### DISTRIBUTION OF RATIONAL POINTS ON THE REAL LINE

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(Received 14 September 1971; revised 6 April 1972) Communicated by E. S. Barnes

### 1. Introduction

It is well known that no rational number is approximable to order higher than 1. Roth [3] showed that an algebraic number is not approximable to order greater than 2. On the other hand it is easy to construct numbers, the Liouville numbers, which are approximable to any order (see [2], p. 162). We are led to the question, "Let  $N_n(\alpha, \beta)$  denote the number of distinct rational points with denominators  $\leq n$  contained in an interval  $(\alpha, \beta)$ . What is the behaviour of  $N_n(\alpha, \alpha + 1/n)$  as  $\alpha$  varies on the real line?" We shall prove that

$$0 \leq N_n\left(\alpha, \alpha + \frac{1}{n}\right) \leq \frac{1}{2}(n+1)$$

and that there are "compressions" and "rarefactions" of rational points on the real line.

Given a real number  $\alpha$ , define the density of rational points at  $\alpha$ , denoted by  $D(\alpha)$ , by

$$D(\alpha) = \lim_{n \to \infty} \frac{1}{n} N_n \left( \alpha - \frac{1}{2n}, \alpha + \frac{1}{2n} \right).$$

We shall prove that  $D(\alpha)$  is a constant for irrational  $\alpha$  and that D(p/q), where (p,q) = 1, is a function of q only.

We now state the results. Throughout this paper  $[\alpha]$  denotes the greatest integer less or equal to  $\alpha$ , and the constant implied by the 0-notation is an absolute constant.

THEOREM 1. For any real  $\alpha$ ,  $N_n(\alpha, \alpha + 1/n) \leq \frac{1}{2}(n+1)$ .

THEOREM 2. Given any integers m and n satisfying  $0 \le m \le \frac{1}{2}(n+1)$ , there exists an  $\alpha$  (indeed a rational  $\alpha$ ) such that  $N_n(\alpha, \alpha + 1/n) = m$ .

THEOREM 3. If m, n > 0 are integers, then

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(1.1) 
$$N_n\left(\frac{m}{n}, \frac{m+1}{n}\right) = \begin{cases} 0 \text{ if } m=0\\ \frac{n}{m(m+1)} \sum_{r=1}^m \phi(r) + O(m\log m) \text{ otherwise,} \end{cases}$$

where  $\phi(r)$  is Euler's  $\phi$ -function.

It is easy to prove that

$$N_n\left(\frac{m}{n}, \frac{m+1}{n}\right) = N_n\left(\frac{-m-1}{n}, \frac{-m}{n}\right)$$

and that, if  $m \equiv m' \pmod{n}$ , then

$$N_n\left(\frac{m}{n}, \frac{m+1}{n}\right) = N_n\left(\frac{m'}{n}, \frac{m'+1}{n}\right).$$

It now follows that if  $N_n(m/n, (m+1)/n)$  is known for  $m = 0, 1, 2, \dots \lfloor \frac{1}{2}(n-1) \rfloor$ , then  $N_n(m/n, (m+1)/n)$  is known for all m.

COROLLARY 3.1 If m > 1, then

(1.2) 
$$\frac{1}{n}N_n\left(\frac{m}{n}, \frac{m+1}{n}\right) = \frac{3}{\pi^2} + O\left(\frac{\log m}{m}\right) + O\left(\frac{m\log m}{n}\right).$$

The next two theorems enable us to estimate  $N_n(\alpha, \alpha + 1/n)$  if we can find a rational point with "small" denominator near  $\alpha$ .

