

# LATTICE ISOMORPHISMS OF ASSOCIATIVE ALGEBRAS

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## 1. Introduction and notation

Let  $A$  be an associative algebra over the field  $F$ . We denote by  $\mathcal{L}(A)$  the lattice of all subalgebras of  $A$ . By an  $\mathcal{L}$ -isomorphism (lattice isomorphism) of the algebra  $A$  onto an algebra  $B$  over the same field, we mean an isomorphism

$$\phi : \mathcal{L}(A) \rightarrow \mathcal{L}(B)$$

of  $\mathcal{L}(A)$  onto  $\mathcal{L}(B)$ . We investigate the extent to which the algebra  $B$  is determined by the assumption that it is  $\mathcal{L}$ -isomorphic to a given algebra  $A$ . In this paper, we are mainly concerned with the case in which  $A$  is a finite-dimensional semi-simple algebra.

The one-to-one map  $\sigma : A \rightarrow B$  of an algebra  $A$  over the field  $F$  onto an algebra  $B$  over  $F$  is called a semi-isomorphism<sup>1</sup> if

(i)  $\sigma$  is semi-linear (that is, for some automorphism  $\alpha$  of  $F$ ,

$$(\lambda_1 a_1 + \lambda_2 a_2)^\sigma = \lambda_1^\alpha a_1^\sigma + \lambda_2^\alpha a_2^\sigma$$

for all  $a_1, a_2 \in A$  and all  $\lambda_1, \lambda_2 \in F$ ), and

(ii)  $\sigma$  is multiplicative or anti-multiplicative (that is, either  $(xy)^\sigma = x^\sigma y^\sigma$  for all  $x, y \in A$ , or  $(xy)^\sigma = y^\sigma x^\sigma$  for all  $x, y \in A$ ).

We remark that, for maps  $\sigma : A \rightarrow B$  of not necessarily associative rings, such that  $(x+y)^\sigma = x^\sigma + y^\sigma$  for all  $x, y \in A$ , the apparently weaker condition

(ii') for each pair  $x, y$  of elements of  $A$ , either  $(xy)^\sigma = x^\sigma y^\sigma$  or  $(xy)^\sigma = y^\sigma x^\sigma$ , in fact implies (ii).<sup>2</sup>

Since any semi-isomorphism of an algebra  $A$  onto an algebra  $B$  induces an  $\mathcal{L}$ -isomorphism, from the assumption that  $A$  is  $\mathcal{L}$ -isomorphic to  $B$ , we cannot in general hope to prove any stronger relationship between  $A$  and  $B$  than semi-isomorphism. However the algebra  $M_n(F)$  of all  $n \times n$  matrices over the ground field  $F$  has the property that any algebra semi-isomorphic

<sup>1</sup> Closely related concepts are discussed in Ancochea [1], Hua [4] and Kaplansky [6].

<sup>2</sup> Jacobson, N.: Lectures on abstract algebra, vol. I, p. 74, exercise 6.

to  $M_n(F)$  is in fact isomorphic to it. In § 4, we prove that any algebra  $\mathcal{L}$ -isomorphic to  $M_n(F)$ ,  $n \geq 2$ , is isomorphic to  $M_n(F)$ . In § 5, we show that, if an algebra  $A$  is  $\mathcal{L}$ -isomorphic to the algebra  $M_n(\Delta)$  where  $n \geq 3$  and  $\Delta$  is a division algebra over  $F$ , then  $A$  is semi-isomorphic to  $M_n(\Delta)$ . In § 6, we show that, apart from certain special cases, if  $\phi$  is an  $\mathcal{L}$ -isomorphism of a finite-dimensional semi-simple algebra  $A$  onto an algebra  $B$ , then  $B$  is also semi-simple and the images under  $\phi$  of the simple direct summands of  $A$  of dimension greater than one are simple direct summands of  $B$ .

By "algebra" we mean "associative algebra over the field  $F$ ", and " $A \simeq B$ " means that  $A$  and  $B$  are isomorphic as algebras over  $F$ . We write mappings exponentially; thus the image of  $A$  under the map  $\phi$  will be denoted by  $A^\phi$ . If  $a_1, \dots, a_n$  are elements of an algebra  $A$ , we denote by  $\langle a_1, \dots, a_n \rangle$  the subspace of  $A$  spanned by  $a_1, \dots, a_n$ . If  $A$  is a finite-dimensional algebra, we denote the radical of  $A$  by  $R(A)$ . For any algebra  $A$ , we put

$$l(A) = \text{length of the longest chain in } \mathcal{L}(A),$$

$$d(A) = \text{dimension of } A.$$

Clearly  $d(A) \geq l(A)$ . If  $A$  is a nilpotent algebra, then the factors  $A^i/A^{i+1}$  of the series of ideals

$$A > A^2 > \dots > A^n > A^{n+1} = 0$$

are all null. Every subspace of  $A^i/A^{i+1}$  is a subalgebra, and so

$$l(A^i/A^{i+1}) = d(A^i/A^{i+1}).$$

Since

$$l(A) \geq \sum_{i=1}^n l(A^i/A^{i+1})$$

and

$$d(A) = \sum_{i=1}^n d(A^i/A^{i+1})$$

$$= \sum_{i=1}^n l(A^i/A^{i+1}),$$

it follows that  $l(A) = d(A)$  for any (not necessarily finite-dimensional) nilpotent algebra  $A$ .

## 2. Condition for finite dimension

If the algebra  $A$  is finite-dimensional, then  $l(A)$  is finite. Conversely, we have

**THEOREM 1.** *Let  $A$  be an associative algebra and suppose that  $l(A)$  is finite. Then  $d(A)$  is finite.*

**PROOF.** Since  $l(A)$  is finite, the sum of all nilpotent left ideals of  $A$  is

the sum of a finite set of nilpotent left ideals. It follows as in the usual theory of rings with minimum condition, that the radical  $R(A)$ , defined as the sum of all nilpotent left ideals of  $A$ , is a nilpotent two-sided ideal and that  $A/R(A)$  has radical 0. Since  $R = R(A)$  is nilpotent,  $d(R) = l(R)$  which is finite. Thus we need only consider the case  $R(A) = 0$ .

From  $R(A) = 0$ , it follows as in Artin, Nesbitt and Thrall [2], p. 29, Corollary 4.3B, that  $A$  has an identity element 1. The field  $F$  can be identified with the subalgebra  $F1$ , and it follows that  $A$  regarded as a ring satisfies both chain conditions for left ideals, every ring left ideal being a subalgebra of  $A$ . Therefore  $A$  is a finite direct sum of simple algebras. Each of these simple algebras is a total matrix algebra  $M_n(D)$  over a division algebra  $D$ , and  $l(D)$  is finite. It remains to prove  $d(D)$  finite.

Suppose  $K$  is a commutative subalgebra of  $D$ . Then  $K$  is an extension field of  $F$ . Let  $t$  be any element of  $K$  and let  $P = F[t]$  be the algebra of polynomials in  $t$ . If  $t$  is transcendental over  $F$ , then  $l(P)$  is infinite. Therefore  $K$  is algebraic over  $F$ . Since  $l(K)$  is finite,  $K$  is finitely generated over  $F$ . Therefore  $K$  is finite-dimensional over  $F$ .

Let  $Z$  be the centre of  $D$  and let  $K$  be a maximal subfield of  $D$ . Then  $K$  is its own centraliser in  $D$ , the dimension of  $K$  over  $Z$  is finite and therefore the dimension of  $D$  over  $K$  is finite.<sup>3</sup> Therefore the dimension of  $D$  over  $F$  is finite.

### 3. Algebras $A$ with $l(A)$ small

LEMMA 1. *Suppose  $l(A) = 1$ . Then  $d(A) = 1$ .*

PROOF. If  $A$  is nilpotent, then  $d(A) = l(A) = 1$ . If  $A$  is not nilpotent, then  $A$  contains an idempotent  $e$ . But  $\langle e \rangle$  is a subalgebra and therefore  $A = \langle e \rangle$ .

