

## NON-ARCHIMEDEAN $t$ -FRAMES AND FM-SPACES

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**ABSTRACT.** We generalize the notion of  $t$ -orthogonality in  $p$ -adic Banach spaces by introducing  $t$ -frames (§2). This we use to prove that a Fréchet-Montel (FM-)space is of countable type (Theorem 3.1), the non-archimedean counterpart of a well known theorem in functional analysis over  $\mathbb{R}$  or  $\mathbb{C}$  ([6], p. 231). We obtain several characterizations of FM-spaces (Theorem 3.3) and characterize the nuclear spaces among them (§4).

**1. Preliminaries.** Throughout this paper  $K$  is a non-archimedean non-trivially valued complete field with valuation  $|\cdot|$ . For the basic notions and properties concerning normed and locally convex spaces over  $K$  we refer to [11] and [7]. However we recall the following.

1. Let  $E$  be a  $K$ -vector space. Let  $X \subset E$ . The absolutely convex hull of  $X$  is denoted by  $\text{co}X$ , its linear hull by  $[X]$ . For a (non-archimedean) seminorm  $p$  on  $E$  we denote by  $E_p$  the vector space  $E/\text{Ker } p$  and by  $\pi_p: E \rightarrow E_p$  the canonical surjection. The formula  $\|\pi_p(x)\| = p(x)$  defines a norm on  $E_p$ .

2. Let  $(E, \|\cdot\|)$  be a normed space over  $K$ . For  $r > 0$  we write  $B(0, r) := \{x \in E : \|x\| \leq r\}$ . Let  $a \in E, X \subset E$ . Then  $\text{dist}(a, X) := \inf\{\|a - x\| : x \in X\}$ . For  $n \in \mathbb{N}$  and  $x_1, \dots, x_n \in E$  we consider  $\text{Vol}(x_1, \dots, x_n) := \|x_1\| \cdot \text{dist}(x_2, [x_1]) \cdot \text{dist}(x_3, [x_1, x_2]) \cdots \text{dist}(x_n, [x_1, \dots, x_{n-1}])$ . For properties of this Volume Function (in particular, its symmetry), we refer to [10]. A linear continuous map  $E \rightarrow F$ , where  $F$  is a normed space, is said to be *compact* if it sends the unit ball of  $E$  into a compactoid set (see below).

3. Now let  $E$  be a Hausdorff locally convex space over  $K$ . A subset  $X$  of  $E$  is called *compactoid* if for every zero-neighbourhood  $U$  in  $E$  there exists a finite set  $S$  of  $E$  such that  $X \subset \text{co}S + U$ .  $E$  is said to be of *countable type* if for each continuous seminorm  $p$  the normed space  $E_p$  is of countable type (Recall that a normed space is called of *countable type* if it is the closed linear hull of a countable set).  $E$  is called *nuclear* if for every continuous seminorm  $p$  on  $E$  there exists a continuous seminorm  $q$  on  $E$  with  $p \leq q$ , and such that  $\Phi_{pq}$  is compact, where  $\Phi_{pq}$  is the unique map making the diagram

$$\begin{array}{ccc}
 & E & \\
 \pi_q \swarrow & & \searrow \pi_p \\
 E_q & \xrightarrow{\Phi_{pq}} & E_p
 \end{array}$$

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commute.  $E$  is called *Montel* if it is polar, polarly barrelled and if each closed bounded subset is a complete compactoid. A Fréchet space which is Montel is called an *FM-space*.

The closure of a set  $X \subset E$  is denoted by  $\bar{X}$ .

2.  **$t$ -frames in  $p$ -adic Banach spaces.** Throughout §2  $E$  is a normed space over  $K$ . We introduce a concept which generalizes the notion of  $t$ -orthogonality and it allows us to prove one of the main Theorems in the paper (Theorem 3.1).

DEFINITION 2.1. Let  $t \in (0, 1]$ , and let  $X \subset E$  be a subset not containing 0. We call  $X$  a  $t$ -frame if for every  $n \in \mathbb{N}$  and distinct  $x_1, \dots, x_n \in X$  we have  $\text{Vol}(x_1, \dots, x_n) \geq t^{n-1} \cdot \|x_1\| \cdot \dots \cdot \|x_n\|$ .

