# FINITE GROUPS WITH INDEPENDENT GENERATING SETS OF ONLY TWO SIZES 

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#### Abstract

A generating set $S$ for a group $G$ is independent if the subgroup generated by $S \backslash\{s\}$ is properly contained in $G$ for all $s \in S$. We describe the structure of finite groups $G$ such that there are precisely two numbers appearing as the cardinalities of independent generating sets for $G$.


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## 1. Introduction

The minimal number of generators of a finite group $G$ is denoted by $d(G)$. A generating set $S$ for a group $G$ is independent (sometimes called irredundant) if

$$
\langle S \backslash\{s\}\rangle<G \quad \text { for all } s \in S .
$$

Let $m(G)$ denote the maximal size of an independent generating set for $G$.
The finite groups with $m(G)=d(G)$ are classified by Apisa and Klopsch.
THEOREM 1.1 (Apisa-Klopsch, [1, Theorem 1.6]). If $d(G)=m(G)$, then $G$ is soluble. Moreover, either

- $G / \operatorname{Frat}(G)$ is an elementary abelian p-group for some prime $p$; or
- $G / \operatorname{Frat}(G)=P Q$, where $P$ is an elementary abelian p-group and $Q$ is a nontrivial cyclic $q$-group for distinct primes $p$ and $q$, such that $Q$ acts by conjugation faithfully on $P$ and $P($ viewed as a module for $Q)$ is a direct sum of $m(G)-1$ isomorphic copies of one simple $Q$-module.

In view of this result, Apisa and Klopsch suggest a natural 'classification problem': given a nonnegative integer $c$, characterise all finite groups $G$ which satisfy $m(G)-d(G) \leq c$. The particular case $c=1$ has been recently highlighted by Glasby (see [7, Problem 2.3]).

[^0]A nice result in universal algebra, due to Tarski and known as the Tarski irredundant basis theorem (see for example [3, Theorem 4.4]), implies that, for every positive integer $k$ with $d(G) \leq k \leq m(G), G$ contains an independent generating set of cardinality $k$. So the condition $m(G)-d(G)=1$ is equivalent to the fact that there are only two possible cardinalities for an independent generating set of $G$.

Let $G$ be a finite group. We recall that the socle of $G$, denoted $\operatorname{soc}(G)$, is the subgroup generated by the minimal normal subgroups of $G$; moreover, $G$ is said to be monolithic primitive if $G$ has a unique minimal normal subgroup and the Frattini subgroup $\operatorname{Frat}(G)$ of $G$ is the identity.

In this paper, we prove the following two main results.
THEOREM 1.2. Let $G$ be a finite group with $\operatorname{Frat}(G)=1$ and $m(G)=d(G)+1$. If $G$ is not soluble, then $d(G)=2, G$ is a monolithic primitive group and $G / \operatorname{soc}(G)$ is cyclic of prime power order.

It was proved by Whiston and Saxl [15] that $m(\operatorname{PSL}(2, p))=3$ for any prime $p$ with $p$ not congruent to $\pm 1$ modulo 8 or 10 . In particular, as $d(S)=2$ for every nonabelian simple group, we deduce that there are infinitely many nonabelian simple groups $G$ with $m(G)=d(G)+1$. We also give examples of nonsimple groups $G$ having $m(G)=d(G)+1$ in Section 4.

THEOREM 1.3. Let $G$ be a finite group with $\operatorname{Frat}(G)=1$ and $m(G)=d(G)+1$. If $G$ is soluble, then one of the following occurs:
(1) $G \cong V \rtimes P$, where $P$ is a finite noncyclic p-group and $V$ is an irreducible $P$-module, which is not a p-group; in this case, $d(G)=d(P)$;
(2) $G \cong V^{t} \rtimes H$, where $V$ is a faithful irreducible $H$-module, $m(H)=2$ and either $t=1$ or $H$ is abelian; in this case, $d(G)=t+1$;
(3) there exist two normal subgroups $N_{1}, N_{2}$ such that $1 \leq N_{1} \leq N_{2}, N_{1}$ is an abelian minimal normal subgroup of $G, N_{2} / N_{1} \leq \operatorname{Frat}\left(G / N_{1}\right)$ and $G / N_{2} \cong V^{t} \rtimes H$, where $V$ is an irreducible H-module and $H$ is a nontrivial cyclic group of prime power order; in this case, $d(G)=t+1$.

