# SOME REMARKS ON THE Q CURVATURE TYPE PROBLEM ON $\mathbb{S}^N$

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(Received 5 April 2013; accepted 22 April 2014; first published online 16 June 2014)

Communicated by A. Hassell

## **Abstract**

In this paper, we prove the existence, uniqueness and multiplicity of positive solutions of a nonlinear perturbed fourth-order problem related to the Q curvature.

2010 Mathematics subject classification: primary 35J10; secondary 35J35, 35J65.

Keywords and phrases: fourth-order problem, critical exponent, uniqueness, multiplicity.

#### 1. Introduction

In recent years, there has been an intensive study of the relationship between conformally covariant operators and partial differential equations. See some recent survey papers by Chang [8] and Chang and Yang [10]. Given a smooth four-dimensional compact Riemannian manifold (M, g), let  $R_g$  and  $Ric_g$  be the scalar curvature and the Ricci curvature of g, respectively,  $div_g$  the divergence operator and d the de Rham differential; then the Paneitz operator is defined in the following way:

$$P_g\psi = \Delta_g^2\psi - div_g(\frac{2}{3}R_g - 2Ric_g)\,d\psi;$$

see Paneitz [22]. For the case  $N \ge 5$ , the Paneitz operator  $P_g$  is defined by

$$P_g = \Delta_g^2 - div_g[a_N R_g g + b_N Ric_g] + \frac{N-4}{2}Q_g.$$

Here

$$Q_g = \frac{1}{2(N-1)} \Delta R_g + \frac{N^3 - 4N^2 + 16N - 16}{8(N-1)^2(N-2)^2} R_g^2 - \frac{2}{(N-2)^2} |Ric|^2$$

and

$$a_N = \frac{(N-2)^2 + 4}{2(N-1)(N-2)},$$

$$b_N = -\frac{4}{N-2}.$$

The author was supported by the Australian Research Council.

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When  $N \ge 5$ , the operator  $P_g$  has the following property: if  $\overline{g} = u^{4/(N-4)}g$  is a conformal metric of g, then for all  $\varphi \in C^{\infty}(M)$ 

$$P_g(\varphi u) = \varphi^{(N+4)/(N-4)} P_{\overline{g}}(u).$$

In particular,

$$P_g(\varphi) = \frac{N-4}{2} Q_{\overline{g}} \varphi^{(N+4)/(N-4)}.$$

Many interesting results on the Paneitz operator and related topics have been recently studied by Branson [5], Branson *et al.* [6], Chang and Yang [10], Gursky [18], Ben Ayed and El Mehdi [4], Chtioui and Rigane [11], Esposito and Robert [15], Sandeep [24] and many others. In particular, when  $N \ge 5$ , Djadli *et al.* [12] studied the coercivity of the Paneitz operator and the positivity of solutions. Moreover, Djadli *et al.* [13] and Hebey and Robert [19] studied the blow-up analysis of the Q curvature equation.

Let us now consider the question: given a smooth function Q on  $\mathbb{S}^N$   $(N \ge 5)$ , does there exist a metric g conformal to the standard metric  $g_0$  such that  $Q = Q_g$ ?

If we assume a conformal transformation of the form  $g = w^{4/(N-4)}g_0$ , the answer to the above question is 'yes' if and only if we can solve for w in the equation

$$\begin{cases} P_{g_0} w = \frac{N-4}{2} Q(x) w^{(N+4)/(N-4)} & \text{in } \mathbb{S}^N, \\ w > 0 & \text{in } \mathbb{S}^N. \end{cases}$$
 (1.1)

The problem of finding Q such that (1.1) possesses a solution can be seen as the generalization to the Paneitz operator of the so-called 'Nirenberg problem' Q; namely: which functions on  $\mathbb{S}^N$  are the scalar curvature of a metric conformal to the standard one? The Nirenberg problem has been studied by several authors; we mention Ambrosetti *et al.* [2], Chang and Yang [10], Chang *et al.* [9] and Kazdan and Warner [20]. A detailed bibliography on the Nirenberg problem can be found in Ambrosetti and Malchiodi [3].

It can be checked that the Paneitz operator on  $(\mathbb{S}^N, g_0)$  is given by

$$P_{g_0}w = \Delta_{\mathbb{S}^N}^2 w - \frac{1}{2}(N^2 - 2N - 4)\Delta_{\mathbb{S}^N}w + \frac{(N - 4)N(N^2 - 4)}{16}w. \tag{1.2}$$

Consider the inverse of the stereographic projection

$$\Pi: \mathbb{R}^N \to \mathbb{S}^N$$

given by

$$x \mapsto \left(\frac{2x}{1+|x|^2}, \frac{|x|^2-1}{|x|^2+1}\right).$$

The spherical metric  $g_0$  is given in terms of the stereographic coordinate system as

$$g_0 = \frac{4 \, dx^2}{(1 + |x|^2)^2}.$$

Hence, by a direct computation,

$$P_{g_0}\Phi(u) = \left(\frac{1+|x|^2}{2}\right)^{(N+4)/2} \Delta^2 u \quad \text{ for all } u \in C^{\infty}(\mathbb{R}^N),$$

where

$$\Phi(u)(y) = u(\Pi(x)) \left(\frac{1 + |\Pi(x)|^2}{2}\right)^{(N-4)/2}, \quad y = \Pi(x).$$

Then (1.2) reduces to

$$\Delta^2 u = \tilde{Q}(x)u^{(N+4)/(N-4)} \quad \text{in } \mathbb{R}^4, \quad \text{where } \tilde{Q} = Q \circ \Pi.$$
 (1.3)

Let us consider the problem (1.1) by taking Q to be a perturbation of a constant function. More precisely, we let  $Q = (1 + \varepsilon h)$ , where h is a smooth function on  $\mathbb{S}^N$  and  $\varepsilon > 0$  is a small parameter. Using the stereographic projection from  $\mathbb{S}^N$  to  $\mathbb{R}^N$ , we transform (1.3) (with f denoting the transformed function h) to the following problem:

$$\begin{cases} \Delta^2 u = (1 + \varepsilon f(x))u^{(N+4)/(N-4)} & \text{in } \mathbb{R}^N, \\ u > 0 & \text{in } \mathbb{R}^N. \end{cases}$$
 (1.4)

But, in this paper, we consider the nonlinear perturbed problem

$$\begin{cases} \Delta^2 u = u^{(N+4)/(N-4)} + \varepsilon f(x) u^q & \text{in } \mathbb{R}^N, \\ u > 0 & \text{in } \mathbb{R}^N, \end{cases}$$
 (1.5)

with  $f(\not\equiv 0) \in L^{\infty}(\mathbb{R}^N) \cap L^1(\mathbb{R}^N)$ ,  $\varepsilon$  being a positive parameter and  $1 < q \le (N+4)/(N-4)$ . Note that when q = (N+4)/(N-4), then (1.5) reduces to (1.4). When q = (N+4)/(N-4), it is enough to have  $f \in L^{\infty}(\mathbb{R}^N)$ .

