



Dirichlet-type spaces of the unit bidisc and toral 2-isometries*

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Abstract. We introduce and study Dirichlet-type spaces $\mathcal{D}(\mu_1, \mu_2)$ of the unit bidisc \mathbb{D}^2 , where μ_1, μ_2 are finite positive Borel measures on the unit circle. We show that the coordinate functions z_1 and z_2 are multipliers for $\mathcal{D}(\mu_1, \mu_2)$ and the complex polynomials are dense in $\mathcal{D}(\mu_1, \mu_2)$. Further, we obtain the division property and solve Gleason's problem for $\mathcal{D}(\mu_1, \mu_2)$ over a bidisc centered at the origin. In particular, we show that the commuting pair \mathcal{M}_z of the multiplication operators $\mathcal{M}_{z_1}, \mathcal{M}_{z_2}$ on $\mathcal{D}(\mu_1, \mu_2)$ defines a cyclic toral 2-isometry and \mathcal{M}_z^* belongs to the Cowen-Douglas class $\mathbf{B}_1(\mathbb{D}_r^2)$ for some $r > 0$. Moreover, we formulate a notion of wandering subspace for commuting tuples and use it to obtain a bidisc analog of Richter's representation theorem for cyclic analytic 2-isometries. In particular, we show that a cyclic analytic toral 2-isometric pair T with cyclic vector f_0 is unitarily equivalent to \mathcal{M}_z on $\mathcal{D}(\mu_1, \mu_2)$ for some μ_1, μ_2 if and only if $\ker T^*$, spanned by f_0 , is a wandering subspace for T .

1 Introduction and preliminaries

The aim of this paper is to obtain a bidisc counter-part of the theory of Dirichlet-type spaces of the open unit disc as presented in [26] (see [8] for a ball counter-part of this theory). Throughout this paper, \mathbb{D} denotes the open unit disc $\{z \in \mathbb{C} : |z| < 1\}$ in the complex plane \mathbb{C} . Recall that Dirichlet-type spaces of \mathbb{D} are model spaces for the class of cyclic analytic 2-isometries (see [26]). Thus to arrive at an appropriate notion of the Dirichlet-type spaces of the unit bidisc \mathbb{D}^2 , it is helpful to look for function spaces which support the class of 2-isometries naturally associated with \mathbb{D}^2 . Let us first recall the definition of such 2-isometries.

For a complex Hilbert space \mathcal{H} , let $\mathcal{B}(\mathcal{H})$ denote the C^* -algebra of bounded linear operators on \mathcal{H} . For a positive integer d , a commuting d -tuple T on \mathcal{H} is the d -tuple (T_1, \dots, T_d) of operators $T_1, \dots, T_d \in \mathcal{B}(\mathcal{H})$ satisfying $T_i T_j = T_j T_i$, $1 \leq i \neq j \leq d$. Let $T = (T_1, \dots, T_d)$ be a commuting d -tuple on \mathcal{H} . We say that $T = (T_1, \dots, T_d)$ is a toral isometry if T_1, \dots, T_d are isometries. Following [1, 5, 26], T is said to be a toral 2-isometry if

$$I - T_i^* T_i - T_j^* T_j + T_j^* T_i^* T_i T_j = 0, \quad i, j = 1, \dots, d. \quad (1.1)$$

A toral isometry is necessarily a toral 2-isometry, but the converse is not true (see [5, Example 1]).

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To propose a successful analog of Dirichlet-type spaces on \mathbb{D}^2 , it is helpful to examine examples of toral 2-isometries arising from function spaces. Since the operator of multiplication by the coordinate function on the classical Dirichlet space $\mathcal{D}(\mathbb{D})$ is a 2-isometry, it is natural to seek the classical Dirichlet space of the unit bidisc. Recall that the Dirichlet space $\mathcal{D}(\mathbb{D}) \otimes \mathcal{D}(\mathbb{D})$ of \mathbb{D}^2 is given by

$$\left\{ f \in \mathcal{O}(\mathbb{D}^2) : \|f\|_{\mathcal{D}(\mathbb{D}) \otimes \mathcal{D}(\mathbb{D})}^2 := \sum_{(m,n) \in \mathbb{Z}_+^2} |\hat{f}(m,n)|^2 (m+1)(n+1) < \infty \right\},$$

where $\mathcal{O}(\Omega)$ denotes the space of holomorphic functions on a domain Ω , \mathbb{Z}_+ denotes the set of nonnegative integers and \hat{f} denotes the Fourier transform of f . It turns out that if \mathcal{M}_{z_1} and \mathcal{M}_{z_2} are the operators of multiplication by the coordinate functions z_1 and z_2 , respectively, on $\mathcal{D}(\mathbb{D}) \otimes \mathcal{D}(\mathbb{D})$, then the commuting pair $(\mathcal{M}_{z_1}, \mathcal{M}_{z_2})$ satisfies (1.1) for $1 \leq i = j \leq 2$, but it fails to satisfy (1.1) for $1 \leq i \neq j \leq 2$. This failure may be attributed to the fact that the mapping $(m, n) \mapsto \|z_1^m z_2^n\|^2$ is a polynomial of bi-degree $(1, 1)$. Interestingly, there is a “natural” choice $\mathcal{D}(\mathbb{D}^2)$ of the Dirichlet space containing $\mathcal{D}(\mathbb{D}) \otimes \mathcal{D}(\mathbb{D})$ for which the associated pair $(\mathcal{M}_{z_1}, \mathcal{M}_{z_2})$ is a toral 2-isometry:

$$\mathcal{D}(\mathbb{D}^2) = \left\{ f \in \mathcal{O}(\mathbb{D}^2) : \|f\|_{\mathcal{D}(\mathbb{D}^2)}^2 := \sum_{(m,n) \in \mathbb{Z}_+^2} |\hat{f}(m,n)|^2 (m+n+1) < \infty \right\}.$$

The norm $\|\cdot\|_{\mathcal{D}(\mathbb{D}^2)}$ can also be written as follows:

$$\begin{aligned} \|f\|_{\mathcal{D}(\mathbb{D}^2)}^2 &= \|f\|_{H^2(\mathbb{D}^2)}^2 + \sup_{0 < r < 1} \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_1 f(z_1, re^{i\theta})|^2 dA(z_1) d\theta \\ &\quad + \sup_{0 < r < 1} \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_2 f(re^{i\theta}, z_2)|^2 dA(z_2) d\theta, \end{aligned} \quad (1.2)$$

where $d\theta$ (resp. dA) denotes the normalized Lebesgue arc-length (resp. area) measure on \mathbb{T} (resp. \mathbb{D}). Recall that the Hardy space $H^2(\mathbb{D}^2)$ of the unit bidisc \mathbb{D}^2 is the reproducing kernel Hilbert space (see [23] for the definition of the reproducing kernel Hilbert space) associated with the Cauchy kernel

$$\kappa(z, w) = \prod_{j=1}^2 (1 - z_j \bar{w}_j)^{-1}, \quad z = (z_1, z_2), w = (w_1, w_2) \in \mathbb{D}^2.$$

It is worth noting that for any $f \in H^2(\mathbb{D}^2)$,

$$\|f\|_{H^2(\mathbb{D}^2)}^2 = \sum_{\alpha \in \mathbb{Z}_+^2} |\hat{f}(\alpha)|^2 \quad (1.3)$$

$$= \sup_{0 < r < 1} \int_{[0, 2\pi]^2} |f(re^{i\theta_1}, re^{i\theta_2})|^2 d\theta_1 d\theta_2 \quad (1.4)$$

(see [27, Section 3.4]).

For a nonempty subset Ω of \mathbb{C} , let $M_+(\Omega)$ denote the set of finite positive Borel measures on Ω . Let $P_\mu(w)$ denote the Poisson integral $\int_{\mathbb{T}} \frac{1-|w|^2}{|w-\zeta|^2} d\mu(\zeta)$ of the measure $\mu \in M_+(\mathbb{T})$. For future reference, we record the following consequence of the Fubini

theorem (see [28, Theorem 8.8]) and the fact that the mapping $r \mapsto \int_{\mathbb{T}} |f(z, re^{i\theta})|^2 d\theta$ is increasing.

Lemma 1.1 For $f \in O(\mathbb{D}^2)$ and $\mu \in M_+(\mathbb{D})$, the extended real-valued mapping $\phi(r) = \int_{\mathbb{T}} \int_{\mathbb{D}} |f(z, re^{i\theta})|^2 d\mu(z) d\theta$, $r \in (0, 1)$, is increasing.

The formula (1.2) together with Richter’s notion of Dirichlet-type spaces (see [26, Sect. 3]) motivates us to the following:

Definition 1.1 For $\mu_1, \mu_2 \in M_+(\mathbb{T})$ and $f \in O(\mathbb{D}^2)$, the Dirichlet integral $D_{\mu_1, \mu_2}(f)$ of f is given by

$$D_{\mu_1, \mu_2}(f) = \sup_{0 < r < 1} \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_1 f(z_1, re^{i\theta})|^2 P_{\mu_1}(z_1) dA(z_1) d\theta + \sup_{0 < r < 1} \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_2 f(re^{i\theta}, z_2)|^2 P_{\mu_2}(z_2) dA(z_2) d\theta.$$

If either μ_1 or μ_2 is 0, then the Dirichlet-type space $\mathcal{D}(\mu_1, \mu_2)$ is the space of functions $f \in H^2(\mathbb{D}^2)$ satisfying $D_{\mu_1, \mu_2}(f) < \infty$. Otherwise, we set $\mathcal{D}(\mu_1, \mu_2) = \{f \in O(\mathbb{D}^2) : D_{\mu_1, \mu_2}(f) < \infty\}$.

Before we define a norm on the Dirichlet-type space $\mathcal{D}(\mu_1, \mu_2)$, we present a 2-variable analog of [26, Lemma 3.1].

Lemma 1.2 For $\mu_1, \mu_2 \in M_+(\mathbb{T})$, $\mathcal{D}(\mu_1, \mu_2) \subseteq H^2(\mathbb{D}^2)$.

Proof By the definition of $\mathcal{D}(\mu_1, \mu_2)$, we may assume that both measures μ_1 and μ_2 are non-zero. Note that

$$P_{\mu}(w) \geq \frac{\mu(\mathbb{T})}{4} (1 - |w|^2), \quad \mu \in M_+(\mathbb{T}), \quad w \in \mathbb{D}. \tag{1.5}$$

Thus, for any $f(z_1, z_2) = \sum_{m, n=0}^{\infty} a_{m, n} z_1^m z_2^n \in \mathcal{D}(\mu_1, \mu_2)$,

$$\begin{aligned} & \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_1 f(z_1, re^{i\theta})|^2 P_{\mu_1}(z_1) dA(z_1) d\theta \\ & \stackrel{(1.5)}{\geq} \frac{\mu_1(\mathbb{T})}{4} \sum_{m=1}^{\infty} \sum_{n=0}^{\infty} |a_{m, n}|^2 m^2 r^{2n} \int_{\mathbb{D}} |z_1^{m-1}|^2 (1 - |z_1|^2) dA(z_1) \\ & = \frac{\mu_1(\mathbb{T})}{4} \sum_{m=1}^{\infty} \sum_{n=0}^{\infty} |a_{m, n}|^2 \frac{mr^{2n}}{m+1}. \end{aligned}$$

A similar estimate using (1.5) gives

$$\int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_2 f(re^{i\theta}, z_2)|^2 P_{\mu_2}(z_2) dA(z_2) d\theta \geq \frac{\mu_2(\mathbb{T})}{4} \sum_{m=0}^{\infty} \sum_{n=1}^{\infty} |a_{m, n}|^2 \frac{nr^{2m}}{n+1}.$$

Since $f \in \mathcal{D}(\mu_1, \mu_2)$,

$$\sup_{0 < r < 1} \sum_{m=1}^{\infty} \sum_{n=0}^{\infty} |a_{m,n}|^2 \frac{mr^{2n}}{m+1} < \infty, \quad \sup_{0 < r < 1} \sum_{m=0}^{\infty} \sum_{n=1}^{\infty} |a_{m,n}|^2 \frac{nr^{2m}}{n+1} < \infty.$$

It is now easy to see using the monotone convergence theorem (see [28, Theorem 1.26]) that f belongs to $H^2(\mathbb{D}^2)$. ■

In view of Lemmas 1.1 and 1.2, the Dirichlet-type space $\mathcal{D}(\mu_1, \mu_2)$ can be endowed with the norm

$$\begin{aligned} \|f\|_{\mathcal{D}(\mu_1, \mu_2)}^2 &= \|f\|_{H^2(\mathbb{D}^2)}^2 + \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_1 f(z_1, re^{i\theta})|^2 P_{\mu_1}(z_1) dA(z_1) d\theta \\ &\quad + \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_2 f(re^{i\theta}, z_2)|^2 P_{\mu_2}(z_2) dA(z_2) d\theta. \end{aligned}$$

We see that $\mathcal{D}(\mu_1, \mu_2)$ is a reproducing kernel Hilbert space (see Lemma 3.1).