Every minimal subalgebra of an algebra  $A$  is either spanned by an idempotent or is null. Since a division algebra has no nilpotent elements and its identity is its only idempotent, a division algebra has a unique minimal subalgebra.

LEMMA 2. *If the finite-dimensional algebra  $A$  has a unique minimal subalgebra, then  $A$  is either nilpotent or a division algebra.*

PROOF. If  $A$  is not nilpotent, then it contains an idempotent  $e$  which spans the unique minimal subalgebra of  $A$ . In this case,  $R(A) = 0$  since otherwise  $R(A)$  would contain the minimal subalgebra. Thus  $A$  is a direct sum of simple algebras. But each summand contains a minimal subalgebra and therefore  $A$  is simple.

<sup>3</sup> See Jacobson [5], p. 165, Corollary to the "fundamental theorem of finite Galois theory."

Therefore  $A \simeq M_n(D)$  for some  $n$  and some division algebra  $D$ . If  $n > 1$ , then  $M_n(D)$  has more than one minimal subalgebra. Therefore  $A$  is a division algebra.

LEMMA 3.  $l(M_2(F)) = 4$ .

PROOF. Let  $e_{ij}$  be the matrix with 1 in the  $ij$  position and all other entries 0. Then

$$0 < \langle e_{11} \rangle < \langle e_{11}, e_{22} \rangle < \langle e_{11}, e_{12}, e_{22} \rangle < M_2(F)$$

is a chain of length 4. Therefore  $l(M_2(F)) \geq 4$ . But

$$l(M_2(F)) \leq d(M_2(F)) = 4.$$

Therefore  $l(M_2(F)) = 4$ .

LEMMA 4. Suppose  $A$  is a semi-simple algebra and  $l(A) \leq 3$ . Then  $A$  is a direct sum of division algebras.

PROOF.  $A$  is a direct sum of simple algebras. Since  $l(M_2(F)) = 4$ , each summand must be a division algebra.

LEMMA 5. Suppose  $l(A) = 2$  and that  $A$  has at least two minimal subalgebras. Then  $d(A) = 2$ .

PROOF. If  $A$  is nilpotent, then  $d(A) = l(A) = 2$ . If  $A$  is not nilpotent, then  $l(R(A)) = 0$  or  $l(R(A)) = 1$ . If  $l(R) = 1$ , then also  $l(A/R) = 1$  and by Lemma 1,  $d(R) = d(A/R) = 1$ . If  $l(R) = 0$ , then  $R = 0$ ,  $A$  is semi-simple and by Lemma 4,  $A$  is a direct sum of division algebras. Since  $A$  has at least two minimal subalgebras,  $A$  is not a division algebra. It follows that  $A$  is the direct sum of two division algebras  $A = D_1 \oplus D_2$ . Since  $l(A) = 2$ ,  $l(D_1) = l(D_2) = 1$ , which implies by lemma 1, that  $d(D_1) = d(D_2) = 1$ ; and so  $d(A) = 2$ .

LEMMA 6. Let  $k$  be the cardinal of  $F$ . Suppose  $l(A) = 2$ . Then  $A$  is isomorphic to one of the algebras listed in the following table:

Type	Defining relations	Number of minimal subalgebras
I	Extension field $K$ of $F$ with $F$ as a maximal subfield	1
II	$\langle a, a^2 \rangle, a^3 = 0$ .	1
III(a)	$\langle e, r \rangle, e^2 = e, r^2 = 0, er = re = 0$	2
III(b)	$\langle e, r \rangle, e^2 = e, r^2 = 0, er = re = r$	2
IV	$F \oplus F$	3
V	$\langle a_1, a_2 \rangle, a_i a_j = 0$ for all $i, j$ .	$k+1$
VI(a)	$\langle e, r \rangle, e^2 = e, r^2 = 0, er = r, re = 0$	$k+1$
VI(b)	The opposed algebra of VI(a).	$k+1$

PROOF. By Lemmas 2 and 5, either  $A$  is a division algebra or  $d(A) = 2$ . If  $d(A) = 2$ , then  $d(R) = 0, 1$  or  $2$ .

(i) Suppose  $A$  is a division algebra with identity 1. Then  $F1$  is the only minimal subalgebra of  $A$ . There exists  $t \in A, t \notin F1$ . Since  $l(A) = 2, A = F[t]$ , the algebra of all polynomials in  $t$ , and is therefore commutative. Thus  $A$  is an extension field of  $F$ .

(ii) Suppose  $A$  is semi-simple, but not a division algebra. Then it follows from Lemma 4 that  $A \cong F \oplus F$ . If  $e_1, e_2$  are the identities of the two direct summands of  $A$ , it is easily seen that  $\langle e_1 \rangle, \langle e_2 \rangle, \langle e_1 + e_2 \rangle$  are all the minimal subalgebras of  $A$ .

(iii) Suppose  $d(R) = 1$ . Then  $R = \langle r \rangle$  for some  $r$  and  $r^2 = 0$ . Since  $A$  is not nilpotent,  $A$  contains an idempotent  $e$  and  $A = \langle e, r \rangle$ . Since  $\langle r \rangle$  is an ideal,  $er = \lambda r$  and  $re = \mu r$  for some  $\lambda, \mu \in F$ . But

$$\begin{aligned} e(er) &= \lambda er = \lambda^2 r \\ &= (ee) r = er = \lambda r. \end{aligned}$$

Therefore  $\lambda = 0, 1$  and similarly  $\mu = 0, 1$ . We thus have the four types III(a), III(b), VI(a), VI(b). It remains to verify that these have the numbers of minimal subalgebras given in the table.

$A$  has the  $k+1$  one-dimensional subspaces  $\langle e + \theta r \rangle, (\theta \in F)$  and  $\langle r \rangle$ . The subspace  $\langle e + \theta r \rangle$  is a subalgebra if  $(e + \theta r)^2 \in \langle e + \theta r \rangle$ . But

$$\begin{aligned} (e + \theta r)^2 &= e + \theta(er + re) \\ &= e + \theta(\lambda + \mu)r. \end{aligned}$$

Thus  $\langle e + \theta r \rangle$  is a subalgebra if and only if

$$\theta(\lambda + \mu) = \theta$$

that is, if  $\theta = 0$  or if  $\lambda + \mu = 1$ .

If  $A$  is of type III (whether III(a) or III(b)), then  $\lambda + \mu \neq 1$  and the only minimal subalgebras of  $A$  are  $\langle e \rangle, \langle r \rangle$ . If  $A$  is of type VI, then  $\lambda + \mu = 1, \langle e + \theta r \rangle$  is subalgebras for all  $\theta \in F$  and  $A$  has  $k+1$  minimal subalgebras.

(iv) Suppose  $A$  is nilpotent. Either  $A$  is null in which case every subspace of  $A$  is a subalgebra, or  $A^2 = \langle b \rangle$  for some  $b \neq 0$ , and  $A = \langle a, b \rangle, A^3 < A^2$  and therefore  $A^3 = 0$ . Thus  $ab = ba = b^2 = 0$ . Since  $A$  is not null,  $a^2 \neq 0$  and therefore  $A^2 = \langle a^2 \rangle, A$  is of type II and clearly has only one minimal subalgebra. This completes the proof of the lemma.

#### 4. Lemmas on matrix algebras

Let  $M = M_n(\Delta)$  be the algebra of all  $n \times n$  matrices over the finite-dimensional division algebra  $\Delta$ . We denote by  $\eta_{ij}$  the matrix with 1 in the  $ij$  position and all other entries 0.