Theorem 4. If  $0 < v \le 1$ , (p,q) = 1, q > 0, then

(1.3) 
$$N_n\left(\frac{p}{q}, \frac{p}{q} + \frac{v}{n}\right) = \begin{cases} 0 & \text{if } [vq] = 0\\ \frac{n}{q} \sum_{r=1}^{[vq]} \left(1 - \frac{r}{vq}\right) \frac{\phi(r)}{r} + O(vq\log vq) & \text{otherwise.} \end{cases}$$

COROLLARY 4.1 If  $0 < v \le 1$ , (p,q) = 1, q > 0, then

(1.4) 
$$\frac{1}{n}N_n\left(\frac{p}{q}, \frac{p}{q} + \frac{v}{n}\right) = \frac{3v}{\pi^2} + O\left(\frac{\log q}{q}\right) + O\left(\frac{vp\log vq}{n}\right).$$

COROLLARY 4.2 If  $\mu > 0$ ,  $\nu > 0$  and  $\mu + \nu = 1$ , then

$$\frac{1}{n}N_n\left(\frac{p}{q}-\frac{\mu}{n}, \frac{p}{q}+\frac{\nu}{n}\right)=\frac{3}{\pi^2}+O\left(\frac{\log q}{q}\right)+O\left(\frac{q\log q}{n}\right).$$

The next theorem helps us to estimate  $N_n(\alpha, \alpha + 1/n)$  when no rational point in the interval  $(\alpha, \alpha + 1/n)$  has a small denominator of order  $O(n^{\varepsilon})$ ,  $\varepsilon < \frac{1}{2}$ .

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THEOREM 5. If  $\mu > 0$ , then

(1.5) 
$$N_n\left(\frac{p}{q}+\frac{\mu}{n}, \frac{p}{q}+\frac{\mu+1}{n}\right) = An + Bn + O\{(\mu+1)q\log(\mu+1)q\},$$

where

(1.6) 
$$A = \frac{1}{q^2 \mu (\mu + 1)} \sum_{r=1}^{[\mu q]} \phi(r)$$

and

(1.7) 
$$B = \frac{1}{q} \sum_{r=[\mu q+1]}^{[\mu q+q]} \left(1 - \frac{r}{(\mu+1)q}\right) \frac{\phi(r)}{r}.$$

The following two theorems are on the *density of rational points* at a point on the real line.

THEOREM 6. If (p, q) = 1, then

$$D\left(\frac{p}{q}\right) = \frac{1}{q} \sum_{r=1}^{\lfloor \frac{1}{2}q \rfloor} \left(1 - \frac{2r}{q}\right) \frac{\phi(r)}{r}.$$

and, for large q,

$$D\left(\frac{p}{q}\right) = \frac{3}{\pi^2} + O\left(\frac{\log q}{q}\right).$$

THEOREM 7. If  $\alpha$  is irrational, then

$$D(\alpha)=\frac{3}{\pi^2}.$$

# 2. Proof of theorems 1 and 2

**PROOF OF THEOREM 1.** Suppose that

$$\frac{x_1}{y_1}, \frac{x_2}{y_2} \dots \frac{x_r}{r_r}, \frac{x_1'}{y_1'}, \frac{x_2'}{y_2'} \dots \frac{x_s'}{y_s'}$$

are the distinct rational points in  $(\alpha, \alpha + 1/n)$  satisfying

$$1 \leq y_1 \leq y_2 \dots \leq y_r \leq \frac{1}{2}n < y_1' \leq \dots \leq y_s' \leq n.$$

For every  $y_i \leq \frac{1}{2}n$ , there exists integers  $c_i$ ,  $y'_{s+i}$ ,  $x'_{s+i}$  such that

$$c_i x_i = x'_{s+i}$$
 and  $\frac{1}{2}n < c_i y_i = y'_{s+i} \le n$ .

It is easy to see that no two of

$$y'_1, y'_2 \dots y'_s, y'_{s+1}, \dots y'_{r+s}$$

are equal, for  $y'_j = y'_k$  implies

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$$\left|\frac{x'_j}{y'_j} - \frac{x'_k}{y'_k}\right| \ge \frac{1}{y'_j} \ge \frac{1}{n}$$

This contradicts that the open interval  $(\alpha, \alpha + 1/n)$  is of length 1/n. Hence

$$N_n\left(\alpha,\alpha+\frac{1}{n}\right)=r+s\leq n-\left[\frac{1}{2}n\right]\leq \frac{1}{2}(n+1).$$