In Section 4, we give examples of finite soluble groups $G$ with $m(G)=d(G)+1$ for each of the three possibilities arising in Theorem 1.3.

## 2. Preliminary results

Let $L$ be a monolithic primitive group and let $A$ be its unique minimal normal subgroup. For each positive integer $k$, let $L^{k}$ be the $k$-fold direct product of $L$. The crown-based power of $L$ of size $k$ is the subgroup $L_{k}$ of $L^{k}$ defined by

$$
L_{k}:=\left\{\left(l_{1}, \ldots, l_{k}\right) \in L^{k} \mid l_{1} \equiv \cdots \equiv l_{k} \bmod A\right\}
$$

In [4], it is proved that for every finite group $G$, there exists a monolithic group $L$ and a homomorphic image $L_{k}$ of $G$ such that
(1) $d(L / \operatorname{soc} L)<d(G)$; and
(2) $d\left(L_{k}\right)=d(G)$.

A group $L_{k}$ with this property is called a generating crown-based power for $G$.
In [4], it is explained how $d\left(L_{k}\right)$ can be explicitly computed in terms of $k$ and the structure of $L$. A key ingredient (when one wants to determine $d(G)$ from the behaviour of the crown-based power homomorphic images of $G$ ) is to evaluate, for each monolithic group $L$, the maximal $k$ such that $L_{k}$ is a homomorphic image of $G$. This integer $k$ arises from an equivalence relation among the chief factors of $G$. In what follows, we give some details.

Given groups $G$ and $A$, we say that $A$ is a $G$-group if $G$ acts on $A$ via automorphisms. In addition, $A$ is irreducible if $G$ does not stabilise any nontrivial proper subgroups of $A$. Two $G$-groups $A$ and $B$ are $G$-isomorphic if there exists a group isomorphism $\phi: A \rightarrow B$ such that $\phi(g(a))=g(\phi(a))$ for all $a \in A$ and $g \in G$. Following [8], we say that two irreducible $G$-groups $A$ and $B$ are $G$-equivalent, denoted $A \sim_{G} B$, if there is an isomorphism $\Phi: A \rtimes G \rightarrow B \rtimes G$ which restricts to a $G$-isomorphism $\phi: A \rightarrow B$ and induces the identity $G \cong A G / A \rightarrow B G / B \cong G$, in other words, such that the following diagram commutes:


Observe that two $G$-isomorphic $G$-groups are $G$-equivalent, and the converse holds if $A$ and $B$ are abelian.

Let $A=X / Y$ be a chief factor of $G$. A complement $U$ of $A$ in $G$ is a subgroup of $G$ such that

$$
U X=G \quad \text { and } \quad U \cap X=Y
$$

We say that $A=X / Y$ is a Frattini chief factor if $X / Y$ is contained in the Frattini subgroup of $G / Y$; this is equivalent to saying that $A$ is abelian and there is no complement to $A$ in $G$. The number $\delta_{G}(A)$ of non-Frattini chief factors that are $G$-equivalent to $A$, in any chief series of $G$, does not depend on the particular choice of such a series.

Now, we denote by $L_{G}(A)$ the monolithic primitive group associated to $A$, that is,

$$
L_{G}(A):= \begin{cases}A \rtimes\left(G / \mathbf{C}_{G}(A)\right) & \text { if } A \text { is abelian } \\ G / \mathbf{C}_{G}(A) & \text { otherwise }\end{cases}
$$

If $A$ is a non-Frattini chief factor of $G$, then $L_{G}(A)$ is a homomorphic image of $G$. More precisely, there exists a normal subgroup $N$ such that $G / N \cong L_{G}(A)$ and $\operatorname{soc}(G / N) \sim_{G} A$. We identify $\operatorname{soc}\left(L_{G}(A)\right)$ with $A$, as $G$-groups.