The present paper is devoted to the study of Dirichlet-type spaces with efforts to understand the bidisc counter-part of the work carried out in [26]. Before we state the main results of this paper, we need some definitions.

For a positive integer d , let Ω be a domain in \mathbb{C}^d and let \mathcal{H} be a Hilbert space such that $\mathcal{H} \subseteq \mathcal{O}(\Omega)$. A function $\varphi : \Omega \rightarrow \mathbb{C}$ is said to be a *multiplier* of \mathcal{H} if $\varphi f \in \mathcal{H}$ for every $f \in \mathcal{H}$. For a nonempty subset U of Ω , we say that *Gleason's problem can be solved for \mathcal{H} over U* if for every $f \in \mathcal{H}$ and $\lambda = (\lambda_1, \dots, \lambda_d) \in U$, there exist functions g_1, \dots, g_d in \mathcal{H} such that

$$f(z) = f(\lambda) + \sum_{j=1}^d (z_j - \lambda_j) g_j(z), \quad z = (z_1, \dots, z_d) \in \Omega.$$

We say that *Gleason's problem can be solved for \mathcal{H}* if Gleason's problem can be solved for \mathcal{H} over Ω (the reader is referred to [31] for a solution of Gleason's problem for Bergman and Bloch spaces of the unit ball). It turns out that Gleason's problem can be solved for $H^2(\mathbb{D}^d)$ (see Remark 5.2).

Definition 1.2 Let Ω be a domain in \mathbb{C}^d and let \mathcal{H} be a Hilbert space such that $\mathcal{H} \subseteq \mathcal{O}(\Omega)$. We say that \mathcal{H} has the *j -division property*, $j = 1, \dots, d$, if $\frac{f(z)}{z_j - \lambda_j}$ defines a function in \mathcal{H} whenever $\lambda \in \Omega$, $f \in \mathcal{H}$ and $\{z \in \Omega : z_j = \lambda_j\}$ is contained in $Z(f)$, the zero set of f . If \mathcal{H} has j -division property for every $j = 1, \dots, d$, then we say that \mathcal{H} has the *division property*.

In case of $d = 1$, this property appeared in [3, Definition 1.1]. One of the main results of this paper shows that $\mathcal{D}(\mu_1, \mu_2)$ has the division property. In what follows, we require a generalization of the notion of the wandering subspace introduced by Halmos (see [20, P. 103]).

Definition 1.3 Let $T = (T_1, \dots, T_d)$ be a commuting d -tuple on \mathcal{H} . A closed subspace \mathcal{W} of \mathcal{H} is said to be *wandering* for T if for every $i = 1, \dots, d$,

$$\prod_{j=1}^d T_j^{\alpha_j} \mathcal{W} \perp \prod_{j=1}^d T_j^{\beta_j} \mathcal{W}, \quad \alpha_j, \beta_j \in \mathbb{Z}_+, j = 1, \dots, d, \alpha_i = 0, \beta_i \neq 0.$$

Remark 1.3 If $d = 1$, then \mathcal{W} is a wandering subspace for T if and only if $\mathcal{W} \perp T^k(\mathcal{W})$ for every integer $k \geq 1$. In particular, $\ker T^*$ is a wandering subspace for any $T \in \mathcal{B}(\mathcal{H})$. Moreover, if $T = (T_1, \dots, T_d)$ is a commuting d -tuple such that $T_j^* T_i = T_i T_j^*$, $1 \leq i \neq j \leq d$, then $\ker T^* = \bigcap_{j=1}^d \ker T_j^*$ is a wandering subspace for T .

It follows from Remark 1.3 that the space spanned by the constant function 1 is a wandering subspace for the multiplication 2-tuple \mathcal{M}_z on $H^2(\mathbb{D}^2)$. Interestingly, this fact extends to the multiplication 2-tuple \mathcal{M}_z on $\mathcal{D}(\mu_1, \mu_2)$ (see Corollary 3.12).

Recall that a commuting d -tuple $T = (T_1, \dots, T_d)$ on \mathcal{H} is *cyclic* with *cyclic vector* $f_0 \in \mathcal{H}$ if $\bigvee \{T^\alpha f_0 : \alpha = (\alpha_1, \dots, \alpha_d) \in \mathbb{Z}_+^d\} = \mathcal{H}$, where \bigvee denotes the closed linear span and $T^\alpha = \prod_{j=1}^d T_j^{\alpha_j}$. For later purpose, we state the following property of cyclic tuples (see [4, Proposition 1.1]):

$$\text{If } T \text{ is cyclic, then for any } \omega \in \mathbb{C}^d, \dim \ker(T^* - \omega) \text{ is at most } 1, \tag{1.6}$$

where $\ker S = \bigcap_{j=1}^d \ker S_j$ for the d -tuple $S = (S_1, \dots, S_d)$ and \dim stands for the Hilbert space dimension. A commuting d -tuple T on \mathcal{H} has the *wandering subspace property* if $\mathcal{H} = \bigvee_{\alpha \in \mathbb{Z}_+^d} T^\alpha(\ker T^*)$. Following [15, P. 56], we say that a commuting d -tuple $T = (T_1, \dots, T_d)$ on \mathcal{H} is *analytic* if

$$\bigcap_{k=0}^{\infty} \sum_{\alpha \in \Gamma_k} T^\alpha \mathcal{H} = \{0\},$$

where, for $k \in \mathbb{Z}_+$, $\Gamma_k := \{\alpha = (\alpha_1, \dots, \alpha_d) \in \mathbb{Z}_+^d : \alpha_1 + \dots + \alpha_d = k\}$. Note that if T is analytic, then T_1, \dots, T_d are analytic.

Let Ω be a domain in \mathbb{C}^d . For a positive integer n , let $\mathbf{B}_n(\Omega)$ denote the set of all commuting d -tuples T on \mathcal{H} satisfying the following conditions:

- for every $\omega = (\omega_1, \dots, \omega_d) \in \Omega$, the map $D_{T-\omega}(x) = ((T_j - \omega_j)x)_{j=1}^d$ from \mathcal{H} into $\mathcal{H}^{\oplus d}$ has closed range and $\dim \ker(T - \omega) = n$,
- the subspace $\bigvee_{\omega \in \Omega} \ker(T - \omega)$ of \mathcal{H} equals \mathcal{H} .

We call the set $\mathbf{B}_n(\Omega)$ the *Cowen-Douglas class of rank n with respect to Ω* (refer to [10, 13] for the basic theory of Cowen-Douglas class).

2 Statements of main theorems

The following three theorems collect several basic properties of Dirichlet-type spaces $\mathcal{D}(\mu_1, \mu_2)$.

Theorem 2.1 For $\mu_1, \mu_2 \in M_+(\mathbb{T})$, we have the following statements:

- (i) the coordinate functions z_1, z_2 are multipliers of $\mathcal{D}(\mu_1, \mu_2)$,
- (ii) the polynomials are dense in $\mathcal{D}(\mu_1, \mu_2)$,
- (iii) for non-negative integers k, l and a polynomial p in z_1 and z_2 ,

$$\begin{aligned} \|z_1^k z_2^l p\|_{\mathcal{D}(\mu_1, \mu_2)}^2 &= \|p\|_{\mathcal{D}(\mu_1, \mu_2)}^2 + k \int_{\mathbb{T}^2} |p(e^{i\eta}, e^{i\theta})|^2 d\mu_1(\eta) d\theta \\ &\quad + l \int_{\mathbb{T}^2} |p(e^{i\theta}, e^{i\eta})|^2 d\mu_2(\eta) d\theta. \end{aligned} \quad (2.1)$$

Theorem 2.2 For $\mu_1, \mu_2 \in M_+(\mathbb{T})$, $\mathcal{D}(\mu_1, \mu_2)$ has the division property.

Theorem 2.3 For $\mu_1, \mu_2 \in M_+(\mathbb{T})$, Gleason's problem can be solved for $\mathcal{D}(\mu_1, \mu_2)$ over \mathbb{D}_r^2 for some $r \in (0, 1]$.

Here \mathbb{D}_r^2 denotes the bidisc $\{(z_1, z_2) \in \mathbb{C}^2 : |z_1| < r, |z_2| < r\}$, where r is a positive real number. Unlike the one variable situation, we do not know whether Gleason's problem can be solved for $\mathcal{D}(\mu_1, \mu_2)$ over the unit bidisc. It is worth noting that not all facts about Dirichlet-type spaces of the unit disc have successful counterparts in the bidisc case. For example, the commuting pair $\mathcal{M}_z = (\mathcal{M}_{z_1}, \mathcal{M}_{z_2})$ on $\mathcal{D}(\mu_1, \mu_2)$ fails to be essentially normal (see Corollary 3.13). Moreover, the verbatim analog of the model theorem [26, Theorem 5.1] does not hold true (see Remark 2.5).

The following result asserts that \mathcal{M}_z on $\mathcal{D}(\mu_1, \mu_2)$ is a canonical model for analytic 2-isometries T for which $\ker T^*$ is a cyclic wandering subspace.

Theorem 2.4 (A representation theorem) Let $T = (T_1, T_2)$ be a commuting pair on \mathcal{H} . Then the following statements are equivalent:

- (i) T is a cyclic analytic toral 2-isometry with cyclic vector $f_0 \in \ker T^*$ and $\ker T^*$ is a wandering subspace for T ,
- (ii) T is a cyclic toral 2-isometry with cyclic vector $f_0 \in \ker T^*$, T^* belongs to $\mathbf{B}_1(\mathbb{D}_r^2)$ for some $r \in (0, 1]$ and $\ker T^*$ is a wandering subspace for T ,
- (iii) there exist $\mu_1, \mu_2 \in M_+(\mathbb{T})$ such that T is unitarily equivalent to \mathcal{M}_z on $\mathcal{D}(\mu_1, \mu_2)$.

Remark 2.5 By [25, Theorem 1], any analytic 2-isometry T on \mathcal{H} has the wandering subspace property. This result fails even for analytic toral isometric d -tuples if $d > 1$. Indeed, if $a \in \mathbb{D}^2 \setminus \{(0, 0)\}$, then the restriction of \mathcal{M}_z to $\{f \in H^2(\mathbb{D}^2) : f(a) = 0\}$ is a toral isometry without the wandering subspace property. This may be seen by imitating the argument of [6, Example 6.8] with the only change that the application of [19, Theorem 4.3] is replaced by that of [19, Corollary 4.6]. This example also shows that the assumption that the cyclic vector f_0 belongs to $\ker T^*$ in (i) can not be dropped from Theorem 2.4. Also, by Theorem 2.1(ii), the cyclicity of T in (ii) of Theorem 2.4 can not be relaxed.

