

A NOTE ON COMMUTATIVE BAER RINGS

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Introduction

The class of commutative rings known as Baer rings was first discussed by J. Kist [4], where many interesting properties of these rings were established. Not necessarily commutative Baer rings had previously been studied by I. Kaplansky [3], and by R. Baer himself [1]. In this note we show that commutative Baer rings, which generalize Boolean rings and p -rings, satisfy the Birkhoff conditions for a variety. Next we give a set of equations characterising this variety involving $+$ and \cdot as binary operations, $-$ and $*$ as unary operations, and 0 as nullary operation. Finally we describe Baer-subdirectly irreducible commutative Baer rings and state the appropriate representation theorem.

1. Preliminaries

We will use the following notations:

For $a \in R$ where R is a commutative ring,

$$(a)_R = aR = \{ab : b \in R\}, \text{ and } (a)_R^* = \{b \in R : ab = 0\}.$$

Braces and parentheses without subscripts have their usual meaning. We can now define a commutative Baer ring: A commutative ring R is a Baer ring iff for any $a \in R$ there is an idempotent $a^* \in R$ such that

$$(a)_R^* = (a^*)_R$$

J. Kist [4] has proved that a commutative Baer ring has no non-zero nilpotents. Also the idempotent generator 0^* of $(0)_R^* = R$ must be a unit 1 .

LEMMA. $(a)_R^{**} = \bigcap \{(b)_R^* : b \in (a)_R^*\}$ satisfies $(a)_R^{**} = (1 - a^*)_R$.

PROOF. Immediate.

The following result is crucial to the whole paper.

PROPOSITION 1. *In a commutative Baer ring R , for any pair a, b in R ,*

$$(a \cdot b)^* = a^* + b^* - a^* \cdot b^*.$$

PROOF. That $(a \cdot b)_R^* = (ab)_R^{***}$ can be easily checked where, for $S \subseteq R$, the annihilator of S is $(S)_R^* = \bigcap \{(s)_R^* : s \in S\}$.

Also $(ab)_R^{**} = (a)_R^{**} \cap (b)_R^{**}$ and so

$$(ab)_R^* = ((a)_R^{**} \cap (b)_R^{**})_R^*.$$

Now $(a)_R^{**} = (1 - a^*)_R$ and similarly for $(b)_R^{**}$. Thus

$$\begin{aligned} (ab)_R^* &= ((1 - a^*)_R \cap (1 - b^*)_R)_R^* \\ &= (1 - a^* - b^* + a^*b^*)_R^* \\ &= (a^* + b^* - a^*b^*)_R \text{ since } a^* \text{ and } b^* \text{ are idempotent.} \end{aligned}$$

And so $(ab)^* = a^* + b^* - a^* \cdot b^*$ as required.

This Lemma can also be deduced from the isomorphism $e \mapsto \mathcal{M}_e$ described by J. Kist [4], p. 46. The present proof is used to avoid introducing the space of minimal prime ideals.

2. The variety

Our investigation began with the idea of treating $a \mapsto a^*$ as a unary operation on commutative Baer rings. This led to asking the questions answered in this section. Call a subring S of a commutative Baer ring R a Baer-subring if $x \in S$ implies $x^* \in S$. Then we have

LEMMA 1. *If R is a commutative Baer ring and S is a Baer-subring of R , then S is a commutative Baer ring.*

PROOF. For any $x \in S$, $(x)_R^* = (x^*)_R$ in R and it is immediate that

$$(x)_S^* = (x^*)_R \cap S = (x^*)_S.$$

Thus the Lemma is proved.

LEMMA 2. *If $\{R_\alpha : \alpha \in A\}$ is a family of commutative Baer rings, and $R = \times_{\alpha \in A} R_\alpha$ is their direct product as commutative rings, we may write $\langle x_\alpha \rangle^* = \langle x_\alpha^* \rangle$ and make R into a commutative Baer ring.*

PROOF. We must prove that $(\langle x_\alpha \rangle)_R^* = (\langle x_\alpha^* \rangle)_R$. Clearly $\langle y_\alpha \rangle \cdot \langle x_\alpha \rangle = \langle 0_\alpha \rangle$ for all $\alpha \in A$ iff $y_\alpha x_\alpha = 0$ for all $\alpha \in A$. But this is equivalent to

$$y_\alpha \in (x_\alpha)_{R_\alpha}^* = (x_\alpha^*)_{R_\alpha} \quad \text{for all } \alpha \in A,$$

or
$$y_\alpha x_\alpha^* = y_\alpha \quad \text{for all } \alpha \in A.$$

Thus $\langle y_\alpha \rangle \cdot \langle x_\alpha^* \rangle = \langle y_\alpha \rangle$ or, equivalently, $\langle y_\alpha \rangle \in (\langle x_\alpha^* \rangle)_R$. Finally, we check that $\langle x_\alpha^* \rangle$ as defined, is idempotent, and we are through.