**PROOF OF THEOREM 2.** Clearly 0 < 1/y < 1/n only if y > n. So

$$N_n\left(0,\frac{1}{n}\right)=0.$$

Next we see that if  $0 < m \leq \frac{1}{2}n$ , then because  $1/(n-m) \leq 2/n$  the only rational numbers with denominators  $\leq n$  contained in the interval

$$\left(\frac{1}{n-m}-\frac{1}{n}, \frac{1}{n-m}\right)$$

are

$$\frac{1}{n}, \frac{1}{n-1}, \cdots, \frac{1}{n-m+1}$$

Hence

$$N_n\left(\frac{1}{n-m}-\frac{1}{n}, \frac{1}{n-m}\right)=m$$

Lastly if  $m = \frac{1}{2}(n+1)$ , then n is odd and

$$\frac{2}{n} - \frac{1}{n-m+1} = \frac{2}{n} - \frac{2}{n+1} = \frac{2}{n(n+1)} > 0.$$

Thus

$$N_n\left(\frac{1}{n}-\varepsilon,\frac{2}{n}-\varepsilon\right)=m ext{ if } \frac{2}{n(n+1)}>\varepsilon>0.$$

This completes the proof of Theorem 2.

### 3. Lemmas

In this section we prove the lemmas required for the proofs of Theorems 3-7. Consider the set

$$S_{c,s} = \{c+1, c+2, \dots, c+s\}$$

of s consecutive integers with c + 1 as the first element. Let  $\tau_{c,s}(r)$  denote the number of integers in the set  $S_{c,s}$ , which are relatively prime to r. We use d(r) to denote the number of divisors of r. Note that if  $r \mid s$ , then

$$\tau_{c,s}(r) = \frac{s\phi(r)}{r} = \sum_{d|r} \mu(d) \frac{s}{d}.$$

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We prove

LEMMA 1. For all integers c, s > 0, we have

$$\left|\tau_{c,s}(r)-\frac{s\phi(r)}{r}\right|\leq d(r)-1.$$

PROOF. By theorem 261 of ([1], p. 234), we deduce for  $c \ge 0$  that

$$\tau_{0,c}(r) = \sum_{d|r} \mu(d) \left[ \frac{c}{d} \right]$$
  
$$\tau_{0,c+s}(r) = \sum_{d|r} \mu(d) \left[ \frac{c+s}{d} \right].$$

So for  $c \geq 0$ ,

$$\tau_{c,s}(r) = \tau_{0,s+c} - \tau_{0,c}$$
$$= \sum_{d|r} \mu(d) \left\{ \left[ \frac{s+c}{d} \right] - \left[ \frac{c}{d} \right] \right\}.$$

Hence

and

$$\begin{aligned} \left|\tau_{c,s}(r) - \frac{s}{r}\phi(r)\right| &\leq \sum_{\substack{d \mid r}} \left|\left[\frac{s+c}{d}\right] - \left[\frac{c}{d}\right] - \frac{s}{d}\right| \\ &\leq \sum_{\substack{d \mid r}} 1 - 1 \\ &= d(r) - 1. \end{aligned}$$

If c < 0, then there exists an integer a such that c' = c + ar > 0 and  $\tau_{c,s}(r) = \tau_{c',s}(r)$ .

So

$$\left|\tau_{c,s}(r)-\frac{s}{r}\phi(r)\right|=\left|\tau_{c',s}(r)-\frac{s}{r}\phi(r)\right|\leq d(r)-1,$$

as required.

Let  $\tau_r(\alpha, \beta)$  be the number of integers, relatively prime to r, which are contained in the open interval  $(\alpha, \beta)$ . We prove

LEMMA 2. For all  $\alpha$  and  $\beta$ ,  $\alpha < \beta$ , we have

$$\left|\tau_r(\alpha,\beta)-(\beta-\alpha)\frac{\phi(r)}{r}\right|\leq d(r).$$

**PROOF.** Let s be the number of integers in  $(\alpha, \beta)$ , then

$$|(\beta - \alpha) - s| \leq 1 \text{ and } \tau_r(\alpha, \beta) = \tau_{c,s}(r)$$

for some c. It follows from Lemma 1 that

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$$\begin{aligned} \left|\tau_r(\alpha,\beta)-(\beta-\alpha)\frac{\phi(r)}{r}\right| &\leq \left|\tau_{c,s}(r)-\frac{s\phi(r)}{r}\right|+\left|(\beta-\alpha-s)\frac{\phi(r)}{r}\right|\\ &\leq d(r).\end{aligned}$$

LEMMA 3. For all positive integer n

$$\sum_{r=1}^{n} d(r) = n \log n + (2\gamma - 1)n + O(\sqrt{n})$$

where  $\gamma$  is Euler's constant.