Theorems 2.1, 2.3 and 2.4 provide bidisc analogs of [26, Theorems 3.6, 3.7 and 5.1], respectively. Also, Theorem 2.2 presents a counterpart of the fact that Dirichlet-type spaces on the unit disc have the division property (see [26, Corollary 3.8] and

[24, Lemma 2.1]). The proofs of these results and their consequences are presented in Sections 3-6 (see Corollaries 3.8, 3.9, 3.12, 3.13, 4.6, 5.5, 5.6, 6.2, 6.6). In the final short section, we discuss the spectral picture of the multiplication 2-tuple \mathcal{M}_z on $\mathcal{D}(\mu_1, \mu_2)$ and raise some related questions.

3 Proof of Theorem 2.1 and its consequences

We need several lemmas to prove Theorem 2.1.

Lemma 3.1 *The Dirichlet-type space $\mathcal{D}(\mu_1, \mu_2)$ is a reproducing kernel Hilbert space. If $\kappa : \mathbb{D} \times \mathbb{D} \rightarrow \mathbb{C}$ is the reproducing kernel of $\mathcal{D}(\mu_1, \mu_2)$, then for any $r \in (0, 1)$, $\bigvee \{ \kappa(\cdot, w) : |w| < r \} = \mathcal{D}(\mu_1, \mu_2)$ and $\kappa(\cdot, 0) = 1$.*

Proof We borrow an argument from the proof of [14, Theorem 1.6.3]. Let $\{f_n\}_{n \geq 0}$ be a Cauchy sequence in $\mathcal{D}(\mu_1, \mu_2)$. Since $H^2(\mathbb{D}^2)$ is complete (see [27, p 53]), there exists a $f \in H^2(\mathbb{D}^2)$ such that $\|f_n - f\|_{H^2(\mathbb{D}^2)}^2 \rightarrow 0$ as $n \rightarrow \infty$. Moreover, since $H^2(\mathbb{D}^2)$ is a reproducing kernel Hilbert space, for every $j = 1, 2$, $\partial_j f_n$ converges compactly to $\partial_j f$ on \mathbb{D}^2 . Also, since $\{f_n\}_{n \geq 0}$ is bounded in $\mathcal{D}(\mu_1, \mu_2)$, by Lemma 1.1, there exists an $M > 0$ such that for every integer $n \geq 0$ and $r \in (0, 1)$,

$$\begin{aligned} \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_1 f_n(z_1, r e^{i\theta})|^2 P_{\mu_1}(z_1) dA(z_1) d\theta &< M, \\ \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_2 f_n(r e^{i\theta}, z_2)|^2 P_{\mu_2}(z_2) dA(z_2) d\theta &< M. \end{aligned}$$

By Fatou's lemma (see [28, Lemma 1.28]), for any $r \in (0, 1)$,

$$\begin{aligned} \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_1 f(z_1, r e^{i\theta})|^2 P_{\mu_1}(z_1) dA(z_1) d\theta & \tag{3.1} \\ \leq \liminf_n \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_1 f_n(z_1, r e^{i\theta})|^2 P_{\mu_1}(z_1) dA(z_1) d\theta & \leq M. \end{aligned}$$

Similarly, one can see that

$$\int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_2 f(r e^{i\theta}, z_2)|^2 P_{\mu_2}(z_2) dA(z_2) d\theta \leq M, \quad r \in (0, 1).$$

This shows that $f \in \mathcal{D}(\mu_1, \mu_2)$. We may now argue as in (3.1) (with f replaced by $f_n - f$ and f_n replaced by $f_n - f_m$) and use Fatou's lemma to conclude that

$$\begin{aligned} \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_1 (f_n - f)(z_1, r e^{i\theta})|^2 P_{\mu_1}(z_1) dA(z_1) d\theta \\ \leq \liminf_m \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_1 (f_n - f_m)(z_1, r e^{i\theta})|^2 P_{\mu_1}(z_1) dA(z_1) d\theta. \end{aligned}$$

Similarly, we obtain

$$\int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_2 (f_n - f)(r e^{i\theta}, z_2)|^2 P_{\mu_2}(z_2) dA(z_2) d\theta$$

$$\leq \liminf_m \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_2(f_n - f_m)(re^{i\theta}, z_2)|^2 P_{\mu_2}(z_2) dA(z_2) d\theta.$$

These two estimates combined with Lemma 1.1 yield

$$D_{\mu_1, \mu_2}(f_n - f) \leq \liminf_m D_{\mu_1, \mu_2}(f_n - f_m), \quad n \geq 0.$$

This shows that $\{f_n\}_{n \geq 0}$ converges to f in $\mathcal{D}(\mu_1, \mu_2)$. Finally, since $H^2(\mathbb{D}^2)$ is a reproducing kernel Hilbert space, so is $\mathcal{D}(\mu_1, \mu_2)$ (see Lemma 1.2).

To see the ‘moreover’ part, note that for any $f \in \mathcal{D}(\mu_1, \mu_2)$, by the reproducing property of $\mathcal{D}(\mu_1, \mu_2)$,

$$\langle f, 1 \rangle_{\mathcal{D}(\mu_1, \mu_2)} = \langle f, 1 \rangle_{H^2(\mathbb{D}^2)} = f(0) = \langle f, \kappa(\cdot, 0) \rangle_{\mathcal{D}(\mu_1, \mu_2)},$$

and hence $\kappa(\cdot, 0) = 1$. The rest follows from the reproducing property of $\mathcal{D}(\mu_1, \mu_2)$ together with an application of the identity theorem. ■

Although we do not need in this section the full strength of the following lemma (cf. [18, Theorem 4.2]), we include it for later usage:

Lemma 3.2 *Let $f : \mathbb{D}^2 \rightarrow \mathbb{C}$ be a holomorphic function. For $r \in (0, 1)$ and $\theta \in [0, 2\pi]$, consider the holomorphic function $f_{r, \theta}(w) = f(w, re^{i\theta})$, $w \in \mathbb{D}$. If $f \in H^2(\mathbb{D}^2)$, then $f_{r, \theta} \in H^2(\mathbb{D})$ for every $r \in (0, 1)$ and $\theta \in [0, 2\pi]$. Moreover,*

$$\sup_{0 < r < 1} \int_0^{2\pi} \|f_{r, \theta}\|_{H^2(\mathbb{D})}^2 d\theta = \|f\|_{H^2(\mathbb{D}^2)}^2, \quad f \in H^2(\mathbb{D}^2). \tag{3.2}$$

Proof The proof relies on the formula (1.3). First, note that

$$\sum_{m=0}^{\infty} |\hat{f}_{r, \theta}(m)|^2 = \sum_{m=0}^{\infty} \left| \sum_{n=0}^{\infty} \hat{f}(m, n) r^n e^{in\theta} \right|^2. \tag{3.3}$$

If $f \in H^2(\mathbb{D}^2)$, then applying the Cauchy-Schwarz inequality to (3.3) gives that $f_{r, \theta} \in H^2(\mathbb{D})$ for every $r \in (0, 1)$ and $\theta \in [0, 2\pi]$. Moreover, integrating both sides of (3.3) with respect to θ over $[0, 2\pi]$ and taking supremum over $r \in (0, 1)$ yields (3.2). ■

Remark 3.3 We note that

$$\begin{aligned} &\text{if } f \in \mathcal{D}(\mu_1, \mu_2), \text{ then for every } r \in (0, 1), f(\cdot, re^{i\theta}) \in \mathcal{D}(\mu_1) \\ &\text{and } f(re^{i\theta}, \cdot) \in \mathcal{D}(\mu_2) \text{ for almost every } \theta \in [0, 2\pi]. \end{aligned} \tag{3.4}$$

To see this, note that for any holomorphic function $f : \mathbb{D}^2 \rightarrow \mathbb{C}$,

$$D_{\mu_1, \mu_2}(f) = \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} D_{\mu_1}(f(\cdot, re^{i\theta})) d\theta + \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} D_{\mu_2}(f(re^{i\theta}, \cdot)) d\theta, \tag{3.5}$$

and hence, if $f \in \mathcal{D}(\mu_1, \mu_2)$, then by Lemma 1.1, $\int_{\mathbb{T}} D_{\mu_1}(f(\cdot, re^{i\theta})) d\theta$ and $\int_{\mathbb{T}} D_{\mu_2}(f(re^{i\theta}, \cdot)) d\theta$ are finite for every $r \in (0, 1)$. One may now apply Lemma 3.2 to complete the verification of (3.4).

It turns out that the operator \mathcal{M}_{z_j} of multiplication by the coordinate functions z_j , $j = 1, 2$, defines a bounded linear operator on $\mathcal{D}(\mu_1, \mu_2)$.

Lemma 3.4 *The coordinate functions z_1, z_2 are multipliers of $\mathcal{D}(\mu_1, \mu_2)$.*

Proof By (3.4), for any $f \in \mathcal{D}(\mu_1, \mu_2)$ and $r \in (0, 1)$, $f(\cdot, re^{i\theta}) \in \mathcal{D}(\mu_1)$ for a.e. $\theta \in [0, 2\pi]$. By [26, Theorem 3.6], the operator \mathcal{M}_w of multiplication by the coordinate function w on $\mathcal{D}(\mu_1)$ is bounded and satisfies

$$\|\mathcal{M}_w f(\cdot, re^{i\theta})\|_{\mathcal{D}(\mu_1)} \leq \|\mathcal{M}_w\| \|f(\cdot, re^{i\theta})\|_{\mathcal{D}(\mu_1)} \text{ for a.e. } \theta \in [0, 2\pi]. \quad (3.6)$$

Since $\mathcal{M}_w^* \mathcal{M}_w \geq I$, $\|\mathcal{M}_w\| \geq 1$. Fix now $f \in \mathcal{D}(\mu_1, \mu_2)$. By Lemma 1.2, $f \in H^2(\mathbb{D}^2)$, and hence $z_1 f \in H^2(\mathbb{D}^2)$. By (3.5) (two applications),

$$\begin{aligned} & D_{\mu_1, \mu_2}(z_1 f) \\ &= \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} D_{\mu_1}((z_1 f)(\cdot, re^{i\theta})) d\theta + \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} D_{\mu_2}((z_1 f)(re^{i\theta}, \cdot)) d\theta \\ &\leq \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} \|\mathcal{M}_w f(\cdot, re^{i\theta})\|_{\mathcal{D}(\mu_1)}^2 d\theta + \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} D_{\mu_2}(f(re^{i\theta}, \cdot)) d\theta \\ &\stackrel{(3.6)}{\leq} \|\mathcal{M}_w\|^2 \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} \|f(\cdot, re^{i\theta})\|_{\mathcal{D}(\mu_1)}^2 d\theta + \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} D_{\mu_2}(f(re^{i\theta}, \cdot)) d\theta \\ &\leq \|\mathcal{M}_w\|^2 \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} \|f(\cdot, re^{i\theta})\|_{H^2(\mathbb{D})}^2 d\theta + \|\mathcal{M}_w\|^2 D_{\mu_1, \mu_2}(f) \\ &\stackrel{(3.2)}{=} \|\mathcal{M}_w\|^2 \|f\|_{\mathcal{D}(\mu_1, \mu_2)}^2. \end{aligned}$$

Similarly, one can see that for some $c_2 \geq 1$,

$$D_{\mu_1, \mu_2}(z_2 f) \leq c_2 \|f\|_{\mathcal{D}(\mu_1, \mu_2)}^2, \quad f \in \mathcal{D}(\mu_1, \mu_2).$$

This completes the proof. ■

The following is a bidisc-analog of Richter’s formula (see [26, Proof of Theorem 4.1], [8, Theorem 1.3]).

