### ARTICLE

# *Hinn* and *hinn*: Early Icelandic as the clue to the history and etymology of two Old Scandinavian words

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### ABSTRACT

The history and etymology of Old Scandinavian *hinn* is a disputed matter. One question concerns whether *hinn* as a contrastive demonstrative indicating 'the other (one)/the former (one)' and *hinn* as a pre-adjectival article, both of which to some extent are still found in present-day Icelandic, are related or not. Another issue concerns the fact that *hinn* has no immediate parallel in Germanic outside Scandinavia, which has led scholars to assume that it is a Proto-Scandinavian innovation. This paper argues that Old Scandinavian possessed two *hinn* words with separate backgrounds, one stemming directly from an anciently inherited distal demonstrative, and one from an innovated proximal demonstrative. However, the innovation was no more founded on common Germanic material than the former *hinn* was. Instead, it arose from the reinforcement of an ancient precursor. This precursor is traceable in early Icelandic *enn*, which was used as a pre-adjectival article and as a primitive post-nominal definiteness marker.

**KEYWORDS** etymology; *hinn*; Old Icelandic; Old Scandinavian; Old Scandinavian pre-adjectival articles; Scandinavian definite suffix

# 1. Introduction

Modern Icelandic dictionaries have separate entries for the demonstrative *hinn* 'the other (one)/the former (one)' and the pre-adjectival definite article *hinn*. This arrangement is well-warranted considering the inflectional differences, even though these are restricted to the neuter, nominative and accusative singular forms, which are *hitt* and *hið*, respectively; see (1).<sup>1</sup>

(1)	a.	Þetta	hús	er	gult,	hitt	er	hvítt.
		this	house	is	yellow,	the.other.one	is	white
	b.	Hið	ljósa	man	(title o	of book by Hall	dór	Laxness)
		the	light	maiden				

The demonstrative is also distinguished from the article in that a following noun has to appear in the definite form; compare *hitt húsið* – *\*hitt hús* 'the other house'. The

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article + adjective always precedes nouns without the definite suffix<sup>2</sup>; compare *hið ljósa man* – *\*hið ljósa manið*. However, these grammatical rules were not settled in Old Icelandic (see Pfaff 2019), whereas an orthographic distinction is manifest as far back as the records go. The neuter form of the demonstrative is normally spelled <tt> or <T> in early manuscripts, in all probability reflecting a long *t*-phoneme, then as well as now, while the neuter form *hið* of the article goes back to *hit*, with a short *t*-phoneme, normally written <t> in Old Icelandic manuscripts.

The phonological distinction, clearly manifested in the spelling, suggests that we are dealing with two different words also in Old Icelandic. Nevertheless, it has been argued that the definite article has arisen from the demonstrative. This is of course reasonable considering that most forms of the paradigms are identical and that definite articles generally have their origin in demonstratives. However, the assumption of a common source is also challenged by the fact that the demonstrative forms always show the initial h right from the beginning, whereas the first instances of an article-like word in the mediaeval Icelandic manuscripts are normally h-less forms, such as *enn* and *inn*, which rather points to a different origin.

The situation on the mainland, on the other hand, confuses the picture, as the pre-adjectival word only occasionally lacks the *h* in these varieties. The Mainland Scandinavian languages have all ended up with *den* ( $< penn \leftarrow s\bar{a}$ ) as their pre-adjectival definite article, but *hinn* is found before weakly inflected adjectives in the Viking Age runic inscriptions (mostly in post-nominal appositive phrases) and in early mediaeval, mainly Norwegian, manuscripts (in pre-nominal attributes). The first known instance is the appositive phrase *hinn purmoði* 'the bold' on the Rök stone (Ög 136) from the 9th century.

So, the relation between *hinn* and *enn/inn*, as well as between the different uses of *hinn*, is a disputed matter. The difference in form as regards the demonstrative *hinn* and the pre-adjectival *enn/inn* in early Icelandic points towards separate origins, which is also the view of some scholars. The early instances of pre-adjectival *hinn* on the mainland have led others, in contrast, to believe in a common origin, with the *h*-less variants ensuing from *h*-drop in unstressed positions. The former assumption raises the question of why the *h*-forms seem predominant already very early on the mainland. The latter assumption, on the other hand, suffers from the drawback that it is unclear why the pre-adjectival article is represented almost exclusively by *h*-less forms in the earliest Icelandic manuscripts, and almost only by *h*-forms in runic inscriptions and manuscripts from the mainland. Should we not expect a more varied distribution?

Driscoll (2017:17ff.) gives an informative overview of what he calls the onesource and two-source theories, from Torp (1919) and Jónsson (1921), who take the former position, and Pokorny (1959), who supports the latter. After the first decades of the 20th century, the topic has not attracted much attention outside the circle of etymologists. However, Martin Syrett argues that 'it is unlikely that both [*hinn* and *inn*] could be distinct inherited forms' (Syrett 2002:723). When the relation between *hinn* and the *h*-less forms is at all addressed in later contributions, it seems as if a single source is taken for granted, so e.g. in Pfaff (2019). Skrzypek (2009) also assumes only one source, but turns the relation upside-down, i.e. takes *hinn* as secondary to *inn*. It is also noteworthy that later scholars normally represent the *h*-less forms with *inn* exclusively, and pay no regard to the *enn* variant. I believe this is a mistake.

In the following, I will argue for a two-source solution, suggesting that the definite article *hinn* is not a descendant of the demonstrative *hinn* 'the other/the former', from Proto-Scandinavian \**hinaR*, but has developed from a separate demonstrative, a Proto-Scandinavian \**enaR*, later visible in the early Icelandic *enn*. The corresponding *hinn* on the mainland is, according to the proposal, due to an early Proto-Scandinavian reinforcement of \**enaR*, an innovation that was not spread over the entire Scandinavian-speaking area, which explains why the colonizers of Iceland brought with them *enn*, actually not only as a pre-adjectival article but also as a primitive post-nominal definiteness marker, the nascent definite suffix. The quality of the vowel of the post-nominal marker, as we will see, was soon adjusted in accordance with other endings when cliticizing to the noun (*enn*  $\rightarrow$  *-inn*), whereas the pre-adjectival word successively changed from *enn* to *inn* and later from *inn* to *hinn*.

This proposal obviously has merit; so why has the picture remained so unclear for so long? One confusing circumstance is of course the formal merger of the two words in Mainland Scandinavian – if we accept a two-source theory. However, even if we initially find a merger of this kind unlikely, it is reasonable to consider it as a possibility, given the division of labour between *hinn* and the *h*-less variants in early Icelandic. This is the main theme of Section 3 below, which follows directly after some comments on methodological conventions in Section 2, especially the terminology, principles for citation, etc. Section 3 also contains some reflection on the significance of the deixis and the referential nature of the demonstratives.

The question of why there are two *h*-less variants of the pre-adjectival article in early Icelandic is almost never addressed. Generally, *enn* and *inn* are regarded as just two variants in free variation. Admittedly, this assumption is supported by the prevalent use of  $\langle e \rangle$  for short unstressed /i/ in the very early Icelandic manuscripts, as shown by Hreinn Benediktsson (Benediktsson 2002 [1962]). Nevertheless, I find it crucial to attach great importance to the distribution of *enn* forms and *inn* forms in early Icelandic, and this is the theme of Section 4.

In Section 5, I discuss the history and etymology of the Old Scandinavian contrastive demonstrative *hinn* and pre-adjectival *enn* and *hinn* in the light of what emerges from the preceding sections. Finally, Section 6 adds a comment on how our conception of the prehistory of the Germanic languages may affect how we draw conclusions on the etymology of certain words.

# 2. Terminology, citation, etc.

# 2.1 Time periods and Scandinavian varieties

Scandinavian linguists use different conventions when it comes to the division and naming of time periods in the histories of their respective languages. The formally parallel terms Old Icelandic, Old Norwegian, Old Danish and Old Swedish actually encompass somewhat different periods of time. In the following, when there is no need to be more precise, I use these designations as labels for the different varieties as found in mediaeval manuscripts. The modifier *Old* may also be used before

*Scandinavian* to cover 'mediaeval'. (As we unfortunately have practically no written evidence of Faroese from the Middle Ages, I make no reference to this variety in the following.)