Note that (1.5) is related to the entire space problem

$$\begin{cases} \Delta^2 U = U^{(N+4)/(N-4)} & \text{in } \mathbb{R}^N, \\ U \in \mathcal{D}^{2,2}(\mathbb{R}^N), \end{cases}$$

where  $\mathcal{D}^{2,2}(\mathbb{R}^N) = \{u \in L^{2N/(N-4)}(\mathbb{R}^N) : \int_{\mathbb{R}^N} |\Delta u|^2 dx < +\infty\}$ , and the solutions are given by Lin [21] as

$$U_{1,0}(x) = C_N \left(\frac{1}{1+|x|^2}\right)^{(N-4)/2},$$

$$U_{\lambda,\xi}(x) = \lambda^{-(N-4)/2} U_{1,0} \left( \frac{x - \xi}{\lambda} \right)$$
 (1.6)

and

$$\langle (x - \xi), \nabla U_{\lambda, \xi} \rangle = -\left(\lambda \frac{\partial U_{\lambda, \xi}}{\partial \lambda} + \frac{N - 4}{2} U_{\lambda, \xi}\right),\tag{1.7}$$

where  $C_N = [N^2(N^2 - 4)(N - 4)]^{(N-4)/8}$ . Here

$$||u||_{\mathcal{D}^{2,2}(\mathbb{R}^N)}^2 = \int_{\mathbb{R}^N} |\Delta u|^2 dx.$$

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Note that when 1 < q < (N+4)/(N-4), we have interaction with the critical dimension as  $U_{1,0}^{q+1}$  is integrable provided q > 4/(N-4), that is, the cases N = 5, 6, 7 are the worst case scenario and that is the reason why we require  $f \in L^1(\mathbb{R}^N) \cap L^{\infty}(\mathbb{R}^N)$ .

Let us define a finite-dimensional functional  $\mathcal{J}$ , where

$$\mathcal{J}(\lambda,\xi) = \frac{1}{q+1} \int_{\mathbb{R}^N} f(x) U_{\lambda,\xi}^{q+1}(x) \, dx = \frac{\lambda^{N-\theta}}{q+1} \int_{\mathbb{R}^N} f(\xi + \lambda x) U_{1,0}^{q+1}(x) \, dx, \tag{1.8}$$

where  $\theta = ((N-4)(q+1))/2$ . Using the Hölder inequality in (1.8) and choosing N/(N-4) < s < 2N/(N-4),

$$|\mathcal{J}(\lambda,\xi)| \le C \left( \int_{\mathbb{R}^N} |f(x)|^{s/(s-1)} dx \right)^{(s-1)/s} \left( \int_{\mathbb{R}^N} U_{\lambda,\xi}^s(x) dx \right)^{(q+1)/s}$$
  
$$\le c\lambda^{(N(q+1)/s)-\theta} ||f||_{L^{(s-1)/s}} ||U_{1,0}||_{L^s}^{q+1}.$$

Hence,

$$|\mathcal{J}(\lambda,\xi)| \to 0 \quad \text{as } \lambda \to 0.$$
 (1.9)

As a result, we can extend  $\mathcal{J}(\lambda, \xi)$  on  $\mathbb{R} \times \mathbb{R}^N$  in an odd way as

$$\tilde{\mathcal{J}}(\lambda, \xi) = -\mathcal{J}(-\lambda, \xi)$$
 for  $\lambda < 0$ .

Without loss of generality, we consider  $\tilde{\mathcal{J}}(\lambda, \xi) = \mathcal{J}(\lambda, \xi)$ . Moreover, from (1.8) and the fact that  $U_{1,0}$  is bounded,

$$\mathcal{J}(\lambda,\xi) = \frac{\lambda^{N-\theta}}{q+1} \int_{\mathbb{R}^N} f(\xi + \lambda x) U_{1,0}^{q+1}(x)$$
  
$$\leq c \lambda^{N-\theta} ||f||_{L^1}.$$

Noting the fact that  $N - \theta$  is negative, we conclude the fact that  $\mathcal{J}(\lambda, \xi) \to 0$  as  $|\lambda| \to \infty$ . Furthermore, if  $\lambda \to \lambda_{\star} > 0$  and  $|\xi| \to \infty$ , by the dominated convergence theorem,

$$\mathcal{J}(\lambda,\xi) = \frac{\lambda^{-\theta}}{q+1} \int_{\mathbb{R}^N} f(x) U^{q+1} \left( \frac{x-\xi}{\lambda} \right) \to 0.$$

Hence,

$$\lim_{|\lambda|+|\xi|\to\infty} \mathcal{J}(\lambda,\xi) = 0. \tag{1.10}$$

Hence, from (1.9) and (1.10), there exists  $(\lambda, \xi)$  with  $\lambda > 0$  such that  $\mathcal{J}$  has a critical point (a global maximum or a global minimum) at  $(\lambda, \xi)$ . Let

$$J_{\varepsilon}(u) = \frac{1}{2} \int_{\mathbb{R}^N} |\Delta u|^2 dx - \frac{1}{p+1} \int_{\mathbb{R}^N} |u|^{p+1} dx - \frac{\varepsilon}{q+1} \int_{\mathbb{R}^N} f(x)|u|^{q+1} dx.$$

Hence, by Felli [16] as well as Lemma 2.2, there exists  $\varepsilon_0 > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$ ,  $J_{\varepsilon} \in C^2(\mathcal{D}^{2,2}(\mathbb{R}^N), \mathbb{R})$  admits a critical point  $u_{\varepsilon} \in \mathcal{D}^{2,2}(\mathbb{R}^N)$  near  $\mathcal{M}$  and hence  $u_{\varepsilon}$  is a solution of (1.5), where p + 1 = 2N/(N - 4) and

$$\mathcal{M} = \{U_{\lambda,\xi} : (\lambda,\xi) \in \mathbb{R}^+ \times \mathbb{R}^N\}$$

is an (N + 1)-dimensional manifold of solutions. Note that the existence of a solution is dependent on some sort of 'nondegeneracy' condition of the critical point of  $\mathcal{J}$ .

Let  $K \subset \mathbb{R}^+ \times \mathbb{R}^N$  be a compact set and define

$$d(u, \mathcal{M}_K) = \inf_{(\lambda, \xi) \in K} ||u - U_{\lambda, \xi}||_{\mathcal{D}^{2,2}(\mathbb{R}^N)}.$$

In this paper we discuss the existence, uniqueness and multiplicity of positive solutions of (1.5) under the assumption that  $f \in L^1(\mathbb{R}^N) \cap C^1(\mathbb{R}^N) \cap L^{\infty}(\mathbb{R}^N)$ .

Now we state the following theorems motivated by [23].

**THEOREM** 1.1. Let  $(\lambda, \xi)$  be a nondegenerate critical point of  $\mathcal{J}$ . Then there exists  $\varepsilon_0 > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$ , (1.5) admits a positive solution  $u_{\varepsilon}$ . Moreover,  $\|u_{\varepsilon} - U_{\lambda, \xi}\|_{\mathcal{D}^{2,2}(\mathbb{R}^N)} = O(\varepsilon)$ .

Corollary 1.2. Let  $u_{\varepsilon}$  be a sequence of solutions of (1.5) such that

$$||u_{\varepsilon} - U_{\lambda,\xi}||_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \to 0 \quad as \ \varepsilon \to 0.$$

Then  $\nabla \mathcal{J}(\lambda, \xi) = 0$ .

**THEOREM** 1.3 (Uniqueness). Let  $(\lambda, \xi)$  be a nondegenerate critical point of  $\mathcal{J}$ . Furthermore, suppose  $|\nabla f(x)| \leq C$  and there exists two sequences of solutions  $\{u_{\varepsilon,i}\}$  (i=1,2) of (1.5) such that

$$\|u_{\varepsilon,i} - U_{\lambda,\varepsilon}\|_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \to 0 \quad as \ \varepsilon \to 0.$$
 (1.11)

Then there exists  $\varepsilon_0 > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$ ,  $u_{\varepsilon,1} \equiv u_{\varepsilon,2}$ .