Lemma 3.5 *For nonnegative integers k, l and a polynomial p in the complex variables z_1 and z_2 , we have the formula (2.1).*

Proof By (3.4) (see also (3.5)) and [26, Proof of Theorem 4.1],

$$\begin{aligned} & \|z_1^k z_2^l p\|_{\mathcal{D}(\mu_1, \mu_2)}^2 \\ &= \|z_1^k z_2^l p\|_{H^2(\mathbb{D}^2)}^2 + \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} D_{\mu_1}(w^k p(w, re^{i\theta})) d\theta \\ &+ \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} D_{\mu_2}(w^l p(re^{i\theta}, w)) d\theta \\ &= \|p\|_{H^2(\mathbb{D}^2)}^2 + \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} \left(D_{\mu_1}(p(w, re^{i\theta})) + k \int_{\mathbb{T}} |p(e^{i\eta}, re^{i\theta})|^2 d\mu_1(\eta) \right) d\theta \end{aligned}$$

$$\begin{aligned}
& + \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} \left(D_{\mu_2}(p(re^{i\theta}, w)) + l \int_{\mathbb{T}} |p(re^{i\theta}, e^{i\eta})|^2 d\mu_2(\eta) \right) d\theta \\
& = \|p\|_{\mathcal{D}(\mu_1, \mu_2)}^2 + k \int_{\mathbb{T}^2} |p(e^{i\eta}, e^{i\theta})|^2 d\mu_1(\eta) d\theta + l \int_{\mathbb{T}^2} |p(e^{i\theta}, e^{i\eta})|^2 d\mu_2(\eta) d\theta,
\end{aligned}$$

where we used Lemma 1.1 and the monotone convergence theorem. \blacksquare

For $R = (R_1, R_2) \in (0, 1)^2$ and $f \in \mathcal{O}(\mathbb{D}^2)$, let $f_R(z) = f(R_1 z_1, R_2 z_2)$. To get the polynomial density in $\mathcal{D}(\mu_1, \mu_2)$, we need the following inequality.

Lemma 3.6 For any $R = (R_1, R_2) \in (0, 1)^2$ and $f \in \mathcal{D}(\mu_1, \mu_2)$,

$$D_{\mu_1, \mu_2}(f_R) \leq D_{\mu_1, \mu_2}(f).$$

Proof By (3.5) and [29, Proposition 3],

$$\begin{aligned}
D_{\mu_1, \mu_2}(f_R) & = \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} D_{\mu_1}(f_R(\cdot, re^{i\theta})) d\theta + \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} D_{\mu_2}(f_R(re^{i\theta}, \cdot)) d\theta \\
& \leq \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} D_{\mu_1}(f(\cdot, rR_2 e^{i\theta})) d\theta + \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} D_{\mu_2}(f(rR_1 e^{i\theta}, \cdot)) d\theta.
\end{aligned}$$

This, combined with Lemma 1.1, yields

$$D_{\mu_1, \mu_2}(f_R) \leq \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} D_{\mu_1}(f(\cdot, re^{i\theta})) d\theta + \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} D_{\mu_2}(f(re^{i\theta}, \cdot)) d\theta.$$

An application of (3.5) now completes the proof. \blacksquare

Here is a key step in deducing the density of polynomials in $\mathcal{D}(\mu_1, \mu_2)$.

Lemma 3.7 For any $f \in \mathcal{D}(\mu_1, \mu_2)$,

$$\lim_{R_1, R_2 \rightarrow 1^-} D_{\mu_1, \mu_2}(f - f_R) = 0.$$

Proof The proof is an adaptation of that of [14, Theorem 7.3.1] to the present situation. For $R = (R_1, R_2) \in (0, 1)^2$, by the Parallelogram law (which holds for any seminorm) and Lemma 3.6,

$$\begin{aligned}
D_{\mu_1, \mu_2}(f - f_R) + D_{\mu_1, \mu_2}(f + f_R) & = 2(D_{\mu_1, \mu_2}(f) + D_{\mu_1, \mu_2}(f_R)) \\
& \leq 4D_{\mu_1, \mu_2}(f).
\end{aligned} \tag{3.7}$$

We claim that

$$\liminf_{R_1, R_2 \rightarrow 1^-} D_{\mu_1, \mu_2}(f + f_R) \geq 4D_{\mu_1, \mu_2}(f). \tag{3.8}$$

To see this, fix $r \in (0, 1)$. By Fatou's lemma,

$$\begin{aligned}
& \liminf_{R_1, R_2 \rightarrow 1^-} \left(\int_{\mathbb{T}} D_{\mu_1}((f + f_R)(\cdot, re^{i\theta})) d\theta + \int_{\mathbb{T}} D_{\mu_2}((f + f_R)(re^{i\theta}, \cdot)) d\theta \right) \\
& \geq 4 \left(\int_{\mathbb{T}} D_{\mu_1}(f(\cdot, re^{i\theta})) d\theta + \int_{\mathbb{T}} D_{\mu_2}(f(re^{i\theta}, \cdot)) d\theta \right).
\end{aligned} \tag{3.9}$$

On the other hand, by Lemma 1.1,

$$D_{\mu_1, \mu_2}(f + f_R) \geq \int_{\mathbb{T}} D_{\mu_1}((f + f_R)(\cdot, re^{i\theta}))d\theta + \int_{\mathbb{T}} D_{\mu_2}((f + f_R)(re^{i\theta}, \cdot))d\theta.$$

After taking \liminf on both sides (one by one) and applying (3.9), we get

$$\liminf_{R_1, R_2 \rightarrow 1^-} D_{\mu_1, \mu_2}(f + f_R) \geq 4 \left(\int_{\mathbb{T}} D_{\mu_1}(f(\cdot, re^{i\theta}))d\theta + \int_{\mathbb{T}} D_{\mu_2}(f(re^{i\theta}, \cdot))d\theta \right).$$

Letting $r \rightarrow 1^-$ on the right-hand side now yields (3.8) (see (3.5)). Finally, note that by (3.7),

$$\limsup_{R_1, R_2 \rightarrow 1^-} D_{\mu_1, \mu_2}(f - f_R) \leq 4D_{\mu_1, \mu_2}(f) - \liminf_{R_1, R_2 \rightarrow 1^-} D_{\mu_1, \mu_2}(f + f_R),$$

and hence by (3.8), we get

$$\limsup_{R_1, R_2 \rightarrow 1^-} D_{\mu_1, \mu_2}(f - f_R) = 0,$$

which completes the proof. ■

We now complete the proof of Theorem 2.1.

Proof (Proof of Theorem 2.1) Parts (i) and (iii) are Lemmas 3.4 and 3.5, respectively. To see (ii), let $f \in \mathcal{D}(\mu_1, \mu_2)$ and $\epsilon > 0$. It suffices to check that there exists a polynomial p in z_1 and z_2 such that $\|f - p\|_{\mathcal{D}(\mu_1, \mu_2)} < \epsilon$. It is easy to see using Lemma 3.7 that there exist an $R = (R_1, R_2) \in (0, 1)^2$ such that

$$\|f - f_R\|_{\mathcal{D}(\mu_1, \mu_2)} < \epsilon/2. \tag{3.10}$$

Since f_R is holomorphic in an open neighborhood of $\overline{\mathbb{D}}^2$, there exists a polynomial p such that

$$\|\partial^\alpha f_R - \partial^\alpha p\|_{\infty, \overline{\mathbb{D}}^2} < \frac{\sqrt{\epsilon}}{4\sqrt{M}}, \quad \alpha \in \{(0, 0), (1, 0), (0, 1)\},$$

where $M = \max \left\{ \int_{\mathbb{D}} P_{\mu_j}(w) dA(w) : j = 1, 2 \right\} + 1$. This together with the fact that the norm on $H^2(\mathbb{D}^2)$ is dominated by the $\|\cdot\|_{\infty, \overline{\mathbb{D}}^2}$ shows that $\|f_R - p\|_{\mathcal{D}(\mu_1, \mu_2)} < \epsilon/2$. Combining this with (3.10) yields $\|f - p\|_{\mathcal{D}(\mu_1, \mu_2)} < \epsilon$, which completes the proof. ■

The following provides a ground to discuss operator theory on $\mathcal{D}(\mu_1, \mu_2)$.

Corollary 3.8 For $j = 1, 2$, let \mathcal{M}_{z_j} denote the operator of multiplication by the coordinate function z_j . Then the commuting pair $\mathcal{M}_z = (\mathcal{M}_{z_1}, \mathcal{M}_{z_2})$ on $\mathcal{D}(\mu_1, \mu_2)$ is a cyclic toral 2-isometry with cyclic vector 1.

Proof Note that by Theorem 2.1(i) and the closed graph theorem, \mathcal{M}_z defines a pair of bounded linear operators \mathcal{M}_{z_1} and \mathcal{M}_{z_2} on $\mathcal{D}(\mu_1, \mu_2)$. By Theorem 2.1(ii), \mathcal{M}_z is cyclic with cyclic vector 1. Finally, the fact that \mathcal{M}_z is a total 2-isometry may be derived from (ii) and (iii) of Theorem 2.1. ■

Let $\kappa : \mathbb{D} \times \mathbb{D} \rightarrow \mathbb{C}$ denote the reproducing kernel of $\mathcal{D}(\mu_1, \mu_2)$ (see Lemma 3.1).

Corollary 3.9 For any $w \in \mathbb{D}^2$, $\ker(\mathcal{M}_z - w) = \{0\}$ and $\ker(\mathcal{M}_z^* - w)$ is the one-dimensional space spanned by $\kappa(\cdot, \bar{w})$.

Proof Since $\mathcal{D}(\mu_1, \mu_2)$ is contained in the space of complex-valued holomorphic functions on \mathbb{D}^2 , the pair \mathcal{M}_z has no eigenvalue. By Theorem 2.1, \mathcal{M}_z is cyclic, and hence, for any $w \in \mathbb{C}^2$, the dimension of $\ker(\mathcal{M}_z^* - w)$ is at most 1 (see (1.6)). If $w \in \mathbb{D}^2$, then by the reproducing property of $\mathcal{D}(\mu_1, \mu_2)$ (see Lemma 3.1), $\kappa(\cdot, \bar{w}) \in \ker(\mathcal{M}_z^* - w)$. Since $1 \in \mathcal{D}(\mu_1, \mu_2)$, once again by the reproducing property of $\mathcal{D}(\mu_1, \mu_2)$, $\kappa(\cdot, \bar{w}) \neq 0$. ■

Before we state the next application of Theorem 2.1, we need a formula for the inner-product of monomials in $\mathcal{D}(\mu_1, \mu_2)$.

Lemma 3.10 For $\mu \in M_+(\mathbb{T})$ and $j \geq 0$, let $\hat{\mu}(j) = \int_{\mathbb{T}} \zeta^{-j} d\mu(\zeta)$. Then

$$\langle z_1^m z_2^n, z_1^p z_2^q \rangle_{\mathcal{D}(\mu_1, \mu_2)} = \begin{cases} 0 & \text{if } m \neq p, n \neq q, \\ \min\{n, q\} \hat{\mu}_2(q - n) & \text{if } m = p, n \neq q, \\ \min\{m, p\} \hat{\mu}_1(p - m) & \text{if } m \neq p, n = q, \\ 1 + m \hat{\mu}_1(0) + n \hat{\mu}_2(0) & \text{if } m = p, n = q. \end{cases} \quad (3.11)$$

In particular, the monomials are orthogonal in $\mathcal{D}(\mu_1, \mu_2)$ if and only if μ_1 and μ_2 are nonnegative multiples of the Lebesgue measure on \mathbb{T} .