Sometimes, the modifier *early* is used (instead of *Old*) in connection to any geographical variety. This is to accentuate the fact that the phenomenon under discussion was part of the language during an early period of the Middle Ages, and possibly even during the preceding Viking Age, but not (necessarily) during the late Middle Ages. The term Proto-Scandinavian refers to the Scandinavian branch of Germanic before *c*.800. Traditionally, the label is taken to cover the first eight centuries AD, but in this context, I see no reason to assume a specific lower limit (see further, Section 6).

Most often, varieties will be named as Icelandic, Norwegian, Danish and Swedish. Occasionally, the traditional division between West Norse, covering the Icelandic and Norwegian varieties, and East Norse, covering the Swedish and Danish varieties, is applicable. Interestingly, however, the story of *enn* reveals an isogloss that probably ran through Norway before the colonization of Iceland, and later between Iceland and the Scandinavian Peninsula. Thus, it will often be convenient to contrast Icelandic with Mainland Scandinavian, the latter including Danish and Swedish as well as Norwegian.

# 2.2 Representation of forms

In early Scandinavian, as of course Proto-Scandinavian, nominal words were inflected for gender, number and case. Following tradition, I use the masculine singular nominative forms as representatives for paradigms. As far as possible, I will use early Scandinavian forms such as *hinn* and *enn*. However, I try to avoid these forms when talking about Proto-Scandinavian, as we must assume that the primary Proto-Scandinavian equivalents were *\*hinaR* and *\*enaR* with the older masculine singular nominative ending *-R* on the stems *\*hina-* and *\*ena-.*<sup>3</sup> However, the unstressed vowel of the stems was syncopated early on, and to avoid complicating things more than necessary, I take the *hin-* and *en-* stems to be representative of Proto-Scandinavian as well.

After syncope of the vowel, the ending for the masculine singular nominative, *-R*, was adjacent to stems with final *n*, which led to an assimilation of the *n* and the *R*, resulting in a long *n*: \**hinaR* > \**hinR* > *hinn*. Similar assimilations also occurred in the genitive and dative forms of the feminine singular (> *hinnar* and *hinni*, respectively) and in the (common) genitive form of all genders in the plural (> *hinna*). In the nominative and accusative singular neuter, the *n* of the stem and the ending *t* normally ended up as a long or, for some reason (see Section 5.2 below), a short *t*, /nt/ > /t(:)/, giving us forms such as *hitt* and *et*. Occasionally, however, we also meet the unassimilated neuter form *hint* in Old Danish (Lund 1877) and Old Swedish (Schlyter 1877, Söderwall 1884–1918).

The most frequent demonstrative in Scandinavian will be represented as  $s\bar{a}/penn$  below. The double label is based on the actual usage. The paradigm originally had suppletive singular nominative forms for the masculine and feminine genders,  $s\bar{a}$  and  $s\bar{u}$ , respectively, while the initial consonant of other forms of the paradigm was a voiceless dental fricative (as in the only preserved, neuter, form in English,

*that*). Not very surprisingly, the suppletive forms were successively exchanged for more regular ones, *henn* and  $h\bar{e}$ , in the mainland varieties, early on in East Norse and a bit later in Norwegian. (The modern languages have *den* for the common gender and *det* for the neuter.) Icelandic, on the other hand, still adheres to the old forms. The reinforced variant of  $s\bar{a}/henn$  (corresponding to English *this*) turns up in several guises, e.g. *sjá*, *hessi* or *henni*.

The macron in  $s\bar{a}$  signifies that the vowel is long, and is henceforth used when the topic is common Scandinavian.<sup>4</sup> When referring to West Norse exclusively, however, long vowels will be marked with an accent, as in e.g.  $sj\dot{a}$ , in accordance with the traditional normalization of West Norse. Long consonants are represented by geminates, as e.g. in *hinn* and *enn*.

### 2.3 Grammatical terminology

The modern Scandinavian languages have mandatory definiteness marking. All (standard) varieties of Scandinavian make use of a definite suffix on the noun as the only way to mark definiteness as long as no modifier precedes the noun. This suffix is here taken to emanate from *enn* in Icelandic, as hinted at above, and from the corresponding *hinn* on the mainland. As further developed in Section 3.1, it is not clear from the earliest Icelandic manuscripts how far the process towards complete suffixation had proceeded before the 13th century, but it seems as if non-cliticized *enn* forms were also generally void of all deictic meaning, which hopefully justifies the label POST-NOMINAL DEFINITENESS MARKER used here.

Apart from the definite inflection of nouns, the modern Scandinavian languages possess pre-posed definite articles to be used in front of adjectival attributes and other pre-nominal modifiers: *den* in Mainland Scandinavian, *tann* in Faroese and *hinn* in Icelandic (but refer to note 1). However, while these words in the modern varieties are true definite articles, this is not the case for pre-adjectival *hinn* or *enn/inn* in early Scandinavian, even though they certainly evoked a definite understanding of the phrase. The appearance of pre-adjectival *hinn* and *enn/inn* is not related to the development of definiteness marking. Instead they seem to have been more or less obligatory companions to so-called weakly inflected adjectives as far back as we can see (i.e. in Viking Age runic inscriptions from the 9th century onward).<sup>5</sup> Thus, formally, *hinn* and *enn/inn* headed adjectival phrases in early Scandinavian, not noun phrases, i.e. in the sense of determiners (see Pfaff 2019:24ff.). The formal function of these pre-adjectival words is still unclear, but for the sake of simplicity I will label them PRE-ADJECTIVAL ARTICLES – and avoid the attribute *definite*.

### 2.4 Citation and glosses

When citing from manuscripts, I follow diplomatic editions, but practise some normalizing of the orthographically complicated West Norse examples. Of course, nothing of relevance to the subject under discussion will be suppressed. It is important to be aware that, nowadays, mediaeval West Norse manuscripts are often cited from normalized editions, where instances of *enn* are reproduced as *inn*. Even normalized editions where *hinn* represents *inn* as well as *enn* are found. In the following, all forms of *hinn*, *inn* and *enn* appear exactly as written in the manuscripts.

When citing runic inscriptions, I will in most cases use the transcriptions, i.e. interpretations of the words written in the Latin alphabet and in accordance with modern orthographic norms. Occasionally, I will also give transliterations of rune sequences. Transliterated runes are given in bold face. The sigla presented in connection to runic inscriptions refer to their designations in the national editions of runic inscriptions and the electronic Scandinavian Runic Text Database. (The list of references includes the latter source.)

For the sake of simplicity, I will not provide a grammatical definition of forms when glossing examples, with the exception of verbs in the present subjunctive, for which there are no direct equivalents in English. Instances of *hinn*, *inn* and *enn* are rendered in the glossed sequence exactly in the form they appear in the original, but in capitals. The same principle also applies to some instances of  $s\bar{a}/penn$  that are not used in the sense of 'this' or 'that'.<sup>6</sup>

# 3. Hinn vs. enn/inn

This section aims at pinpointing the syntactic and other characteristics of *hinn* and *enn/inn* in early Icelandic in comparison with the situation in the contemporary mainland varieties, because the very clear division of labour between *hinn* and the *h*-less variants in early Icelandic may be the clue to a better understanding of why we find forms of *hinn* in very disparate uses on the mainland. Section 3.1 is devoted to an account of the syntactic uses of *hinn* and *enn/inn*, Section 3.2 comments on the spelling of the neuter singular forms of the nominative and accusative cases, and Section 3.3 discusses the deictic and referential nature of the respective words in relevant uses. The most important aspects are summarized in Section 3.4.

# 3.1 Syntactic functions

The below account of the syntactic uses of *hinn* and *enn/inn* in early Scandinavian starts with an overview of the situation in the early mainland varieties. All relevant syntactic functions were here fulfilled with *hinn* forms, which gives us a convenient point of departure for the following comparison with the usage in Iceland.