The subalgebra  $\langle \eta_{11}, \eta_{22} \rangle$  is an algebra of type IV and has exactly three minimal subalgebras. An  $\mathcal{L}$ -isomorphism  $\phi$  of  $M$  onto another algebra takes  $\langle \eta_{11}, \eta_{22} \rangle$  to an algebra  $\langle \eta_{11}, \eta_{22} \rangle^\phi$  with exactly three minimal subalgebras. We observe from the table in Lemma 6, that an algebra  $A$  with  $l(A) = 2$  and exactly three minimal subalgebras is determined to within isomorphism by these properties except when  $F = GF(2)$ , the field of two elements.

LEMMA 7. *Suppose  $F = GF(2)$  and  $M = M_2(F)$ . Then*

$$\langle \eta_{11} + \eta_{22}, \eta_{11} + \eta_{12} + \eta_{21} \rangle$$

*is a maximal subalgebra of  $M$  and has only one minimal subalgebra.*

PROOF. Put  $1 = \eta_{11} + \eta_{22}$ ,  $a = \eta_{11} + \eta_{12} + \eta_{21}$ . Then  $a^2 + a + 1 = 0$  and the minimum polynomial of  $a$  over the field  $F1$  is  $x^2 + x + 1$ , which is irreducible. Therefore  $F[a]$  is a field of dimension 2 over  $F$ . Therefore  $K = \langle \eta_{11} + \eta_{22}, \eta_{11} + \eta_{12} + \eta_{21} \rangle$  has only one minimal subalgebra. If  $N$  is any subalgebra of  $M$  containing  $K$ , then  $N$  can be regarded as a left vector space over  $K$ . It follows that the dimension of  $K$  over  $F$  divides the dimension of  $N$  over  $F$ . Thus  $d(N) = 2$  and  $N = K$  or  $d(N) = 4$  and  $N = M$ . Thus  $K$  is a maximal subalgebra of  $M$ .

We now suppose that  $\phi : \mathcal{L}(M) \rightarrow \mathcal{L}(A)$  is an  $\mathcal{L}$ -isomorphism of  $M$  onto an algebra  $A$ . We put  $E_{ij} = \langle \eta_{ij} \rangle^\phi$ . Then  $d(E_{ij}) = 1$ . We take  $e_{ij}$  such that  $E_{ij} = \langle e_{ij} \rangle$ .

LEMMA 8. *Let  $M = M_2(F)$ , that is  $n = 2$ ,  $\Delta = F$ . Put  $I = \langle \eta_{11} + \eta_{22} \rangle^\phi$ . Then  $I$  is in the centre of  $A$ ,  $I^2 = I$  and  $E_{12}^2 = E_{21}^2 = 0$ .*

PROOF. Since  $I \cup E_{12}$  has exactly two minimal subalgebras,  $I \cup E_{12}$  is commutative. Since  $I$  is in the centre of  $I \cup E_{12}$  and of  $I \cup E_{21}$ ,  $I$  is in the centre of  $I \cup E_{12} \cup E_{21} = A$ . Since  $I \cup E_{12}$  is of type III, we have either  $I^2 = I$ ,  $E_{12}^2 = 0$  or  $I^2 = 0$ ,  $E_{12}^2 = E_{12}$ . We show that the latter is not possible.

Since  $E_{11} \cup E_{22}$  has exactly three minimal subalgebras,  $E_{11} \cup E_{22}$  is of type IV and  $I^2 = I$  if  $F \neq GF(2)$ . Suppose  $F = GF(2)$  and  $I^2 = 0$ . By Lemma 7,  $K = \langle \eta_{11} + \eta_{22}, \eta_{11} + \eta_{12} + \eta_{21} \rangle$  is a maximal subalgebra of  $M$  with  $\langle \eta_{11} + \eta_{22} \rangle$  as its only minimal subalgebra. Therefore  $I$  is the only minimal subalgebra of  $K^\phi$ . Since  $I^2 = 0$ ,  $K^\phi$  is nilpotent.  $I = R(I \cup E_{12}) = R(I \cup E_{21})$  since  $I \cup E_{12}$  and  $I \cup E_{21}$  are of type III. Therefore  $I$  is an ideal of  $A = E_{12} \cup E_{21}$ . Therefore  $l(A/R(A)) \leq 3$ . By Lemma 4,  $A/R(A)$  is a direct sum of division algebras and so has no nilpotent elements. All nilpotent elements of  $A$  are thus in  $R(A)$ . Therefore  $K^\phi \leq R(A)$ . But  $A$  is not nilpotent since  $I \cup E_{12}$  is not nilpotent. Therefore  $R(A) = K^\phi$  since  $K^\phi$  is maximal in  $A$ . But this implies  $d(A/R(A)) = 1$ ,  $d(R(A)) = l(K^\phi) = 2$  and therefore  $d(A) = 3$  contrary to  $l(A) = l(M) = 4$ . Therefore  $I^2 = I$ .

LEMMA 9. Under the assumptions of Lemma 8,  $E_{11}^2 = E_{11}$ .

PROOF.  $E_{11} \cup I$  has exactly three minimal subalgebras. By Lemma 8, it is commutative and non-nilpotent. By Lemma 6,  $E_{11} \cup I$  must be of type IV even if  $F = GF(2)$ . Therefore  $E_{11}^2 = E_{11}$ . Similarly  $E_{22}^2 = E_{22}$ .

LEMMA 10. Suppose  $M = M_2(F)$ . Then  $A \simeq M$  and, for suitable choice of the  $e_{ij}$ , the  $e_{ij}$  have either the same multiplication as the  $\eta_{ij}$  or the opposed multiplication.

PROOF. By Lemmas 8 and 9, we have

$$E_{12} = R(E_{11} \cup E_{12}) = R(E_{22} \cup E_{12})$$

and therefore  $E_{12} \leq R(E_{11} \cup E_{12} \cup E_{22})$ . Since  $E_{11} \cup E_{22}$  is semi-simple,  $(E_{11} \cup E_{12} \cup E_{22})/R(E_{11} \cup E_{12} \cup E_{22})$  has a subalgebra isomorphic to  $E_{11} \cup E_{22}$ , and it follows that  $R(E_{11} \cup E_{12} \cup E_{22}) = E_{12}$ .

Suppose  $R = R(A) \neq 0$ . Then  $R \cap (E_{11} \cup E_{12} \cup E_{22}) \leq E_{12}$ . If  $R \cap (E_{11} \cup E_{12} \cup E_{22}) = 0$ , then  $R \cup (E_{11} \cup E_{12} \cup E_{22}) = A$  and  $A/R \simeq E_{11} \cup E_{12} \cup E_{22}$  which is impossible as  $E_{11} \cup E_{12} \cup E_{22}$  has non-zero radical. Therefore  $R \cap (E_{11} \cup E_{12} \cup E_{22}) = E_{12}$ . Similarly  $R \geq E_{21}$  and therefore  $A = E_{12} \cup E_{21} \leq R$ . But  $A$  is not nilpotent. Therefore  $R = 0$ . Since any simple algebra which is not a division algebra contains a subalgebra isomorphic to  $M_2(F)$ , either  $A$  is a direct sum of division algebras or  $A \simeq M_2(F)$ . Since  $A$  contains nilpotent elements,  $A$  is not a direct sum of division algebras.

We now prove that the  $e_{ij}$  may be chosen as asserted. Since  $A \simeq M_2(F)$ ,  $A$  has an identity element 1 and  $\langle 1 \rangle$  is the centre of  $A$ . By Lemma 8,  $I$  is in the centre of  $A$  and therefore  $I = \langle 1 \rangle$ .

Since  $E_{11}^2 = E_{11}$ , we may take  $e_{11}$  idempotent. Similarly we may take  $e_{22}$  idempotent. But  $e_{11}, 1, 1 - e_{11}$  are idempotents in  $E_{11} \cup E_{22}$  which has only three idempotents. Therefore  $1 - e_{11} = e_{22}$  and  $e_{11}e_{22} = e_{22}e_{11} = 0$ .