Proof Fix non-negative integers m, n, p, q . By the polarization identity,

$$\begin{aligned} \langle z_1^m z_2^n, z_1^p z_2^q \rangle_{\mathcal{D}(\mu_1, \mu_2)} &= \langle z_1^m z_2^n, z_1^p z_2^q \rangle_{H^2(\mathbb{D}^2)} \\ &+ \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} r^{n+q} e^{i(n-q)\theta} \int_{\mathbb{D}} \partial_1(z_1^m) \overline{\partial_1(z_1^p)} P_{\mu_1}(z_1) dA(z_1) d\theta \\ &+ \lim_{r \rightarrow 1^-} \int_{\mathbb{T}} r^{m+p} e^{i(m-p)\theta} \int_{\mathbb{D}} \partial_2(z_2^n) \overline{\partial_2(z_2^q)} P_{\mu_2}(z_2) dA(z_2) d\theta. \end{aligned}$$

Since $\langle z_1^m z_2^n, z_1^p z_2^q \rangle_{H^2(\mathbb{D}^2)} = \delta(m, p) \delta(n, q)$ with $\delta(\cdot, \cdot)$ denoting the Kronecker delta of two variables, (3.11) may be deduced from the following formula for the inner-product of the Dirichlet-type space $\mathcal{D}(\mu)$ (see [22, Equation (3.2)]):

$$\langle z^r, z^s \rangle_{\mathcal{D}(\mu)} = \delta(r, s) + \min\{r, s\} \hat{\mu}(s - r), \quad r, s \in \mathbb{Z}_+ \quad (3.12)$$

(this formula may also be derived directly using [28, Theorem 11.9]). The ‘‘In particular’’ part follows from the Weierstrass approximation theorem and Riesz representation theorem. ■

Remark 3.11 Assume that μ_1, μ_2 are non-zero. It is easy to see using (3.11) and (3.12) that $\|f\|_{\mathcal{D}(\mu_1, \mu_2)} = \|f\|_{\mathcal{D}(\mu_1) \otimes \mathcal{D}(\mu_2)}$ holds for all monomials f if and only if at least one of μ_1 and μ_2 is the zero measure. In particular, $\mathcal{D}(\mu_1, \mu_2) \neq \mathcal{D}(\mu_1) \otimes \mathcal{D}(\mu_2)$, in general.

The following is a consequence of (3.11) (see Definition 1.3).

Corollary 3.12 For $\mu_1, \mu_2 \in M_+(\mathbb{T})$, the subspace of $\mathcal{D}(\mu_1, \mu_2)$ spanned by the constant function 1 is a wandering subspace for \mathcal{M}_z on $\mathcal{D}(\mu_1, \mu_2)$.

A bounded linear operator T on a Hilbert space is *essentially normal* if $T^*T - TT^*$ is a compact operator. An essentially normal operator is said to be *essentially unitary* if $T^*T - I$ is compact. Unlike the case of one variable Dirichlet-type spaces (see [7, Proposition 2.21]), $\mathcal{D}(\mu_1, \mu_2)$ does not support essentially normal multiplication 2-tuple \mathcal{M}_z .

Corollary 3.13 The multiplication operators \mathcal{M}_{z_1} and \mathcal{M}_{z_2} on $\mathcal{D}(\mu_1, \mu_2)$ are never essentially normal.

Proof By Corollary 3.8, the multiplication 2-tuple \mathcal{M}_z is a toral 2-isometry. In particular, \mathcal{M}_{z_1} and \mathcal{M}_{z_2} are 2-isometries. If these are essentially normal, then the image of \mathcal{M}_{z_1} and \mathcal{M}_{z_2} in the Calkin algebra is a normal 2-isometry, and hence \mathcal{M}_{z_1} and \mathcal{M}_{z_2} are essentially unitary (since a normal 2-isometry, being invertible, is a unitary). It follows that \mathcal{M}_{z_1} and \mathcal{M}_{z_2} are Fredholm. In view of Atkinson's theorem (see [9, Theorem XI.2.3]), it suffices to check that the kernels of $\mathcal{M}_{z_1}^*$ and $\mathcal{M}_{z_2}^*$ are of infinite dimension. To see this, fix a nonnegative integer j . Note that by (3.11),

$$\langle \mathcal{M}_{z_1}^* z_2^j, z_1^p z_2^q \rangle = \langle z_2^j, z_1^{p+1} z_2^q \rangle = 0, \quad p, q \in \mathbb{Z}_+,$$

and hence by the linearity of the inner-product and the density of the polynomials in $\mathcal{D}(\mu_1, \mu_2)$ (see Theorem 2.1(ii)), we obtain $\mathcal{M}_{z_1}^* z_2^j = 0$. Similarly, one can check that $z_1^j \in \ker \mathcal{M}_{z_2}^*$, completing the proof. ■

4 Proof of Theorem 2.2 and a consequence

We begin the proof of Theorem 2.2 with the following special case.

Lemma 4.1 The Hardy space $H^2(\mathbb{D}^2)$ has the division property.

Proof For $j = 1, 2$ and $\lambda = (\lambda_1, \lambda_2) \in \mathbb{D}^2$, let $f \in H^2(\mathbb{D}^2)$ be such that $\{z \in \mathbb{D}^2 : z_j = \lambda_j\} \subseteq Z(f)$. Let $w = (w_1, w_2) \in \mathbb{D}^2$. If $w_j \neq \lambda_j$, then clearly $g_j(z) = \frac{f(z)}{z_j - \lambda_j}$ defines a holomorphic function in a neighborhood of w . If $w_j = \lambda_j$, then since $\{z \in \mathbb{D}^2 : z_j = \lambda_j\} \subseteq Z(f)$, f as a function of z_j has a removable singularity at w_j , and hence by Hartogs' separate analyticity theorem (see [27, pp 1-2]), g_j as above extends holomorphically in a neighborhood of w . This shows that g_j is holomorphic on \mathbb{D}^2 . To

see that $g_j \in H^2(\mathbb{D}^2)$, note that for $r \in (|\lambda_j|, 1)$ and $\theta_1, \theta_2 \in [0, 2\pi]$,

$$\frac{|f(re^{i\theta_1}, re^{i\theta_2})|}{|re^{i\theta_j} - \lambda_j|} \leq \frac{|f(re^{i\theta_1}, re^{i\theta_2})|}{r - |\lambda_j|}.$$

Since $f \in H^2(\mathbb{D}^2)$, it may now be deduced from (1.4) that $g_j \in H^2(\mathbb{D}^2)$. ■

Remark 4.2 One may argue as above to see that for any positive integer d , the Hardy space $H^2(\mathbb{D}^d)$ has the division property.

We also need the following fact essentially noticed in [26].

Lemma 4.3 For any $\mu \in M_+(\mathbb{T})$, $\mathcal{D}(\mu)$ has the division property.

Proof For $\lambda \in \mathbb{D}$, let $g \in \mathcal{D}(\mu)$ be such that $g(\lambda) = 0$. Note that g is orthogonal to $\kappa(\cdot, \lambda)$. Since $\ker(\mathcal{M}_z^* - \bar{\lambda})$ is spanned by $\kappa(\cdot, \lambda)$ and the range of $\mathcal{M}_z - \lambda$ is closed (see [26, Corollary 3.8]), there exists $f \in \mathcal{D}(\mu)$ such that $g = (z - \lambda)f$, which completes the proof. ■

Proof (Proof of Theorem 2.2) For $\lambda \in \mathbb{D}$, assume that $(z_j - \lambda)h \in \mathcal{D}(\mu_1, \mu_2)$ for some $j = 1, 2$. Thus

$$(z_j - \lambda)h \in H^2(\mathbb{D}^2) \tag{4.1}$$

$$D_{\mu_1, \mu_2}((z_j - \lambda)h) < \infty. \tag{4.2}$$

Since the arguments for the cases $j = 1, 2$ are similar, we only treat the case when $j = 1$. It follows from Lemma 4.1 and (4.1) that $h \in H^2(\mathbb{D}^2)$. Applying (3.4) to (4.2) gives

$$D_{\mu_1}((z_1 - \lambda)h(\cdot, re^{i\theta})) < \infty, \quad r \in (0, 1), \theta \in \Omega_r, \tag{4.3}$$

where Ω_r is a Lebesgue measurable subset of $[0, 2\pi]$ such that $[0, 2\pi] \setminus \Omega_r$ is of measure 0. For $r \in (0, 1)$ and $\theta \in \Omega_r$, consider $f_{r, \theta} : \mathbb{D} \rightarrow \mathbb{C}$ defined by

$$f_{r, \theta}(w) = (w - \lambda)h(w, re^{i\theta}), \quad w \in \mathbb{D}.$$

By (4.1) and Lemma 3.2, $f_{r, \theta}$ belongs to $H^2(\mathbb{D})$. Hence, by (4.3), $f_{r, \theta}$ belongs to $\mathcal{D}(\mu_1)$. Hence, by Lemma 4.3, $h(\cdot, re^{i\theta}) \in \mathcal{D}(\mu_1)$. Since

$$\|h(\cdot, re^{i\theta})\|_{\mathcal{D}(\mu_1)} \leq \|wh(\cdot, re^{i\theta})\|_{\mathcal{D}(\mu_1)}$$

(see [26, Theorem 3.6]), by the reverse triangle inequality,

$$\|f_{r, \theta}\|_{\mathcal{D}(\mu_1)}^2 \geq (1 - |\lambda|)^2 \|h(\cdot, re^{i\theta})\|_{\mathcal{D}(\mu_1)}^2 \geq (1 - |\lambda|)^2 D_{\mu_1}(h(\cdot, re^{i\theta})).$$

Integrating both sides over $[0, 2\pi]$ yields

$$\begin{aligned} (1 - |\lambda|)^2 \int_0^{2\pi} D_{\mu_1}(h(\cdot, re^{i\theta})) d\theta &\leq \int_0^{2\pi} \|f_{r, \theta}\|_{H^2(\mathbb{D})}^2 d\theta + \int_0^{2\pi} D_{\mu_1}(f_{r, \theta}) d\theta \\ &\leq \|(z_1 - \lambda)h\|_{H^2(\mathbb{D}^2)}^2 + D_{\mu_1, \mu_2}((z_1 - \lambda)h), \end{aligned}$$

where we used (3.2). Taking supremum over $r \in (0, 1)$ gives now

$$\sup_{0 < r < 1} \int_0^{2\pi} D_{\mu_1}(h(\cdot, re^{i\theta}))d\theta < \infty.$$

Also, since $h \in H^2(\mathbb{D}^2)$, it now suffices to check that

$$\sup_{0 < r < 1} \int_0^{2\pi} D_{\mu_2}(h(re^{i\theta}, \cdot))d\theta < \infty. \tag{4.4}$$

Note that by (4.2),

$$\sup_{0 < r < 1} \int_0^{2\pi} D_{\mu_2}((z_1 - \lambda)h(re^{i\theta}, \cdot))d\theta < \infty.$$

However, for any $s \in (|\lambda|, 1)$,

$$\begin{aligned} & \sup_{0 < r < 1} \int_0^{2\pi} D_{\mu_2}((z_1 - \lambda)h(re^{i\theta}, \cdot))d\theta \\ & \geq \int_0^{2\pi} D_{\mu_2}((z_1 - \lambda)h(se^{i\theta}, \cdot))d\theta \\ & \geq (s - |\lambda|)^2 \int_0^{2\pi} \int_{\mathbb{D}} |\partial_2 h(se^{i\theta}, w)|^2 P_{\mu_2}(w) dA(w) d\theta. \end{aligned}$$