# 3.1.1 Early Mainland Scandinavian

Historical dictionaries and handbooks of older date dealing with varieties of Old Mainland Scandinavian often treat *hinn* as one word, irrespective of syntactic function. A very clear account of the different uses is found in the glossary to the editions of the Old Norwegian laws by Storm & Hertzberg (1895:286–287). Storm & Hertzberg identify five distinct functions of *hinn*:

- 1. as a demonstrative contrasting 'the other' or 'the former' to someone or something mentioned earlier;
- 2. in connection to a following relative clause;
- 3. as an attributive demonstrative;

- 4. as a definite article before adjectives;
- 5. as a suffixed definite article on nouns.

Below, I will first comment upon and exemplify these uses of *hinn* in turn, before comparing them with their Icelandic counterparts (in Section 3.1.2).

The first function, the contrastive demonstrative, is in fact already demonstrated in (1a), and is fairly well attested in both West Norse and East Norse, not least in the mediaeval provincial laws. The example in (2) gives an example from the (older) law of the Swedish province Västergötland (Äldre Västgötalagen, early 13th century; ed. Wiktorsson 2011:128–129).

(2) Takar maþær bundin þiuf mæþ rani. af manni. han skal skirskutæ takes man bound thief with robbery from man he shall proclaim firi næstu manni ok i næstæ by. at han ær biufs sins ræntær and in nearest village that he before nearest man is thief his robbed ær hin sakær at. xl Markær. ok bv thus and HIN guilty 40 mark is to 'If a man robs another man of a bound thief, [then] he [= the robbed man] shall proclaim to the nearest man and in the nearest village that he has been robbed of his thief and with that, the former [= the robber] is fined 40 mark.'

The second function, i.e. *hinn* as antecedent to a relative clause, is sometimes disregarded in accounts of Old Scandinavian, probably because it is not distinguished from the contrastive demonstrative. Admittedly, *hinn* + relative is sometimes used when there are two contrasting referents. However, this is not necessarily the case, as seen in (3), also from the Västergötland law (ed. Wiktorsson 2011:94). What referent the noun phrase corresponds to is made clear in these cases by the content of the relative clause (underlined in the example).

(3) Flögher fæ i gærþi sva at ængin æltir far banæ af liggi animal in fence so that no.one drives gets death of lie:SG.PRS.SBJV runs Æn flögher or gærþi gialdi hin aptær. gærþ. a. vgilt. out.of fence pay:SG.PRS.SBJV HIN after fence owns without.fine but runs 'If an animal runs into a fence without anyone driving it [and] dies thereof, no fine shall be imposed. But if it runs through the fence, [then] the one who owns the fence shall reimburse [the owner of the animal].'

It should be added that *hinn* antecedents of this kind could precede restrictive 'that'-clauses in addition to relative clauses. Furthermore, the same types of subclauses also already had forms of  $s\bar{a}/penn$  as antecedents in Old Mainland Scandinavian. Today, *den* (< *penn*) is the only alternative.

The third function, as an attributive demonstrative, is rarely attested. Storm & Hertzberg (1895:287) present only one example, the one in (4), from the old law of Gulabing in Norway (Den ældre Gulathings-Lov, manuscript from the early 13th century, possibly reflecting earlier versions; here cited with some more context from the edition of Eithun, Rindal & Ulset 1994:76). The 'indebted woman' in (4) is to be understood as someone who is doing work to pay off a debt. Normally, a fine would

have been imposed if she was hurt by someone (on par with the fine for a woman out of debt), but apparently this right does not apply if she has a relationship with a thrall.

(4) En ef skulldar kona legst með træle. þa а armaðr ekkí a henne but if indebted.woman lies with thrall then owns bailiff not on her fyrr en hon have golldet hína skulld. before have:SG.PRS.SBJV paid debt she HINA 'But if an indebted woman sleeps with a thrall, then the bailiff has not the authority [to claim for compensation] for [injuries caused on] her, before she has paid this debt.'

From a modern perspective, the instance of *hina* (F.SG.ACC) in (4) might give the impression of being a definite article rather than an attributive demonstrative. However, in Scandinavian, pre-nominal demonstratives (with no intervening attribute) never developed into definite articles on par with English *the*. The equivalent to English *the debt* would be *skuldina*, with the noun *skuld* 'debt' inflected for definiteness by means of the definite suffix *-ina* (F.SG.ACC), but the definite forms of nouns were still scarce at the time of the creation of this text. Instead, the use of demonstratives for referring to direct as well as indirect anaphora seems to have increased somewhat during a transitional period;<sup>7</sup> only the demonstrative was then normally a form of *sā/þenn*. Thus, even though it is article-like, we can take this instance as bearing witness to a demonstrative *hinn* with (weak, if any) proximal deixis.

As mentioned, there is on the whole very little evidence of *hinn* being used as an attributive demonstrative with proximal deixis, and none, to the best of my knowledge, from Iceland. The normal attributive demonstratives were  $s\bar{a}/penn$  (distanceneutral) and  $sj\dot{a}/pessi$  (with distinct proximal deixis). The first known instance of *hinn* 'this' is probably in the runic inscription on the whetstone from Strøm, Norway (N KJ50) from the 6th century, where the rune sequence **hali hino** (ACC) 'this stone' (lit.: 'stone this') is found. Considering the evidence of a demonstrative stem *hi*- in continental Germanic (e.g. in Gothic *hina*, *himma*, *hita* 'this' and in the German word *heute* 'today' < *hiu tagu* 'this day'), **hino** could theoretically represent a Proto-Scandinavian accusative form *hi-no* instead of *hin-no*. However, as we have no other traces of a demonstrative *hi*- in Scandinavian, it seems likely that **hino** is based on the *hin*- stem.

Other evidence of a proximal *hinn*, found mainly in Viking Age and mediaeval runic inscriptions, is unfortunately somewhat uncertain and indirect.<sup>8</sup> A couple of *hinn* forms are found in damaged inscriptions, which means that the interpretation 'this' is not beyond doubt, even though it is very probable. There are also a number of inscriptions from the Isle of Gotland where demonstrative forms such as *hinna* and *hitta* in the sense of 'this' might bear witness to a reinforced *hinn* with proximal deixis. (For details, see Stroh-Wollin 2016.)

The fourth function of *hinn*, as a pre-adjectival article, is fairly well attested in early Mainland Scandinavian, the first instance appearing in the 9th century, as already mentioned. As also pointed out above, it seems that *hinn* + adjective – always in its weak form – originally made up an inseparable phrase. The first instances of these phrases, found in the Viking Age runic inscriptions, normally appear post-nominally, sometimes even post-posed as in (5) from the inscription on Hs 21.

(5) ... Gunnborga faði stæin þenna, hin goða. ... Gunnborga coloured stone this HIN good 'Gunnborga the good coloured this stone.'

The post-position in (5) indicates that hinn + adjective is not part of the inner core of the noun phrase. This kind of phrase may originally have had nominal status (see Pfaff 2019:29f.).

In Viking Age East Norse, both *hinn* and  $s\bar{a}/penn$  were used as pre-adjectival articles; a little later, only the latter remains. Old Norwegian preserves the pre-adjectival *hinn* longer. Also, *hinn* + adjective turns up as a pre-nominal attribute in early Norwegian manuscripts, sometimes following *sá* (with a demonstrative or an article-like reading); see (6) from the miracles of Saint Olav in the Norwegian Homily Book (ed. Indrebø 1931) dated to *c*.1200. The combination of *sá* and *hinn* makes it sufficiently clear that *hinn* is not a definite article in the ordinary sense, but just an older companion to the weakly inflected adjective. The true article function should rather be associated with *sá* in (6). (See Stroh-Wollin 2015a for more details.)

 (6) þa syndisc honum sa hinn hælgi konungr then appeared.to him SA HINN holy king 'Then the holy king appeared to him.'

Interestingly, Storm & Hertzberg (ibid.) remark that the pre-adjectival article 'often lacks' the *h*, in which case the vowel is 'frequently'  $\langle e \rangle$ , not  $\langle i \rangle$ . In other words, *enn* alternates with *hinn* in Old Norwegian – to some extent; how often is actually unclear (see below). According to the dictionaries of Old Danish and Old Swedish, East Norse seems to have had *hinn* throughout (Lund 1877, Schlyter 1877, Söderwall 1884–1918).