Consider now all the normal subgroups $N$ of $G$ with the property that $G / N \cong L_{G}(A)$ and $\operatorname{soc}(G / N) \sim_{G} A$. The intersection $R_{G}(A)$ of all these subgroups has the property
that $G / R_{G}(A)$ is isomorphic to the crown-based power $\left(L_{G}(A)\right)_{\delta_{G}(A)}$. The socle $I_{G}(A) / R_{G}(A)$ of $G / R_{G}(A)$ is called the $A$-crown of $G$ and it is a direct product of $\delta_{G}(A)$ minimal normal subgroups $G$-equivalent to $A$.

Note that if $L$ is monolithic primitive and $L_{k}$ is a homomorphic image of $G$ for some $k \geq 1$, then $L \cong L_{G}(A)$ for some non-Frattini chief factor $A$ of $G$ and $k \leq \delta_{G}(A)$. Furthermore, if $\left(L_{G}(A)\right)_{k}$ is a generating crown-based power, then so is $\left(L_{G}(A)\right)_{\delta_{G}(A)}$; in this case, we say that $A$ is a generating chieffactor for $G$.

For an irreducible $G$-module $M$, set

$$
\begin{aligned}
r_{G}(M) & :=\operatorname{dim}_{\operatorname{End}_{G}(M)} M \\
s_{G}(M) & :=\operatorname{dim}_{\operatorname{End}_{G}(M)} H^{1}(G, M) \\
t_{G}(M) & :=\operatorname{dim}_{\operatorname{End}_{G}(M)} H^{1}\left(G / \mathbf{C}_{G}(M), M\right)
\end{aligned}
$$

It can be seen that

$$
s_{G}(M)=t_{G}(M)+\delta_{G}(M)
$$

(see for example [10, 1.2]). Now, define
$h_{G}(M):= \begin{cases}\delta_{G}(M) & \text { if } M \text { is a trivial } G \text {-module }, \\ \left\lfloor\frac{s_{G}(M)-1}{r_{G}(M)}\right\rfloor+2=\left\lfloor\frac{\delta_{G}(M)+t_{G}(M)-1}{r_{G}(M)}\right\rfloor+2 & \text { otherwise } .\end{cases}$
By [2, Theorem A], $t_{G}(M)<r_{G}(M)$ for any irreducible $G$-module $M$, and therefore

$$
\begin{equation*}
h_{G}(M) \leq \delta_{G}(M)+1 \tag{2.1}
\end{equation*}
$$

The importance of $h_{G}(M)$ is clarified by the following proposition.
Proposition 2.1 [6, Proposition 2.1]. If there exists an abelian generating chief factor $A$ of $G$, then $d(G)=h_{G}(A)$.

When $G$ admits a nonabelian generating chief factor $A$, a relation between $\delta_{G}(A)$ and $d(G)$ is provided by the following result.
PROPOSITION 2.2. If $d(G) \geq 3$ and there exists a nonabelian generating chief factor $A$ of $G$, then

$$
\delta_{G}(A)>\frac{|A|^{d(G)-1}}{2\left|\mathbf{C}_{\mathrm{Aut} A}\left(L_{G}(A) / A\right)\right|} \geq \frac{|A|^{d(G)-2}}{2 \log _{2}|A|}
$$

Proof. Suppose that $d(G) \geq 3$ and let $A$ be a nonabelian generating chief factor of $G$.
For a finite group $X$, let $\phi_{X}(m)$ denote the number of ordered $m$-tuples $\left(x_{1}, \ldots, x_{m}\right)$ of elements of $X$ generating $X$. Define

$$
\begin{aligned}
L & :=L_{G}(A), \\
\gamma & :=\left|\mathbf{C}_{\text {Aut } A}(L / A)\right|, \\
\delta & :=\delta_{G}(A), \\
d & :=d(G) .
\end{aligned}
$$