REMARK 1.4. Note that if q = 1 and N > 8, positive solutions of (1.5) are nonunique for  $\varepsilon$  sufficiently small. See Felli [16]. In fact, Esposito [14] proved existence of two positive solutions of the Paneitz operator on  $\mathbb{S}^N$  (see (1.2))

$$Pu = \frac{N^2(N-4)(N^2-4)}{16}|u|^{8/(N-4)}u + (\varepsilon f + o(\varepsilon))|u|^{q-1}u$$

and  $1 \le q \le (N+4)/(N-4)$  when f changes sign and  $q \ge 4/(N-4)$  or q < 4/(N-4) and  $\int_{\mathbb{R}^N} f = 0$ . Note that our uniqueness is different in this context.

THEOREM 1.5 (Multiplicity). Assume that there is a compact set  $K \subset \mathbb{R}^+ \times \mathbb{R}^N$  with nonempty interior such that the critical points of  $\mathcal{J}$  in K are finite and nondegenerate. Furthermore, suppose  $|\nabla f(x)| \leq C$ . Then there exists  $\rho_0 = \rho_0(K) > 0$  and  $\varepsilon_0 = \varepsilon_0(\rho_0) > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$ , the number of solutions to the problem (1.5) with  $d(u, \mathcal{M}_K) < \rho_0$  is the same as the number of nondegenerate critical points of  $\mathcal{J}$ .

Corollary 1.6. Furthermore, the conclusions of Theorems 1.1–1.5 hold for the equation

$$(-\Delta)^m u = (1 + \varepsilon f(x)) u^{(N+2m)/(N-2m)} \quad in \; \mathbb{R}^N$$

whenever  $||f||_{\infty} + ||\nabla f||_{\infty} \le C$ , N > 2m and  $m \in \mathbb{N}$ . The construction of positive solutions follows from Wei and Xu [25].

REMARK 1.7. Note that the conclusions of Theorems 1.1–1.5 are not only applicable to the powers of Laplacians, but also applicable for the coercive Hardy equation  $-\Delta u - (\mu/|x|^2)u = (1 + \varepsilon f(x))u^{(N+2)/(N-2)}$  with  $N \ge 3$  and  $\mu > 0$ . Here proving the results becomes much easier as  $Ker\{-\Delta - (\mu/|x|^2) - ((N+2)/(N-2))u^{4/(N-2)}\}$  in  $\mathcal{D}^{1,2}(\mathbb{R}^N)$  is one dimensional due to the scaling invariance of the operator.

#### 2. Preliminaries

Lemma 2.1 (Nondegeneracy). The kernel of the linearized operator

$$\mathcal{L} = \Delta^2 - \frac{N+4}{N-4} U_{\lambda,\xi}^{4/(N-4)}$$

in  $\mathcal{D}^{2,2}(\mathbb{R}^N)$  is N+1 dimensional and

$$Ker(\mathcal{L}) = \left\{ \frac{\partial U_{\lambda,\xi}}{\partial \lambda}, \frac{\partial U_{\lambda,\xi}}{\partial \xi_1}, \frac{\partial U_{\lambda,\xi}}{\partial \xi_2}, \dots, \frac{\partial U_{\lambda,\xi}}{\partial \xi_N} \right\}.$$

Proof. This follows from Djadli et al. [13].

Let *H* be a Hilbert space and  $J_{\varepsilon}(u) = J_0(u) - \varepsilon G(u)$  be a perturbed functional, where  $J_0, G \in C^2(H, \mathbb{R})$ . Moreover, assume that  $J_0$  satisfies:

- (f1)  $J_0$  has a finite-dimensional manifold of critical points  $\mathcal{M}$ ; let  $c = J_0(z)$  for all  $z \in \mathcal{M}$ ;
- (f2) for all  $z \in \mathcal{M}$ ,  $J_0''(z)$  is a Fredholm operator of index zero;
- (f3) for all  $z \in \mathcal{M}$ ,  $T_z \mathcal{M} = Ker J_0''(z)$ . We denote  $\mathcal{J} = G|_{\mathcal{M}}$ .

Lemma 2.2. Let  $J_0$  satisfy (f1)–(f3) and suppose there exists  $z \in \mathcal{M}$  which is a critical point of  $\mathcal{J}$  such that one of the following conditions holds:

- (1) z is nondegenerate;
- (2) z is a global maximum or global minimum;
- (3) z is isolated and the local degree of  $\nabla \mathcal{J}$  at z is different from zero.

Then there exists  $\varepsilon_0 > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$ , the functional  $J_{\varepsilon}$  has a critical point  $u_{\varepsilon}$  such that  $u_{\varepsilon} \to z$  as  $\varepsilon \to 0$ .

PROOF. The proof of this lemma follows from Ambrosetti and Badiale [1]. Also, see Ambrosetti *et al.* [2, page 122] and the book by Ambrosetti and Malchiodi [3]. Note that Lemma 2.2 is a very general theorem; it is not restricted to Laplacian operators only. Note that in Felli's proof [16], condition (2) of the lemma holds.

Lemma 2.3 (Caristi and Mitidieri [7]). Let  $\Omega$  be an open subset of  $\mathbb{R}^N$   $(N \ge 5)$  and  $u \in W_{loc}^{2,2}(\Omega)$  be a weak solution of

$$\Lambda^2 u = a(x)u$$
 in  $\Omega$ .

where  $a \in L^{\alpha}_{loc}(\Omega)$  with  $\alpha > N/4$ . Then, for any  $0 < \beta < +\infty$ , there exist C > 0 and R > 0 such that

$$\sup_{B(y,r)\cap\Omega}|u|\leq C\bigg[\frac{1}{r^N}\int_{B(y,2r)\cap\Omega}|u|^{\beta+1}\bigg]^{1/(\beta+1)}$$

for any  $y \in \mathbb{R}^N$  and 0 < r < R.

**Lemma 2.4.** Let  $u_{\varepsilon}$  be a sequence of solutions of (1.5) with  $||u_{\varepsilon} - U_{\lambda,\xi}||_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \to 0$  as  $\varepsilon \to 0$  for some  $(\lambda, \xi) \in \mathbb{R}^+ \times \mathbb{R}^N$ . Then the asymptotic behavior for derivatives of  $u_{\varepsilon}$  at infinity is given by

$$|\nabla^{(\beta)} u_{\varepsilon}(x)| = O(1)|x|^{4-N-|\beta|} \tag{2.1}$$

for  $0 \le |\beta| \le 3$  whenever  $|x| \gg 1$ .

**PROOF.** First note that if  $u_{\varepsilon} \to U_{\lambda, \xi}$  in  $\mathcal{D}^{2,2}(\mathbb{R}^N)$ , then

$$\int_{\mathbb{R}^N} u_{\varepsilon}^{2N/(N-4)}(x) \, dx \to \int_{\mathbb{R}^N} U_{\lambda,\xi}^{2N/(N-4)}(x) \, dx$$

as  $\varepsilon \to 0$ . Moreover, as  $f \in L^{\infty}(\mathbb{R}^N) \cap L^1(\mathbb{R}^N)$ , by the Hölder inequality,

$$\left| \int_{\mathbb{R}^N} f(x) u_{\varepsilon}^{q+1}(x) \, dx \right| \le C,$$

$$\int_{\mathbb{R}^N} f(x) u_{\varepsilon}^{q+1}(x) \, dx \to \int_{\mathbb{R}^N} f(x) U_{\lambda, \xi}^{q+1}(x) \, dx.$$

Also, by elliptic regularity,  $u_{\varepsilon} \to U_{\lambda,\xi}$  in  $C^4_{\text{loc}}(\mathbb{R}^N)$ . Hence,  $u_{\varepsilon}$  is locally uniformly bounded. So, we need to study the decay of  $u_{\varepsilon}$  at infinity. Define the Kelvin transform of  $u_{\varepsilon}$  as

$$\hat{u}_{\varepsilon}(x) := |x|^{4-N} u_{\varepsilon} \left(\frac{x}{|x|^2}\right).$$