Let us call an ideal J of the commutative Baer ring R a Baer-ideal if for any x, y of R with $x - y \in J$ we also have $x^* - y^* \in J$. Then we obtain

LEMMA 3. *If R is a commutative Baer ring and J is a Baer-ideal of R , then R/J is a commutative Baer ring.*

REMARK. This result is equivalent to defining a Baer-congruence ρ in the obvious manner and proving that the quotient ring R/ρ is still a commutative Baer ring.

PROOF. Suppose J is a Baer-ideal. Then R/J is certainly a commutative ring, and also if $e^2 = e$ in R , $(e/J)^2 = e/J$ in R/J . We must prove that

$$(x/J) \cdot (y/J) = (0/J) \text{ iff } (y/J)(x^*/J) = (y/J)$$

or, equivalently,

$$xy \in J \text{ iff } yx^* - y \in J$$

for $x, y \in R$.

Assume $xy \in J$. Then $(xy - 0) \in J$ and, by the definition of a Baer-ideal,

$$(xy)^* - 0^* = (x^* + y^* - x^*y^* - 1) \in J.$$

Multiplying through by y , we obtain $y(x^* + y^* - x^*y^* - 1) = yx^* - y \in J$.

For the reverse, assume that $yx^* - y \in J$. Then $-x(yx^* - y) = xy \in J$ and the Lemma is proved.

LEMMA 4. *There exist commutative Baer rings with non-empty carriers.*

PROOF. Immediate. Take any Boolean ring with unit.

THEOREM 1. *If we view commutative Baer rings as algebras $\mathcal{R} = \langle R; +, \cdot, -, *, 0 \rangle$ with the definitions of subalgebra, product algebra and quotient algebra given above, then commutative Baer rings form a variety.*

PROOF. This follows immediately, using Lemmas 1–4, from Birkhoff’s Theorem. See P. M. Cohn [2] pp. 169–170.

The next step in this work was to find a set of equations defining commutative Baer rings. This proved quite easy, as we see in the next section.

3. The equations

Writing down all the useful identities satisfied by $*$ in a Baer ring gave the following result immediately. Equation (x) is crucial, and is shown elsewhere to characterise Baer rings within a certain class.

THEOREM 2. *Suppose $\mathcal{R} = \langle R; +, \cdot, -, *, 0 \rangle$ is an algebra with binary operations $+, \cdot$; unary operations $-, *$; and nullary operation 0 ; and also that \mathcal{R} satisfies the following equations:*

- (i) $(x + y) + z = x + (y + z)$
- (ii) $x \cdot (y \cdot z) = (x \cdot y) \cdot z$
- (iii) $x + y = y + x$
- (iv) $x \cdot y = y \cdot x$

- (v) $x+0 = x$ (vi) $x+(-x) = 0$
 (vii) $x \cdot (y+z) = x \cdot y + x \cdot z$ (viii) $x \cdot x^* = 0$
 (ix) $x \cdot (x^*)^* = x$ (x) $(x \cdot y)^* = x^* + y^* + (- (x^* \cdot y^*))$.

Then R is a commutative Baer ring where $(x)_R^* = (x^*)_R$ for the idempotent x^* .

PROOF. By a sequence of Lemmas.

LEMMA 5. Equations (i) to (vii) define a commutative ring $\langle R; +, \cdot, -, 0 \rangle$.

PROOF. This is well known.

We will thus assume that all the usual facts that hold in an arbitrary commutative ring (not necessarily with identity) are valid in \mathcal{R} .

LEMMA 6. The element $1 \in R$ given by $1 = \text{Df} 0^*$ satisfies the equation $1 \cdot x = x$.