Applying Lemma 1.1 and letting $s \uparrow 1^-$ now yields (4.4). ■

Before we present an application of Theorem 2.2, let us recall some facts from the multivariate spectral theory (see [11, 12, 30]). Let $T = (T_1, T_2)$ be a commuting pair on \mathcal{H} and set $D_T(x) = (T_1x, T_2x)$, $x \in \mathcal{H}$. Note that

$$\text{if } D_T^*D_T \text{ is Fredholm, then } D_T \text{ has closed range.} \tag{4.5}$$

Indeed, if $D_T^*D_T$ is Fredholm, then D_T is left-Fredholm, and hence we obtain (4.5). To define the Taylor spectrum, we consider the following complex:

$$K(T, \mathcal{H}) : \{0\} \xrightarrow{0} \mathcal{H} \xrightarrow{B_2} \mathcal{H} \oplus \mathcal{H} \xrightarrow{B_1} \mathcal{H} \xrightarrow{0} \{0\}, \tag{4.6}$$

where the boundary maps B_1 and B_2 are given by

$$B_2(h) := (T_2h, -T_1h), \quad B_1(h_1, h_2) := T_1h_1 + T_2h_2.$$

Note that $K(T, \mathcal{H})$ is a complex, that is, $B_1 \circ B_2 = 0$. Let $H^k(T)$ denote the k -th cohomology group in $K(T, \mathcal{H})$, $k = 0, 1, 2$. Following [30] (resp. [11]), we say that T is Taylor-invertible (resp. Fredholm) if $H^k(T) = \{0\}$ (resp. $\dim H^k(T) < \infty$) for $k = 0, 1, 2$. The Taylor spectrum $\sigma(T)$ and the essential spectrum $\sigma_e(T)$ are given by

$$\begin{aligned} \sigma(T) &= \{\lambda \in \mathbb{C}^2 : T - \lambda \text{ is not Taylor-invertible}\}, \\ \sigma_e(T) &= \{\lambda \in \mathbb{C}^2 : T - \lambda \text{ is not Fredholm}\}. \end{aligned}$$

The Fredholm index $\text{ind}(T)$ of a commuting 2-tuple T on \mathcal{H} is the Euler characteristic of the Koszul complex $K(T, \mathcal{H})$, that is,

$$\text{ind}(T) := \dim H^0(T) - \dim H^1(T) + \dim H^2(T). \tag{4.7}$$

As an application of the division property, we now show that we always have exactness at the middle stage of the Koszul complex of the multiplication 2-tuple \mathcal{M}_z on $\mathcal{D}(\mu_1, \mu_2)$. First a general fact.

Lemma 4.4 *Let \mathcal{H} be a reproducing kernel Hilbert space of complex-valued holomorphic functions on the unit bidisc \mathbb{D}^2 . Assume that $\mathcal{M}_z = (\mathcal{M}_{z_1}, \mathcal{M}_{z_2})$ is a commuting pair on \mathcal{H} . If \mathcal{H} has the division property, then for every $\lambda = (\lambda_1, \lambda_2) \in \mathbb{D}^2$, the Koszul complex of $\mathcal{M}_z - \lambda = (\mathcal{M}_{z_1} - \lambda_1, \mathcal{M}_{z_2} - \lambda_2)$ is exact at the middle stage (see (4.6)).*

Proof Note that \mathcal{H} has the division property if and only if for $j = 1, 2$, we have the following property:

$$\begin{aligned} &\text{for any holomorphic function } h : \mathbb{D}^2 \rightarrow \mathbb{C} \text{ and } \lambda \in \mathbb{D}^2, \\ &\text{if } (z_j - \lambda_j)h \in \mathcal{H}, \text{ then } h \in \mathcal{H}. \end{aligned} \quad (4.8)$$

We first assume that (4.8) holds for $j = 2$. To see that the Koszul complex of $\mathcal{M}_z - \lambda$ is exact at the middle stage, let $g, h \in \mathcal{H}$ be such that

$$(z_2 - \lambda_2)g(z_1, z_2) = (z_1 - \lambda_1)h(z_1, z_2), \quad (z_1, z_2) \in \mathbb{D}^2. \quad (4.9)$$

Letting $z_2 = \lambda_2$, we obtain $(z_1 - \lambda_1)h(z_1, \lambda_2) = 0$ for every $z_1 \in \mathbb{D}$. It follows that $h(\cdot, \lambda_2) = 0$ on \mathbb{D} . Since $h : \mathbb{D}^2 \rightarrow \mathbb{C}$ is holomorphic, there exists a holomorphic function $k : \mathbb{D}^2 \rightarrow \mathbb{C}$ such that

$$h(z_1, z_2) = (z_2 - \lambda_2)k(z_1, z_2), \quad (z_1, z_2) \in \mathbb{D}^2 \quad (4.10)$$

(in case of $\lambda_2 = 0$, this can be seen using the power series for h ; the general case can be dealt now by replacing $h(z_1, z_2)$ by $h(z_1, \varphi(z_2))$, where φ is the automorphism of \mathbb{D} which takes λ_2 to 0). Since $h \in \mathcal{H}$, by (4.8), $k \in \mathcal{H}$. We now combine (4.9) with (4.10) to obtain

$$\begin{aligned} (z_2 - \lambda_2)g(z_1, z_2) &= (z_1 - \lambda_1)h(z_1, z_2) \\ &= (z_1 - \lambda_1)(z_2 - \lambda_2)k(z_1, z_2), \quad z \in \mathbb{D}^2. \end{aligned}$$

This gives $g(z_1, z_2) = (z_1 - \lambda_1)k(z_1, z_2)$, $z \in \mathbb{D}^2$. This together with (4.10) shows that $\mathcal{M}_z - \lambda$ is exact at the middle stage. We may also obtain the same conclusion in case (4.8) holds for $j = 1$. Indeed, one may proceed as above with the only change that the roles of λ_1 and λ_2 are interchanged (e.g. (4.9) is evaluated at $z_1 = \lambda_1$). ■

Remark 4.5 Let Ω be a bounded domain in \mathbb{C}^2 . One may imitate the first part of the proof of Lemma 4.1 to show that there exists a holomorphic function $k : \Omega \rightarrow \mathbb{C}$ satisfying (4.10). This gives an analog of Lemma 4.4 for arbitrary bounded domains.

The following is a consequence of Theorem 2.2 and Lemma 4.4.

Corollary 4.6 *For every $\lambda = (\lambda_1, \lambda_2) \in \mathbb{D}^2$, the Koszul complex of the 2-tuple $\mathcal{M}_z - \lambda = (\mathcal{M}_{z_1} - \lambda_1, \mathcal{M}_{z_2} - \lambda_2)$ on $\mathcal{D}(\mu_1, \mu_2)$ is exact at the middle stage (see (4.6)).*

5 Proof of Theorem 2.3 and its consequences

We begin with a lemma, which is a variant of [17, Lemma 4.14]. We include its proof for the sake of completeness.

Lemma 5.1 For a domain Ω of \mathbb{C}^2 , let \mathcal{H} be the reproducing kernel Hilbert space of complex-valued holomorphic functions associated with the kernel $\kappa : \Omega \times \Omega \rightarrow \mathbb{C}$. Assume that the constant function 1 belongs to \mathcal{H} , the multiplication operators $\mathcal{M}_{z_1}, \mathcal{M}_{z_2}$ are bounded on \mathcal{H} and the commuting 2-tuple \mathcal{M}_z is cyclic. For $w \in \Omega$, Gleason’s problem can be solved for \mathcal{H} over $\{w\}$ if and only if

$$D_{\mathcal{M}_z^* - \bar{w}}^* \text{ has closed range.} \tag{5.1}$$

In particular, Gleason’s problem can be solved for \mathcal{H} over $\Omega \setminus \sigma_e(\mathcal{M}_z)$.

Proof Let $w \in \Omega$ and let $f \in \mathcal{H}$. By the reproducing kernel property of \mathcal{H} ,

$$f - f(w) \in \{c\kappa(\cdot, w) : c \in \mathbb{C}\}^\perp. \tag{5.2}$$

However, since \mathcal{M}_z is cyclic, $\dim \ker(\mathcal{M}_z^* - \bar{w}) \leq 1$ for every $w \in \mathbb{C}^2$ (see (1.6)). As $1 \in \mathcal{H}$, we have $\kappa(\cdot, w) \neq 0$, and hence

$$\{c\kappa(\cdot, w) : c \in \mathbb{C}\} = \ker(\mathcal{M}_z^* - \bar{w}) = \ker D_{\mathcal{M}_z^* - \bar{w}}.$$

It now follows from (5.2) that

$$f - f(w) \in (\ker D_{\mathcal{M}_z^* - \bar{w}})^\perp = \overline{\text{ran}(D_{\mathcal{M}_z^* - \bar{w}}^*)}. \tag{5.3}$$

Also, it is easy to see that

$$\text{ran}(D_{\mathcal{M}_z^* - \bar{w}}^*) = \{(z_1 - w_1)g_1 + (z_2 - w_2)g_2 : g_1, g_2 \in \mathcal{H}\}. \tag{5.4}$$

If (5.1) holds, then it now follows from (5.3) that

$$f - f(w) \in \{(z_1 - w_1)g_1 + (z_2 - w_2)g_2 : g_1, g_2 \in \mathcal{H}\},$$

and hence Gleason’s problem can be solved for \mathcal{H} over $\{w\}$. Conversely, if Gleason’s problem can be solved for \mathcal{H} over $\{w\}$, then by (5.3), any function in $\overline{\text{ran}(D_{\mathcal{M}_z^* - \bar{w}}^*)}$ is of the form $f - f(w)$ for some $f \in \mathcal{H}$, and hence by (5.4), it belongs to $\text{ran}(D_{\mathcal{M}_z^* - \bar{w}}^*)$. This completes the proof of the equivalence.

To see the remaining part, let $w = (w_1, w_2) \in \Omega \setminus \sigma_e(\mathcal{M}_z)$. Since $D_S^* D_S = S_1^* S_1 + S_2^* S_2$ for any commuting pair $S = (S_1, S_2)$, by [11, Corollary 3.6], the operator $D_{\mathcal{M}_z^* - \bar{w}}^* D_{\mathcal{M}_z^* - \bar{w}}$ is Fredholm, and hence by (4.5), $D_{\mathcal{M}_z^* - \bar{w}}$ has closed range. Hence, by the closed-range theorem (see [9, Theorem VI.1.10]), we obtain (5.1) completing the proof. ■

Remark 5.2 Let \mathcal{M}_z be the multiplication 2-tuple on the Hardy space $H^2(\mathbb{D}^2)$ of the unit bidisc \mathbb{D}^2 . Since $\sigma_e(\mathcal{M}_z) \cap \mathbb{D}^2 = \emptyset$ (see [11, Theorem 5(c)]), by Lemma 5.1, Gleason’s problem can be solved for $H^2(\mathbb{D}^2)$.

The following lemma provides a situation in which the division property ensures a solution to Gleason's problem.