By the application of the Kelvin transform on (1.5),

$$\Delta^2 \hat{u}_{\varepsilon} = [\hat{u}_{\varepsilon}^{8/(N-4)} + \varepsilon \hat{f}(x)|x|^{-\tau} \hat{u}_{\varepsilon}^{q-1}] \hat{u}_{\varepsilon} \quad \text{in } \mathbb{R}^N \setminus \{0\},$$

where  $\tau = N + 4 - q(N-4)$  and  $\hat{f}(x) = f(x/|x|^2)$ . Let  $a_{\varepsilon}(x) = \hat{u}_{\varepsilon}^{8/(N-4)} + \varepsilon \hat{f}(x)|x|^{-\tau}\hat{u}_{\varepsilon}^{q-1}$ . But  $\hat{f}(x)|x|^{-\tau}$  is bounded near 0. Hence, by Lemma 2.3, there exist R > 0 and C > 0 independent of  $\varepsilon > 0$  such that

$$\sup_{B_R(0)} |\hat{u}_{\varepsilon}(x)| \le C \left[ \frac{1}{R^N} \int_{B_{2R}} |\hat{u}_{\varepsilon}(z)|^{2N/(N-4)} dz \right]^{(N-4)/2N} \le C.$$

This implies that, for  $|x| \gg 1$ ,

$$u_{\varepsilon}(x) = O(|x|^{4-N}).$$

And, hence, by the Schauder estimates,

$$|\nabla^{(\beta)} u_{\varepsilon}| \le C|x|^{4-N-|\beta|}.$$

Note that in the above estimate C > 0 is independent of  $\varepsilon > 0$ .

**Lemma 2.5.** Let  $w_{\varepsilon}$  be a sequence of solutions of

$$\begin{cases} \Delta^2 w = c_{\varepsilon}(x)w + \varepsilon f(x)d_{\varepsilon}(x)w & \text{in } \mathbb{R}^N \\ w \in \mathcal{D}^{2,2}(\mathbb{R}^N) \end{cases}$$
 (2.2)

with  $||w_{\varepsilon}||_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \leq C$ , where  $u_{\varepsilon,i}$  (i=1,2) are solutions of (1.5)

$$c_{\varepsilon}(x) = \int_0^1 \left[ t u_{\varepsilon,1}(x) + (1-t) u_{\varepsilon,2}(x) \right]^{8/(N-4)} dt$$

and

$$d_{\varepsilon}(x) = \int_0^1 \left[ t u_{\varepsilon,1}(x) + (1-t) u_{\varepsilon,2}(x) \right]^{q-1} dt.$$

Then, for  $|x| \gg 1$ , we have a uniform estimate

$$|\nabla^{(\beta)} w_{\varepsilon}(x)| = O(1)|x|^{4-N-|\beta|} \tag{2.3}$$

for  $0 \le |\beta| \le 3$ .

**PROOF.** By the standard regularity,  $w_{\varepsilon}$  is locally uniformly bounded. Let us consider the Kelvin transform of  $w_{\varepsilon}$ 

$$\begin{split} \hat{w}_{\varepsilon}(x) &:= |x|^{4-N} w_{\varepsilon} \bigg(\frac{x}{|x|^2}\bigg), \\ \hat{u}_{\varepsilon}(x) &= |x|^{4-N} u_{\varepsilon} \bigg(\frac{x}{|x|^2}\bigg), \quad \hat{w}_{\varepsilon}(x) = |x|^{4-N} w_{\varepsilon} \bigg(\frac{x}{|x|^2}\bigg), \quad x \in \mathbb{R}^N \backslash \{0\}. \end{split}$$

Furthermore, define

$$\hat{c}_{\varepsilon}(x) = \int_{0}^{1} [t\hat{u}_{\varepsilon,1} + (1-t)\hat{u}_{\varepsilon,2}]^{8/(N-4)} dt,$$
$$\hat{d}_{n}(x) = \int_{0}^{1} [t\hat{u}_{\varepsilon,1} + (1-t)\hat{u}_{\varepsilon,2}]^{q-1} dt.$$

Then, by (2.2),  $\hat{w}_{\varepsilon}$  satisfies

$$\Delta^2 \hat{w}_{\varepsilon} = \hat{c}_{\varepsilon} \hat{w}_{\varepsilon} + \varepsilon |x|^{-\tau} f\left(\frac{x}{|x|^2}\right) \hat{d}_{\varepsilon} \hat{w}_{\varepsilon} \quad \text{in } \mathbb{R}^N \setminus \{0\}.$$
 (2.4)

So, we are going to study boundedness of (2.4) near a neighborhood of the origin. From Lemma 2.4,  $\hat{c}_{\varepsilon}$ ,  $|x|^{-\tau}\hat{d}_{\varepsilon}f(x/|x|^2)$  is uniformly bounded near the origin. Hence, by Lemma 2.3, there exist C, R > 0 such that

$$\sup_{B(y,R)\cap\Omega}|\hat{w}_{\varepsilon}|\leq C\bigg[\frac{1}{R^{N}}\int_{B(y,2R)\cap\Omega}|\hat{w}_{\varepsilon}(z)|^{2N/(N-4)}\,dz\bigg]^{(N-4)/(2N)}\leq C.$$

Hence,  $\hat{w}_{\varepsilon}$  is uniformly bounded near the origin and hence  $|w_{\varepsilon}(x)| \le C|x|^{4-N}$  when  $|x| \gg 1$ . The decay of higher derivatives follows from the standard elliptic estimates.  $\square$ 

LEMMA 2.6 (Kazdan–Warner-type identities). Let  $u_{\varepsilon}$  be a solution of (1.5) such that  $||u_{\varepsilon} - U_{\lambda,\xi}||_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \to 0$  as  $\varepsilon \to 0$  for some  $(\lambda,\xi) \in \mathbb{R}^+ \times \mathbb{R}^N$ . Then, we have the following two types of Pohozaev identities:

$$\int_{\mathbb{R}^N} f(x) u_{\varepsilon}^q \frac{\partial u_{\varepsilon}}{\partial x_i} = 0, \quad i = 1, 2$$
(2.5)

and

$$\int_{\mathbb{R}^N} f(x) u_{\varepsilon}^q \left[ (x - \xi) \cdot \nabla u_{\varepsilon} + \left( \frac{N - 4}{2} \right) u_{\varepsilon} \right] = 0.$$
 (2.6)

**PROOF.** In order to prove (2.5), we multiply (1.5) by  $\partial u_{\varepsilon}(x)/\partial x_i$ , i = 1, 2, ..., N, and integrate by parts on the ball  $B_R(0)$  to get

$$\int_{B_{R}(0)} (u_{\varepsilon}^{(N+4)/(N-4)} + \varepsilon f(x) u_{\varepsilon}^{q}) \frac{\partial u_{\varepsilon}}{\partial x_{i}} = \int_{\partial B_{R}(0)} \frac{\partial \Delta u_{\varepsilon}}{\partial \nu} \frac{\partial u_{\varepsilon}}{\partial x_{i}} d\sigma - \int_{B_{R}(0)} \nabla \Delta u_{\varepsilon} \cdot \frac{\partial}{\partial x_{i}} (\nabla u_{\varepsilon}).$$
(2.7)