However  $e_{12}$  is chosen, we have either  $e_{11}e_{12} = e_{12}, e_{12}e_{11} = 0$  or  $e_{11}e_{12} = 0, e_{12}e_{11} = e_{12}$ . We consider the first case, the same argument applying to the second with the order of all products reversed. Since  $(e_{11} + e_{22})e_{12} = e_{12}$ , we have  $e_{22}e_{12} = 0, e_{12}e_{22} = e_{12}$ . If  $e_{21}e_{11} = 0$ , then we must also have  $e_{22}e_{21} = 0$ . This implies

$$\begin{aligned} e_{12}e_{21} &= (e_{12}e_{22})e_{21} = e_{12}(e_{22}e_{21}) = 0 \\ e_{21}e_{12} &= e_{21}(e_{11}e_{12}) = (e_{21}e_{11})e_{12} = 0 \end{aligned}$$

contrary to  $A = E_{12} \cup E_{21}$ . Therefore

$$e_{22}e_{21} = e_{21} = e_{21}e_{11}, e_{11}e_{21} = 0 = e_{21}e_{22}.$$

For any  $a = \alpha e_{11} + \beta e_{12} + \gamma e_{21} + \delta e_{22} \in A, \alpha, \beta, \gamma, \delta \in F$ , we have  $e_{11}ae_{11} = \alpha e_{11}$ . But

$$e_{12}e_{21} = (e_{11}e_{12})(e_{21}e_{11}) = e_{11}(e_{12}e_{21})e_{11}.$$

Therefore  $e_{12}e_{21} = \lambda e_{11}$ . Similarly  $e_{21}e_{12} = \mu e_{22}$ . But

$$\lambda e_{12} = (e_{12}e_{21})e_{12} = e_{12}(e_{21}e_{12}) = \mu e_{12}$$

and therefore  $\lambda = \mu$ . Since  $A = E_{12} \cup E_{21}$ ,  $\lambda \neq 0$ . We replace  $e_{12}$  by  $e'_{12} = e_{12}/\lambda$ . Then  $e'_{12}e_{21} = e_{11}$ . Thus we may choose the  $e_{ij}$  so that  $\lambda = 1$  and the  $e_{ij}$  have the same multiplication as the  $\eta_{ij}$ .

LEMMA 11. *Let  $\Delta$  be a finite-dimensional division algebra,  $M = M_n(\Delta)$ ,  $n \geq 2$  and let  $N$  be a nilpotent subalgebra of  $M$ . Then  $N^\phi$  is nilpotent and  $d(N^\phi) = d(N)$ .*

PROOF. If  $d(N) = 1$ , then for some subalgebra  $U$  of  $M$  containing  $N$ , there exists an isomorphism  $\alpha : U \rightarrow M_2(F)$  of  $U$  onto  $M_2(F)$  such that  $N^\alpha = \langle \eta_{12} \rangle$ . This follows from consideration of the similarity invariants of a matrix  $\eta \in N$ . By Lemma 8,  $N^\phi$  is nilpotent.

For general  $N$ , every one-dimensional subalgebra of  $N$  is nilpotent. Hence every minimal subalgebra of  $N^\phi$  is nilpotent and therefore  $N^\phi$  is nilpotent. We then have

$$d(N^\phi) = l(N^\phi) = l(N) = d(N).$$

LEMMA 12. *Let  $M = M_n(F)$ ,  $n \geq 2$ . Then  $A \simeq M$  and, for suitable choice of the  $e_{ij}$ , the  $e_{ij}$  have either the same multiplication as the  $\eta_{ij}$  or the opposed multiplication.*

PROOF. Since  $\langle \eta_{ii}, \eta_{ij}, \eta_{ji}, \eta_{jj} \rangle$  for  $i \neq j$  is isomorphic to  $M_2(F)$ , by Lemma 9,  $E_{ii}^2 = E_{ii}$  for all  $i$ . If we choose for  $e_{ii}$  the unique idempotent in  $E_{ii}$ , then by Lemma 10 applied to  $\langle \eta_{ii}, \eta_{ij}, \eta_{ji}, \eta_{jj} \rangle$ , we have  $e_{ii}e_{jj} = 0$  for  $i \neq j$ . However  $e_{ij}$  is chosen ( $i \neq j$ ), we have either  $e_{ii}e_{ij} = e_{ij} = e_{ij}e_{jj}$ ,  $e_{ij}e_{ii} = 0 = e_{jj}e_{ij}$  or  $e_{ii}e_{ij} = 0 = e_{ij}e_{jj}$ ,  $e_{ij}e_{ii} = e_{ij} = e_{jj}e_{ij}$ .

By Lemma 11,  $\langle e_{ii}, e_{kl} \rangle$  is nilpotent if  $i, j, k, l$  are distinct. Since it has  $k+1$  minimal subalgebras, it is null and therefore  $e_{ij}e_{kl} = 0$ . Similarly  $e_{ij}e_{ik} = 0$  and  $e_{ij}e_{kj} = 0$  if  $i, j, k$  are distinct. Since  $e_{rr}e_{jk} = e_{jk}$  either for  $r = j$  or for  $r = k$ , by taking the appropriate value for  $r$ , we obtain in either case

$$e_{ii}e_{jk} = e_{ii}e_{rr}e_{jk} = 0$$

if  $i, j, k$  are distinct, since then  $e_{ii}e_{rr} = 0$ . Similarly  $e_{jk}e_{ii} = 0$ .

By Lemma 11, if  $i, j, k$  are distinct, then  $E_{ij} \cup E_{jk}$  is a three-dimensional nilpotent subalgebra. Therefore  $e_{ij}e_{jk}$  and  $e_{jk}e_{ij}$  are not both 0. If  $e_{ii}e_{ij} = e_{ij}$ , then

$$e_{jk}e_{ij} = e_{jk}(e_{ii}e_{ij}) = (e_{jk}e_{ii})e_{ij} = 0$$

and so  $e_{ij}e_{jk} \neq 0$ , whence  $(e_{ij}e_{jj})e_{jk} \neq 0$  and therefore  $e_{jj}e_{jk} = e_{jk}$ . By



repeated application of this argument, we have that, if  $e_{11}e_{12} = e_{12}$ , then  $e_{ij}e_{ij} = e_{ij}$  for all  $i, j$ . We suppose  $e_{11}e_{12} = e_{12}$ , and prove that the  $e_{ij}$  may be chosen so that they have the same multiplication as the  $\eta_{ij}$ . The same argument applies with the order of all products reversed if  $e_{11}e_{12} = 0$ , giving  $e_{ij}$  with the opposed multiplication.

Since  $d(E_{ij} \cup E_{jk}) = 3$  and  $d(E_{ij} \cup E_{ik}) = 2$ ,  $e_{ij}, e_{jk}, e_{ik}$  is a basis of  $E_{ij} \cup E_{jk}$  and therefore

$$e_{ij}e_{jk} = \alpha e_{ij} + \beta e_{jk} + \gamma e_{ik}$$

for some  $\alpha, \beta, \gamma \in F$ . But

$$\begin{aligned} e_{ij}e_{jk} &= (e_{ii}e_{ij})(e_{jk}e_{kk}) = e_{ii}(e_{ij}e_{jk})e_{kk} \\ &= \gamma e_{ik}. \end{aligned}$$

It remains to prove that the  $e_{ij}$  can be so chosen that  $e_{ij}e_{jk} = e_{ik}$  for all  $i, j, k$ .