Lemma 5.3 *Let \mathcal{H} be a reproducing kernel Hilbert space of complex-valued holomorphic functions on the unit bidisc \mathbb{D}^2 and let $w = (w_1, w_2) \in \mathbb{D}^2$. Assume that \mathcal{H} has the division property and $\mathcal{M}_z = (\mathcal{M}_{z_1}, \mathcal{M}_{z_2})$ is a commuting pair on \mathcal{H} . If, for every $f \in \mathcal{H}$, either $f(\cdot, w_2)$ or $f(w_1, \cdot)$ belongs to \mathcal{H} , then Gleason's problem can be solved for \mathcal{H} over $\{w\}$.*

Proof For $f \in \mathcal{H}$, assume that $f(w_1, \cdot) \in \mathcal{H}$. Thus $f - f(w_1, \cdot) \in \mathcal{H}$. Hence, if $h : \mathbb{D}^2 \rightarrow \mathbb{C}$ is a holomorphic function such that

$$f(z_1, z_2) - f(w_1, z_2) = (z_1 - w_1)h(z_1, z_2), \quad z_1, z_2 \in \mathbb{D}, \quad (5.5)$$

by the division property for \mathcal{H} , we have $h \in \mathcal{H}$. Also, since $f(w_1, \cdot) \in \mathcal{H}$, one may argue as above to see that there exists $k \in \mathcal{H}$ satisfying

$$f(w_1, z_2) - f(w_1, w_2) = (z_2 - w_2)k(z_1, z_2), \quad z_1, z_2 \in \mathbb{D}.$$

This, combined with (5.5), completes the proof in this case. Similarly, one can deal with the case in which $f(\cdot, w_2) \in \mathcal{H}$. ■

We also need the following fact of independent interest:

Lemma 5.4 *For every $f \in \mathcal{D}(\mu_1, \mu_2)$, the slice functions $f(\cdot, 0)$ and $f(0, \cdot)$ belong to $\mathcal{D}(\mu_1, \mu_2)$. Moreover, the mappings $f \mapsto f(\cdot, 0)$ and $f \mapsto f(0, \cdot)$ from $\mathcal{D}(\mu_1, \mu_2)$ into itself are contractive homomorphisms.*

Proof If $f \in \mathcal{D}(\mu_1, \mu_2)$, then

$$\begin{aligned} & \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_1 f(z_1, 0)|^2 P_{\mu_1}(z_1) dA(z_1) d\theta \\ & + \int_{\mathbb{T}} \int_{\mathbb{D}} |\partial_2 f(0, z_2)|^2 P_{\mu_2}(z_2) dA(z_2) d\theta \leq D_{\mu_1, \mu_2}(f). \end{aligned}$$

Since the mappings $f \mapsto f(\cdot, 0)$ and $f \mapsto f(0, \cdot)$ from $H^2(\mathbb{D}^2)$ into itself are contractive homomorphisms, the desired conclusions may be deduced from the estimate above. ■

Proof (Proof of Theorem 2.3) Since $\mathcal{D}(\mu_1, \mu_2)$ has the division property (see Theorem 2.2), by Lemmas 5.3 and 5.4, Gleason's problem can be solved for \mathcal{H} over $\{(0, 0)\}$. Hence, by Lemma 5.1 (which is applicable since \mathcal{M}_z on $\mathcal{D}(\mu_1, \mu_2)$ is cyclic; see Theorem 2.1), $D_{\mathcal{M}_z}^*$ has closed range (see (5.1)). It is now easy to see using Corollaries 3.9 and 4.6 that \mathcal{M}_z is Fredholm. Since the essential spectrum is a closed subset of \mathbb{C}^2 not containing $(0, 0)$, there exists $r > 0$ such that $\mathbb{D}_r^2 \subseteq \mathbb{C}^2 \setminus \sigma_e(\mathcal{M}_z)$. Another application of Lemma 5.1 now completes the proof. ■

The following fact is implicit in the proof of Theorem 2.3.

Corollary 5.5 The commuting 2-tuple \mathcal{M}_z^* on $\mathcal{D}(\mu_1, \mu_2)$ belongs to $\mathbf{B}_1(\mathbb{D}_r^2)$ for some $r \in (0, 1]$.

Proof This may be deduced from Theorem 2.3, Lemma 3.1, Corollary 3.9 and Lemma 5.1 (see (5.1)). ■

We conclude this section with the following corollary describing the cokernels of the multiplication operators \mathcal{M}_{z_j} , $j = 1, 2$, on $\mathcal{D}(\mu_1, \mu_2)$.

Corollary 5.6 For $1 \leq i \neq j \leq 2$, $\ker \mathcal{M}_{z_j}^* = \bigvee \{z_i^k : k \geq 0\}$.

Proof As observed in the proof of Corollary 3.13,

$$\{p(z_i) : p \in \mathbb{C}[w]\} \subseteq \ker \mathcal{M}_{z_j}^*, \quad 1 \leq i \neq j \leq 2. \tag{5.6}$$

To see the reverse inclusion, let $f \in \ker \mathcal{M}_{z_1}^*$. By Theorem 2.1, there exists a sequence $\{p_n\}_{n \geq 1}$ of complex polynomials in z_1, z_2 converging to f . By Lemma 5.4, $f(0, \cdot) \in \mathcal{D}(\mu_1, \mu_2)$, and $\{p_n(0, \cdot)\}_{n \geq 1}$ converges to $f(0, \cdot)$. Hence, by (5.6), $f(0, \cdot) \in \ker \mathcal{M}_{z_1}^*$. Thus $f - f(0, \cdot) \in \ker \mathcal{M}_{z_1}^*$. However, there exists a holomorphic function $h : \mathbb{D}^2 \rightarrow \mathbb{C}$ such that

$$f(z_1, z_2) - f(0, z_2) = z_1 h(z_1, z_2), \quad z_1, z_2 \in \mathbb{D}. \tag{5.7}$$

By Theorem 2.2, we have $h \in \mathcal{D}(\mu_1, \mu_2)$. It now follows from (5.7) that $\mathcal{M}_{z_1} h \in \ker \mathcal{M}_{z_1}^*$. Since $\mathcal{M}_{z_1}^* \mathcal{M}_{z_1}$ is invertible, we must have $h = 0$, and hence, by (5.7), $f = f(0, \cdot)$, or equivalently, f belongs to the closure of $\{p(z_2) : p \in \mathbb{C}[w]\}$. Similarly, one can check that $\ker \mathcal{M}_{z_2}^*$ is equal to the closure of $\{p(z_1) : p \in \mathbb{C}[w]\}$. ■

6 Proof of Theorem 2.4 and its consequences

The proof of Theorem 2.4 relies on revealing the structure of toral 2-isometries T with $\ker T^*$ as a wandering subspace (see Definition 1.3).

Lemma 6.1 Let $T = (T_1, T_2)$ be a toral 2-isometry. Then the following statements are true:

(i) for any integers $k, l \geq 0$,

$$\begin{aligned} T_1^{*k} T_2^{*l} T_2^l T_1^k &= T_1^{*k} T_1^k + T_2^{*l} T_2^l - I \\ &= k T_1^* T_1 + l T_2^* T_2 - (k + l - 1)I, \end{aligned} \tag{6.1}$$

(ii) for $f_0 \in \ker T^*$, assume that

$$\langle T_1^m f_0, T_1^p T_2^q f_0 \rangle = 0, \quad q \geq 1, m, p \geq 0, \tag{6.2}$$

$$\langle T_2^n f_0, T_1^p T_2^q f_0 \rangle = 0, \quad p \geq 1, n, q \geq 0. \tag{6.3}$$

Then we have the following:

$$\langle T_1^m T_2^n f_0, T_1^p T_2^q f_0 \rangle = \begin{cases} 0 & \text{if } m \neq p, n \neq q, \\ \langle T_2^n f_0, T_2^q f_0 \rangle & \text{if } m = p, n \neq q, \\ \langle T_1^m f_0, T_1^p f_0 \rangle & \text{if } m \neq p, n = q, \\ \|T_1^m f_0\|^2 + \|T_2^n f_0\|^2 - \|f_0\|^2 & \text{if } m = p, n = q. \end{cases}$$

Proof (i) To see (6.1), we proceed by strong induction on $k + l$, $k, l \geq 0$. Clearly, (6.1) holds for $0 \leq k + l \leq 1$. Assume that (6.1) holds for integers $k, l \geq 0$ such that $0 \leq k + l \leq n$. Note that for $k \geq 1$ and $l \leq n - 1$, by the induction hypothesis,

$$\begin{aligned} T_1^*(T_1^{*k} T_2^{*l} T_2^l T_1^k) T_1 &= T_1^{*k+1} T_1^{k+1} + T_1^* T_2^{*l} T_2^l T_1 - T_1^* T_1 \\ &= T_1^{*k+1} T_1^{k+1} + T_2^{*l} T_2^l - I. \end{aligned}$$

Similarly, for $k \leq n - 1$ and $l \geq 1$, (6.1) holds. This completes the induction argument. The remaining identity in (i) now follows from the known fact that for any 2-isometry S , we have

$$S^{*k} S^k = k(S^* S - I) + I, \quad k \geq 0 \quad (6.4)$$

(this known fact can be seen by induction on $k \geq 1$).

(ii) Let m, n, p, q be integers such that $m \neq p$ and $n \neq q$. Consider the case when $m < p$ and $n < q$. Since $f_0 \in \ker T^*$, we have

$$\begin{aligned} &\langle T_1^m T_2^n f_0, T_1^p T_2^q f_0 \rangle \\ &= \langle T_2^{*n} T_1^{*m} T_1^m T_2^n f_0, T_1^{p-m} T_2^{q-n} f_0 \rangle \\ &\stackrel{(6.1)}{=} \langle T_1^{*m} T_1^m f_0, T_1^{p-m} T_2^{q-n} f_0 \rangle + \langle T_2^{*n} T_2^n f_0, T_1^{p-m} T_2^{q-n} f_0 \rangle \\ &= \langle T_1^m f_0, T_1^p T_2^{q-n} f_0 \rangle + \langle T_2^n f_0, T_2^q T_1^{p-m} f_0 \rangle, \end{aligned}$$

which, by (6.2) and (6.3), is equal to 0. Since the inner-product is conjugate linear, $\langle T_1^m T_2^n f_0, T_1^p T_2^q f_0 \rangle = 0$ when $p < m$ and $q < n$. Consider the case when $m < p$ and $q < n$. Arguing as above, we have

$$\begin{aligned} &\langle T_1^m T_2^n f_0, T_1^p T_2^q f_0 \rangle \\ &= \langle T_2^{*q} T_1^{*m} T_1^m T_2^q T_2^{n-q} f_0, T_1^{p-m} f_0 \rangle \\ &\stackrel{(6.1) \& (6.3)}{=} \langle T_1^{*m} T_1^m T_2^{n-q} f_0, T_1^{p-m} f_0 \rangle + \langle T_2^{*q} T_2^q T_2^{n-q} f_0, T_1^{p-m} f_0 \rangle \\ &= \langle T_1^m T_2^{n-q} f_0, T_1^p f_0 \rangle + \langle T_2^n f_0, T_2^q T_1^{p-m} f_0 \rangle, \end{aligned}$$

which, by (6.2) and (6.3), is equal to 0. Once again, by the conjugate-symmetry, $\langle T_1^m T_2^n f_0, T_1^p T_2^q f_0 \rangle = 0$ when $p < m$ and $n < q$.