PROOF. This is proved in [2], p. 264.

LEMMA 4. (c.f.[1], p. 131, l.23-24) Let n be a positive integer. Then

(a) 
$$\sum_{r=1}^{n} \phi(r) = \frac{3n^2}{\pi^2} + O(n \log n)$$

(b) 
$$\sum_{r=1}^{n} \frac{\phi(r)}{r} = \frac{6n}{\pi^2} + O(\log n).$$

PROOF. (a) is proved in [2], p. 268

(b) can be proved similarly.

# 4. Proofs of theorems 3, 4 and 5

PROOF OF THEOREM 3. We have shown in the proof of Theorem 2 that

$$N_n\left(\frac{0}{n}, \frac{1}{n}\right) = 0$$

Given r > 0, let

$$S_r = \left\{\frac{r}{y}: (r, y) = 1, \ \frac{m+1}{n} > \frac{r}{y} > \frac{m}{n}, n \ge y \ge 1\right\}.$$

Obviously  $S_r$  is empty if r > m. Moreover if  $m \ge r \ge 1$ , then

$$\frac{r}{y} \in S_r$$
 if and only if  $\frac{rn}{m} > y > \frac{rn}{m+1}$  and  $(r, y) = 1$ .

We deduce that the number of rational points in  $S_r$  is  $\tau_r(rn/(m+1), rn/m)$ . By Lemma 2,

$$\tau_r \left(\frac{rn}{m+1}, \frac{rn}{m}\right) = \left(\frac{rn}{m} - \frac{nr}{m+1}\right) \frac{\phi(r)}{r} + \eta_r$$
$$= \frac{n}{m(m+1)} \phi(r) + \eta_r,$$

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where

$$\left|\eta_{\mathbf{r}}\right| \leq d(\mathbf{r}).$$

Therefore

$$N_n\left(\frac{m}{n}, \frac{m+1}{n}\right) = \frac{n}{m(m+1)} \sum_{r=1}^m \phi(r) + \sum_{r=1}^m \eta_r.$$

By Lemma 3,

$$\left|\sum_{r=1}^{m} \eta_r\right| \leq \sum_{r=1}^{m} d(r) = O(m \log m).$$

This proves Theorem 3.

PROOF OF COROLLARY 3.1 Using Lemma 4, we see that

$$\frac{1}{m(m+1)} \sum_{r=1}^{m} \phi(r) = \frac{1}{m(m+1)} \frac{3m^2}{\pi^2} + O\left(\frac{m\log m}{m(m+1)}\right)$$
$$= \frac{3}{\pi^2} + O\left(\frac{\log m}{m}\right).$$

The proof is complete.

PROOF OF THEOREM 4. To determine  $N_n(p/q, p/q + \nu/n)$ ,  $0 < \nu \leq 1$ , we look for rational numbers x/y such that

$$(x, y) = 1, n \ge y \ge 1$$

and

$$0 < \frac{x}{y} - \frac{p}{q} = \frac{xq - yp}{yq} < \frac{v}{n}.$$

Since at most one of m/n, m an integer, is in the interval (p/q, p/q + v/n), we shall neglect the rational points with denominator n and let

$$S_{r} = \left\{ \frac{x}{y} : xq - yp = r, (x, y) = 1, n > y \ge 1, \frac{v}{n} > \frac{r}{yq} > 0 \right\}.$$

Here S<sub>r</sub> is empty if  $r > \lfloor vq \rfloor$ . We assume  $\lfloor vq \rfloor \ge r \ge 1$ .