We make the following simple observations. Let  $t \in (0, 1]$ .

1. Any  $t$ -orthogonal set in  $E$  is a  $t$ -frame. (Let  $\{e_i : i \in I\}$  be a  $t$ -orthogonal set in  $E$ , let  $i_1, \dots, i_n$  be  $n$  distinct elements of  $I$ . Then, by the definition of the Volume Function and by  $t$ -orthogonality,

$$\begin{aligned} \text{Vol}(e_{i_1}, \dots, e_{i_n}) &= \|e_{i_1}\| \cdot \text{dist}(e_{i_2}, [e_{i_1}]) \cdot \dots \cdot \text{dist}(e_{i_n}, [e_{i_1}, \dots, e_{i_{n-1}}]) \\ &\geq \|e_{i_1}\| \cdot t \cdot \|e_{i_2}\| \cdot \dots \cdot t \cdot \|e_{i_n}\| = t^{n-1} \cdot \|e_{i_1}\| \cdot \dots \cdot \|e_{i_n}\|. \quad \blacksquare \end{aligned}$$

- 2. Every  $t$ -frame in  $E$  is a linearly independent set.
- 3. Every subset of a  $t$ -frame is itself a  $t$ -frame.
- 4. Every  $t$ -frame in  $E$  can be extended to a maximal  $t$ -frame.

By a  $t$ -frame sequence we shall mean a sequence  $x_1, x_2, \dots$  in  $E$  such that  $\{x_1, x_2, \dots\}$  is a  $t$ -frame.

PROPOSITION 2.2 (COMPARE [8], THEOREM 2). A bounded subset  $X$  of  $E$  is a compactoid if and only if for every  $t \in (0, 1]$  every  $t$ -frame sequence in  $X$  tends to 0.

PROOF. Suppose  $X$  is a compactoid. Suppose, for some  $t \in (0, 1]$ , and some  $\alpha > 0$ ,  $X$  contains a  $t$ -frame sequence  $x_1, x_2, \dots$  for which  $\|x_n\| \geq \alpha$  for all  $n$ . Then, for each  $n \in \mathbb{N}$ ,

$$\text{Vol}(x_1, \dots, x_n) \geq t^{n-1} \cdot \|x_1\| \cdot \dots \cdot \|x_n\| \geq \alpha^n t^{n-1}$$

implying  $\lim_{n \rightarrow \infty} \inf \sqrt[n]{\text{Vol}(x_1, \dots, x_n)} \geq \alpha t > 0$  conflicting the compactoidity of  $X$  ([8], §2). This proves one half of the statement. The other half is obvious.  $\blacksquare$

The following two Propositions are crucial for Theorem 2.5.

PROPOSITION 2.3. Let  $0 < t < 1$ ; let  $X$  be a maximal  $t$ -frame in  $E$ . Then  $\overline{[X]} = E$ .

PROOF. Let  $D := \overline{[X]}$ . If  $D \neq E$  then we can find a nonzero  $a \in E$  with  $\text{dist}(a, D) \geq t \cdot \|a\|$  ([11], Lemma 3.14, here we use that  $t \neq 1$ ). So we shall prove that  $\text{dist}(a, D) < t \cdot \|a\|$  for every  $a \in E - D$ . By maximality  $\{a\} \cup X$  is no longer a  $t$ -frame, yielding the existence of a  $k \in \mathbb{N}$  and distinct  $x_1, \dots, x_k \in X$  such that

$$\text{Vol}(a, x_1, \dots, x_k) < t^k \cdot \|a\| \cdot \|x_1\| \cdot \dots \cdot \|x_k\|.$$

On the other hand we have

$$\begin{aligned} \text{Vol}(a, x_1, \dots, x_k) &= \text{dist}(a, [x_1, \dots, x_k]) \cdot \text{Vol}(x_1, \dots, x_k) \\ &\geq \text{dist}(a, D) \cdot t^{k-1} \cdot \|x_1\| \cdots \|x_k\|. \end{aligned}$$

So  $\text{dist}(a, D) < t \cdot \|a\|$ . ■

REMARK. We now can easily find examples of *t*-frames *X* that are *s*-orthogonal for no *s* ∈ (0, 1]: Let 0 < *t* < 1, let *E* have no base, choose for *X* a maximal *t*-frame (Observe that the clause *t* ≠ 1 is essential!).