In [4], it is proved that if $m \geq d(L)$, then

$$
\begin{equation*}
d\left(L_{k}\right) \leq m \quad \text { if and only if } \quad k \leq \frac{\phi_{L / A}(m)}{\phi_{L}(m) \gamma} \tag{2.2}
\end{equation*}
$$

By the main result in [13], $d(L)=\max (2, d(L / A))$. Since $A$ is a generating chief factor, from the definition, we have $d(L / A)<d\left(L_{\delta_{G}(A)}\right)=d(G)$. As $2<d(G)$, it follows $d(L)<d(G)$. Now, by applying (2.2) with $k=\delta_{G}(A)$ and $m=d(G)-1$, we deduce that

$$
\begin{equation*}
\delta_{G}(A)>\frac{\phi_{L / A}(d(G)-1)}{\phi_{L}(d(G)-1) \gamma} . \tag{2.3}
\end{equation*}
$$

By [6, Corollary 1.2],

$$
\begin{equation*}
\frac{\phi_{L / A}(d(G)-1)}{\phi_{L}(d(G)-1)} \geq \frac{|A|^{d(G)-1}}{2} . \tag{2.4}
\end{equation*}
$$

Moreover, $A \cong S^{n}$, where $n$ is a positive integer and $S$ is a nonabelian simple group. In the proof of Lemma 1 in [5], it is shown that

$$
\gamma \leq n|S|^{n-1}|\operatorname{Aut}(S)| .
$$

Now, [9] shows that $|\operatorname{Out}(S)| \leq \log _{2}(|S|)$ and hence

$$
\begin{equation*}
\gamma \leq n|S|^{n} \log _{2}(|S|) \leq|S|^{n} \log _{2}\left(|S|^{n}\right)=|A| \log _{2}(|A|) \tag{2.5}
\end{equation*}
$$

From (2.3), (2.4) and (2.5), we obtain

$$
\delta_{G}(A)>\frac{\phi_{L / A}(d(G)-1)}{\phi_{L}(d(G)-1) \gamma} \geq \frac{|A|^{d(G)-1}}{2|A| \log _{2}|A|}=\frac{|A|^{d(G)-2}}{2 \log _{2}|A|}
$$

Recall that $m(G)$ is the largest cardinality of an independent generating set of $G$.
Theorem 2.3 [14, Theorem 1.3]. Let $G$ be a finite group. Then $m(G) \geq a+b$, where $a$ and $b$ are, respectively, the number of non-Frattini and nonabelian factors in a chief series of $G$. Moreover, if $G$ is soluble, then $m(G)=a$.

Corollary 2.4. Assume that $G$ is a finite group with a unique minimal normal subgroup $A$. If $A$ is nonabelian, then $m(G) \geq 3$.

Proof. Suppose first that $G$ is simple. Let $l$ be an element of $G$ of order 2. Since $G=\left\langle l^{x} \mid x \in G\right\rangle$, the set $\left\{l^{x} \mid x \in G\right\}$ contains a minimal generating set of $G$. Since $G$ cannot be generated by two involutions, this minimal generating set has cardinality at least three. Thus, $m(G) \geq 3$.

Suppose next that $G$ is not simple. Let $a$ and $b$ be the number of non-Frattini and nonabelian factors in a chief series of $G$. As $G$ is not simple, there exists a maximal normal subgroup $N$ of $G$ containing $A$ and we have a chief series $1 \unlhd N_{1} \unlhd \cdots \unlhd$ $N_{t-1} \unlhd N_{t}=G$ with $N_{1}=A$ and $N_{t-1}=N$. Then, $a \geq 2, b \geq 1$ and $m(G) \geq a+b \geq 3$ by Theorem 2.3.