Since (p,q) = 1, there exist integers  $x_0, y_0$  such that

$$x_0q - y_0p = 1.$$

Moreover, all integral solutions of

$$(4.1) xq - yp = r$$

are given by

(4.2) 
$$x = rx_0 + pt, \ y = ry_0 + qt.$$

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Now (4.2) implies (r, t) | (x, y) and hence (r, t) = 1. It follows that

$$\frac{x}{y} \in S_r \text{ if and only if } (r,t) = 1 \text{ and } n > ry_0 + qt > \frac{rn}{vq},$$

which can be reduced to

$$\frac{n}{q}-\frac{ry_0}{q}>t>\frac{rn}{vq^2}-\frac{ry_0}{q}$$

Thus the number of elements in  $S_r$  is equal to

(4.3) 
$$\tau_r\left(\frac{rn}{vq^2}-\frac{ry_0}{q},\frac{n}{q}-\frac{ry_0}{q}\right)=\frac{n}{q}\left(1-\frac{r}{vq}\right)\frac{\phi(r)}{r}+\eta_r$$

where  $|\eta_r| \leq d(r)$  by Lemma 2. So by Lemma 3,

$$N_n\left(\frac{p}{q},\frac{p}{q}+\frac{v}{n}\right)=\frac{n}{q}\sum_{r=1}^{\lfloor vq\rfloor}\left(1-\frac{r}{vq}\right)\frac{\phi(r)}{r}+O(vq\log vq),$$

which is (1.3) and the proof is complete.

PROOF OF COROLLARY 4.1 It follows easily from Lemma 4 that

$$\sum_{r=1}^{\lceil vq \rceil} \frac{\phi(r)}{r} = \frac{6\lceil vq \rceil}{\pi^2} + O(\log \lceil vq \rceil)$$

and that

$$\sum_{r=1}^{[vq]} \phi(r) = \frac{3[vq]^2}{\pi^2} + O([vq]\log[vq]).$$

So

$$\frac{1}{q} \sum_{r=1}^{[vq]} \left(1 - \frac{r}{vq}\right) \frac{\phi(r)}{r} = \frac{6[vq]}{q\pi^2} - \frac{3[vq]^2}{vq^2\pi^2} + O\left(\frac{\log[vq]}{q}\right) = \frac{3v}{\pi^2} + O\left(\frac{\log q}{q}\right)$$

PROOF OF COROLLARY 4.2 This follows from

$$N_n\left(\frac{p}{q}-\frac{\mu}{n},\frac{p}{q}\right)=N_n\left(\frac{-p}{q},\frac{-p}{q}+\frac{\mu}{n}\right)$$
 and Corollary 4.1.

PROOF OF THEOREM 5. If x/y is in  $(p/q + \mu/n, p/q + (\mu + 1)/n)$  and  $1 \le y \le n$ , then

$$\frac{\mu}{n} < \frac{x}{y} - \frac{p}{q} = \frac{xq - yp}{yq} < \frac{\mu + 1}{n}$$

Putting xq - yp = r, we obtain from the above inequalities,

$$\frac{rn}{\mu q} > y > \frac{rn}{(\mu+1)q}.$$

As in Theorem 4, neglecting rational points with denominator n, we let

$$S_r = \left\{ \frac{x}{y} : xq - yp = r, (x, y) = 1, n > y \ge 1, \frac{rn}{\mu q} > y > \frac{rn}{(\mu + 1)q} \right\}.$$

Here S<sub>r</sub> is empty if  $r > (\mu + 1)q$ . Moreover if  $x/y \in S_r$  then

$$\frac{rn}{\mu q} > y > \frac{rn}{(\mu+1)q} \quad \text{if } [\mu q] \ge r \ge 1;$$

and

$$n > y > \frac{rn}{(\mu+1)q}$$
 if  $[\mu q + q] \ge r \ge [\mu q + 1]$ .

Using the same argument in Theorem 4, we deduce that the number of elements in  $S_r$  is equal to

(4.4) 
$$\frac{n}{\mu(\mu+1)q^2}\phi(r)+\eta_r \quad \text{if } [\mu q] \ge r \ge 1,$$

and is equal to

(4.5) 
$$\frac{n}{q} \left(1 - \frac{r}{q(\mu+1)}\right) \frac{\phi(r)}{r} + \eta_r \quad \text{if } [\mu q + q] \ge r \ge [\mu q + 1],$$

where  $|\eta_r| \leq d(r)$ . It now follows from (4.4) and (4.5) that

$$N_n\left(\frac{p}{q}+\frac{\mu}{n},\frac{p}{q}+\frac{\mu+1}{n}\right)=An+Bn+\sum_{r=1}^{\lfloor \mu q+q \rfloor}\eta_r,$$

where A, B are given in (1.6) and (1.7). Using Lemma 3, we obtain (1.5). This proves Theorem 5.