The fifth function of *hinn* identified by Storm & Hertzberg is the suffixed definite article. It is a little surprising to find this morpheme defined as a use of *hinn*, as all examples given in the glossary appear as full-fledged suffixes. Nouns and endings are written as one word, the endings have no <h>, and in cases when the noun itself ends in a vowel, even the vowel of the article is dropped; compare e.g. *kirkia* 'church' – *kirkian* (< *kirikia-n*) 'the church'. However, Storm & Hertzberg presume (for very good reasons) that *hinn* is the origin of the suffix; only the grammaticalization seems to be completed in the Norwegian laws.

### 3.1.2 Comparison Icelandic - Mainland Scandinavian

While all the different syntactic functions accounted for above were, as far as we can judge (i.e. apart from the definite suffix), generally fulfilled by *hinn* in the Mainland varieties, we find a clear division of labour between *hinn* and *enn/inn* in the earliest Icelandic manuscripts.

We exclusively find *hinn* in function 1, i.e. as a contrastive distal demonstrative, and *hinn* or *sá* in function 2, i.e. as antecedents to relative clauses; see the examples from the Icelandic Homily Book (ed. Andrea de Leeuw van Weenen 1993 = IH) in (7) and (8) below (from IH 80r and IH 79v, respectively). The underlining in (8) marks the relative clause following the antecedent *hinn*.

(7) En annar af þéim þiófom es crosfester voro meb honom ... mælte. of *PEIM* thieves *REL* crucified and other were with him said Ef þu ert cristr. georþu þic héilan oc os. Þa svaraþe annarr. if you are Christ make yourself unhurt and us then answered other avitabe mælte. . . 00 hinn. oc and rebuked HINN and said

'And one of the thieves who were crucified together with him said: If you are Christ, heal yourself and us. Then the other answered and rebuked **the former** and said ...'

(8) Enge hofom vér conung nema keisera. oc hverr sa er sic we king except emperor and whoever SA REL himself по have conung. oc sva hinn es hefia vill hann. eþa hallda gerer and likewise HINN REL raise wishes him makes king follow or stendr a mót keisara. þa then stands against emperor

'We have no king but Caesar, and whoever declares himself a king, as well as **the one** <u>who wishes to raise him or follow him</u>, (then) [he] is defying Caesar.'

There is no Icelandic evidence of either *hinn* or *enn/inn* in function 3, i.e. as a demonstrative with proximal deixis. Functions 4 and 5, on the other hand, are well attested. This is where we find the *h*-less forms; see (9) and (10) below (from IH 75v and IH 76v, respectively). The example in (9) shows a pre-adjectival article (function 4) and the example in (10) two post-nominal definiteness markers (corresponding to function 5), one cliticized in *hollena* 'the hall' (*-ena*, F.SG.ACC) and one free, non-cliticized, in *bolps ens* 'the feast' (*ens*, N.SG.GEN).

(9) Tva sono atti enn fyrsti maþr adám, owned ENN first man Adam two sons annarr þeirra góþr en annar vanndr. oc var bad and was other their good and other

'The first man Adam had two sons, and one of them was good and the other evil.'

(10) Conungr gecck inn i **hollena** oc sa yfir king went in.to hall-ENA and looked over lib pat er comit var til **bops ens**. people PAT REL come was to feast ENS

'The king went into the hall and eyed the people who had come to the feast.'

Occasionally, pre-adjectival *hinn* forms turn up even in very early documents, e.g. in the Icelandic Homily Book from *c*.1200, but it is not until the 14th century that they really gain ground. According to a master's thesis by Brenden Driscoll (Driscoll 2017), the transition 'from *inn* to *hinn*' seems to have been more or less complete around 1400. Driscoll's result seems sufficiently clear on this point.

	Norwe	egian t NH	NI	NH I		ł		Icelandic except IH	
	N	%	N	%	N	%	N	%	
hinn	132	99	301	72	113	17	46	7	
enn/inn	1	1	119	28	565	83	624	93	

**Table 1.** The number and share of pre-adjectival + pronominal hinn and pre-adjectival enn/inn in theoldest Norwegian and Icelandic manuscripts, based on Holtsmark 1955 and Larsson 1891.

 $\mathsf{NH}=\mathsf{the}$  Norwegian Homily Book;  $\mathsf{IH}=\mathsf{the}$  Icelandic Homily Book

However, the author's conclusions concerning the very beginning of the process are less clear (and partly based on miscalculations of *hinn* forms and *h*-less forms in the Icelandic and the contemporary Norwegian books of homilies).<sup>9</sup> The question of whether the instances of pre-adjectival *hinn* in the Icelandic Homily Book are due to Norwegian influence, or simply represent the first signs of a domestic development, is raised (on p. 35) but not actually discussed. It is just claimed (on p. 59) that a shift toward *hinn* forms (also discernible in *Codex Regius* of Grágás from *c*.1260) 'had already started to some extent in the spoken language, but ... it had little to no effect on the orthography of the time'.

Thus, Driscoll (2017) does not really come to grips with the possibility of Norwegian influence on the Icelandic Homily Book. In fact, such influence is very likely, since we know that there was an exchange of relevant religious texts across the sea; a number of sermons appear in both the Icelandic and the Norwegian books of homilies. Provided that this exchange was bidirectional, we should not only expect Norwegian influence on the Icelandic Homily Book, but also Icelandic influence on the Norwegian Homily Book. A test of this hypothesis demands evidence from the two books of homilies as well as texts that most likely are unaffected by influence of the same kind.

To this end, I have consulted the glossaries by Anne Holtsmark (Holtsmark 1955) and Ludvig Larsson (Larsson 1891), accounting for the oldest Norwegian and Icelandic manuscripts, respectively. Table 1 shows the distribution of *hinn* and *enn/inn* forms in the Norwegian Homily Book (NH) and the Icelandic Homily Book (IH), both from *c*.1200, as well as in the other very early texts, i.e. dated up to *c*.1250, from Norway and Iceland that are covered by Holtsmark's and Larsson's glossaries. The numbers for *hinn* include both the pre-adjectival article and the pronominal uses, since the glossaries do not distinguish between these functions.

As seen in Table 1, we find many instances of *enn/inn* in the Norwegian Homily Book, but only one instance of an *h*-less variant in the other Norwegian texts. We also find a significantly higher share of *hinn* forms in the Icelandic Homily Book than in the other Icelandic texts. Presumably a vast majority, if not all, of the 7% of *hinn* found in the Icelandic texts except for the Icelandic Homily Book are not pre-adjectival articles, but have pronominal function; an estimate based on two thirds of the homilies in the Icelandic Homily Book predicts that there should be about eight pronominal *hinn* for every hundred pre-adjectival articles. We may conclude from this comparison that the pre-adjectival article was normally not *hinn* in Iceland before 1250. This may even be the case somewhat later if we take Driscoll's result into account (Driscoll 2017:Tables 4.2 and 4.3) and refrain from the author's assumption of a systematic discrepancy between the written and oral language. Using the same kind of logic, we may also conclude that the preadjectival article was normally not *enn/inn* in Norway during the first decades of the 13th century, at least not in the varieties that Holtsmark's glossary covers. This is not due to the Norwegian Homily Book being devoid of *h*-less forms as Driscoll (2017:16, 32) alleges (it actually is not), but because, with one single exception, these forms are not found in the other early Norwegian texts.<sup>10</sup>

Furthermore, the rather large shares of *enn/inn* in the Norwegian Homily Book, compared to other Norwegian texts, and of *hinn* in the Icelandic Homily Book, compared to other Icelandic texts, certainly bear witness to a mutual linguistic influence on the sermons that were exchanged across the sea. This means that it was not necessary when rewriting a sermon to adjust the form of the pre-adjectival article. The readers were expected to accept any variant, and the Icelanders' acquaintance with pre-adjectival *hinn* may have facilitated its later takeover in Iceland (a process that probably began with a transition from *enn* to *inn*; see further, Section 4).