We choose  $e_{12}, e_{13}, \dots, e_{1n}$  arbitrarily. By Lemma 10, we can choose  $e_{i1}$  such that  $e_{1i}e_{i1} = e_{11}$ . The  $e_{i1}$  are uniquely determined by this condition and satisfy  $e_{i1}e_{1i} = e_{ii}$ . For  $i, j$  distinct and not equal to 1, we can choose  $e_{ij}$  such that  $e_{1i}e_{ij} = e_{1j}$ . This determines  $e_{ij}$  uniquely. We then have

$$e_{1k} = e_{1j}e_{jk} = (e_{1i}e_{ij})e_{jk} = e_{1i}(e_{ij}e_{jk})$$

and therefore  $e_{ij}e_{jk} = e_{ik}$  for all  $i, j, k$ .

**LEMMA 13.** *Let  $\eta$  be an idempotent of  $M = M_n(\Delta)$ ,  $n \geq 2$ . Then  $\langle \eta \rangle^\phi$  is not nilpotent,  $\langle \eta \rangle^\phi = \langle e \rangle$  for some idempotent  $e$ .*

**PROOF.** Let  $r$  be the rank of  $\eta$ . Then for some inner automorphism  $\alpha$  of  $M$ ,  $(\eta_{11} + \eta_{22} + \dots + \eta_{rr})^\alpha = \eta$ . Let  $N$  be the subalgebra  $M_n(F)$  of  $M$ . By Lemma 12 applied to  $N^\alpha$ ,  $\langle \eta \rangle^\phi$  is not nilpotent. Since  $d(\langle \eta \rangle^\phi) = 1$  and  $\langle \eta \rangle^\phi$  is not nilpotent, there exists a unique idempotent  $e$  such that  $\langle e \rangle = \langle \eta \rangle^\phi$ .

### 5. Simple algebras

**THEOREM 2.** *Let  $S = M_n(\Delta)$  where  $n \geq 2$  and  $\Delta$  is a finite dimensional division algebra. Let  $\phi : \mathcal{L}(S) \rightarrow \mathcal{L}(A)$  be an  $\mathcal{L}$ -isomorphism of  $S$  onto  $A$ . Then  $A \simeq M_n(D)$  for some division algebra  $D$  which is  $\mathcal{L}$ -isomorphic to  $\Delta$  and  $d(D) = d(\Delta)$ .*

**PROOF.** For any subalgebra  $U$  of  $S$ , we have by Lemmas 11, 13, that  $U^\phi$  is nilpotent if and only if  $U$  is nilpotent. Thus the maximal nilpotent subalgebras of  $A$  are the images under  $\phi$  of the maximal nilpotent subalgebras of  $S$ . By Barnes [3],  $R(A)$  is the intersection of the maximal nilpotent subalgebras of  $A$ . Since  $R(S) = 0$ ,  $R(A) = 0$  and  $A$  is semi-simple.

Let  $N$  be the subalgebra  $M_n(F)$  of  $S$  and let  $\xi$  be the identity of  $S$ . We may identify  $\Delta$  with the subalgebra  $\Delta\xi$  of  $S$ . Then  $S = N \cup \Delta$ ,  $N \cap \Delta = \langle \xi \rangle$ .

Let  $B$  be any simple direct summand of  $A$ . Then  $B$  contains an idempotent  $e$ . Let  $U = \langle e \rangle^{\phi^{-1}}$ . If  $U$  is nilpotent, then by lemma 11,  $U^\phi = \langle e \rangle$  is nilpotent, contrary to  $e$  being idempotent. Therefore  $U$  is non-nilpotent and so contains an idempotent  $\eta$ . Clearly  $U = \langle \eta \rangle$  and  $\langle e \rangle = \langle \eta \rangle^\phi$ . But  $\eta \in N^\alpha$  for some inner automorphism  $\alpha$  of  $S$ . Since  $N^{\alpha\phi} \simeq M_n(F)$  and  $B \cap N^{\alpha\phi} \supseteq \langle e \rangle \neq 0$ , we have  $B \supseteq N^{\alpha\phi}$  and therefore  $\langle \xi \rangle^\phi \subseteq B$ . Since  $\langle \xi \rangle^{\alpha\phi}$  is the only minimal subalgebra of  $\Delta^{\alpha\phi}$  and is not nilpotent,  $\Delta^{\alpha\phi}$  is a division algebra. Since  $B \cap \Delta^{\alpha\phi} \supseteq \langle \xi \rangle^{\alpha\phi} \neq 0$  and  $B$  is an ideal,  $B \supseteq \Delta^{\alpha\phi}$ . Thus  $B \supseteq N^{\alpha\phi} \cup \Delta^{\alpha\phi} = (N \cup \Delta)^{\alpha\phi} = S^{\alpha\phi} = A$ . Therefore  $A$  is simple.

Since  $A$  simple,  $A \simeq M_m(D)$  for some division algebra  $D$  and some  $m$ . If  $U \simeq M_r(F)$  is a subalgebra of  $M_m(D)$ , then  $r \leq m$ . Since  $N^\phi \simeq M_n(F)$  is a subalgebra of  $A$ , we have  $n \leq m$ . By the same argument applied to the  $\mathcal{L}$ -isomorphism  $\phi^{-1}$ , we have  $n \geq m$ . We therefore have  $A \simeq M_n(D)$ . But  $\Delta_1 = \Delta\eta_{11} = \eta_{11}S\eta_{11}$  is the unique maximal division subalgebra of  $S$  containing  $\eta_{11}$ . It follows that  $\Delta_1^\phi$  is the unique maximal division subalgebra of  $A$  containing  $e_{11}$  and therefore  $\Delta_1^\phi = e_{11}Ae_{11} = De_{11}$ . Thus  $D \simeq \Delta_1^\phi$  and it follows that  $D$  is  $\mathcal{L}$ -isomorphic to  $\Delta$ .

Consider the maximal nilpotent subalgebra  $U$  of  $S$  consisting of all upper triangular matrices  $\sum_{i < j} \delta_{ij}\eta_{ij}$ . This is the unique maximal nilpotent subalgebra of  $S$  containing the  $\eta_{ij}$  with  $i < j$ . It follows that  $U^\phi$  is the subalgebra of  $A$  consisting of all elements of the form  $\sum_{i < j} d_{ij}e_{ij}$  where  $d_{ij} \in D$ . Since  $U$  and  $U^\phi$  are nilpotent,  $d(U) = d(U^\phi)$ . But  $d(U) = \frac{1}{2}n(n-1)d(\Delta)$  and  $d(U^\phi) = \frac{1}{2}n(n-1)d(D)$ . Therefore  $d(D) = d(\Delta)$ .

**COROLLARY.** *Let  $S$  be a finite-dimensional simple algebra over the finite field  $F$ . Suppose  $S$  is not a field. Let  $\phi : \mathcal{L}(S) \rightarrow \mathcal{L}(A)$  be an  $\mathcal{L}$ -isomorphism of  $S$  onto  $A$ . Then  $A \simeq S$ .*

**PROOF.** A finite-dimensional division algebra over a finite field is an extension field and is determined up to isomorphism by its dimension.

**THEOREM 3.** *Let  $S = M_n(\Delta)$  for some finite-dimensional division algebra  $\Delta$ . Suppose  $n \geq 3$ . Let  $\phi : \mathcal{L}(S) \rightarrow \mathcal{L}(A)$  be an  $\mathcal{L}$ -isomorphism of  $S$  onto  $A$ . Then  $S$  is semi-isomorphic to  $A$ .*

**PROOF.** (i) The subalgebra  $\Delta_1 = \Delta\eta_{11}$  of  $S$  is a division algebra isomorphic to  $\Delta$ . The subalgebra  $V = \Delta\eta_{12} + \Delta\eta_{13}$  is null and every subspace of  $V$  is a subalgebra of  $S$ .  $V$  can be considered as a left vector space over  $\Delta_1$ . We show that the  $\Delta_1$ -subspaces of  $V$  are the subalgebras  $P \subseteq V$  with the property  $P = V \cap (\Delta_1 \cup P)$ .

