(\langle \iota, \{0\} \rangle, \dots, \langle \iota, \{n-1\} \rangle),
\end{aligned}$$

 $\langle \emptyset, \emptyset \rangle = \langle \alpha, \mathbb{N}_n \rangle.$

Thus the image of Φ_n is a subset of the essentially *n*-ary term functions of **F**, respectively of \mathbf{F}' . That the image is precisely the set of essentially *n*-ary term functions follows immediately from Lemma 4. Consequently, \mathbf{F} and \mathbf{F}' are Bell bisemigroups, concluding the proof of the theorem.

For the proof of the Theorem it would suffice to state Lemma 6 with X = \mathbb{N}_n and φ the identity map. We chose the more general statement because it then follows immediately that \mathbf{F} and \mathbf{F}' are the free algebras generated by the countable set

 $\{ \langle \iota, \{n\} \rangle \mid n \in \mathbb{N} \}$ in \mathcal{B} and \mathcal{B}' , respectively.

 $\langle \alpha, X \rangle = \Phi_n(\varphi^* \alpha) \langle \langle \iota, \{ \varphi(0) \} \rangle, \dots, \langle \iota, \{ \varphi(n-1) \} \rangle \rangle.$ We can now conclude the proof of the theorem. Indeed, it follows easily

from Lemma 6 that **F** and **F**' are Bell bisemigroups. Let $n \ge 1$ and let $\alpha \neq \beta$ be partitions of \mathbb{N}_n . Then, applying Lemma 6 with φ the identity mapping, we get

and let $\alpha \in Eqv X$. Then, in both the algebras **F** and **F**',

 $\Phi(\alpha)(1, \{0\}) = 1 = 1 = -1 = 0$

(The condition on p_1 is quite natural, since in any nontrivial algebra the term x_0 is always essentially unary— p_1 was defined, somewhat artificially, as 1 less than the number of essentially unary terms in order that, a priori, every sequence of natural numbers be possible.) What is new here is the *naturality* of the representation; since $p_0 \neq 0$, the general theory of p_n sequences trivially yields (nonnatural) representations of this sequence. Essentially, one introduces as many fundamental operations as one needs and then cuts down the number of essentially *n*-ary terms by identifying any extra terms to a constant. The variety \mathscr{B} is very much in this spirit, but \mathscr{B}' is not.

A more interesting problem, and indeed not yet solved in general, is that of representing sequences with $p_0 = p_1 = 0$, that is, characterizing the p_n sequences of idempotent algebras with no constants. In the spirit of this paper, since B(1) = 1, we can consider the problem of presenting the sequence

$$\langle 0, 0, 2, \ldots, B(n), \ldots \rangle,$$

that is, modifying our results so that we have no constants. However, general results in the literature show that this is impossible. Indeed, let us assume that the algebra A represents the above sequence. Since B(2) = 2, A has exactly two essentially binary term functions. If one of them is commutative, then so is the other, that is, A has two commutative binary term operations. But then, by a result of Dudek [1],

$$p_3(\mathbf{A}) \ge 9 > 5 = B(3).$$

Thus, A has no binary commutative term functions. Then, by Kisielewicz [3, Theorem 4.1], there are natural numbers a_1, a_2 with

$$p_4(\mathbf{A}) = a_1 \begin{pmatrix} 4\\1 \end{pmatrix} + a_2 \begin{pmatrix} 4\\3 \end{pmatrix};$$

consequently, p_4 is divisible by 4, and so cannot be B(4) = 15. Thus the sequence is not representable.

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