# 5. The function $D(\alpha)$

In this section, we prove the theorems on the density of rational points.

**PROOF OF THEOREM 6.** Putting  $v = \frac{1}{2}$ , we obtain from (1.3) that

$$N_n\left(\frac{p}{q},\frac{p}{q}+\frac{1}{2n}\right)=\frac{n}{q}\sum_{r=1}^{\left\lfloor\frac{1}{2}q\right\rfloor}\left(1-\frac{2r}{q}\right)\frac{\phi(r)}{r}+O(q\log q) \text{ for } q\geq 2.$$

It now follows from this equation and

$$N_n\left(\frac{p}{q}-\frac{1}{2n},\frac{p}{q}\right)=N_n\left(\frac{-p}{q},\frac{-p}{q}+\frac{1}{2n}\right)$$

that

$$N_n\left(\frac{p}{q} - \frac{1}{2n}, \frac{p}{q} + \frac{1}{2n}\right) = \frac{2n}{q} \sum_{r=1}^{\lfloor \frac{1}{2}q \rfloor} \left(1 - \frac{2r}{q}\right) \frac{\phi(r)}{r} + O(q \log q).$$

Hence

$$D\left(\frac{p}{q}\right) = \frac{2}{q} \sum_{r=1}^{\lfloor \frac{q}{q} \rfloor} \left(1 - \frac{2r}{q}\right) \frac{\phi(r)}{r}$$

as required.

For large q, using Lemma 4, we see that

$$D\left(\frac{p}{q}\right) = \frac{2}{q} \left\{ \frac{6}{\pi^2} (\frac{1}{2}q) + O(\log q) \right\} - \frac{4}{q^2} \left\{ \frac{3}{\pi^2} (\frac{1}{2}q)^2 + O(q\log q) \right\}$$
$$= \frac{3}{\pi^2} + O\left(\frac{\log q}{q}\right),$$

and the proof of Theorem 6 is complete.

PROOF OF THEOREM 7. Given an irrational real number  $\alpha$ , let  $[a_0, a_1, a_2, \cdots]$  be the infinite simple continued fraction representation of  $\alpha$  and let

$$\frac{p_s}{q_s} = [a_0, a_1, \cdots, a_s], \qquad s = 0, 1, 2, \cdots,$$

denote the convergents. It is well known that

$$\left|\frac{p_s}{q_s} - \alpha\right| < \frac{1}{q_s q_{s+1}}$$

and that  $q_s < q_{s+1}$  if s > 0.

We may suppose then that

(5.1) 
$$\left|\frac{p_s}{q_s}-\alpha\right|=\frac{1}{q^{\kappa_s}}, \, \kappa>2, \, q_s^{\kappa-1}>q_{s+1}.$$

Now for every n, there exists an s such that

(5.2) 
$$q_s^2 < 2n \le q_{s+1}^2$$
.

We consider separately the cases

$$q_s^2 < 2n \leq q_s^{\kappa}, \ q_s^{\kappa} < 2n \leq \kappa q_s^{\kappa}, \ \kappa q_s^{\kappa} < 2n \leq q_{s+1}^2;$$

if either of  $q_s^{\kappa}$ ,  $\kappa q_s^{\kappa}$  is greater than  $q_{s+1}^2$ , then one or more of the cases does not arise. We prove that if (5.2) holds, then

(5.3) 
$$\frac{1}{n}N_n\left(\alpha-\frac{1}{2n},\alpha+\frac{1}{2n}\right)=\frac{3}{\pi^2}+O\left(\frac{\log q_s}{q_s}\right).$$