Suppose  $P$  is a  $\Delta_1$ -subspace of  $V$ . Then  $\Delta_1 \cup P = \Delta_1 \oplus P$  (vector space direct sum). Let  $\delta\eta_{11} + p \in V$ ,  $\delta \in \Delta$ ,  $p \in P$ . Then  $\delta\eta_{11} \in V$  which implies  $\delta = 0$ . Thus  $(\Delta_1 \cup P) \cap V \subseteq P$  and hence  $(\Delta_1 \cup P) \cap V = P$ .

Conversely, suppose  $V \cap (\Delta_1 \cup P) = P$ . Since  $V$  is an ideal in  $\Delta_1 \cup V$ ,

$P = V \cap (\Delta_1 \cup P)$  is an ideal in  $\Delta_1 \cup P$ . Therefore  $\Delta_1 P \subseteq P$  and  $P$  is a  $\Delta_1$ -subspace of  $V$ .

(ii) By theorem 2,  $A \simeq M_n(D)$  for some division algebra  $D$ . Consider the subalgebra  $S' = M_n(F)$  of  $M_n(\Delta)$ . Put  $A' = S'\phi$ . Then  $\phi$  induces an  $\mathcal{L}$ -isomorphism of  $S'$  onto  $A'$ , and by lemma 12,  $A'$  has a basis  $e_{ij}(i, j = 1, 2, \dots, n)$ , where  $\langle e_{ij} \rangle = \langle \eta_{ij} \rangle^\phi$ , with either the same multiplication as the  $\eta_{ij}$  or the opposed multiplication. By dimension considerations as in the proof of theorem 2, it follows that the  $e_{ij}$  are a basis of  $A$  as a left vector space over  $D$ .

We now suppose that the  $e_{ij}$  have the same multiplication as the  $\eta_{ij}$  and prove that there exists a semi-linear map  $\sigma : \Delta \rightarrow D$  such that  $(\delta\delta')^\sigma = \delta\sigma\delta'\sigma$  for all  $\delta, \delta' \in \Delta$ . In the case in which the  $e_{ij}$  have the opposed multiplication, the same argument with the order of all products in  $A$  reversed proves the existence of a semi-linear map  $\sigma : \Delta \rightarrow D$  such that  $(\delta\delta')^\sigma = \delta'\sigma\delta\sigma$  for all  $\delta, \delta' \in \Delta$ . In either case, we then have  $S$  semi-isomorphic to  $A$ .

Put  $D_1 = De_{11}$ . Then it follows as in the proof of Theorem 2, that  $\Delta_1^\phi = D_1$ . Put  $W = D_1e_{12} + D_1e_{13}$ . We prove that  $W = V\phi$ . For any subalgebra  $U$  of  $S$ , it follows from Lemmas 11, 13 and Barnes [3], that  $R(U\phi) = (R(U))^\phi$ . But  $V = R(\Delta_1 \cup V)$ ,  $W = R(D_1 \cup W)$  and

$$\begin{aligned} (\Delta_1 \cup V)\phi &= (\Delta_1 \cup \langle \eta_{12} \rangle \cup \langle \eta_{13} \rangle)^\phi \\ &= D_1 \cup E_{12} \cup E_{13} \\ &= D_1 \cup W. \end{aligned}$$

Therefore  $V\phi = W$ .

$W$  is a left vector space over  $D_1$  and by (i), the  $D_1$ -subspaces of  $W$  are the subalgebras  $Q$  such that  $Q = W \cap (D_1 \cup Q)$ . Thus  $P\phi$  is a  $D_1$ -subspace of  $W$  if and only if  $P$  is a  $\Delta_1$ -subspace of  $V$ . Thus we have an isomorphism  $\phi$  of the lattice of  $F$ -subspaces of  $V$  onto the lattice of  $F$ -subspaces of  $W$  which takes  $\Delta_1$ -subspaces of  $V$  to  $D_1$ -subspaces of  $W$ .

If  $\Delta = F$ , the result holds trivially. Suppose  $\Delta \neq F$ . Then  $d(V) = 2d(\Delta) > 3$ . By the "fundamental theorem of projective geometry", there exists a semi-linear map  $\sigma : V \rightarrow W$  which induces  $\phi$  (restricted to  $V$ ).

The elements  $e_{12}, e_{13}$  of  $E_{12}, E_{13}$  are chosen arbitrarily in the proof of Lemma 12. We may therefore take  $e_{12} = \eta_{12}^\sigma$  and  $e_{13} = \eta_{13}^\sigma$ . For any  $\delta \in \Delta_1$ ,  $(\delta\eta_{12})^\sigma \in D_1e_{12}$  since  $\sigma$  maps  $\Delta_1$ -subspaces of  $V$  to  $D_1$ -subspaces of  $W$ . Therefore there exists a unique  $d \in D_1$  such that  $d e_{12} = (\delta\eta_{12})^\sigma$ . Put  $\delta\sigma = d$ . This defines a semi-linear map  $\delta \rightarrow \delta\sigma$  of  $\Delta_1$  onto  $D_1$ .

Since  $\sigma$  is semi-linear,  $(\eta_{12} + \eta_{13})^\sigma = \eta_{12}^\sigma + \eta_{13}^\sigma = e_{12} + e_{13}$ . For any  $\delta \in \Delta_1$ ,

$$\begin{aligned} (\delta(\eta_{12} + \eta_{13}))^\sigma &= (\delta\eta_{12})^\sigma + (\delta\eta_{13})^\sigma \\ &= \delta\sigma e_{12} + d^* e_{13} \end{aligned}$$

for some  $d^* \in D_1$ . But  $(\delta(\eta_{12} + \eta_{13}))^\sigma \in D_1(e_{12} + e_{13})$  and therefore  $\delta^* = \delta^\sigma$ . Therefore, for any  $\delta, \delta' \in \Delta_1$ ,

$$\begin{aligned} (\delta(\eta_{12} + \delta'\eta_{13}))^\sigma &= (\delta\eta_{12})^\sigma + (\delta\delta'\eta_{13})^\sigma \\ &= \delta^\sigma e_{12} + (\delta\delta')^\sigma e_{13}. \end{aligned}$$

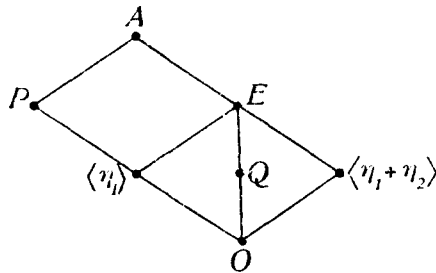
But  $(\delta(\eta_{12} + \delta'\eta_{13}))^\sigma \in D_1(e_{12} + \delta'\sigma e_{13})$  and therefore  $(\delta\delta')^\sigma = \delta\sigma\delta'\sigma$ .

### 6. Semi-simple algebras

**LEMMA 14.** *Let  $F = GF(2)$  and let  $A = P \oplus Q$  where  $P$  is a proper extension field of  $F$  of finite dimension and  $Q \simeq F$ . Let  $\phi : \mathcal{L}(A) \rightarrow \mathcal{L}(B)$  be an  $\mathcal{L}$ -isomorphism of  $A$  onto  $B$ . Then  $B = P^\phi \oplus S$  where  $S \simeq F$  and  $P^\phi$  is an extension field of  $F$*

**PROOF.** (i) We need only consider the case  $l(P) = 2$ , for if  $l(P) > 2$ , we take  $K \leq P$  such that  $l(K) = 2$ . If the result holds for  $K \oplus Q$  and  $\eta_1$  is the identity of  $P$ , then  $\langle \eta_1 \rangle^\phi$  is not nilpotent,  $P^\phi$  has a unique minimal subalgebra  $\langle \eta_1 \rangle^\phi$  and so is a field. By hypothesis,  $(K \oplus Q)^\phi = K^\phi \oplus S$  where  $S \simeq F$ . Take  $e_1$  the identity of  $P^\phi$  and  $e_2$  the identity of  $S$ . Then  $e_1 e_2 = e_2 e_1 = 0$ . For all  $x \in P^\phi$ ,  $x e_2 = (x e_1) e_2 = 0$ ,  $e_2 x = e_2 (e_1 x) = 0$ . Therefore  $P^\phi$  is an ideal in  $B$  and  $B = P^\phi \oplus S$ .