The post-nominal definiteness markers are not recorded in Larsson 1891, but as seen in example (10), they appear in Icelandic sometimes cliticized on the noun and sometimes as free words. In both cases they are exclusively written as forms of *enn* or *inn*, never of *hinn*. Contrary to Larsson, Holtsmark (1955) actually reports *enn* forms as post-nominal definiteness markers when written as separate words in the Norwegian manuscripts. As many as 82 out of 83 instances of these post-nominal *enn* forms appear in the Norwegian Homily Book, which means that they probably reflect the Icelandic rather than the Norwegian variety.

Moreover, Holtsmark reports three instances of post-nominal *hinn* in a fragment of the Saga of Olav Tryggvason (*Codex De la Gardie 4*), in one case cliticized to the noun (*hunzhins* 'the dog's' M.SG.GEN), in two cases following on a new line. My conclusion, as outlined further in Stroh-Wollin (2016), is that the definite suffix developed from *hinn* in Mainland Scandinavian, and from *enn* in Icelandic, in two parallel grammaticalization processes, as demonstrated in (11) and (12).<sup>11</sup>

(11)	<i>Mainlar</i> hunds dog's	hins		>	hundsins 'the dog's'	(> hundens)
(12)	<i>Icelandi</i> hunds dog's		hunds'ens	>	hundsins 'the dog's'	

Admittedly, there is very little evidence of post-nominal *hinn* from the mainland, but apart from the Norwegian Homily Book, there is even less evidence of post-nominal *enn/inn*. Thus, we have practically no evidence of transitional forms that could enable us to actually detect the development proposed in (11). The conclusive reason to take *hinn* as the origin of the suffix on the mainland comes from the fact that the pre-adjectival article here generally shows up as *hinn* forms and only by way

of exception as forms of *enn/inn*. This holds true already in the Viking Age runic inscriptions (Stroh-Wollin 2014).

Early Icelandic manuscripts, on the other hand, offer reasonably good opportunities to study how the suffix develops from an *h*-less primitive post-nominal definiteness marker (see further, Section 4).

### 3.2 The spelling of the neuter forms

As mentioned in the introduction, the neuter singular form  $hi\delta$  for the nominative and accusative cases of the pre-adjectival article in Icelandic goes back to *hit* with a short /t/, whereas the corresponding form of the contrastive demonstrative has been *hitt* with a long /t:/ as far back as the records go. Even though spelling was not as firmly regulated in the Middle Ages as today, this phonological difference is orthographically already fairly well represented in Old Icelandic. The Icelandic Homily Book, for instance, has 36 neuter forms of *hinn* as contrastive demonstratives or as antecedents to relative clauses or 'that'-clauses, of which 35 are spelled with <tt> or <T> and only one with <t>. There are also two neuter forms of the article, both with single <t>.

Interestingly, the /t/ of the neuter forms of *enn/inn* was obviously also short. Out of the 135 instances recorded by Larsson (1891) in the oldest Icelandic manuscripts, only one is written with a geminate. This certainly also points towards a relation between the later article *hinn* and the former *enn/inn*, rather than the demonstrative *hinn*.

Unfortunately, the mediaeval manuscripts from the mainland are less reliable witnesses than the Icelandic ones when it comes to the orthographic representation of long and short phonemes. Also, there are not so many instances of *hinn*, and the neuter forms, of course, are fewer still. These are most often represented by *hit*, irrespective of function, but the form *hint*, i.e. with no assimilation nt > t(t), is also recorded in East Norse (see Section 2.2 above).

### 3.3 Deixis and reference

When discussing whether we are dealing with one or two origins for *hinn* and *enn/inn*, it is also of great relevance to consider the deictic and referential nature of the possible origin/origins, not least because the common Scandinavian demonstrative *hinn* in the sense of 'the other' or 'the former' represents a less common kind of deixis or reference.

It is impossible to tell exactly how the contrastive meaning 'the other/the former' of *hinn* in the first function accounted for above was established, but it is reasonable to suggest that it comes from some distal demonstrative. It is also highly plausible that *hinn* as antecedent to relative clauses and 'that'-clauses has the same origin, since we never find *enn* or *inn* forms in this position. As mentioned above, the antecedent *hinn* is only sometimes compatible with a reading 'the other/the former'; possibly the contrastive meaning was there from the beginning and was later neutralized.

The non-contrastive attributive demonstrative *hinn* (function 3), on the other hand, seems to have had proximal deixis or anaphoric reference – to judge from the very few remnants we have. This makes it troublesome to ascribe to it the same

Function	Deixis/Reference	Early Mainland Scandinavian	Early Icelandic	Comments
1. Contrastive demonstrative: 'the other/former (one)'	Distal, contrastive	hinn	hinn	
2. Antecedent to relative clauses and 'that'-clauses	Cataphoric, (+/- contrastive)	hinn	hinn	Also sā/þenn
3. Proximal demonstrative	Proximal or anaphoric	(hinn)	[not found]	Normally: sā/þenn; sjá/þessi/þenni
4. Pre-adjectival article	-	hinn	enn/inn	East Norse: also sā/þenn
	Anaphoric or text-external			

Table 2. Distribution of enn/inn and hinn in early Mainland Scandinavian and early Icelandic.

origin as *hinn* 'the other/the former', as it is problematic to suggest an original demonstrative that, at the same time, could express a strong distal deixis and a clear proximal deixis.

If we instead suggest that *hinn* 'this' was not in fact the same word as *hinn* 'the other/the former', we must assign them different backgrounds. The division of labour between *hinn* and *enn/inn* in early Icelandic may be the clue we need. The *h*-less forms in early Icelandic, used for functions that most probably developed from the proximal demonstrative, can give us a hint of what this proximal demonstrative once was like on the mainland as well. If so, it was not formally identical to the distal demonstrative from the very beginning. The merger of forms on the mainland could be the result of a Proto-Scandinavian innovation.

### 3.4 Hinn vs. enn/inn: A summary

The exposition above can now be summarized as in Table 2. Forms of *hinn* turn up in five distinct syntactic functions in early Mainland Scandinavian: 1) as a demonstrative contrasting the referent to something earlier mentioned, 2) as antecedent to relative clauses and 'that'-clauses, 3) as a proximal demonstrative, 4) as a pre-adjectival article, and 5) as a post-nominal definiteness marker. It is important to note that there is also some evidence of *hinn* in functions 3 and 5 on the mainland, though unfortunately it is very scarce. Early Icelandic, on the other hand, has clear evidence of *hinn* in functions 4 and 5, while no evidence of either word is found in function 3.

When taking into account the division of labour between the forms in Icelandic as well as the deictic and referential nature of the words, it seems reasonable to divide them into two groups. *Hinn* in the sense of 'the other/the former' most likely originates from a distal demonstrative. We place *hinn* as antecedent to relative clauses in the same group, not because it necessarily has a contrastive meaning, but because it shows up as forms of *hinn*, and never as forms of *enn/inn*, in Icelandic. As early Icelandic generally has *enn/inn* as pre-adjectival article and

post-nominal definiteness marker, we place them in the other group – together with the proximal demonstrative. There is no evidence of the latter in Icelandic, but it is most likely the origin of the article and the suffix (to be).

### 4. Enn vs. inn

So far, we have only been concerned with the contrast between *hinn* on the one hand and the *h*-less variants *enn* and *inn* on the other. Scholars have long paid attention to this contrast, but the question of why there are two *h*-less variants has attracted less interest. However, there is a great dominance of *enn* forms over *inn* forms in the earliest Icelandic manuscripts, which certainly is worthy of our attention. As noted above, Storm & Hertzberg (1885:287) also remark that the *h*-less forms found in the Norwegian mediaeval laws are *enn* rather than *inn*.

During later decades, the lack of interest in the significance of the vowel of the *h*-less forms may partly be due to the influential work of Hreinn Benediktsson, who shows, among other things, that unstressed /i/ was often spelled  $\langle e \rangle$  during an early period of Icelandic. The later spelling with  $\langle i \rangle$  in the same positions was not caused by any phonetic change in unstressed syllables, but by adjustments of the quality of the vowels in short stressed syllables (Benediktsson 2002 [1962]).

