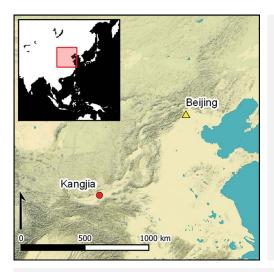
# Research Article



# Reconstructing Late Neolithic animal management practices at Kangjia, North China, using microfossil analysis of dental calculus

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Examination of plant microfossils (phytoliths and starch granules) preserved in dental calculus allows for the direct identification of some components of prehistoric diets. In this article, the authors present the results of microfossil analysis of dental calculus from wild and domestic animals at the Late Neolithic site of Kangjia in the Central Plains, an area critical in the emergence of early Chinese states. Consumption of cooked plant foods by domestic pigs and dogs, and of domestic crops by wild animals, at this site hints, the authors argue, at an interdependent relationship between animal management, agricultural production and ritual practices that contributed to the political transformations of Late Neolithic China.

Keywords: Asia, Central Plains, Longshan, microfossil analysis, phytoliths, starch granules, feasting

#### Introduction

Substantial social and political transformations took place in the middle and lower Yellow River valley in China during the third millennium BC. Settlements of the Late Neolithic Longshan culture (c. 4500–3900 BP) became increasingly competitive and hierarchical, with evidence for craft specialisation, long-distance trade and exchange and inter-polity warfare eventually giving rise to state-level societies (Liu 2004; Underhill et al. 2008). Due to its crucial role in the development of early states, substantial archaeological research has been conducted to understand the processes of social change occurring during this period.

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Animals have played key roles in the social, economic and ritual systems of China since the Early Neolithic. During the Longshan period, pigs became the focus of animal husbandry in the Central Plains and provided the main source of dietary protein (Cucchi et al. 2016; Dong & Yuan 2020). Sheep and goats were newly introduced from western Eurasia; their arrival revolutionised land-use patterns and diversified food production (Flad et al. 2007). The hunting of wild animals, including some large species such as water buffalo, was still a significant activity in some Longshan settlements (Liu 2004: 56–61; Yang et al. 2008). Both domesticated and wild animals played an integral part in household and mortuary rituals: they were sacrificed for ritual ceremonies, offered as feasting food and made into items of ritual paraphernalia. Together with millet and rice farming, animal husbandry supported significant population growth and contributed to the development of craft specialisation in the Late Neolithic (Brunson 2015).

Yet our understanding of animal management practices in the Longshan period remains limited. Previous studies have used stable isotope analysis to identify general patterns in domesticate diets: pigs and dogs relied heavily on C<sub>4</sub> plants, such as millet, while sheep and goats had mixed C<sub>3</sub>/C<sub>4</sub> diets, likely based on millet byproducts for part of the year and grazing C<sub>3</sub> pastures outside of the main agricultural zones (Pechenkina *et al.* 2005; Lanehart *et al.* 2011; Chen *et al.* 2016). Although these studies offer important information about animal subsistence, stable isotopes can provide only a broad view of diet that includes all assimilated foods. Carbon isotope analyses, for example, differentiate between C<sub>3</sub> or C<sub>4</sub> resources but do not determine the specific plant components that constitute each group. Elevated nitrogen isotope values may indicate feeding on human waste or meat-rich table scraps, but they can also result from the consumption of plants grown in manured soils (Bogaard *et al.* 2007).

Microfossil analysis of dental calculus offers an alternative method to study animal diets and foodways. Dental calculus (plaque) forms during the lifetime of an animal when food and other organic particles are mineralised and become firmly attached to the surface of the teeth. These deposits survive well in archaeological contexts. Plant microfossils such as phytoliths and starch are commonly incorporated into dental calculus, providing direct evidence of plant consumption by individual animals. Compared to stable isotope analysis, phytoliths and starch offer two major advantages. First, they often have higher levels of taxonomic specificity, some allowing identification of particular plant families or genera. Second, starch granules are susceptible to damage when exposed to different food processing techniques. Some techniques, such as cooking and fermentation, produce distinctive morphological changes to the granules, allowing archaeologists to identify evidence of food processing (Henry et al. 2009; Wang et al. 2017). Therefore, the starch granules and phytoliths recovered from calculus provide a direct record of the plant foods ancient humans and animals consumed (e.g. Henry et al. 2011; Weber & Price 2016).