By (2.1), we obtain

$$\int_{\partial B_R(0)} \left| \frac{\partial \Delta u_{\varepsilon}}{\partial \nu} \frac{\partial u_{\varepsilon}}{\partial x_i} \right| d\sigma = O\left(\frac{1}{R^{2(N-2)}}\right) \quad \text{as } R \to \infty.$$

Again, by a suitable integration by parts and using (2.1) and Lemma 2.4, we get, as  $R \to \infty$ ,

$$\int_{B_{\varepsilon}(0)} \nabla \Delta u_{\varepsilon} \cdot \frac{\partial}{\partial x_{i}} (\nabla u_{\varepsilon}) = \int_{\partial B_{\varepsilon}(0)} \left( \Delta u_{\varepsilon} \frac{\partial}{\partial v} \left( \frac{\partial u_{\varepsilon}}{\partial x_{i}} \right) - \frac{1}{2R} x_{i} |\Delta u_{\varepsilon}|^{2} \right) d\sigma = O\left( \frac{1}{R^{2(N-2)}} \right).$$

Hence, from the last two relations,

$$\lim_{R \to \infty} \{ \text{Right-hand side of } (2.7) \} = 0.$$
 (2.8)

We note that, again integrating by parts,

$$\int_{B_R(0)} (u_{\varepsilon}^{(N+4)/(N-4)} + \varepsilon f(x) u_{\varepsilon}^q) \frac{\partial u_{\varepsilon}}{\partial x_i} = \frac{1}{R} \int_{\partial B_R(0)} x_i u_{\varepsilon}^{2N/(N-4)} d\sigma + \varepsilon \int_{B_R(0)} f(x) u_{\varepsilon}^q \frac{\partial u_{\varepsilon}}{\partial x_i}.$$

Using (2.1) and letting  $R \to \infty$  in the above equation,

$$\lim_{R \to \infty} \int_{B_R(0)} (u_{\varepsilon}^{(N+4)/(N-4)} + \varepsilon f(x) u_{\varepsilon}^q) \frac{\partial u_{\varepsilon}}{\partial x_i} = \varepsilon \int_{\mathbb{R}^N} f(x) u_{\varepsilon}^q \frac{\partial u_{\varepsilon}}{\partial x_i}.$$
 (2.9)

Therefore, we obtain, using (2.9) and (2.8),

$$\varepsilon \int_{\mathbb{R}^N} f(x) u_\varepsilon^q \frac{\partial u_\varepsilon}{\partial x_i} = \lim_{R \to \infty} \{ \text{Left-hand side of } (2.7) \} = 0,$$

which proves (2.5).

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For (2.6), we multiply (1.5) by  $(x - \xi) \cdot \nabla u_{\varepsilon} + ((N - 4)/2)u_{\varepsilon}$  on either side and integrate on the ball  $B_R(y)$  as before to obtain

$$\int_{B_{R}(y)} (u_{\varepsilon}^{(N+4)/(N-4)} + \varepsilon f(x) u_{\varepsilon}^{q}) \left( (x - \xi) \cdot \nabla u_{\varepsilon} + \left( \frac{N-4}{2} \right) u_{\varepsilon} \right) \\
= \int_{B_{R}(y)} \Delta^{2} u_{\varepsilon} \left( (x - \xi) \cdot \nabla u_{\varepsilon} + \left( \frac{N-4}{2} \right) u_{\varepsilon} \right). \tag{2.10}$$

Integrating by parts,

Left-hand side of (2.10) = 
$$R \int_{\partial B_R(y)} u_{\varepsilon}^{(N+4)/(N-4)} d\sigma$$
  
  $+ \varepsilon \int_{B_R(y)} f(x) u_{\varepsilon}^q \Big( (x - \xi) \cdot \nabla u_{\varepsilon} + \Big( \frac{N-4}{2} \Big) u_{\varepsilon} \Big).$ 

Again integrating by parts suitably,

Right-hand side of (2.10) = 
$$\int_{\partial B_R(y)} \left( |x - \xi| \left[ \frac{1}{2} |\Delta u_{\varepsilon}|^2 + \frac{\partial u_{\varepsilon}}{\partial r} \frac{\partial}{\partial r} (\Delta u_{\varepsilon}) \right] - \Delta u_{\varepsilon} \frac{\partial}{\partial r} \left( r \frac{\partial u_{\varepsilon}}{\partial r} \right) d\sigma.$$

Using the decay estimate (2.1),

$$\lim_{R \to \infty} \{ \text{Left-hand side of } (2.10) \} = \varepsilon \int_{\mathbb{R}^N} f(x) u_{\varepsilon}^q \left( (x - \xi) \cdot \nabla u_{\varepsilon} + \left( \frac{N - 4}{2} \right) u_{\varepsilon} \right)$$

and

$$\lim_{R \to \infty} \{ \text{Right-hand side of } (2.10) \} = 0.$$

Hence, (2.6) follows.

REMARK 2.7. Note that when q = (N + 4)/(N - 4) one can derive the Kazdan and Warner [20] kind of identities using the concept of an integral equation in  $\mathcal{D}^{2,2}(\mathbb{R}^N)$ ;

$$u_{\varepsilon}(x) = \int_{\mathbb{R}^N} (1 + \varepsilon f(y)) F(x, y) u_{\varepsilon}^{(N+4)/(N-4)}(y) \, dy, \tag{2.11}$$

where  $F(x, y) = 1/(4 - N)\sigma_N |x - y|^{N-4}$  is the fundamental solution of  $\Delta^2$  and  $\sigma_N$  is the area of the unit sphere in  $\mathbb{R}^N$ . The main idea is the fact that

$$\Delta^2 u = f \quad \text{in } \mathbb{R}^N$$

can be written as  $u = u_1 + u_2$ , where  $u_i \in \mathcal{D}^{2,2}(\mathbb{R}^N)$ ;  $i = 1, 2, u_1(x) = \int_{\mathbb{R}^N} F(x, y)g(y) dy$  and  $\Delta^2 u_2 = 0$ . But this implies  $u_2 = 0$ . As a result, we end up getting (2.11).

Proof of Corollary 1.2. By the Schauder estimates,  $u_{\varepsilon} \to U_{\lambda,\xi}$  in  $C^4_{loc}(\mathbb{R}^N)$ , and by Lemma 2.6 and the dominated convergence theorem we can pass to the limit in (2.5) and (2.6). Using (1.7),

$$\int_{\mathbb{R}^3} f(x) U_{\lambda,\xi}^q \frac{\partial U_{\lambda,\xi}}{\partial x_i} = 0, \quad i = 1, 2, \dots, N$$
 (2.12)

and

$$\int_{\mathbb{R}^3} f(x) U_{\lambda,\xi}^q \frac{\partial U_{\lambda,\xi}}{\partial \lambda} = 0.$$
 (2.13)

Hence, we obtain  $\nabla \mathcal{J}(\lambda, \xi) = 0$ .