I see no reason to question this description. Just a glance at some of the homilies in the Icelandic Homily Book makes it sufficiently clear that what we expect to be instances of unstressed /i/ (at this time as well as later), e.g. in endings, is very often written  $\langle e \rangle$ . However, this fact is not *per se* a proof of a phonetic realization of  $\langle enn \rangle$  as *inn*. Moreover, the *hinn* forms are consistently written with  $\langle i \rangle$ , also when occasionally found in pre-adjectival position in early Icelandic, where it competes with *enn*.

Interestingly, there are actually some early Icelandic texts where the scribes normally use  $\langle i \rangle$  to represent unstressed  $\langle i \rangle$ , e.g. in endings. This is the case for the sermons indexed *e*, *n1* and *n2* in de Leeuw van Weenen's (1993) edition of the Icelandic Homily Book from *c*.1200. It also applies to the Christian Laws Section of the *Codex Regius* of the mediaeval Icelandic collection of laws, Grágás, from *c*.1260 (ed. by Finsen 1852). The orthography of these texts should be reliable as a witness of the true form of the pre-adjectival article and the post-nominal definiteness marker. The distribution of the various spellings is presented in Table 3. The table accounts for the numbers of pre-adjectival *enn*, *inn* and *hinn*, of free (i.e. non-cliticized) post-nominal *enn* and *inn*, as well as of bound (i.e. cliticized) *-enn* and *-inn* in the four texts mentioned.

Table 3 shows that the pre-adjectival word and the free post-nominal definiteness marker are normally written as *enn* in the homilies investigated, whereas the bound morpheme is more often *-inn* than *-enn*. In the law text, written about 60 years later, we have a bound post-nominal *-inn* throughout, whereas the pre-adjectival word has both forms, though *inn* is more common.

To conclude, we can discern a pattern in which an original post-nominal *enn* takes on the normal vowel of endings when reanalysed as a regular inflectional suffix (*enn* > -*enn*  $\rightarrow$  -*inn*). Somewhat later, the spelling of the pre-adjectival word shifts from *enn* to *inn*, maybe influenced by the post-nominal suffix.

					Post-nominal			
	Pre-adjectival			Free		Bound		
Text	enn	inn	hinn	enn	inn	-enn	-inn	
IH: e	6	1	0	4	0	6	19	
IH: n1	18	1	2	5	0	11	52	
IH: n2	12	2	0	14	1	8	73	
Grágás: KB	32	47	0	0	0	0	76	

**Table 3.** The numbers of *enn*, *inn* and *hinn* in pre-adjectival position and of free and bound (-)*enn* and (-)*inn* as definiteness markers in post-nominal position in three homilies from the Icelandic Homily Book (IH) and the Christian Laws Section of Grágás, texts which normally have <i> for /i/ in endings.

Thus, the earliest form of the pre-adjectival article and the post-nominal definiteness marker in Icelandic was *enn*, not *inn*; i.e. the latter is secondary to the former. Furthermore, if *enn* – not *inn* – is the original form, it is less probable that the process began with *hinn*. The gradual development of the Icelandic pre-adjectival article was *enn*  $\rightarrow$  *inn*  $\rightarrow$  *hinn*, not the reverse.

# 5. History and etymology of hinn and hinn

As outlined in the introduction, the dispute about the history of the words discussed here, in their different guises and uses, has primarily concerned whether we should believe in a one-source or a two-source theory. A one-source theory suggests either that an *h*-less variant has arisen from *hinn* by *h*-drop or that *hinn*, in some way or another, is secondary to *enn/inn*. The two-source theory suggests two different origins for the contrastive demonstrative and the article. The proposal advocated here makes things a bit more nuanced, as briefly explained in Section 5.1 below. Another issue under debate is the origins of the words. This matter is treated in Section 5.2. Section 5.3 presents the conclusions.

# 5.1 One source, two sources, or ...?

From the preceding exposition, it seems sufficiently clear that the two different *hinn* words recognized in present-day Icelandic do not have the same origin. The demonstrative *hinn* remains unaltered since the earliest attestations, whereas the pre-adjectival article started as *enn*. Accordingly, I see no reason not to assume separate ancient origins for these two words.

The early varieties on the Scandinavian mainland do not, as we have seen, show the same distribution of *hinn* and *enn/inn* across different functions as early Icelandic does. On the mainland, the pre-adjectival article also generally turned up as forms of *hinn* (when not  $s\bar{a}/penn$ ) and, contrary to the development in Iceland, nothing speaks in favour of any other origin of the definite suffix than *hinn*, which lost its initial *h* when cliticizing to the noun.

Now, does this mean that we shall expect there to have been some Proto-Scandinavian variety with an inherited *en*- pronoun, later reflected in the Icelandic *enn*, and another variety covering most of the mainland, where this word was lost at an early stage or never actually was used, which would mean that one and the same *hin*- stem gave rise to all the diverse functions of *hinn* forms on the mainland? Perhaps one cannot fully rule out this possibility. However, as argued above, it is somewhat problematic to assume that one word should have developed such very disparate deictic and referential properties as the ones associated with the various uses of *hinn* in the early mainland varieties.

As already briefly outlined, the state of affairs in Icelandic offers an attractive alternative to this assumption, viz. if we take the early Icelandic *enn* as a relic of a common Proto-Scandinavian demonstrative and take the corresponding *hinn* in the contemporary mainland varieties (i.e. the pre-adjectival article and the source of the definite suffix) as originating from the outcome of an early reinforcement of the *en*- demonstrative. In addition, there was also of course a common Proto-Scandinavian *hin*- stem, later visible in the contrastive demonstrative and in the antecedent to relative clauses and 'that'-clauses all over Scandinavia.

This proposal means that neither of the usual one-source or two-source theories can fully elucidate whether, and if so how, *hinn* and *enn/inn* are related. On the one hand, it means that we have two anciently inherited demonstrative stems, *hin-* and *en-*. On the other hand, it also means that we have an innovated *hin-* pronoun based on the *en-* stem on the mainland and, furthermore, a late development *enn*  $\rightarrow$  *inn*  $\rightarrow$  *hinn* in Iceland.

### 5.2 The origins and development of enn and hinn

It seems clear that *enn* and the older *hinn*, in some way or another, derive from two pronominal Proto-Indo-European (PIE) stems, *\*eno-* and *\*ko-* (in some variant, see later in this section). Pokorny (1959:319) lists both the article *enn/inn* 'the' and the demonstrative *hinn* 'that' in his account for the PIE root *\*eno-*, *hinn* as a result of a combination of *\*ko-* and *\*eno-*. However, the picture given by etymologists and other scholars concerning the more exact development of the Scandinavian words is less clear. One problem is that it is sometimes difficult to figure out whether a word is taken to be an ancient inheritance or a Scandinavian innovation. The latter is regularly assumed, I imagine, because many scholars have been eager to seek a link to non-Scandinavian Germanic languages.

For instance, if *enn* (*inn*) is distinguished as an independent word, i.e. not taken to be a shortened *hinn*, it is often associated with Gothic *jains* and German *jener* and other related words in other West Germanic varieties (e.g. English *yon*, *yonder*), albeit sometimes with reservation considering the uncertainty. (See e.g. SAOB (vol. 11, 1931: *hin*), Hellqvist 1948 (*hin*), de Vries 1962 (*inn*1), Blöndal Magnússon 1989 (*hinn*2), Nielsen 1989 (§582).) Formally, this connection is unproblematic, as Scandinavian lost initial /j/ during the Proto-Scandinavian period, and the <ai> in Gothic *jains* most probably represented a monophthong. However, the words mentioned have the kind of contrastive meaning which we associate with the common Scandinavian *hinn* 'the other/the former', but not with the presumed precursor of *enn*.

The possibility to derive *enn* directly from the PIE pronominal stem \**eno-* is apparently not considered, in spite of the fact that it is formally uncomplicated and would relieve us of the contrastive meaning of *jains*, *jener*, etc.