This article presents new data from animal tooth samples from Kangjia (c. 4500–4000 BP), a late Longshan culture site in the Central Plains. First, we use microfossils from dental calculus to infer the diet of domestic pigs, dogs, sheep/goats and wild animals including deer and water buffalo. Second, we use these results to demonstrate that animal management practices at Kangjia were closely linked with agricultural and other social practices. By comparing our findings to previously published stable isotope data from Kangjia and other related sites

(Pechenkina et al. 2005; Lanehart et al. 2011; Liu & Jones 2014), we demonstrate that dental microfossil analysis is a reliable, minimally destructive technique that can provide highly detailed information about animal subsistence. Our case study, therefore, serves as an example of how dental microfossil analysis effectively complements stable isotope data, making it applicable to tooth remains from diverse archaeological sites. Furthermore, by integrating the microfossil data with archaeological evidence, we can explore the broader implications for social transformations during the Longshan culture.

# Archaeological background

The Kangjia site (c. 4500–4000 BP) in Lintong, Shaanxi, was excavated in the 1980s and 1990s (Figure 1). The excavation in 1990 included a 10 ×10m unit (T26) that yielded 33 house foundations, 18 pits, nine human skeletons and numerous bones, ceramic remains, implements and ornaments (Liu 2004: 48–51). All faunal remains found during the excavation were collected and 28 taxa were identified, including both domesticated (pig, sheep/goat, dog and possible chicken) and wild animals (sika deer, water buffalo, water deer, hare, cat, raccoon dog, tiger and bear) (Yang et al. 2008). The age compositions of pigs and sheep/goats point to a kill-off pattern for meat consumption (Liu 2004: 57–60). Evidence for ritual activities involving feasting, divination and human sacrifice has been discovered at the site.

Non-random patterns in the deposition of faunal remains in several pit features at Kangjia indicate ritual practices and special social activities. For instance, pit H71 contained large quantities of animal bones, divination implements such as oracle bones and turtle shells and a dismembered human skeleton (probably a young adult female; Liu 2004: 68).

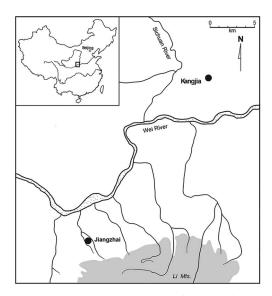


Figure 1. Map showing the location of Kangjia (figure by authors).

Located close to the door of a house, the pit appears to have been filled quickly, likely the result of a house ritual feast. To examine human-animal relationships and their relevance to other social activities at Kangjia, we analysed specimens from both ritual and non-ritual contexts.

## Material and methods

We collected samples of dental calculus from six pigs (*Sus*), four dogs (*Canis*), two sheep/goats (*Ovis/Capra*), two deer (*Cervus*) and one wild water buffalo (*Bubalus*) (Table 1). We also collected four control samples of mandibular bone to test for any potential microfossil contamination introduced either during burial from the surrounding soil matrix or during the curation process (Table 1; Figure 2).

Table 1. Faunal samples from Kangjia.

Sample ID	Excavation ID	Archaeological context	Tooth type	Tooth wear stage/age
Pig 1	T26H68:B82	Pit	$M_3M_2M_1P_4P_3P_2$	wear stage a
Pig 2	T26H68:B82	Pit	$M_3M_2M_1P_4P_3$	wear stage a
Pig 3	T26H77:B8	Pit	$dp^3dp^2$	young
Pig 4	T26F269:B14	House	$M_3$	wear stage f
Pig 5	T26F269:B13	House	$M_2M_3$	wear stage j
Pig 6	T26H71:B11	Pit (feasting)	$M^3M^2M^1P^4$	wear stage a
Dog 1	T26H72:B29	House	$M^1M^2P^3$	adult
Dog 2	T26@II	Cultural layer	$M^1M^2P^4$	unidentifiable
Dog 3	T26F259:	House	$M^1M^2P^4$	wear stage a
_	B11-11			_
Dog 4	T26@II	Cultural layer	$M^2P^4P^3C$	unidentifiable
Sheep/goat 1	T26H73:B2	House	$M^2$	unidentifiable
Sheep/goat 2	F262:12-4	House	$M^2$	wear stage a
Deer 1	T26H79:B15	Pit (specialised hunting)	$M_2M_3$	wear stage a
Deer 2	T26H71	Pit (feasting)	$P_4M_1$	young; wear stage a
Buffalo	F263:7	House	$P_4P_3P_2$	young; wear stage a