**Lemma 2.8.** If  $(\lambda_0, \xi_0)$  is a critical point of  $\mathcal{J}$ , then

$$\begin{split} \lambda_0 \frac{\partial^2 \mathcal{J}}{\partial \lambda^2}(\lambda_0, \xi_0) &= -\theta \int_{\mathbb{R}^N} f(z) U_{\lambda_0, \xi_0}^q(z) \frac{\partial U_{\lambda_0, \xi_0}}{\partial \lambda}(z) \, dz \\ &- N \int_{\mathbb{R}^N} f(z) U_{\lambda_0, \xi_0}^q(z) \Big\langle z - \xi_0, \nabla \frac{\partial U_{\lambda_0, \xi_0}}{\partial \lambda}(z) \Big\rangle \, dz \\ &- N q \int_{\mathbb{R}^N} f(z) U_{\lambda_0, \xi_0}^{q-1}(z) \langle z - \xi_0, \nabla U_{\lambda_0, \xi_0} \rangle \frac{\partial U_{\lambda_0, \xi_0}}{\partial \lambda}(z) \, dz. \end{split}$$

Furthermore,

$$\frac{\partial^{2} \mathcal{J}}{\partial \lambda \partial \xi_{i}}(\lambda_{0}, \xi_{0}) = -\int_{\mathbb{R}^{N}} f(z) U_{\lambda_{0}, \xi_{0}}^{q}(z) \frac{\partial}{\partial z_{i}} \left(\frac{\partial U_{\lambda_{0}, \xi_{0}}}{\partial \lambda}(z)\right) dz 
- q \int_{\mathbb{R}^{N}} f(z) U_{\lambda_{0}, \xi_{0}}^{q-1}(z) \frac{\partial U_{\lambda_{0}, \xi_{0}}}{\partial \lambda}(z) \frac{\partial U_{\lambda_{0}, \xi_{0}}}{\partial z_{i}}(z) dz.$$

*Moreover, for*  $1 \le i, j \le N$ ,

$$\begin{split} \frac{\partial^2 \mathcal{J}}{\partial \xi_i \partial \xi_j}(\lambda_0, \xi_0) &= -\int_{\mathbb{R}^N} f(z) U_{\lambda_0, \xi_0}^q(z) \frac{\partial}{\partial z_i} \left( \frac{\partial U_{\lambda_0, \xi_0}}{\partial z_j}(z) \right) dz \\ &- q \int_{\mathbb{R}^N} f(z) U_{\lambda_0, \xi_0}^{q-1}(z) \frac{\partial U_{\lambda_0, \xi_0}}{\partial z_i}(z) \frac{\partial U_{\lambda_0, \xi_0}}{\partial z_i}(z) \frac{\partial U_{\lambda_0, \xi_0}}{\partial z_i}(z) dz, \end{split}$$

where  $z = \xi + \lambda x$ .

Proof. As  $U_{\lambda,\mathcal{E}}$  satisfies (1.6) and (1.7),

$$\begin{split} \frac{\partial \mathcal{J}}{\partial \lambda}(\lambda,\xi) &= \frac{\lambda^{N-\theta}}{q+1} \int_{\mathbb{R}^N} \langle x, \nabla f(\lambda x + \xi) \rangle U_{1,0}^{q+1}(x) \, dx \\ &\quad + \frac{N-\theta}{q+1} \lambda^{N-\theta-1} \int_{\mathbb{R}^N} f(\lambda x + \xi) U_{1,0}^{q+1}(x) \, dx, \\ \frac{\partial \mathcal{J}}{\partial \xi_i}(\lambda,\xi) &= \frac{\lambda^{N-\theta}}{(q+1)\lambda} \int_{\mathbb{R}^N} \frac{\partial f(\lambda x + \xi)}{\partial x_i} U_{1,0}^{q+1}(x) \, dx. \end{split}$$

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Also, note that  $\theta = (N-4)(q+1)/2$ . Integrating by parts,

$$\begin{split} \lambda \frac{\partial \mathcal{J}}{\partial \lambda}(\lambda,\xi) &= -\frac{N}{q+1} \lambda^{N-\theta} \int_{\mathbb{R}^N} f(\lambda x + \xi) U_{1,0}^{q+1}(x) \, dx \\ &- N \lambda^{N-\theta} \int_{\mathbb{R}^N} f(\lambda x + \xi) U_{1,0}^q \langle x, \nabla U_{1,0}(x) \rangle \, dx \\ &+ \frac{N-\theta}{q+1} \lambda^{N-\theta} \int_{\mathbb{R}^N} f(\lambda x + \xi) U_{1,0}^{q+1}(x) \, dx \\ &= -\frac{\theta}{q+1} \lambda^{N-\theta} \int_{\mathbb{R}^N} f(\lambda x + \xi) U_{1,0}^{q+1}(x) \, dx \\ &- N \lambda^{N-\theta} \int_{\mathbb{R}^N} f(\lambda x + \xi) U_{1,0}^q \langle x, \nabla U_{1,0}(x) \rangle \, dx \end{split}$$

and

$$\frac{\partial \mathcal{J}}{\partial \xi_i}(\lambda,\xi) = -\lambda^{N-\theta-1} \int_{\mathbb{R}^N} f(\lambda x + \xi) U_{1,0}^q(x) \frac{\partial U_{1,0}}{\partial x_i} \, dx.$$

Since  $(\lambda_0, \xi_0)$  is a critical point of  $\mathcal{J}$ , we must have  $(\partial \mathcal{J}/\partial \lambda)(\lambda_0, \xi_0) = 0$  and  $(\partial \mathcal{J}/\partial \xi_i)(\lambda_0, \xi_0) = 0$ . Hence, letting  $z = \xi + \lambda x$ ,

$$\begin{split} \lambda_0 \frac{\partial^2 \mathcal{J}}{\partial \lambda^2}(\lambda_0, \xi_0) &= -\theta \int_{\mathbb{R}^N} f(z) U^q_{\lambda_0, \xi_0}(z) \frac{\partial U_{\lambda_0, \xi_0}}{\partial \lambda}(z) \, dz \\ &- N \int_{\mathbb{R}^N} f(z) U^q_{\lambda_0, \xi_0}(z) \Big\langle z - \xi_0, \nabla \frac{\partial U_{\lambda_0, \xi_0}}{\partial \lambda}(z) \Big\rangle \, dz \\ &- N q \int_{\mathbb{R}^N} f(z) U^{q-1}_{\lambda_0, \xi_0}(z) \langle z - \xi_0, \nabla U_{\lambda_0, \xi_0} \rangle \frac{\partial U_{\lambda_0, \xi_0}}{\partial \lambda}(z) \, dz. \end{split}$$

Furthermore,

$$\begin{split} \frac{\partial^2 \mathcal{J}}{\partial \lambda \partial \xi_i}(\lambda_0, \xi_0) &= -\int_{\mathbb{R}^N} f(z) U^q_{\lambda_0, \xi_0}(z) \frac{\partial}{\partial z_i} \left( \frac{\partial U_{\lambda_0, \xi_0}}{\partial \lambda}(z) \right) dz \\ &- q \int_{\mathbb{R}^N} f(z) U^{q-1}_{\lambda_0, \xi_0}(z) \frac{\partial U_{\lambda_0, \xi_0}}{\partial \lambda}(z) \frac{\partial U_{\lambda_0, \xi_0}}{\partial z_i}(z) \, dz. \end{split}$$

Moreover, for  $1 \le i, j \le N$ ,

$$\frac{\partial^{2} \mathcal{J}}{\partial \xi_{i} \partial \xi_{j}}(\lambda_{0}, \xi_{0}) = -\int_{\mathbb{R}^{N}} f(z) U_{\lambda_{0}, \xi_{0}}^{q}(z) \frac{\partial}{\partial z_{i}} \left(\frac{\partial U_{\lambda_{0}, \xi_{0}}}{\partial z_{j}}(z)\right) dz 
- q \int_{\mathbb{R}^{N}} f(z) U_{\lambda_{0}, \xi_{0}}^{q-1}(z) \frac{\partial U_{\lambda_{0}, \xi_{0}}}{\partial z_{j}}(z) \frac{\partial U_{\lambda_{0}, \xi_{0}}}{\partial z_{i}}(z) dz. \qquad \Box$$