## 3. Proof of the main results

Let $G$ be a finite group, let $d:=d(G)$ and let $m:=m(G)$. Suppose that $m=d+1$. Let $A$ be a generating chief factor of $G$ and let $\delta:=\delta_{G}(A), L:=L_{G}(A)$.
3.1. $\boldsymbol{A}$ is nonabelian. First, suppose that $\delta \geq 2$. By Theorem 2.3, $m \geq 2 \delta$ and therefore $d \geq 2 \delta-1 \geq 3$. By Proposition 2.2,

$$
\delta>\frac{|A|^{d-2}}{2 \log _{2}|A|} \geq \frac{|A|^{2 \delta-3}}{2 \log _{2}|A|} \geq \frac{60^{2 \delta-3}}{2 \log _{2} 60},
$$

but this is never true.
Suppose now that $\delta=1$. In this case, by the main theorem in [13], $d=d(L)=$ $\max (2, d(L / A))=2$ and therefore $m=3$. Since $L$ is an epimorphic image of $G$, we must have $m(L) \leq 3$. However, $m(L) \geq 3$ by Corollary 2.4. Hence, $m(L)=m=3$ and therefore it follows from [11, Lemma 11] that $G / \operatorname{Frat}(G) \cong L$. Finally, by Theorem 2.3, $m(L)=3$ implies $m(L / A) \leq 1$, and this is possible only if $L / A$ is a cyclic $p$-group. This concludes the proof of Theorem 1.2.
3.2. $\boldsymbol{A}$ is abelian. It follows from Proposition 2.1 and (2.1) that

$$
\delta-1 \leq m-1=d=h_{G}(A) \leq \delta+1
$$

If $d=\delta-1$, then $m=\delta$ and this is possible if $G / \operatorname{Frat}(G) \cong A^{\delta}$. However, in this case, $A$ would be a trivial $G$-module and therefore $d=h_{G}(A)=\delta=m$, which is a contradiction.

Now suppose that $d=\delta$. By Theorem 2.3, $G$ is soluble and contains only one non-Frattini chief factor which is not $G$-isomorphic to $A$. If $A$ is noncentral in $G$, then $G / \operatorname{Frat}(G) \cong L_{\delta}$ and $L / A$ is a cyclic $p$-group. However, this implies $r_{G}(A)=1, t_{G}(A)=0$ and $d=h_{G}(A)=\delta+1$, which is a contradiction. If $A$ is central, then $G / \operatorname{Frat}(G) \cong V \rtimes P$, where $P$ is a finite $p$-group, $V$ is an irreducible $P$-module and $d(P)=d$. In particular, we obtain item (1) in Theorem 1.3.

Finally assume $d=\delta+1$. Notice that in this case, $L=A \rtimes H$, where $A$ is a faithful, nontrivial, irreducible $H$-module, and

$$
m(H) \leq m-\delta=\delta+2-\delta=2
$$

In particular, by Corollary $2.4, H$ is soluble.
If $m(H)=2$, then $G / \operatorname{Frat}(G) \cong L_{\delta}$. In particular, we obtain item (2) in Theorem 1.3.
If $m(H)=1$, then there exist two normal subgroups $N_{1}$ and $N_{2}$ of $G$ such that $1 \leq N_{1} \leq N_{2}, G / N_{2} \cong L_{\delta}, N_{2} / N_{1} \leq \operatorname{Frat}\left(G / N_{1}\right)$ and $N_{1} / \operatorname{Frat}(G)$ is an abelian minimal normal subgroup of $G / \operatorname{Frat}(G)$. As $m(H)=1, H$ is cyclic of prime power order. In particular, we obtain item (3) in Theorem 1.3.