Recovery of microfossils from dental calculus is best conducted without the use of direct chemical treatments on teeth, as these treatments can potentially damage starch granules and alter tooth surface morphology (Piperno 2006: 100; Piperno & Dillehay 2008; Kucera et al. 2011). Therefore, we used sonication and EDTA (ethylenediaminetetraacetic acid) decalcification, a method that has been shown to be effective in extracting microparticles from dental calculus (Tromp et al. 2017; Radini et al. 2019). To obtain the calculus samples, we first rinsed each animal specimen with running distilled water to remove any loose surface debris or soil contaminants. Dental calculus samples were then collected from each specimen, placed in individual sterile polyvinyl bags with distilled water, and the bags were then placed into an ultrasonic bath for six minutes to disrupt the calculus structure and facilitate the release of microfossils. After sonication, microfossils were extracted using EDTA as a decalcifying agent (Tromp et al. 2017), followed by heavy liquid separation using sodium polytungstate at 2.35g/ml density. Control samples were obtained by sonicating the non-tooth-bearing parts of four specimens and following the same microfossil extraction procedure (Figure 2).

The processed samples were mounted on slides and examined using a Zeiss Axioscope 5 microscope at 400× and 200× magnifications, equipped with polarising filters and differential interference contrast lenses. Microfossil identifications were made through comparison with a reference collection of more than 1000 economically important plant specimens from Asia. All phytoliths and starch granules were counted and recorded. Wherever possible, phytoliths were identified taxonomically and described using the International Code for Phytolith Nomenclature 1.0 (Madella *et al.* 2005). Starch granules exhibiting signs of cooking damage were identified through a comparison with our experimental data and published literature (Babot 2003; Henry *et al.* 2009; Wang *et al.* 2016).

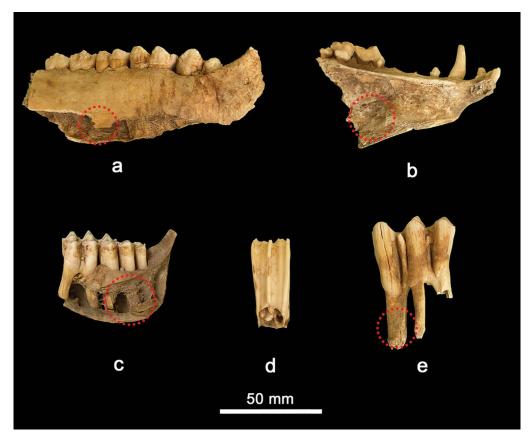


Figure 2. Examples of animal tooth and control samples analysed in this study: a) pig; b) dog; c) deer; d) sheep; e) wild water buffalo. Dotted red circles indicate the areas from which control samples were taken (figure by authors).

#### Results

Our analyses revealed that animals at Kangjia consumed both C<sub>3</sub> and C<sub>4</sub> plants, including millets (*Panicum miliaceum, Setaria italica* and *Echinochloa* sp.), rice (*Oryza*), Triticeae (a grass tribe that includes wheat), reeds (*Phragmites*) and unidentified underground storage organs (USOs). The control samples yielded an absence of starch granules and substantially lower amounts of phytoliths than the calculus samples, eliminating the possibility of post-depositional or laboratory contamination. Complete counts and descriptions of microfossils are displayed in Figures 3–5 and Tables S1–S3. We summarise the primary results by animal species below.