PROPOSITION 2.4. *Every uncountable subset of c<sub>0</sub> contains an infinite compactoid.*

PROOF. Let *X* be an uncountable subset of *c*<sub>0</sub>; it has a bounded uncountable subset *Y*. Let *e*<sub>1</sub>, *e*<sub>2</sub>, ... be the standard basis of *c*<sub>0</sub>. We have *B*(0, 1) + [*e*<sub>1</sub>, *e*<sub>2</sub>, ...] = *c*<sub>0</sub> so there exists an *n*<sub>1</sub> ∈ *N* such that

$$Y_1 := Y \cap (B(0, 1) + [e_1, e_2, \dots, e_{n_1}])$$

is uncountable. In its turn, there exists an *n*<sub>2</sub> ∈ *N* such that

$$Y_2 := Y_1 \cap (B(0, 1/2) + [e_1, e_2, \dots, e_{n_2}])$$

is uncountable. We obtain uncountable sets *Y*<sub>1</sub> ⊃ *Y*<sub>2</sub> ⊃ ... such that *Y*<sub>*n*</sub> ⊂ *B*(0, 1/*n*) + *D*<sub>*n*</sub> for each *n* where *D*<sub>*n*</sub> is a finite-dimensional space. Choose distinct *x*<sub>1</sub>, *x*<sub>2</sub>, ... where *x*<sub>*n*</sub> ∈ *Y*<sub>*n*</sub> for each *n*, and set *Z* := {*x*<sub>1</sub>, *x*<sub>2</sub>, ...}. Then *Z* is infinite, bounded, in *X*. Also, for each *n* ∈ *N* we have

$$Z \cap \{x_1, \dots, x_{n-1}\} \cup Y_n \subset [x_1, \dots, x_{n-1}] + B(0, 1/n) + D_n \subset B(0, 1/n) + \hat{D}_n$$

where  $\hat{D}_n$  is a finite-dimensional space. It follows that *Z* is a compactoid. ■

THEOREM 2.5. *The following assertions about the normed space E are equivalent.*

- (i) *E* is of countable type.
- (ii) For every *t* ∈ (0, 1), every *t*-frame in *E* is countable.
- (iii) For some *t* ∈ (0, 1), every *t*-frame in *E* is countable.

PROOF. (i) ⇒ (ii). We may assume *E* = *c*<sub>0</sub>. Let *X* be a *t*-frame in *E*. For each *n* ∈ *N* set *X*<sub>*n*</sub> := {*x* ∈ *X* :  $\|x\| \geq 1/n$ }. If, for some *n*, *X*<sub>*n*</sub> were uncountable it would contain an infinite compactoid {*x*<sub>1</sub>, *x*<sub>2</sub>, ...} by Proposition 2.4. Then from Proposition 2.2  $\lim_{k \rightarrow \infty} x_k = 0$ , a contradiction.

(ii) ⇒ (iii) is obvious.

(iii) ⇒ (i). Let *X* be a maximal *t*-frame in *E*. By assumption *X* is countable. By Proposition 2.3, *E* =  $\overline{[X]}$  is of countable type. ■

REMARK. The question if Theorem 2.5 remains true when we consider in (i) and (ii) *t*-orthogonal sets instead *t*-frames is an open problem in non-archimedean analysis ([11], p. 199).

**3. Characterizations of FM-spaces among  $F$ -spaces.** *From now on in this paper  $E$  is a polar Hausdorff locally convex space over  $K$ .*

It is proved in [6], Theorem 11.6.2, that a Fréchet Montel space over  $\mathbb{R}$  or  $\mathbb{C}$  is separable. It does not simply carry over the non-archimedean case because  $K$  may be not locally compact; so we have to deal with compactoids (§1.3) rather than compact sets. This modification is obstructing the classical proof which is essentially based upon separability. It is here where the  $t$ -frames of §2 come to the rescue as will be demonstrated in the following theorem (for other applications of  $t$ -frames in  $p$ -adic analysis, see [9], p. 51–57).