## 4. Examples for Theorems 1.2 and 1.3

4.1. Monolithic groups: examples for Theorem 1.2. Let $G$ be monolithic primitive with nonabelian socle $N=S_{1} \times \cdots \times S_{n}$, with $S \cong S_{i}$ for each $1 \leq i \leq n$. The number
$\mu(G)=m(G)-m(G / N)$ has been investigated in [12]. The group $G$ acts by conjugation on the set $\left\{S_{1}, \ldots, S_{n}\right\}$ of the simple components of $N$. This produces a group homomorphism $G \rightarrow \operatorname{Sym}(n)$ and the image $K$ of $G$ under this homomorphism is a transitive subgroup of $\operatorname{Sym}(n)$. Moreover, the subgroup $X$ of Aut $S$ induced by the conjugation action of $\mathbf{N}_{G}\left(S_{1}\right)$ on the first factor $S_{1}$ is an almost simple group with socle $S$.

By [12, Proposition 4], $\mu(G) \geq \mu(X)=m(X)-m(X / S)$. Assume $m(G)=3$. Observe that by Theorems 1.1 and $1.2, G / N$ is cyclic of prime power order. If $X=S$, then

$$
\begin{aligned}
3=m(G)=m(G / N)+\mu(G) & \geq m(G / N)+\mu(X)=m(G / N)+m(S) \\
& \geq m(G / N)+3 .
\end{aligned}
$$

This implies that $G / N=1$ and $G=S$ is a simple group. If $X \neq S$, then $G \neq N$ and

$$
3=m(G) \geq m(G / N)+\mu(G) \geq 1+\mu(X) .
$$

Moreover, $X / S$ is a nontrivial cyclic group of prime power order, so

$$
m(X)=m(X / S)+\mu(X) \leq 1+\mu(X) \leq 1+2=3 .
$$

By Corollary 2.4, $m(X)=3$.
The groups

$$
\mathrm{P}^{2} \mathrm{~L}_{2}(9), M_{10},{\operatorname{Aut}\left(\mathrm{PSL}_{2}(7)\right)}
$$

are currently the only known examples (to the best knowledge of the authors) of almost simple groups $X$ with $X \neq \operatorname{soc}(X)$ and $m(X)=3$. We believe that there are other such examples, but our current computer codes are not efficient enough to carry out a thorough investigation.

Let $S:=\mathrm{PSL}_{2}(7)$ and $H:=\operatorname{Aut}\left(\mathrm{PSL}_{2}(7)\right)$, or let $S:=\mathrm{PSL}_{2}(9)$ and $H \in\left\{\mathrm{P}_{2} \mathrm{~L}_{2}(9)\right.$, $\left.M_{10}\right\}$. Consider the wreath product $W:=H \imath \operatorname{Sym}(n)$. Any element $w \in W$ can be written as $w=\pi\left(a_{1}, \ldots, a_{n}\right)$, with $\pi \in \operatorname{Sym}(n)$ and $a_{i} \in H$ for $1 \leq i \leq n$. In particular, $N=\operatorname{soc}(W)=S_{1} \times \cdots \times S_{n}=\left\{\left(s_{1}, \ldots, s_{n}\right) \mid s_{i} \in S\right\}$.

Proposition 4.1. Let $G$ be the subgroup of $W$ generated by $N=\operatorname{soc}(W)$ and $\gamma=\sigma(a, 1, \ldots, 1)$, where $\sigma=(12 \cdots n) \in \operatorname{Sym}(n)$ and $a \in H \backslash S$. If $n=2^{t}$ for some positive integer $t$, then $m(G)=3$.

In particular, this gives infinitely many examples of nonsimple, nonsoluble groups $G$ with $m(G)=d(G)+1$ in Theorem 1.2.

Proof. Suppose that $n=2^{t}$ for some positive integer $t$. Let $r:=m(G)$; we aim to prove that $r=3$.