It is also problematic, as is sometimes suggested, to see the origin of the contrastive *hinn* in a Proto-Scandinavian combination of a pronominal or locative stem *\*hi-* or *\*hī-* (< PIE *\*k̂o-*) and the *en-* stem (or as a derivation of *\*hī-* with an *n*suffix, as proposed in Hellqvist 1948, also referred to in Nielsen 1989). The problem is not that the combination as such is impossible. On the contrary, I suggest this is how we get the 'new' *hinn* 'this'. We have good evidence of *\*hi-* and *\*hī-* in other Germanic words, but its compatibility with *hinn* 'the other/the former' can be questioned, as these words (e.g. Gothic *hinna*, *himma*, *hitta* 'this', German *heute < hiu tagu* 'this day', English *here < hēr*) express proximal deixis.

The \**hi*- and \**hī*- stems go back to variants of Proto-Indo-European \**k̂o*-, the former most likely to \**k̂i*-, the latter to \**k̂ei*-. Some etymologists refer, in one way or another, to one or both possibilities in their accounts of *hinn*, but they are vague concerning the dating of the Scandinavian word. De Vries (1962), for instance, takes *hinn* as having developed from a compound of Proto-Indo-European \**k̂o*- and \**eno*-, but does not explicitly say it is an ancient inheritance, and his remark that the second part of the word may have developed from *jains* opens the way for a later dating. Blöndal Magnússon (1989) takes *hinn* as a derivation of some Germanic stem beginning in *h*- (\**he*-, \**hi*-, \**ha*- or \**hī*-), but, nevertheless, demonstrates the development from Indo-European forms beginning in *k*-, \**k̂o-enos* or \**k̂einos*.

From the point of view of the deixis, it would in fact be more appealing to derive *hinn* 'the other/the former (one)' directly from an Indo-European form, as the proximal nature of the Germanic \**hi*- and \**hī*- is troublesome for the hypothesis of a later word formation. Even though the first element would be etymologically the same if emanating from a pre-Germanic \**ki*- or \**kei*-, the longer time span leaves more room for assuming a more relevant deixis. It is also noteworthy that we actually have empirical evidence of PIE \**keinos* with distal deixis in the Greek demonstrative (*e*)*keīnos* 'that'.

Accordingly, the secondary *hinn* on the mainland may very well stem from a combination of a Germanic stem such as \*hi- or  $*h\bar{i}$ - and the *en*- stem. This would first give us a reinforced *en*- demonstrative with proximal deixis and then the early pre-adjectival article and the post-nominal definite suffix. Even if we have poor evidence of the proximal demonstrative, we may be able to trace it back to the 6th century, if the rune sequence **hino** on the whetstone from Strøm is a true instance of *hin*- (see Section 3 above).

Is it possible now to more precisely characterize the first elements of both *hin*demonstratives, the ancient common Scandinavian one and the Proto-Scandinavian innovation? From the point of view of word formation, the attachment of a locative element to the original pronominal stem seems more attractive than a combination of two pronominal stems. This speaks in favour of the ancient *hinn* being a direct development from \**keinos* (< PIE \**kei* + \**enos*) and the innovated *hinn* being a combination of Proto-Scandinavian \**hī*- (< PIE \**kei*) and the *en*- stem. Even though both words in such a case would go back to exactly the same Proto-Indo-European roots, they may represent different deixis. Since the Greek demonstrative (*e*)*keīnos* expressed distal deixis, it is definitely possible to assume the same for the anciently inherited *hinn* if it developed directly from \**keinos*. One the other hand, the Germanic \**hī*- stem, as well as \**hi*-, expressed proximal deixis; \**hī*- is for instance the first element of the Mainland Scandinavian adverb *hit* [hi:t] 'here/this way', a combination of  $h\bar{i}$ - 'here-' and *at* 'to'.

The Scandinavian evidence of hi- in the adverb *hit* also speaks in favour of the locative hi- rather than the pronominal hi- being the first element of the innovated *hinn*. There is, as mentioned, clear evidence of the hi- stem in various Germanic varieties, but not on Scandinavian ground. However, assuming a first element with a short rather than long vowel would be attractive from the point of view that the vowel of the *hin*- stem is short. The same kind of reasoning is applicable to the ancient *hinn*, as we could expect PIE ki- to result in hi- with a long */i:/*, whereas for instance PIE ki- would give hi- with a short */i/*. Thus, if there once was a long */i:/*, we must assume a general shortening of it later on, not only in the forms with long consonants, such as the masculine, singular, nominative form *hinn*, which would be expected, but also in forms with short consonants, such as the feminine, singular, nominative *hin*; compare the corresponding forms of 'my': *minn* (MASC.SING.NOM) and *min* (FEM.SING.NOM).

For the time being, I still prefer to view  $*h\bar{i}$ - as the first element of the innovated proximal demonstrative and  $*\hat{k}ei$ - as the original first element of the anciently inherited, and plausibly originally distal, demonstrative, but I have no answer to why the paradigms in that case ended up with short /i/ in all forms.

Another circumstance that remains unclear is why the neuter singular forms for the nominative and accusative cases of the Icelandic article ended in a short /t/, as is reflected in present-day Icelandic as  $\langle \delta \rangle$ . We would rather expect an assimilation of the *n* of the stem and the ending *-t* to result in a long /t:/, just as in the corresponding forms of the contrastive demonstrative *hitt*. However, the short /t/ must be of an old date, since even the earliest manifestations of the neuter forms of the article are *et* and *it*.

It is also interesting that the short /t/ remained when the article shifted from *inn* to *hinn* during the 14th century. This means in all probability that the article *hinn* in some way is connected with *enn/inn* and cannot be understood just as an expanded use of the domestic demonstrative, but it is not self-evident exactly how or why the change from *inn* to *hinn* took place. We can certainly assume there was some acquaintance in Iceland with a pre-adjectival *hinn*, parallel to *enn/inn*, early on, whether domestic to some extent or caused by (later) Norwegian influence, and evidently the *hinn* forms eventually took over. One can speculate that this may have been caused by intensified Norwegian influence in the 14th century, or the shift may have had something to do with the development of mandatory definiteness marking. However, such speculations are beyond the scope of this paper. I confine myself to the claim that the Icelandic article *hinn*.

### 5.3 Conclusions

The discussion above can now be summarized as follows.

• The demonstrative *hinn* and the pre-adjectival *hinn* in Old Scandinavian, to some extent still found in present-day Icelandic, do not have the same background. Despite the formal merger between them, we should treat them as originally having been two separate words.

- The former *hinn* appeared all over mediaeval Scandinavia in two syntactic functions: as a demonstrative with contrastive deixis and as an antecedent to relative clauses or 'that'-clauses. I take this *hinn* to be anciently inherited from some Indo-European pronoun combining a variant of the roots \**ko* and \**eno*-, maybe \**keinos*, with a parallel in Greek (*e*)*keīnos* 'that'. Just as with the Greek pronoun, we can assume that the original Scandinavian variant of the word had distal deixis.
- Beside the distal *hin* demonstrative, we can assume an anciently inherited demonstrative based on the stem *en*-, going back to the PIE root \**eno*-.
- The *en* pronoun gave rise to a strengthened variant, a Proto-Scandinavian innovation, of which **hino** in the runic inscription on the whetstone from Strøm (6<sup>th</sup> century) is perhaps the first evidence. The first element of the strengthened demonstrative was probably \* $h\bar{i}$  or \*hi-, i.e. Germanic variants of PIE \* $\hat{k}o$ -. The \* $h\bar{i}$  and \*hi- roots expressed proximal deixis, which we can also assume concerning the innovated hin- demonstrative; compare **hali hino** 'this stone' in the Strøm inscription.
- We have very poor evidence, however, of *hin-* as a proximal demonstrative and none at all of *en-* as a deictic demonstrative, but both pronouns survive in the secondary functions of pre-adjectival article and post-nominal definiteness marker, later the definite suffix. These secondary functions were mainly fulfilled by *enn* in early Icelandic and by *hinn* in the early varieties on the mainland.
- The parallel use of *enn* and the innovated *hinn* is due to the partial spread of the innovation; *hinn* did not totally oust its precursor before the colonization of Iceland around 900.
- In early Icelandic, as the grammaticalization of the definite suffix proceeded and the post-nominal *enn* was adjusted and became *-inn*, we can see a similar adjustment of the pre-adjectival article, which changed from *enn* to *inn*. During the 14th century, the *h*-less article was successively exchanged for the variant with *h*, which was not totally unknown to the Icelanders, either because of the contacts with the mainland, chiefly Norway, or because it actually was part of some Icelanders' language. Since the neuter nominative and accusative singular forms *hit* have short *t*, just as the corresponding forms of *enn/inn*, we can conclude that the article *hinn* is not a descendant of the contrastive demonstrative, which has neuter forms with long *t*: *hitt*.