# 3. Proof of the main theorems

PROOF OF THEOREM 1.1. Let  $(\lambda, \xi)$  be a nondegenerate critical point of  $\mathcal{J}$ . Then  $\nabla \mathcal{J}(\lambda, \xi) = 0$  and  $det(\nabla^2 \mathcal{J}(\lambda, \xi)) \neq 0$ . Hence,  $\nabla^2 \mathcal{J}(\lambda, \xi)$  is an invertible matrix of

order N+1. Our aim is to obtain a solution of (1.5) which is of the form  $u_{\varepsilon} = U_{\lambda,\xi} + \phi_{\varepsilon}$ . Note that

$$J_{\varepsilon}(u) = J_0(u) - \frac{\varepsilon}{q+1} \int_{\mathbb{R}^N} f(x)|u|^{q+1} dx,$$

where

$$J_0(u) = \frac{1}{2} \int_{\mathbb{R}^N} |\Delta u|^2 \, dx - \frac{1}{p+1} \int_{\mathbb{R}^N} |u|^{p+1} \, dx$$

and  $Ker(\mathcal{L})$  is N+1-dimensional; see Lemma 2.1. Moreover, it is easy to check that  $J_0$  satisfies (f1)–(f3). Hence, by Lemma 2.2, (1) holds and we obtain a solution of (1.5) for sufficiently small  $\varepsilon > 0$ .

PROOF OF THEOREM 1.3. If possible, let there exist a sequence  $\varepsilon_n \to 0$  and two distinct functions  $u_{1,\varepsilon_n} \equiv u_{1,n}, \ u_{2,\varepsilon_n} \equiv u_{2,n}$  which solve (1.5) with  $\varepsilon = \varepsilon_n$  and  $||u_{i,n} - U_{\lambda,\xi}||_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \to 0$  as  $n \to \infty$  for i = 1, 2. Set  $\tilde{w}_n = u_{1,n} - u_{2,n}$ . Then  $||\tilde{w}_n||_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \to 0$  as  $n \to \infty$ . Hence, by Lemma 2.4,  $||\tilde{w}_n||_{L^{\infty}(\mathbb{R}^N)} \le C$ .

Define  $w_n = \tilde{w}_n/\|\tilde{w}_n\|_{L^{\infty}(\mathbb{R}^N)}$ . Then there exists  $x_n \in \mathbb{R}^N$  such that  $|w_n(x_n)| \ge \frac{1}{2}$ . Then  $w_n$  satisfies

$$\Delta^2 w_n = c_n(x)w_n + \varepsilon f(x)d_n(x)w_n \quad \text{with } c_n(x) = \int_0^1 [tu_{1,n} + (1-t)u_{2,n}]^{8/(N-4)} dt$$

and

$$d_n(x) = \int_0^1 \left[ t u_{1,n} + (1-t) u_{2,n} \right]^{q-1} dt.$$

Using Schauder estimates, we obtain  $w_n \to w$  in  $C^4_{loc}(\mathbb{R}^N)$ , where w satisfies the entire problem

$$\Delta^2 w = \frac{N+4}{N-4} U_{\lambda,\xi}^{8/(N-4)} w \quad \text{in } \mathbb{R}^N.$$

By the nondegeneracy result in Lemma 2.1,

$$w = c_0 \frac{\partial U_{\lambda,\xi}}{\partial \lambda} + \sum_{j=1}^{N} c_j \frac{\partial U_{\lambda,\xi}}{\partial x_j}$$

for some  $c_j \in \mathbb{R}$ , j = 1, ..., N. We claim that  $c_j = 0$  for all j = 0, 1, ..., N. By the identity (2.5),

$$\int_{\mathbb{R}^N} f(x)u_{i,n}^q \frac{\partial u_{i,n}}{\partial x_j} = 0, \quad j = 1, 2, \dots, N.$$
(3.1)

We derive from (3.1) and (2.1)

$$\int_{\mathbb{R}^N} \frac{\partial f}{\partial x_j} u_{\varepsilon,i}^{q+1} = 0, \quad i = 1, 2 \text{ and } j = 1, 2, \dots, N.$$

Therefore,

$$\int_{\mathbb{R}^N} \left( \frac{\partial f}{\partial x_i} u_{1,n}^{q+1} - \frac{\partial f}{\partial x_i} u_{2,n}^{q+1} \right) = 0 \quad \text{for } j = 1, 2, \dots, N$$

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and, using the fundamental theorem of integral calculus,

$$\int_{\mathbb{R}^N} \frac{\partial f}{\partial x_j} \left( \int_0^1 \left[ t u_{1,n} + (1-t) u_{2,n} \right]^q dt \right) \tilde{w}_n dx = 0 \quad \text{for } j = 1, 2, \dots, N.$$
 (3.2)

Letting  $\varepsilon \to 0$  in (3.2),

$$\int_{\mathbb{R}^N} \frac{\partial f}{\partial x_j} U_{\lambda,\xi}^q \left( c_0 \frac{\partial U_{\lambda,\xi}}{\partial \lambda} + \sum_{i=1}^N c_i \frac{\partial U_{\lambda,\xi}}{\partial x_i} \right) = 0, \quad j = 1, 2, \dots, N.$$

That is, integrating by parts again,

$$\int_{\mathbb{R}^N} f \frac{\partial}{\partial x_j} (U_{\lambda,\xi}^q w) = 0, \quad j = 1, 2, \dots, N.$$

This implies that

$$q \int_{\mathbb{R}^N} f(x) U_{\lambda,\xi}^{q-1} \frac{\partial U_{\lambda,\xi}}{\partial x_i} w + \int_{\mathbb{R}^N} f(x) U_{\lambda,\xi}^q \frac{\partial w}{\partial x_i} = 0.$$
 (3.3)

Furthermore, we obtain by integrating on  $B_R(y)$ 

$$\int_{B_R(y)} (x - \xi) \cdot \nabla (f u_{i,n}^{q+1}) = R \int_{\partial B_R(y)} f(x) u_{i,n}^{q+1} - N \int_{B_R(y)} f(x) u_{i,n}^{q+1} \quad \text{for } i = 1, 2.$$

This implies that as  $R \to +\infty$ 

$$\int_{\mathbb{R}^N} (x - \xi) \cdot \nabla (f u_{i,n}^{q+1}) = -N \int_{\mathbb{R}^N} f(x) u_{i,n}^{q+1} \quad \text{for } i = 1, 2.$$

And, as a result,

$$\int_{\mathbb{R}^N} \langle (x-\xi), \nabla f(x) \rangle u_{i,n}^{q+1} + (q+1) \int_{\mathbb{R}^N} f(x) \langle (x-\xi), \nabla u_{i,n} \rangle u_{i,n}^q = -N \int_{\mathbb{R}^N} f(x) u_{i,n}^{q+1}.$$

Hence, by the Pohozaev identity (2.6), we have for i = 1, 2

$$\begin{split} \int_{\mathbb{R}^N} \langle (x-\xi), \nabla f(x) \rangle u_{i,n}^{q+1} &= \left[ \frac{(N-4)(q+1)-2N}{2} \right] \int_{\mathbb{R}^N} f(x) u_{i,n}^{q+1} \\ &= \gamma \int_{\mathbb{R}^N} f(x) u_{i,n}^{q+1}, \end{split}$$

where  $\gamma = (N-4)(q+1) - 2N/2$ . This implies that

$$\int_{\mathbb{R}^N} \langle (x - \xi), \nabla f(x) \rangle u_{1,n}^{q+1} - \int_{\mathbb{R}^N} \langle (x - \xi) \cdot \nabla f(x) \rangle u_{2,n}^{q+1} = \gamma \int_{\mathbb{R}^N} f(x) [u_{1,n}^{q+1} - u_{2,n}^{q+1}] dx$$

and, by the application of the mean value theorem,

$$\int_{\mathbb{R}^{N}} \langle (x - \xi), \nabla f(x) \rangle \bigg( \int_{0}^{1} (t u_{1,n} + (1 - t) u_{1,n})^{q} dt \bigg) w_{n}$$

$$= \gamma \int_{\mathbb{R}^{N}} f(x) \bigg( \int_{0}^{1} (t u_{1,n} + (1 - t) u_{1,n})^{q} dt \bigg) w_{n}.$$