(ii) Let  $\eta_1, \eta_2$  be the identities of  $P, Q$ . Put  $E = \langle \eta_1, \eta_2 \rangle$ . Then  $\langle \eta_1 \rangle, \langle \eta_2 \rangle = Q, \langle \eta_1 + \eta_2 \rangle$  are all the minimal subalgebras of  $A$  and  $\mathcal{L}(A)$  is



(iii) Suppose  $B$  is nilpotent. Then  $d(B) = 3$ . If  $b \in B$  and  $b^3 \neq 0$ , then  $\langle b, b^2, b^3 \rangle = B$  and  $\langle b^2, b^3 \rangle$  is the only maximal subalgebra of  $B$ . Therefore  $b^3 = 0$  for all  $b \in B$ . If  $b^2 \neq 0$ , then  $\langle b, b^2 \rangle$  is a subalgebra  $\mathcal{L}$ -isomorphic to  $P$ , and therefore  $b \in P^\phi$ .

We have  $P^\phi = \langle u, u^2 \rangle, Q^\phi = \langle v \rangle$  for some  $u, v$ . Since  $E^\phi$  is nilpotent and has three minimal subalgebras,  $E^\phi$  is null. Therefore the three minimal subalgebras of  $B$  are  $\langle u^2 \rangle, \langle v \rangle, \langle u^2 + v \rangle$ .

Consider the element  $u + v$ . Since  $u + v \notin P^\phi$ , we have  $(u + v)^2 = 0$ . Therefore  $\langle u + v \rangle$  is another minimal subalgebra of  $B$ . Therefore  $B$  is not nilpotent.

(iv) Suppose  $P^\phi$  is nilpotent. Then  $P^\phi = \langle u, u^2 \rangle$  for some  $u$ , and  $Q^\phi = \langle e \rangle$  for some idempotent  $e$ . Since  $l(B) = 3$ , every nilpotent element of  $B$  is in  $R = R(B)$ . Therefore  $P^\phi \leq R$ . But  $B$  is not nilpotent. Therefore  $R = P^\phi$  and  $\langle \eta_1 \rangle^\phi = R^2$  is an ideal in  $B$ . Since  $B/R^2$  has only two minimal subalgebras, it is commutative and  $eu - ue \in \langle u^2 \rangle$ . Therefore  $ueu - u^2e = 0$ ,  $eu^2 - ueu = 0$  and  $eu^2 = u^2e$ . This implies that  $E^\phi$  has only two minimal subalgebras. Therefore  $P^\phi$  is not nilpotent, and so must be an extension field of  $F$ .

(v) Suppose  $R = R(B) \neq 0$ . Then  $d(R) = 1$ ,  $R = \langle r \rangle$  for some  $r$ , and either  $R = Q^\phi$  or  $R = \langle \eta_1 + \eta_2 \rangle^\phi$ . Let  $e$  be the identity of  $P^\phi$ . Then  $E^\phi$  is of type VI and without loss of generality, we may suppose  $er = r$ ,  $re = 0$ . Then  $d(P^\phi r) = d(P^\phi)$  since  $P^\phi$  is a field and  $er = r \neq 0$ . But  $r \in R$  which is an ideal, and therefore  $d(P^\phi) = 1$  contrary to  $l(P) = 2$ . Therefore  $R(B) = 0$ .

(vi) Since  $R(B) = 0$ ,  $B$  is a direct sum of fields. Since  $P^\phi$  is a proper extension of  $F$ , one of the direct summands of  $B$  is a proper extension. But  $P^\phi$  is the only subalgebra of  $B$  with lattice of length 2 and only one minimal subalgebra. Therefore  $P^\phi$  is a direct summand of  $B$ . Clearly the other direct summand is isomorphic to  $F$ .

It is clear from the lattice diagram that a lattice automorphism of  $A$  may map  $Q$  to  $\langle \eta_1 + \eta_2 \rangle$ . Thus  $Q^\phi$  need not be a direct summand of  $B$ .

**LEMMA 15.** *Let  $F = GF(2)$  and let  $A$  be a finite-dimensional semi-simple algebra over  $F$ , not a field or direct sum of one-dimensional algebras. Let  $\phi : \mathcal{L}(A) \rightarrow \mathcal{L}(B)$  be an  $\mathcal{L}$ -isomorphism of  $A$  onto  $B$ , and let  $\eta$  be an idempotent in  $A$ . Then  $\langle \eta \rangle^\phi$  is not nilpotent.*

**PROOF.** Let  $S_1, \dots, S_m$  be the simple direct summands of  $A$ . We may suppose  $d(S_1) \geq 2$ .

Suppose  $\eta \in S_1$ . If  $S_1 \simeq M_n(K)$ ,  $n \geq 2$  for some extension field  $K$  of  $F$ , then the result holds by Lemma 13. If  $S_1$  is a field, then by hypothesis,  $A \neq S_1$ . Let  $\eta_2$  be the identity of  $S_2$ . By Lemma 14 applied to  $S_1 \cup \langle \eta_2 \rangle$ ,  $\langle \eta \rangle^\phi$  is not nilpotent.

Suppose  $\eta \notin S_1$ .  $S_1$  contains a field  $K$  which is a proper extension of  $F$  since, if  $S_1$  is not itself a field, then it has a subalgebra isomorphic to  $M_2(F)$  which has a subalgebra isomorphic to  $GF(4)$ . We take some such subfield  $K$  of  $S_1$ . Then  $K \cup \langle \eta \rangle \simeq K \oplus F$  and by Lemma 14,  $\langle \eta \rangle^\phi$  is not nilpotent.

**LEMMA 16.** *Let  $A$  be a finite-dimensional semi-simple algebra over the field  $F$ , and let  $\phi : \mathcal{L}(A) \rightarrow \mathcal{L}(B)$  be an  $\mathcal{L}$ -isomorphism of  $A$  onto an algebra  $B$ . Suppose  $A$  is not a division algebra. If  $F = GF(2)$ , suppose further that  $A$  is not a direct sum of one-dimensional algebras. Let  $U$  be a subalgebra of  $A$ . Then  $U^\phi$  is nilpotent if and only if  $U$  is nilpotent.*

PROOF. (i) Let  $N = \langle n \rangle$  be a one-dimensional nilpotent subalgebra of  $A$ . We prove  $N^\phi$  nilpotent. Let  $S_1, \dots, S_r$  be the simple direct summands of  $A$  which are not division algebras. (If there are no such summands, then  $A$  has no nilpotent subalgebras and we have nothing to prove.) Then  $N \subseteq S_1 \oplus \dots \oplus S_r$ . If  $N \subseteq S_i$  for some  $i$ , then  $N^\phi$  is nilpotent by Lemma 11. We use induction over  $r$ . Suppose the result holds for subalgebras of  $S_1 \oplus \dots \oplus S_{r-1}$ . Then  $n = u + v$ ,  $u \in S_1 \oplus \dots \oplus S_{r-1}$ ,  $v \in S_r$ , and we may suppose  $u \neq 0$ ,  $v \neq 0$ . Then  $\langle u \rangle^\phi, \langle v \rangle^\phi$  are nilpotent and therefore  $\langle u, v \rangle^\phi$  is nilpotent. But  $N^\phi \subseteq \langle u, v \rangle^\phi$  and so is nilpotent.