Let $\left\{g_{1}, \ldots, g_{r}\right\}$ be an independent generating set of $G$. Observe that

$$
\gamma^{n}=(a, \ldots, a) \in G \backslash N
$$

and hence $G / N$ is cyclic of order $2^{t+1}$. Therefore, relabelling the elements of the independent generating set if necessary, we may assume $G=\left\langle g_{1}, N\right\rangle$. Hence, $g_{1}=\sigma\left(a s_{1}, s_{2}, \ldots, s_{n}\right)$ with $s_{1}, \ldots, s_{n} \in S$. Moreover, for $2 \leq i \leq r$, there exists
$u_{i} \in \mathbb{Z}$ such that $g_{i} g_{1}^{u_{i}} \in N$. Observe that $\left\{g_{1}, g_{2} g_{1}^{u_{2}}, \ldots, g_{r} g_{1}^{u_{r}}\right\}$ is still an independent generating set having cardinality $r$.

Let

$$
m=\left(s_{2} \cdots s_{n}, s_{3} \cdots s_{n}, \ldots, s_{n-1} s_{n}, s_{n}, 1\right) \in N
$$

Then, $Y=\left\{g_{1}^{m},\left(g_{2} g_{1}^{u_{2}}\right)^{m}, \ldots,\left(g_{r} g_{1}^{u_{r}}\right)^{m}\right\}$ is another independent generating set for $G$ having cardinality $r$. We have

$$
y_{1}:=g_{1}^{m}=\sigma(b, 1, \ldots, 1),
$$

with $b=a s_{1} \cdots s_{n} \in$ Aut $S \backslash S$, and for $2 \leq i \leq r$, there exist $s_{i 1}, \ldots s_{i n} \in S$ such that

$$
y_{i}:=\left(g_{i} g_{1}^{u_{i}}\right)^{m}=\left(s_{i 1}, \ldots, s_{i n}\right) .
$$

Let $Z:=\left\{b, s_{i j} \mid 2 \leq i \leq r, 1 \leq j \leq n\right\}$ and $T=\langle Z\rangle$. Since $G=\left\langle y_{1}, \ldots, y_{t}\right\rangle \leq T \imath\langle\sigma\rangle$, we must have $\operatorname{Aut}(S)=T$. However, $m(\operatorname{Aut}(S))=3$, so $\operatorname{Aut}(S)=\left\langle b, s_{i u}, s_{j v}\right\rangle$ for suitable $2 \leq i, j \leq r$ and $2 \leq u, v \leq n$.

Let $H:=\left\langle y_{1}, y_{i}, y_{j}\right\rangle$ and, for $1 \leq k \leq n$, consider the projection $\pi_{k}: N \rightarrow S$ sending $\left(s_{1}, \ldots, s_{n}\right)$ to $s_{k}$. Notice that $\pi_{1}\left(y_{1}^{n}\right)=b, \pi_{1}\left(\left(y_{i}\right)^{y_{1}^{1-u}}\right)=s_{i u}, \pi_{1}\left(\left(y_{j}\right)^{y_{1}^{1-v}}\right)=s_{j v}$. In particular, $\pi_{1}(H \cap N)=S$ and $H \cap N$ is a subdirect product of $N=S_{1} \times \cdots \times S_{n}$.

Recall that a subgroup $D$ of $N=S_{1} \times \cdots \times S_{n}$ is said to lie fully diagonally in $N$ if each projection $\pi_{i}: D \rightarrow S_{i}$ is an isomorphism. To each pair ( $\left.\Phi, \alpha\right)$, where $\Phi=\left\{B_{1}, \ldots, B_{c}\right\}$ is a partition of the set $\{1, \ldots, n\}$ and $\alpha=\left(\alpha_{1}, \ldots, \alpha_{n}\right) \in$ (Aut $S)^{n}$, we associate a direct product $\Delta(\Phi, \alpha)=D_{1} \times \cdots \times D_{c}$, where each factor $D_{j}=\left\{\left(x^{\alpha_{i_{1}}}, \ldots, x^{\alpha_{i_{d}}}\right) \mid x \in S\right\}$ is a full diagonal subgroup of the direct product $S_{i_{1}} \times \cdots \times S_{i_{d}}$ corresponding to the block $B_{j}=\left\{i_{1}, \ldots, i_{d}\right\}$ in $\Phi$.