And, letting  $n \to \infty$ ,

$$\int_{\mathbb{R}^N} \langle (x - \xi), \nabla f(x) \rangle U_{\lambda,\xi}^q w = \gamma \int_{\mathbb{R}^N} f(x) U_{\lambda,\xi}^q w = 0$$
 (3.4)

because of (2.5) and (2.6) and passing to the limit as  $\varepsilon \to 0$ . Again, integrating by parts (3.4),

$$\int_{\mathbb{R}^N} f(x) U_{\lambda,\xi}^q [Nw + \langle (x - \xi), \nabla w \rangle] + q \int_{\mathbb{R}^N} f(x) U_{\lambda,\xi}^{q-1} w \langle (x - \xi), \nabla U_{\lambda,\xi} \rangle = 0. \quad (3.5)$$

From (3.3), (3.5), Corollary 1.2 and Lemma 2.8,  $\nabla \mathcal{J}(\lambda, \xi) = 0$  and

$$\nabla^2 \mathcal{J}(\lambda, \xi)(c_0, c_1, \dots, c_N)^T = 0$$

with  $\nabla^2 \mathcal{J}(\lambda, \xi)$  an invertible matrix, which implies  $c_0 = c_1 = c_2 \cdots = c_N = 0$ . Also, note that there will be some cancelation in Lemma 2.8 due to (2.12) and (2.13). This proves that  $w \equiv 0$  in  $\mathbb{R}^N$  and hence  $w_n \to 0$  in  $C^4_{\text{loc}}(\mathbb{R}^N)$ . Hence, we must have  $|x_n| \to \infty$ . As usual, we define the Kelvin transform of the functions  $u_{i,n}(x)$  and  $w_n(x)$  as

$$\hat{u}_{i,n}(x) = |x|^{4-N} u_{i,n} \bigg(\frac{x}{|x|^2}\bigg), \quad i = 1, 2, \quad \hat{w}_n(x) = |x|^{4-N} w_n \bigg(\frac{x}{|x|^2}\bigg), \quad x \in \mathbb{R}^N \setminus \{0\}.$$

Furthermore, define

$$\hat{c}_n(x) = \int_0^1 [t\hat{u}_{1,n} + (1-t)\hat{u}_{2,n}]^{8/(N-4)} dt,$$

$$\hat{d}_n(x) = \int_0^1 [t\hat{u}_{1,n} + (1-t)\hat{u}_{2,n}]^{q-1} dt.$$

Clearly, we have  $|\hat{w}_n(x_n/|x_n|^2)| \ge \frac{1}{2}$  for all large n. It is easily seen that  $\hat{w}_n$  satisfies the following equation:

$$\Delta^2 \hat{w}_n = \hat{c}_n \hat{w}_n + \varepsilon f\left(\frac{x}{|x|^2}\right) |x|^{-(N+4)+q(N-4)} \hat{d}_n \hat{w}_n.$$

By the decay estimate, we obtain  $|\hat{w}_n(x)| \le 1$  for all n and all  $x \in B_1(0) \setminus \{0\}$ . Since  $\hat{w}_n \to 0$  in  $C^4_{loc}(\mathbb{R}^N \setminus \{0\})$ , by the dominated convergence theorem, we obtain  $\hat{w}_n \to 0$  in  $L^p(B_1(0))$  for all  $p \ge 1$ . Using the assumption  $f \in L^\infty(\mathbb{R}^N) \cap L^1(\mathbb{R}^N)$  and the estimate (2.3),

$$\hat{c}_n(x), f\left(\frac{x}{|x|^2}\right)|x|^{-\tau}\hat{d}_n(x)$$

are bounded sequences in  $L^2(B_1(0))$ . Using  $L^p$  theory on  $\hat{w}_n$  [17, Corollary 2.23, page 45],

$$\|\hat{w}_n\|_{L^{\infty}(B_{\frac{1}{2}}(0))} \le C \|\hat{w}_n\|_{L^{p}(B_1(0))} \to 0.$$

This gives a contradiction, since

$$\|\hat{w}_n\|_{L^{\infty}(B_{\frac{1}{2}}(0))} \ge \left|\hat{w}_n\left(\frac{x_n}{|x_n|^2}\right)\right| \ge \frac{1}{2}$$

for all large *n*. This proves the theorem.

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**PROOF OF THEOREM 1.5.** By the assumptions, the nondegenerate critical points of  $\mathcal{J}$  are contained in the interior of a ball  $K = \overline{B}_R(0) \subset \mathbb{R}^+ \times \mathbb{R}^N$  for some R > 0. Let  $(\lambda_i, \xi_i)$  be the nondegenerate critical points of  $\mathcal{J}$  (i = 1, 2, ..., s) contained in K. Then, by Theorem 1.1 and Corollary 1.2, there exists  $\varepsilon_0 > 0$  such that for any  $\varepsilon \in (0, \varepsilon_0)$ , the problem (1.5) has at least s solutions  $u_{\varepsilon,i}$  and s points  $(\lambda_i, \xi_i)$  such that  $u_{\varepsilon,i} - U_{\lambda_i, \xi_i} \to 0$  in  $\mathcal{D}^{2,2}(\mathbb{R}^N)$ . For any  $\mu > 0$ , define

$$S_{\mu} = \{u \text{ solves } (1.5) \text{ for } \varepsilon \in (0, \mu)\} \setminus \{u_{\varepsilon, i}\}_{0 < \varepsilon < \mu, 1 \le i \le s}.$$

Let

$$\theta_{\mu} = \inf_{u \in \mathcal{S}_{\mu}} d(u, \mathcal{M}_K).$$

We now claim that

$$\theta_0 = \liminf_{\mu \to 0} \theta_{\mu} > 0.$$

If possible, let  $\theta_0 = 0$ ; then there exist sequences  $\{u_n\} \subset \mathcal{S}_{\mu}$  and  $\{(\lambda_n, \xi_n)\} \subset K$  such that  $\|u_n - U_{\lambda_n, \xi_n}\|_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \to 0$  as  $n \to \infty$ . Let  $(\lambda_n, \xi_n) \to (\lambda, \xi)$ . Then  $(\lambda, \xi) \in K$  and  $\nabla \mathcal{J}(\lambda, \xi) = 0$  and hence  $\{u_n\}$  is a sequence of solutions bifurcating from  $(\lambda, \xi)$ . But, by the uniqueness theorem (Theorem 1.3) and  $\{u_n\} \subset \mathcal{S}_{\mu}$ , we obtain a contradiction. This proves the claim.

As a result, we can choose  $\mu_0 > 0$  small such that  $\theta_{\mu} \ge \theta_0/2$  for all  $\mu < \mu_0$ . By Theorem 1.1, there exist some C > 0 and  $\varepsilon' > 0$  such that

$$d(u_{\varepsilon i}, \mathcal{M}_K) \leq C\varepsilon, \quad i = 1, \dots, s, \quad \varepsilon \in (0, \varepsilon').$$

Choosing  $\rho_0 = \theta_0/2$  and  $\varepsilon_1 = \min\{\theta_0/2C, \mu_0, \varepsilon'\}$ , we obtain the required result.

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