If $m = p$ and $n \neq q$, then one may argue as above using (6.4) (by making cases $n < q$ and $q < n$) to show that $\langle T_1^m T_2^n f_0, T_1^p T_2^q f_0 \rangle = \langle T_2^n f_0, T_2^q f_0 \rangle$. Similarly, one may derived the formula in case of $m \neq p$ and $n = q$. Finally, if $m = p$ and $n = q$, then the formula follows from (6.1). ■

Proof (Proof of Theorem 2.4) (i) \Rightarrow (iii) Fix $j \in \{1, 2\}$. Since T is analytic, so is T_j . Thus T_j is an analytic 2-isometry. Consider the T_j -invariant subspace $\mathcal{H}_j := \vee \{T_j^k f_0 :$

$k \geq 0$ and note that $T_j|_{\mathcal{H}_j}$ is a cyclic analytic 2-isometry. Hence, by [26, Theorem 5.1], there exist a finite positive Borel measure μ_j on \mathbb{T} and a unitary map $V_j : \mathcal{H}_j \rightarrow \mathcal{D}(\mu_j)$ such that

$$V_j f_0 = 1, \quad V_j T_j = \mathcal{M}_w^{(j)} V_j, \tag{6.5}$$

where $\mathcal{M}_w^{(j)}$ denotes the operator of multiplication by the coordinate function w on $\mathcal{D}(\mu_j)$. We contend that the map is given by

$$U(T_1^k T_2^l f_0) = z_1^k z_2^l, \quad k, l \geq 0$$

extends to an unitary from \mathcal{H} onto $\mathcal{D}(\mu_1, \mu_2)$. Since $\mathcal{H} = \vee\{T_1^k T_2^l f_0 : k, l \geq 0\}$ and $\mathcal{D}(\mu_1, \mu_2) = \vee\{z_1^k z_2^l : k, l \geq 0\}$, it suffices to check that

$$\langle T_1^m T_2^n f_0, T_1^p T_2^q f_0 \rangle = \langle z_1^m z_2^n, z_1^p z_2^q \rangle_{\mathcal{D}(\mu_1, \mu_2)}, \quad m, n, p, q \geq 0. \tag{6.6}$$

Note that for any integers $m, n \geq 0$, by (6.5),

$$\begin{aligned} \langle T_j^m f_0, T_j^n f_0 \rangle &= \langle V_j T_j^m f_0, V_j T_j^n f_0 \rangle_{\mathcal{D}(\mu_j)} \\ &= \langle (\mathcal{M}_w^{(j)})^m V_j f_0, (\mathcal{M}_w^{(j)})^n V_j f_0 \rangle_{\mathcal{D}(\mu_j)} \\ &= \langle w^m, w^n \rangle_{\mathcal{D}(\mu_j)} \\ &= \langle z_j^m, z_j^n \rangle_{\mathcal{D}(\mu_1, \mu_2)}. \end{aligned}$$

Since (6.2) and (6.3) hold (as $\ker T^*$ is a wandering subspace for T), combining this with Lemma 6.1(ii) yields (6.6), which completes the proof.

(iii)⇒(ii) This follows from Corollaries 3.8, 3.12 and 5.5.

(ii)⇒(i) It suffices to check that T is analytic. By Oka-Grauert’s theorem (see [21, P. 71, Corollary 2.17], [16, P. 3]), every holomorphic vector bundle on a bidisc is holomorphically trivial. Combining this with the proof of [16, Theorem 4.5] shows that if $T^* \in \mathbf{B}_1(\mathbb{D}_r^2)$, then T is unitarily equivalent to the multiplication 2-tuple \mathcal{M}_z on a reproducing kernel Hilbert space of scalar-valued holomorphic functions on \mathbb{D}_r^2 . Since \mathcal{M}_z is analytic, T is analytic. ■

The conclusion of Theorem 2.4 can be rephrased as follows:

Corollary 6.2 *A cyclic analytic toral 2-isometric 2-tuple on \mathcal{H} is unitarily equivalent to the multiplication pair \mathcal{M}_z on $\mathcal{D}(\mu_1, \mu_2)$ if and only if $\ker T^*$ is wandering subspace for T spanned by a cyclic vector for T .*

Remark 6.3 Let \mathcal{D} denote the Dirichlet space (that is, the Dirichlet-type space associated with the Lebesgue measure on the unit circle) and let \mathcal{M}_w be the operator of multiplication by w on \mathcal{D} . It is easy to see that the commuting pair $T = (\mathcal{M}_w, \mathcal{M}_w)$ is a cyclic analytic toral 2-isometry on \mathcal{D} . Note that $\ker T^* = \ker \mathcal{M}_w^*$ is spanned by 1 and it is not a wandering subspace for T . It is evident that T is not unitarily equivalent to the multiplication pair \mathcal{M}_z on $\mathcal{D}(\mu_1, \mu_2)$ for any $\mu_1, \mu_2 \in M_+(\mathbb{T})$.

The following is a 2-variable analog of [26, Theorem 5.2].

Theorem 6.4 For $j = 1, 2$, let $\mu_1^{(j)}, \mu_2^{(j)} \in M_+(\mathbb{T})$. Then the multiplication 2-tuple $\mathcal{M}_z^{(1)}$ on $\mathcal{D}(\mu_1^{(1)}, \mu_2^{(1)})$ is unitarily equivalent to the multiplication 2-tuple $\mathcal{M}_z^{(2)}$ on $\mathcal{D}(\mu_1^{(2)}, \mu_2^{(2)})$ if and only if $\mu_j^{(1)} = \mu_j^{(2)}$, $j = 1, 2$.

Proof Suppose there is a unitary operator $U : \mathcal{D}(\mu_1^{(1)}, \mu_2^{(1)}) \rightarrow \mathcal{D}(\mu_1^{(2)}, \mu_2^{(2)})$ such that

$$\mathcal{M}_{z_j}^{(2)} U = U \mathcal{M}_{z_j}^{(1)}, \quad j = 1, 2. \quad (6.7)$$

Since the joint kernel of the adjoint of multiplication tuples is spanned by 1, by (6.7), U must map 1 to some constant of modulus 1. After multiplying U by a unimodular constant, if required, we may assume that $U1 = 1$. It now follows from (6.7) that U is identity on polynomials. By Lemma 3.5 (applied twice), we obtain for any polynomial p in two variables,

$$\begin{aligned} \int_{\mathbb{T}^2} |p(e^{i\eta}, e^{i\theta})|^2 d\mu_1^{(1)}(\eta) d\theta &= \int_{\mathbb{T}^2} |p(e^{i\eta}, e^{i\theta})|^2 d\mu_1^{(2)}(\eta) d\theta, \\ \int_{\mathbb{T}^2} |p(e^{i\theta}, e^{i\eta})|^2 d\mu_2^{(1)}(\eta) d\theta &= \int_{\mathbb{T}^2} |p(e^{i\theta}, e^{i\eta})|^2 d\mu_2^{(2)}(\eta) d\theta. \end{aligned}$$

It is easy to see that for any polynomial p in one variable,

$$\int_{\mathbb{T}} |p(e^{i\eta})|^2 d\mu_j^{(1)}(\eta) = \int_{\mathbb{T}} |p(e^{i\eta})|^2 d\mu_j^{(2)}(\eta), \quad j = 1, 2.$$

Combining polarization identity with the uniqueness of the trigonometric moment problem yields the desired uniqueness. ■

Remark 6.5 One may use Lemma 3.5 and argue as in [26, Theorem 6.2] to obtain the following fact: For $j = 1, 2$, let $\mu_1^{(j)}, \mu_2^{(j)} \in M_+(\mathbb{T})$. Then

$$\mathcal{D}(\mu_1^{(1)}, \mu_2^{(1)}) \subseteq \mathcal{D}(\mu_1^{(2)}, \mu_2^{(2)})$$

if and only if $\mu_j^{(2)} \ll \mu_j^{(1)}$ and the Radon-Nikodým derivative $d\mu_j^{(2)}/d\mu_j^{(1)} \in L^\infty(\mathbb{T})$, $j = 1, 2$. We leave the details to the reader.

We conclude this section with an application to toral isometries.

Corollary 6.6 Let $T = (T_1, T_2)$ be a cyclic analytic toral isometry with cyclic vector $f_0 \in \ker T^*$. Then the following statements are equivalent:

- (i) $\ker T^*$ is a wandering subspace for T ,
- (ii) T is unitarily equivalent to \mathcal{M}_z on $H^2(\mathbb{D}^2)$,
- (iii) T is doubly commuting, that is, $T_j^* T_i = T_i T_j^*$, $1 \leq i \neq j \leq 2$.

Proof (i) \Rightarrow (ii) By Theorem 2.4, there exist $\mu_1, \mu_2 \in M_+(\mathbb{T})$ such that T is unitarily equivalent to \mathcal{M}_z on $\mathcal{D}(\mu_1, \mu_2)$. Since T is a toral isometry, \mathcal{M}_z is also a toral isometry.

It now follows from (2.1) that for every polynomial p in two variables,

$$\int_{\mathbb{T}^2} |p(e^{i\eta}, e^{i\theta})|^2 d\mu_1(\eta)d\theta = 0, \quad \int_{\mathbb{T}^2} |p(e^{i\theta}, e^{i\eta})|^2 d\mu_2(\eta)d\theta = 0.$$

One may now argue as the proof of Theorem 6.4 to conclude that $\mu_1 = 0$ and $\mu_2 = 0$. This yields (ii).

The implication (ii) \Rightarrow (iii) is a routine verification, while the implication (iii) \Rightarrow (i) is recorded in Remark 1.3. ■

7 Concluding remarks

We conclude the paper with a brief discussion on the spectral picture of the multiplication 2-tuple \mathcal{M}_z on $\mathcal{D}(\mu_1, \mu_2)$. We claim that

$$\sigma(\mathcal{M}_z) = \overline{\mathbb{D}}^2, \quad (7.1)$$

$$\sigma_e(\mathcal{M}_z) \subseteq \overline{\mathbb{D}}^2 \setminus \Omega \quad (7.2)$$

for some open set Ω in \mathbb{C}^2 containing $(\mathbb{D} \times \{0\}) \cup (\{0\} \times \mathbb{D})$. To see (7.1), note that by [12, Theorem 4.9], for any commuting pair $T = (T_1, T_2)$, $\sigma(T) \subseteq \sigma(T_1) \times \sigma(T_2)$. Since the spectrum of any 2-isometry is contained in $\overline{\mathbb{D}}$ (see [2, Lemma 1.21]) and both \mathcal{M}_{z_1} and \mathcal{M}_{z_2} are 2-isometries (see Corollary 3.8), we obtain $\sigma(\mathcal{M}_z) \subseteq \overline{\mathbb{D}}^2$. Also, by Corollary 3.9, $\mathbb{D}^2 \subseteq \sigma_p(\mathcal{M}_z^*) \subseteq \sigma(\mathcal{M}_z^*)$. Since $\sigma(\mathcal{M}_z^*) = \{\bar{z} : z \in \sigma(\mathcal{M}_z)\}$, we have the inclusion $\mathbb{D}^2 \subseteq \sigma(\mathcal{M}_z)$. Finally, since the Taylor spectrum is closed (see [12, Corollary 4.2]), we obtain (7.1). On the other hand, an examination of the proof of Theorem 2.3 (using the full power of Lemma 5.3 together with Lemma 5.4) shows that

$$(\mathbb{D} \times \{0\}) \cup (\{0\} \times \mathbb{D}) \subseteq \mathbb{C}^2 \setminus \sigma_e(\mathcal{M}_z).$$

Since the essential spectrum is a closed subset of the Taylor spectrum, (7.2) now follows from (7.1). The natural question arises *whether the unit bidisc lies in the complement of the essential spectrum of \mathcal{M}_z* (there are interesting examples of toral 2-isometries supporting this possibility; see [5, Proposition 5(iii)]). If this question has an affirmative answer, then $\sigma_e(\mathcal{M}_z) = \partial(\mathbb{D}^2)$. Indeed, if $\lambda \in \partial(\mathbb{D}^2) \setminus \sigma_e(\mathcal{M}_z)$, then there exist two sequences in \mathbb{D}^2 and $\mathbb{C}^2 \setminus \overline{\mathbb{D}}^2$ converging to λ , which together with the continuity of the Fredholm index (see (4.7)) leads to a contradiction. This in turn leads to an improvement of Corollary 5.5 providing a bidisc analog of [26, Corollary 3.8] and also solves Gleason's problem for $\mathcal{D}(\mu_1, \mu_2)$ (see Lemma 5.1).

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