Since $H \cap N$ is a subdirect product of $N$, we must have $H \cap N=\Delta(\Phi, \alpha)$ for a suitable choice of the pair ( $\Phi, \alpha$ ). As $G=\langle H, N\rangle$, the action by conjugation of $H$ on $\left\{S_{1}, \ldots, S_{n}\right\}$ is transitive and hence the partition $\left\{B_{1}, \ldots, B_{c}\right\}$ corresponds to an imprimitive system for the permutation action of $\langle\sigma\rangle$ on $\{1, \ldots, n\}$. So there exist $c=2^{\gamma}$ and $d=2^{\delta}$ with $c \cdot d=n$ such that

$$
B_{i}:=\{i, i+c, i+2 c, \ldots, i+(d-1) c\} \quad \text { for } 1 \leq i \leq c
$$

Notice that $y_{1} \in H$ normalises $\Delta(\Phi, \alpha)$. In particular, $y_{1}^{c}$ normalises $\Delta(\Phi, \alpha)$. However, $y_{1}^{c}$ normalises $L=S_{1} \times S_{1+c} \times \cdots \times S_{1+(d-1) c}$ and acts on $L$ as $\pi \cdot l$, where $\pi$ is the $d$-cycle $(1,1+c, \ldots, 1+(d-1) c)$ and $l=(b, 1, \ldots, 1) \in L$. In particular, $\pi \cdot l$ normalises the full diagonal subgroup $D_{1}$ of $L$. Therefore, setting $\phi_{i}=\alpha_{1+(i-1) c}$, for every $s \in S$, there exists $t \in T$ such that

$$
\left(s^{\phi_{d} b}, s^{\phi_{1}}, s^{\phi_{2}}, \ldots, s^{\phi_{d-1}}\right)=\left(t^{\phi_{1}}, t^{\phi_{2}}, t^{\phi_{3}}, \ldots, t^{\phi_{d}}\right) .
$$

It follows that

$$
\begin{gathered}
\phi_{d} b \phi_{1}^{-1} \phi_{2}=\phi_{1}, \\
\phi_{d} b \phi_{1}^{-1} \phi_{3}=\phi_{2}, \\
\ldots \\
\phi_{d} b \phi_{1}^{-1} \phi_{d}=\phi_{d-1} .
\end{gathered}
$$

In particular, $\left(\phi_{1} \phi_{d}^{-1}\right)^{d} \equiv b^{d-1}$ modulo $S$. If $d$ is even, then $b \in\left\langle x^{2} \mid x \in \operatorname{Aut}(S)\right\rangle=S$, against our assumption. Thus, $d=1$ and hence $c=n$. However, this implies that $H \cap N=N$ and consequently $H=G$. Thus, $m(G)=r \leq 3$. However, $m(G) \geq 3$ by Theorem 2.3. So we conclude that $m(G)=3$.
4.2. Soluble groups: examples for Theorem 1.3. We give three elementary examples, but with the same ideas, one can construct more complicated examples. Let $S_{n}$ be the symmetric group of degree $n$ and let $C_{n}$ be the cyclic group of order $n$.

The group $G:=S_{3} \times C_{2}^{t}=C_{3}: C_{2}^{t+1}$ with $t \geq 1$ satisfies $d(G)=t+1$ and $m(G)=t+2$. This gives examples of groups satisfying item (1) in Theorem 1.3.

The group $G:=S_{4}=K: S_{3}$ with $K$ the Klein subgroup of $S_{4}$ and the group $G:=\left(C_{3}^{t}: C_{2}\right) \times C_{2}$ with $C_{2}$ acting on $C_{3}^{t}$ by inversion also satisfy $m(G)=d(G)+1$. These two examples yield groups satisfying item (2) in Theorem 1.3 with $m(H)=2$ in the first case and with $H$ abelian in the second case.

As above, let $K$ be the Klein subgroup of $S_{4}$ and let $G:=K:\left(S_{3} \times C_{2}^{t-1}\right)$. This gives examples of groups satisfying item (3) in Theorem 1.3.

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