**THEOREM 3.1.** *An FM-space is of countable type.*

**PROOF.** Let the topology of the FM-space  $E$  be defined by the sequence of seminorms  $p_1 \leq p_2 \leq \dots$ . Set  $U_n = \{x \in E : p_n(x) \leq 1\}$ . Choose  $\lambda \in K$ ,  $|\lambda| > 1$ .

It suffices to show that  $E_1 := E_{p_1}$  is of countable type. Let  $X$  be a  $t$ -frame in  $(E_1, \|\cdot\|_1)$  for some  $t \in (0, 1)$ ; we show (Theorem 2.5) that  $X$  is countable. Suppose not. We may assume that  $\inf\{\|x\|_1 : x \in X\} > 0$ . Choose an  $A_1 \subset E$  such that  $\pi_{p_1}(A_1) = X$ . Since  $E = \cup_n \lambda^n U_2$  there exists an  $n_2$  such that  $A_2 := A_1 \cap \lambda^{n_2} U_2$  is uncountable. Inductively we arrive at uncountable sets  $A_1 \supset A_2 \supset \dots$  such that  $A_n$  is  $p_n$ -bounded for each  $n \geq 2$ . Choose distinct  $a_1, a_2, \dots$  with  $a_n \in A_n$  for each  $n$ . Then  $\{a_1, a_2, \dots\}$  is bounded in  $E$ . As  $E$  is Montel, it is a compactoid. By Proposition 2.2,  $\lim_{n \rightarrow \infty} \pi_{p_1}(a_n) = 0$  conflicting  $\inf\{\|x\|_1 : x \in X\} > 0$ . ■

**LEMMA 3.2.** *Every bounded subset  $B$  of a Fréchet space  $E$ , is compactoid for the topology of uniform convergence on the  $\beta(E', E)$ -compactoid subsets of  $E'$  (where  $\beta(E', E)$  denotes the strong topology on  $E'$  with respect to the dual pair  $\langle E, E' \rangle$ ).*

**PROOF.** Consider the canonical map  $J_E: E \rightarrow E'' = (E', \beta(E', E))'$ . It is easy to see that the set  $J_E(B)$  is equicontinuous on  $(E', \beta(E', E))$ . By [7] Lemma 10.6 we have that on  $J_E(B)$  the topology  $\tau_{\beta c}$  (on  $E''$ ) of the uniform convergence on the  $\beta(E', E)$ -compactoid subsets of  $E'$ , coincides with the weak topology  $\sigma(E'', E')$ . Hence  $J_E(B)$  is  $\tau_{\beta c}$ -compactoid in  $E''$ . Since  $J_E$  is an homeomorphism from  $E$  onto a subspace of  $E''$  ([7], Lemmas 9.2, 9.3) we are done. ■

**THEOREM 3.3.** *For a Fréchet space  $E$ , the following properties are equivalent.*

- (i)  $E$  is an FM-space.
- (ii) Every bounded subset of  $E$  is compactoid.
- (iii) In  $E$  every weakly convergent sequence is convergent and  $(E', \beta(E', E))$  is of countable type.
- (iv) In  $E'$  every  $\sigma(E', E)$ -convergent sequence is  $\beta(E', E)$ -convergent and  $E$  is of countable type.
- (v) Both  $E$  and  $(E', \beta(E', E))$  are of countable type.
- (vi)  $(E', \beta(E', E))$  is nuclear.
- (vii)  $(E', \beta(E', E))$  is Montel.

(viii) Every  $\sigma(E', E)$ -bounded subset of  $E'$  is  $\beta(E', E)$ -compactoid.

PROOF. The implications (i)  $\Leftrightarrow$  (ii)  $\Leftrightarrow$  (iii), (i)  $\Rightarrow$  (vi)  $\Rightarrow$  (viii) and (i)  $\Rightarrow$  (vii)  $\Rightarrow$  (viii) are known (see [7]) or easy. Also, from Theorem 3.1 we can easily prove (i)  $\Rightarrow$  (iv) and (i)  $\Rightarrow$  (v).