(ii) Let  $\eta$  be an idempotent in  $A$ . We prove that  $\langle \eta \rangle^\phi$  is not nilpotent. In the case  $F = GF(2)$ , this holds by Lemma 15. Suppose  $F \neq GF(2)$ . There exists an idempotent  $\eta' \neq \eta$  which commutes with  $\eta$  since the identity of  $A$  is not the only idempotent in  $A$ . For this  $\eta'$ ,  $\langle \eta, \eta' \rangle$  is of type IV and by Lemma 6,  $\langle \eta, \eta' \rangle^\phi$  is of type IV and  $\langle \eta \rangle^\phi$  is not nilpotent.

(iii) We have now proved the result for one-dimensional subalgebras of  $A$ . But a subalgebra is nilpotent if and only if all its one-dimensional subalgebras are nilpotent. Hence the result holds for all subalgebras of  $A$ .

LEMMA 17. Suppose  $S_1, S_2$  are  $\mathcal{L}$ -isomorphic finite-dimensional simple algebras and  $A = S_1 \oplus S_2$ . Let  $\eta$  be the identity of  $A$ . Then there exists a subalgebra  $S$  of  $A$   $\mathcal{L}$ -isomorphic to  $S_1$  and containing  $\eta$  if and only if  $S_1 \simeq S_2$ .

PROOF. (i) Suppose  $\alpha : S_1 \rightarrow S_2$  is an isomorphism. Put

$$S_\alpha = \{s + s^\alpha | s \in S_1\}.$$

Then  $S_\alpha \simeq S_1$ . If  $\eta_i$  is the identity of  $S_i$ , then  $\eta_1^\alpha = \eta_2$  and  $\eta = \eta_1 + \eta_2 \in S_\alpha$ .

(ii) Suppose  $S$  is  $\mathcal{L}$ -isomorphic to  $S_1$  and  $\eta \in S$ . If  $S_1 \simeq M_n(\Delta)$ ,  $n \geq 2$ , then  $S$  is simple by Theorem 2. If  $S_1$  is a division algebra, then  $A$  has no nilpotent elements. Since  $S$  has only one minimal subalgebra,  $S$  is a division algebra. Thus in either case,  $S$  is simple. Each element  $s \in S$  is uniquely expressible in the form  $s = s_1 + s_2$ ,  $s_i \in S_i$ . The map  $\alpha_i : S \rightarrow S_i$  given by  $s^{\alpha_i} = s_i$  is a homomorphism. Since  $S$  is simple and  $\eta^{\alpha_i} = \eta_i \neq 0$ ,  $\alpha_i$  is a monomorphism. Since  $l(S) = l(S_i)$  and  $l(S_i)$  is finite,  $\alpha_i$  is onto and therefore  $S_1 \simeq S \simeq S_2$ .

THEOREM 4. Let  $A$  be a finite-dimensional semi-simple algebra over the field  $F$ , and let  $\phi : \mathcal{L}(A) \rightarrow \mathcal{L}(B)$  be an  $\mathcal{L}$ -isomorphism of  $A$  onto an algebra  $B$ . Let  $S_1, \dots, S_r$  be the simple direct summands of  $A$ . Suppose  $A$  is not a division algebra and, in the case  $F = GF(2)$ , that not all the  $S_i$  are one-dimensional. Then  $B$  is semi-simple. For each  $S_i$  of dimension greater than one,  $S_i^\phi$  is a simple direct summand of  $B$ . If  $S_i \simeq S_j$ , then  $S_i^\phi \simeq S_j^\phi$ .

PROOF. By Lemma 16,  $\phi$  maps the maximal nilpotent subalgebras of  $A$  to the maximal nilpotent subalgebras of  $B$ . By Barnes [3], it follows

that  $(R(A))^\phi = R(B)$  and therefore  $R(B) = 0$ .

Suppose  $S$  is a simple subalgebra of  $A$ . If  $S$  is not a division algebra, then  $S^\phi$  is simple by Theorem 2. If  $S$  is a division algebra, then by Lemmas 2, 16,  $S^\phi$  is a division algebra. In either case,  $S^\phi$  is simple.

Let  $e$  be any idempotent of  $B$ . There exists an idempotent  $\eta \in A$  such that  $\langle \eta \rangle^\phi = \langle e \rangle$ . Let  $S_i$  be a simple direct summand of  $A$  of dimension greater than one. Then  $\langle S_i, \eta \rangle$  has only one maximal simple subalgebra of dimension greater than one. Therefore  $\langle S_i, \eta \rangle^\phi$  is semi-simple algebra with only one maximal simple subalgebra of dimension greater than one, and therefore this subalgebra  $S_i^\phi$  is a direct summand of  $\langle S_i, \eta \rangle^\phi$ . Therefore  $eS_i^\phi \leq S_i^\phi$ ,  $S_i^\phi e \leq S_i^\phi$ . Let  $T_1, \dots, T_s$  be the simple direct summands of  $B$ , let  $e_k$  be the identity of  $T_k$  and  $\epsilon$  the identity of  $S_i^\phi$ . Since  $\epsilon e_j \in S_i^\phi \cap T_j$ , either  $\epsilon e_j = 0$  or  $S_i^\phi \leq T_j$ . Since  $e_1 + \dots + e_s$  is the identity of  $B$ , for some  $j$ ,  $\epsilon e_j \neq 0$  and  $S_i^\phi \leq T_j$ . But similarly  $T_j^{\phi^{-1}} \leq S_k$  for some  $k$ . Therefore  $S_i^\phi = T_j$  and  $S_i^\phi$  is a direct summand of  $B$ .

Suppose  $S_i \simeq S_j, i \neq j$ . If  $d(S_i) = 1$ , then trivially  $S_i^\phi \simeq S_j^\phi$ . Suppose  $d(S_i) \geq 2$ . Then  $S_i^\phi, S_j^\phi$  are direct summands of  $(S_i \oplus S_j)^\phi$ . There exists  $S \simeq S_i$  contained in  $S_i \oplus S_j$  and containing the identity  $\eta$  of  $S_i \oplus S_j$ . Let  $\eta_i, \eta_j$  be the identities of  $S_i, S_j$  and  $e_i, e_j$  those of  $S_i^\phi, S_j^\phi$ . Since  $\langle \eta_i \rangle^\phi = \langle e_i \rangle$ ,  $\langle \eta_j \rangle^\phi = \langle e_j \rangle$  and  $\langle \eta_i, \eta_j \rangle$  has only three minimal subalgebras,  $\langle \eta \rangle^\phi = \langle e \rangle$  where  $e$  is the identity of  $S_i^\phi + S_j^\phi$ . Thus  $S^\phi$  is a simple subalgebra of  $S_i^\phi + S_j^\phi$   $\mathcal{L}$ -isomorphic to  $S_i^\phi$  and containing  $e$ . By Lemma 17,  $S_i^\phi \simeq S_j^\phi$ .

We remark that the method of proof of Theorem 3 can be extended to show that, if  $S_i \simeq S_j \simeq M_n(\Delta), n \geq 2, \Delta$  a finite dimensional division algebra, then  $S_i \oplus S_j$  is semi-isomorphic to  $(S_i \oplus S_j)^\phi$ . We need only consider the case  $n = 2$ . If  $\eta_{rs} \in S_i$  have the usual meaning and  $\eta'_{rs}$  are the corresponding elements of  $S_j$ , we consider  $\Delta\eta_{12} + \Delta\eta'_{12}$  as a left vector space over  $\Delta(\eta_{11} + \eta'_{11})$  and the result follows as before. If one of the direct summands  $S_i \simeq M_n(F), n \geq 2$ , then we have  $S_i \simeq S_i^\phi$ . Thus we have

**COROLLARY.** *Let  $A$  be a finite-dimensional semi-simple algebra over an algebraically closed field  $F$ . Suppose  $A$  has dimension greater than one. Let  $\phi : \mathcal{L}(A) \rightarrow \mathcal{L}(B)$  be an  $\mathcal{L}$ -isomorphism of  $A$  onto an algebra  $B$  over  $F$ . Then  $A \simeq B$ .*

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