Case 1. Suppose that  $q_s^2 \leq 2n \leq q_s^{\kappa}$ . Then

$$\frac{p_s}{q_s} \in \left( \alpha - \frac{1}{2n}, \alpha + \frac{1}{2n} \right)$$

and there exist positive numbers  $\mu$ ,  $\nu$  satisfying  $\mu + \nu = 1$  such that

$$\alpha - \frac{1}{2n} = \frac{p_s}{q_s} - \frac{\mu}{n}$$

and

$$\alpha + \frac{1}{2n} = \frac{p_s}{q_s} + \frac{v}{n}.$$

It now follows from Corollary 4.2 that

$$\frac{1}{n}N_n\left(\alpha-\frac{1}{2n},\alpha+\frac{1}{2n}\right) = \frac{1}{n}N_n\left(\frac{p_s}{q_s}-\frac{\mu}{n},\frac{p_s}{q_s}+\frac{\nu}{n}\right)$$
$$= \frac{3}{\pi^2}+O\left(\frac{\log q_s}{q_s}\right), \text{ since } n > \frac{1}{2}q_s^2.$$

Case 2. Suppose that  $\kappa q_s^{\kappa} < 2n \leq q_{s+1}^2$ .

Here

$$\left|\frac{p_{s+1}}{q_{s+1}} - \alpha\right| < \frac{1}{q_{s+1} q_{s+2}} < \frac{1}{2n}$$

So

$$\frac{p_{s+1}}{q_{s+1}} \in \left(\alpha - \frac{1}{2n}, \alpha + \frac{1}{2n}\right)$$

and there exist positive numbers  $\mu, \nu$  such that  $\mu + \nu = 1$ ,

$$\alpha - \frac{1}{2n} = \frac{p_{s+1}}{q_{s+1}} - \frac{\mu}{n},$$
  
$$\alpha + \frac{1}{2n} = \frac{p_{s+1}}{q_{s+1}} + \frac{\nu}{n}.$$

By Corollary 4.2,

$$\frac{1}{n}N_n\left(\alpha-\frac{1}{2n},\alpha+\frac{1}{2n}\right) = \frac{3}{\pi^2} + O\left(\frac{\log q_{s+1}}{q_{s+1}}\right) + O\left(\frac{q_{s+1}\log q_{s+1}}{n}\right)$$
$$= \frac{3}{\pi^2} + O\left(\frac{\log q_s}{q_s}\right)$$

because  $q_s < q_{s+1} < q_s^{\kappa-1}$  and  $\kappa q_s^{\kappa} < 2n$ .

Case 3. Suppose that  $q_s^{\kappa} < 2n \leq \kappa q_s^{\kappa}$ . Writing p for  $p_s$ , q for  $q_s$  and putting

$$2n=(2\mu+1)q^{\kappa},$$

we obtain

(5.4) 
$$1 < 2\mu + 1 \le \kappa \text{ and } \frac{1}{q^{\kappa}} = \frac{2\mu + 1}{2n}.$$

In (5.1), if  $\alpha - p/q = 1/q^{\kappa}$ , then by (5.4),  $(1 1) \dots (p 1 1 p 1)$ 

(5.5) 
$$N_n\left(\alpha - \frac{1}{2n}, \alpha + \frac{1}{2n}\right) = N_n\left(\frac{p}{q} + \frac{1}{q^{\kappa}} - \frac{1}{2n}, \frac{p}{q} + \frac{1}{q^{\kappa}} + \frac{1}{2n}\right)$$
  
=  $N_n\left(\frac{p}{q} + \frac{\mu}{n}, \frac{p}{q} + \frac{\mu+1}{n}\right).$ 

On the other hand if  $\alpha - p/q = -1/q^{\kappa}$ , then

(5.6) 
$$N_n\left(\alpha - \frac{1}{2n}, \alpha + \frac{1}{2n}\right) = N_n\left(\frac{p}{q} - \frac{1}{q^{\kappa}} - \frac{1}{2n}, \frac{p}{q} - \frac{1}{q^{\kappa}} + \frac{1}{2n}\right)$$
  
 $= N_n\left(\frac{p}{q} - \frac{\mu + 1}{n}, \frac{p}{q} - \frac{\mu}{n}\right)$   
 $= N_n\left(\frac{-p}{q} + \frac{\mu}{n}, \frac{-p}{q} + \frac{\mu + 1}{n}\right).$ 