Now we prove (viii)  $\Rightarrow$  (ii): Since  $E$  is a polar Fréchet space, its topology  $\tau$  is the topology of uniform convergence on the  $\sigma(E', E)$ -bounded subsets of  $E'$ . By (viii) these subsets are  $\beta(E', E)$ -compactoid. Now apply Lemma 3.2.

The implication (v)  $\Rightarrow$  (iii) follows from [7] Proposition 4.11.

Finally, for the proof of (iv)  $\Rightarrow$  (ii) observe that the topology on a polar Fréchet space of countable type is the topology of uniform convergence on the  $\sigma(E', E)$ -null sequences in  $E'$  (see [4], Theorem 3.2). By (iv) these sequences are  $\beta(E', E)$ -convergent. Now apply Lemma 3.2. ■

REMARK. It is known that a Fréchet space  $E$  over  $\mathbb{R}$  over  $\mathbb{C}$  is nuclear if and only if  $(E', \beta(E', E))$  is nuclear ([6], p. 491).

In the non-archimedean case the situation is essentially different. Indeed, in 4.1 we will give an example of an FM-space which is not nuclear (while its strong dual is by (i)  $\Leftrightarrow$  (vi)). To do that we need some preliminary concepts and results.

DEFINITION 3.4. Let  $A = (a_i^k)$  be a matrix of strictly positive real numbers such that  $a_i^{k+1} > a_i^k$  for all  $i$  and all  $k$ . Then the corresponding Köthe sequence space  $K(A)$  is defined by

$$K(A) = \{ \alpha = (\alpha_i) : \lim_i |\alpha_i| \cdot a_i^k = 0 \text{ for all } k \}.$$

On  $K(A)$  we consider the sequence of norms  $(p_k)$ , where

$$p_k(\alpha) = \max_i |\alpha_i| \cdot a_i^k, \quad k = 1, 2, \dots; \quad \alpha \in K(A).$$

It is known that  $K(A)$  is a polar Fréchet space of countable type. For the importance of this class of spaces and for their further properties we refer to [3].

We then have:

PROPOSITION 3.5. Let  $\Lambda = K(A)$  be a Köthe space and let  $\Lambda^*$  the corresponding Köthe dual space. Then the following properties are equivalent:

- (i)  $\Lambda$  is an FM-space.
- (ii)  $(\Lambda^*, \beta(\Lambda^*, \Lambda))$  is of countable type.
- (iii)  $(\Lambda^*, \beta(\Lambda^*, \Lambda))$  is nuclear.
- (iv)  $(\Lambda^*, \beta(\Lambda^*, \Lambda))$  is Montel.
- (v) The unit vectors  $e_1, e_2, \dots$  form a Schauder basis for  $\Lambda^*, \beta(\Lambda^*, \Lambda)$ .
- (vi)  $n(\Lambda^*, \Lambda) = \beta(\Lambda^*, \Lambda)$  (where  $n(\Lambda^*, \Lambda)$  is the natural topology on  $\Lambda^*$ ).
- (vii) No subspace of  $\Lambda$  is isomorphic (linearly homeomorphic) to  $c_0$ .
- (viii) The sequence of coordinate projections  $(P_i)$ , where  $P_i: \Lambda \rightarrow \Lambda : \alpha = (\alpha_i) \rightarrow \alpha_i e_i$ , converges to the zero-map uniformly on every bounded subset of  $\Lambda$ .

(ix) *The sequence of sections-maps  $(S_n)$ , where  $S_n: \Lambda \rightarrow \Lambda : \alpha = (\alpha_i) \rightarrow (\alpha_1, \alpha_2, \dots, \alpha_n, 0, 0, \dots)$  converges to the identity map  $\text{Id}$  uniformly on every bounded subset of  $\Lambda$ .*

PROOF. We only have to prove (i)  $\Rightarrow$  (v)  $\Rightarrow$  (vi), (vii)  $\Rightarrow$  (viii) and (ix)  $\Rightarrow$  (i). The other implications are easy.

(i)  $\Rightarrow$  (v): The unit vectors  $e_1, e_2, \dots$  form a Schauder basis for  $(\Lambda^*, \sigma(\Lambda^*, \Lambda))$ . Then, apply (i)  $\Rightarrow$  (iv) in 3.3.