PROOF. $0^* = (0 \cdot x^*)^* = 0^* + x^{**} - 0^* \cdot x^{**}$ by (x) where $x^{**} = \text{Df}(x^*)^*$. Thus $x^{**} = 0^* \cdot x^{**}$ and so by (x)

$$x = x^{**} \cdot x = (0^* \cdot x^{**}) \cdot x = 0^* \cdot (x^{**} \cdot x) = 0^* \cdot x$$

and $1 = 0^*$ is a multiplicative identity.

LEMMA 7. If $x \cdot y = 0$ then $x^* \cdot y = y$.

PROOF. $x \cdot y = 0$ implies $x^* + y^* - x^* \cdot y^* = 0^* = 1$. Thus

$$(x^* + y^* - x^* \cdot y^*) \cdot y = 1 \cdot y = y$$

and we obtain $x^* \cdot y = y$ since $y^* \cdot y = 0$ by (viii).

The Lemma follows.

LEMMA 8. If $x^* \cdot y = y$ then $x \cdot y = 0$.

PROOF. $x^* \cdot y = y$ implies, by (x), $x^{**} + y^* - x^{**} \cdot y^* = y^*$. But this implies that

$$x^{**} = x^{**} \cdot y^*$$

and so, by (x),

$$x = x \cdot x^{**} = x \cdot x^{**} \cdot y^* = xy^*.$$

Finally, applying y , $x \cdot y = x \cdot y^* \cdot y = 0$ and we are through.

LEMMA 9. $x^* \cdot x^* = x^*$.

PROOF. By (viii), $0 = x \cdot x^*$ and so

$$1 = x^* + x^{**} - x^* \cdot x^{**}$$

follows from (x). But this is

$$1 = x^* + x^{**}$$

using (viii). Hence

$$x^* = x^* \cdot 1 = x^*(x^{**} + x^*) = x^* \cdot x^*$$

again by (viii).

This proves the Lemma.

PROOF OF THEOREM 2. From Lemmas 7 and 8 we see that for $x \in R$, $x \cdot y = 0$ iff $x^* \cdot y = y$. This means that $(x)_R^* = (x^*)_R$ where x^* is, by Lemma 9, idempotent. This completes the proof.

4. Sub-direct unions

It is well known that amongst commutative rings with no non-zero nilpotents, fields are precisely the subdirectly irreducible ones. To emphasise that our notions are all Baer-notions, we use the terms: Baer-subdirectly irreducible and Baer-subdirect union.

LEMMA 10. *A commutative Baer ring R is Baer-subdirectly irreducible iff R is an integral domain.*

PROOF. By well known results, a commutative Baer ring R is Baer-subdirectly irreducible if the intersection J of all the Baer-ideals of R is different from zero. This uses the obvious relation between Baer-ideals and Baer-congruences.

Suppose that R is Baer-subdirectly irreducible and so its $J \neq (0)_R$. Then for $j \neq 0$ in J , $j - 0 \in J$ and so $j^* - 0^* \in J$ and $j^{**} - 0^{**} = j^{**} \in J$. Now j^{**} is an idempotent and R cannot have idempotents other than 0 or 1 and so $j^{**} = 1$. Thus $(j)_R^{**} = R$ and $(j)_R^* = (0)_R$. And so we have proved that $J = R$ and no non-zero element $j \in R$ has non-trivial annihilator i.e. R is an integral domain.

The fact that integral domains are Baer-subdirectly irreducible commutative Baer rings is easily proved by reversing the above.

THEOREM 3. *Any commutative Baer ring is a Baer-subdirect union of a family of integral domains. Conversely, any Baer-subring of a Baer-direct union of integral domains is a commutative Baer ring.*

PROOF. Immediate, using Birkhoff's Theorem and Lemma 9. This subdirect union representation can be given explicitly by

$$\phi : R \rightarrow \prod_{M \in \mathcal{M}} R/M, \quad x\phi = \langle x(M) \rangle$$

where \mathcal{M} is the set of all minimal prime ideals of R , see [5].

5. Final remarks

One of us (T.P.S.) is shortly publishing some results [5] which discuss many properties of commutative Baer rings involving prime and minimal prime ideals, the algebra of idempotents and related ideas. A number of characterisations of

commutative Baer rings are given from amongst various classes of commutative rings.

However we have not considered the topic of independence amongst the equations (i)–(x). And the question: ‘When is a commutative Baer ring a Baer-subdirect union or a Baer-direct union of fields?’ seems interesting. Then there is the problem of describing free commutative Baer rings and so on.

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