Using (1.5) we obtain, from (5.5) or (5.6),

$$\frac{1}{n}N_n\left(\alpha-\frac{1}{2n},\alpha+\frac{1}{2n}\right)=A+B+O\left\{\frac{(\mu+1)q\log(\mu+1)q}{n}\right\},$$

where A, B are given in (1.6) and (1.7). Clearly, as  $\kappa > 2$ ,

$$O\left\{\frac{(\mu+1)q\log(\mu+1)q}{n}\right\} = O\left\{\frac{(2\mu+2)q\log(\mu+1)q}{(2\mu+1)q^{\kappa}}\right\}$$
$$= O\left\{\frac{\log \kappa q}{q^{\kappa-1}}\right\}$$
$$= O\left\{\frac{\log q}{q}\right\}.$$

We now prove

$$A+B=\frac{3}{\pi^2}+O\left\{\frac{\log q}{q}\right\}.$$

First suppose  $\mu \ge q$ . Then by Lemma 4,

$$A = \frac{1}{q^2 \mu (\mu + 1)} \sum_{r=1}^{[\mu q]} \phi(r)$$
  
=  $\frac{1}{q^2 \mu (\mu + 1)} \left\{ \frac{3}{\pi} (\mu q)^2 \right\} + O\left\{ \frac{\log \mu q}{(\mu + 1)q} \right\}$   
=  $\frac{3}{\pi^2} + O\left( \frac{\log q}{q} \right),$ 

$$|B| = \frac{1}{(\mu+1)q} \left| \sum_{\substack{r=\lfloor\mu q+1\rfloor\\r=\lfloor\mu q+1\rfloor}}^{\lfloor\mu q+q\rfloor} \left( \frac{(\mu+1)q-r}{q} \right) \frac{\phi(r)}{r} \right|$$
$$\leq \frac{q}{(\mu+1)q} = O\left(\frac{1}{q}\right).$$

Thus (5.3) is true if  $\mu \ge q$ .

Next we suppose  $0 < \mu < 1/q$ . Then  $[\mu q] = 0$ . So A = 0 and

$$B = \frac{1}{q} \sum_{r=1}^{q} \left( 1 - \frac{r}{(\mu+1)q} \right) \frac{\phi(r)}{r}$$
  
=  $\frac{1}{q} \left\{ \frac{6q}{\pi^2} + O(\log q) \right\} - \frac{1}{(\mu+1)q^2} \left\{ \frac{3q^2}{\pi^2} + O(q \log q) \right\}$   
=  $\frac{3}{\pi^2} + O\left(\frac{\log q}{q}\right).$ 

Thus (5.3) is true if  $0 < \mu < 1/q$ .

Lastly suppose  $1/q \leq \mu < q$ . Then using Lemma 4, we see that

$$A = \frac{3\mu}{(\mu+1)\pi^2} + O\left(\frac{\log q}{q}\right)$$

and

$$B = \frac{1}{q} \sum_{r=1}^{\lfloor \mu q + q \rfloor} \left( 1 - \frac{r}{(\mu+1)q} \right) \frac{\phi(r)}{r} - \frac{1}{q} \sum_{r=1}^{\lfloor \mu q \rfloor} \left( 1 - \frac{r}{(\mu+1)q} \right) \frac{\phi(r)}{r}$$
  
=  $\frac{6}{q\pi^2} \left\{ (\mu q + q) - (\mu q) \right\} - \frac{3}{q^2(\mu+1)\pi^2} \left\{ (\mu q + q)^2 - (\mu q)^2 \right\}$   
+  $O\left(\frac{\log q}{q}\right)$   
=  $\frac{6}{\pi^2} - \frac{3(2\mu+1)}{(\mu+1)\pi^2} + O\left(\frac{\log q}{q}\right).$ 

Thus

$$A+B=\frac{3}{\pi^2}+O\left(\frac{\log q}{q}\right).$$

We have shown that (5.3) is true if

$$q_s^2 < 2n \leq q_{s+1}^2.$$

This proves Theorem 7.

The author would like to thank Professor E. S. Barnes for his help in proving the results of this paper.

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