(v)  $\Rightarrow$  (vi): By [4], p. 21 it suffices to prove that  $\beta(\Lambda^*, \Lambda)$  is compatible with the duality  $(\Lambda^*, \Lambda)$  and this is done as in [1], Proposition 20.

(vii)  $\Rightarrow$  (viii): Suppose  $\Lambda$  contains a bounded subset  $D$  on which  $(P_i)$  does not converge uniformly to the zero-map. We show that  $\Lambda$  contains a subspace isomorphic to  $c_0$ .

From the assumption it follows that there exist  $\varepsilon > 0$ ,  $k \in \mathbb{N}$  and an increasing sequence of indices  $(i_n)$  such that, for all  $n$ , there exists  $\alpha^n = (\alpha_i^n) \in D$  with  $|\alpha_{i_n}^n| \cdot a_{i_n}^k > \varepsilon$ ,  $n = 1, 2, \dots$ . We put  $z_{i_n} = \alpha_{i_n}^n \cdot e_{i_n}$ ,  $n = 1, 2, \dots$ . Then, the sequence  $(z_{i_n})$  is bounded in  $\Lambda$ .

Now we can define a linear map

$$T: c_0 \rightarrow \Lambda : \sigma = (\sigma_n) \rightarrow \sum_n \sigma_n z_{i_n}.$$

We prove that  $T$  is an isomorphism from  $c_0$  into  $\Lambda$ . It is easy to see that  $T$  is injective and continuous. Also,  $T: c_0 \rightarrow \text{Im } T$  is open.

Indeed, for  $\sigma = (\sigma_n) \in c_0$ , we have  $p_k(T(\sigma)) = \max_{n=1}^{\infty} |\sigma_n \alpha_{i_n}^n| \cdot a_{i_n}^k \geq \varepsilon \cdot \|\sigma\|_{c_0}$ .

(ix)  $\Rightarrow$  (i): We prove that  $\text{Id}: \Lambda \rightarrow \Lambda$  transforms bounded subsets into compactoid subsets. Observe that (ix) means that  $\lim_n S_n = \text{Id}$  in  $L_\beta(\Lambda, \Lambda)$ . Then apply Proposition 4 in [2]. ■

The next corollary is for later use.

COROLLARY 3.6. *If for every  $k \in \mathbb{N}$  and every subsequence  $(i_n)$  of the indices there exists  $h > k$  such that the sequence  $(a_{i_n}^h / a_{i_n}^k)_n$  is bounded, then  $K(A)$  is an FM-space.*

PROOF. An analysis of the proof of (vii)  $\Rightarrow$  (viii) shows that if  $K(A)$  is not an FM-space, there exist a subsequence of the indices  $(i_n)$  and elements  $\eta_{i_n}$  in  $K$ ,  $n = 1, 2, \dots$  such that the linear map  $T: c_0 \rightarrow \text{Im } T : (\sigma_n) \rightarrow (\sigma_n \eta_{i_n})$  is an isomorphism of  $c_0$  into  $\Lambda$ .

Consider now in  $c_0$  the subspace  $c_{00}$  generated by the unit vectors  $e_1, e_2, \dots$ . Then  $c_{00}$  is isomorphic to the subspace  $F$  of  $K(A)$  generated by  $e_{i_1}, e_{i_2}, \dots$ . Therefore the topology induced by  $K(A)$  on  $F$  is normable. This means that there exists  $k$  such that for all  $h > k$  there exists  $t_h > 0$  with  $p_h(\delta) \leq t_h \cdot p_k(\delta)$  for all  $\delta \in K(A)$ . In particular, for  $\delta = e_{i_n}$ ,

$n = 1, 2, \dots$ , we have that there is a  $k$  such that for all  $h > k$ , there exists  $t_h > 0$  with  $a_{i_n}^h \leq t_h \cdot a_{i_n}^k$  for all  $n$ , and we are done. ■

**4. Characterizations of nuclear spaces among FM-spaces.** We start this section with the construction of an FM-space which is not nuclear.