# 6. A final remark

My argumentation above has chiefly concerned a couple of circumstances that I believe have not previously been given sufficient attention when dealing with the history and etymology of *hinn* and *hinn*. First, the division of labour between *hinn* and *enn* in early Icelandic may reveal that the different uses of *hinn* in the contemporary varieties on the mainland are the result of a formal merger between two different words. Secondly, I believe we should not view the *h*-less forms *enn* and *inn* only as two variants in free variation; *enn* is the older form of the two, which means that we should trace its origin to the PIE root \**eno-* rather than to *hinn* dropping the *h*.

Finally, I would like to touch upon an additional aspect of the account proposed above that diverges somewhat from the traditional line. In the literature, it is possible to suspect a certain reluctance toward etymologies that do not link Scandinavian to other Germanic varieties, such as linking *enn* directly to PIE \**eno*-. Instead, one readily proposes some relation to Gothic *jains* and German *jener*, irrespective of the distal/contrastive deixis of these words. The view that Scandinavian words not found in the rest of Germania must be innovations is implicit here, but now and then it is more directly expressed. One example is found in the following quote from Axel Kock (Kock 1908) concerning the origin of the Scandinavian anaphoric pronouns *han*(*n*) 'he' and *hon/hun* 'she' (< OSc. *hann* and *hōn*, respectively).

Most linguists today probably regard Icl. *hann* Old Sw. *han* as identical to Greek [ $k\bar{e}nos$ ]. This etymology could be possible from a formal point of view. However it would certainly be most surprising if this Greek [ $k\bar{e}nos$ ] was retained only on Nordic ground, but was lost in all other Germanic languages. (Kock 1908:186; my translation)

The Greek *kēnos* mentioned in the quote is a variant of (*e*)*keīnos* (with the same distal deixis) found on the Aeolian Islands. This means that we actually have concrete evidence of two similar demonstratives in ancient Greek varieties that naturally would serve as precursors to the anaphoric pronoun *hann* and the contrastive demonstrative *hinn* in Scandinavian. Also, a derivation of *hann* from \**kēnos* is not only formally possible, as Kock puts it, but is, in fact, uncomplicated. In contrast, the etymology proposed by Kock, which links the latter part of *hann* (as well as the latter part of *hinn*) to Goth. *jains*, was formally problematic already when introduced. Later it has turned out to be even more improbable, as scholars today seem rather convinced that the <ai>ai *jains* represents a monophthong, a short *e* (Lehmann 1986:210), not, as assumed by Kock, a diphthong /ai/, from which he derived a long  $\bar{a}$  in Proto-Scandinavian – when pronounced 'semi-fortis'.

Kock rejects the etymology prevailing at the time as improbable on the grounds that a precursor to *hann*, if anciently inherited, should have left some traces in non-Scandinavian Germanic. Also, a traditional textbook on language history such as the Icelandic grammar by Wessén (1961:93) describes *hann*, for this same reason, as a Proto-Scandinavian innovation that replaced an older *\*is*, related to e.g. Gothic *is* and German *er*. (For a more extensive discussion on the etymology of *han/hon*, see Stroh-Wollin 2015b.)

Even among later scholars one can encounter the view that specific Scandinavian words, such as *hinn* and *hann*, must be innovations. For example, Syrett (2002:723) writes: 'Since neither pronominal root in *\*hin-* nor *\*han-* has any immediate parallels elsewhere in Germanic, it is possible to argue that they are both innovations that arose together in the [Ancient Nordic] period', the underlying assumption being that Proto-Germanic was a homogeneous language that only later split into varieties.

However, the formally most plausible etymologies of the contrastive Old Scandinavian demonstrative *hinn* and the early Icelandic *enn*, as well as the most plausible etymology of the anaphoric pronouns *han* and *hon*, all challenge this position. If we instead recognize the possibility that the tribes that populated different

parts of Germania did not share their entire vocabulary, but also brought with them words of their own, even some grammatical words, we might find a truer picture of the prehistory of the Germanic languages – and possibly also contribute to the wider exploration of the general prehistory of this part of the world.

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### Notes

1 The pre-adjectival definite article has a restricted use in modern Icelandic, as definiteness in most cases is marked only by the definite suffix on the noun and, in relevant cases, weak inflection on a preceding adjective, e.g. (gul-a) hus-i $\delta$  'the (yellow) house'. However, for a more detailed account of various patterns in noun phrases with adjectival attributes, see Pfaff (2014, 2015) concerning present-day Icelandic, and Pfaff (2019) concerning the development from the 13th century onward.

**2** I will use the term SUFFIX for the bound post-nominal definite article below, even though this may not be fully correct for Icelandic, as it does not, contrary to e.g. case morphemes, trigger u-umlaut on the stem. **3** The Indo-European nominative ending *-s* ended up as a dental *-r* in Germanic. The small capital *R* is the traditional way to represent an intermediate stage (/z/) of the development.

**4** The vowel of the Old Scandinavian *hin*- stem was short and is here consistently represented without a macron. However, it might go back to a long /i:/ (see Section 5.2).

5 The weak adjectival declension is due to a common Germanic innovation leading to double sets of adjectival forms, so-called strong forms (the original ones) and weak forms (the new ones). The Scandinavian languages of today show full or partial correspondence between weak and definite forms.

**6** See example (6): *sa hinn helgi konungr* 'the holy king'; example (7): *péim piófum es crosfester voro* ... 'the thieves that were crucified ...'; example (8): *hverr sa er sic gerer conung* 'whoever declares himself a king'; and example (10): and *lip pat er comit var* ... 'the people who had come ...'.

7 It may be of importance that the first element of the compound *skuldar-kona* 'indebted woman' (written as two separate words in the example) actually is the genitive form of the noun *skuld* 'debt'.

8 There might be another example from the Proto-Scandinavian period, viz. in the inscription on the Norwegian Eggja stone (N KJ101) from the second half of the 7th century. However, the interpretation of this inscription has been subject to much debate over the years, and the latest contributor, Ottar Grønvik (Grønvik 1988), suggests that the sequence of runes earlier taken as **hin** should rather be read as **min**. This reading is also the default variant presented in the Scandinavian Runic Text Database.

**9** In fact, these figures deviate so much from the results of my own calculations that I fail to see how they were arrived at. It is stated for instance that the Norwegian Homily Book has 92 definite articles 'all of which were spelled with initial h-' (Driscoll 2017:16). However, using the same wordlist as Driscoll, namely Holtsmark (1955), I get 301 instances of *hinn* (the vast majority of which are probably pre-adjectival articles – the wordlist does not distinguish the uses) and 119 instances of *enn/inn* (see Table 1).

**10** Storm & Hertzberg's (1895:287) remark that the pre-adjectival article 'often' lacks the h (and in such cases is frequently written *enn*) may indicate some regional variation. However, the meaning of the adverb *often* is relative; in this case it probably means 'now and then' rather than 'very frequently'.

11 The grammaticalization ends in a definite form with double case marking. The examples show how the two instances of the masculine singular genitive ending, *-s*, originally on two separate words, the noun and the demonstrative, are retained after suffixation: *hund-s-in-s* (*dog*-GEN-DEF-GEN). Icelandic and Faroese still use double case marking on definite nouns. Mainland Scandinavian has lost case marking on nouns, but Swedish, Danish and some varieties of Norwegian use *-s* (irrespective of number and gender) as a possessive marker, which can be attached to any definite or indefinite form of the noun; see e.g. *hundens*, segmented: *hund-en-s* (*dog*-DEF-POSS), within brackets in (11).

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