EXAMPLE 4.1. For  $k = 1, 2, \dots$ , consider the infinite matrix

$$A^k = (a_{ij}^k) = \begin{pmatrix} 1^k & \dots & 2^k & \dots & j^k & \dots \\ 1^k & \dots & 2^k & \dots & j^k & \dots \\ \vdots & & \vdots & & \vdots & \dots \\ (k+1)^k & \dots & (k+1)^k & \dots & (k+1)^k & \dots \\ (k+2)^k & \dots & (k+2)^k & \dots & (k+2)^k & \dots \\ \vdots & & \vdots & & \vdots & \dots \end{pmatrix} \rightarrow (k+1)$$

We can think of  $A^k$  as a sequence for some order,  $k = 1, 2, \dots$  (we fix the same order for all  $k$ ). We then consider the Köthe space

$$K(A) = \{ \beta = (\beta_{ij}) : \lim_{ij} |\beta_{ij}| \cdot a_{ij}^k = 0, k = 1, 2, \dots \}$$

equipped with the sequence of norms  $(p_k)$  where  $p_k(\beta) = \max_{ij} |\beta_{ij}| \cdot a_{ij}^k$ .

We first show that  $K(A)$  is not nuclear. If  $k > 1$ , then the sequence  $(a_{ij}^1/a_{ij}^k)$  contains a constant sequence. Then by [3] Proposition 3.5 the conclusion follows.

We now apply Corollary 3.6 in order to prove that  $K(A)$  is an FM-space.

Choose  $k$  and any subsequence of the indices  $(i_n, j_m)_{n,m}$ . We consider the corresponding elements  $a_{i_n j_m}^k$  of  $A^k$ . There are several possibilities.

a) The subsequence  $(a_{i_n j_m}^k)_{n,m}$  contains an infinite number of elements of some row of  $A^k$ .

If this row is between the rows  $1, \dots, k$ , take  $h = k + 1$ . Then the sequence of the quotients  $(a_{i_n j_m}^h/a_{i_n j_m}^k)_{n,m}$  is unbounded.

If this row is the  $(k + r)$ -th row for some  $r \geq 1$ , then take  $h = k + r$ .

b) The subsequence  $(a_{i_n j_m}^k)_{n,m}$  consists of finitely many elements of an infinite number of rows. Consider then a subsequence with one element in an infinite number of rows below the  $k$ th row. Such a subsequence looks like

$$(k + l_1)^k, (k + l_2)^k, (k + l_3)^k, \dots$$

with  $(l_n)_n$  increasing to infinity. Take now  $h = k + 1$ . ■

Finally we investigate what the situation exactly is.

**DEFINITION 4.2.** A locally convex space  $X$  is said to be *quasinormable* if for every zero-neighbourhood  $U$  in  $X$  there exists a zero-neighbourhood  $V$  in  $X$ ,  $V \subset U$ , such that on  $U^\circ$  the topology  $\beta(X', X)$  coincides with norm topology of  $X'_{V^\circ}$ .

**DEFINITION 4.3.** Let  $X$  be a locally convex space. A sequence  $(a_n) \subset X'$  is said to be *locally convergent to zero* if there exists a zero-neighbourhood  $U$  in  $X$  such that  $(a_n) \subset X'_{U^\circ}$  and  $\lim_n \|a_n\|_{U^\circ} = 0$ .

**THEOREM 4.4.** For an FM-space  $E$  the following properties are equivalent.

- (i)  $E$  is nuclear.
- (ii)  $E$  is quasinormable.
- (iii) Every  $\beta(E', E)$ -convergent sequence in  $E'$  is locally convergent.

**PROOF.** The implications (i)  $\Rightarrow$  (ii) and (ii)  $\Rightarrow$  (iii) follow by [2], Proposition 14 and [5], 5.2 respectively.

(iii)  $\Rightarrow$  (i) Since  $E$  is of countable type (Theorem 3.1) its topology can be described by the  $\sigma(E', E)$ -null sequences on  $E'$  ([4], Theorem 3.2). By Theorem 3.3 (i)  $\Rightarrow$  (iv) these sequences are null-sequences in  $\beta(E', E)$  and by (iii) they are locally convergent to zero. The conclusion then follows from [5], 4.6.i). ■

**COROLLARY 4.5.** The Köthe space in 4.1 is also an example of an FM-space which is not quasinormable.

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