#### Pigs and dogs

The microfossil assemblage indicates that both pigs and dogs were fed with household refuse and agricultural fodder. The phytolith assemblage includes morphotypes from crops, such as  $\eta$ -type (broomcorn millet inflorescence) (Figure 3a),  $\Omega$ -type (foxtail millet inflorescence)

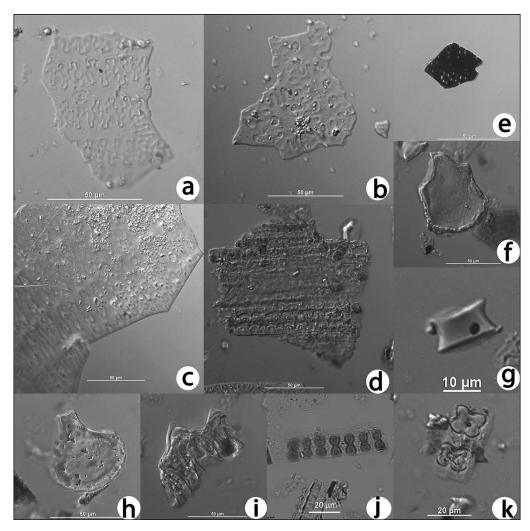


Figure 3. Phytoliths recovered from Kangjia animal teeth: a)  $\eta$ -type (broomcorn millet inflorescence); b)  $\Omega$ -type (foxtail millet inflorescence); c)  $\beta$ -type (barnyard grass inflorescence); d) dendritic elongate skeleton (cf. Triticeae inflorescence); e) opaque perforated platelet (cf. Asteraceae inflorescence); f) Phragmites bulliform (common reed); g) rondel (Poaceae); h) Oryza-type bulliform (rice leaf); i) double peak (rice husk); j) scooped parallel bilobate (Oryzeae leaf); k) cross (Panicoideae). White scale bars are 50 $\mu$ m for all images except g (10 $\mu$ m), j (20 $\mu$ m) and k (20 $\mu$ m) (figure by authors).

(Figure 3b), β-type (barnyard grass inflorescence) (Figure 3c), double peak (rice husk) (Figure 3i) and *Oryza*-type bulliform (rice leaf) (Figure 3h). Other diagnostic types include opaque perforated platelet (cf. Asteraceae inflorescence) (Figure 3e) and dendritic long cell (cf. Triticeae inflorescence) (Figure 3d). Starch granules are less numerous than phytoliths, but they indicate the consumption of USOs (Figure 4c), whose granules are characterised by extremely eccentric hilum and bright extinction crosses. Other identified starch granules include millet (Figure 4a), Triticeae (cf. wheat) (Figure 4b) and rice (Figure 4d), thus consistent with phytolith data (see Table S2 for starch identification criteria).

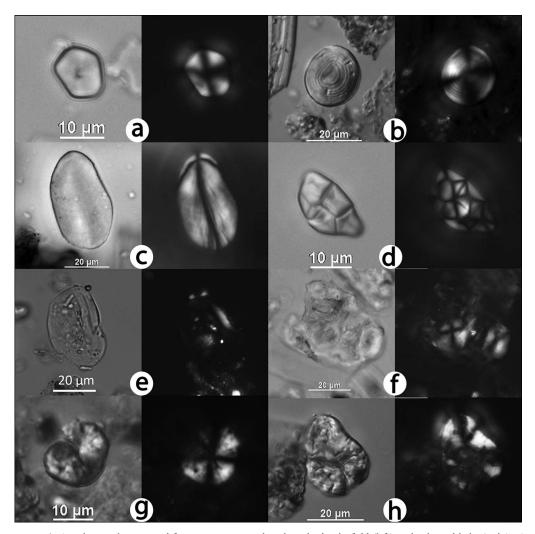


Figure 4. Starch granules recovered from Kangjia animal teeth under bright field (left) and polarised light (right): a) millet; b) Triticeae; c) unidentified underground storage organ; d) rice; e) gelatinised starch (cf. Triticeae); f) a cluster of gelatinised starch granules; g) cracked starch granule; h) pitted and cracked starch granule (figure by authors).

Thirty-eight starch granules exhibit evidence of damage, characterised by pitting, cracking and swelling (Figure 4e–h). Some of the pitted and/or cracked granules are likely the result of chewing or bacteria degradation during food consumption, but 13 show gelatinisation damage consistent with that caused by cooking (Henry *et al.* 2009; Wang *et al.* 2017). The presence of cooked starches provides strong evidence that pigs and dogs were provisioned with or scavenged kitchen scraps.

#### Sheep/goats

Compared to pigs and dogs, sheep/goats had herbivorous diets with a smaller contribution of starchy foods. The two examined specimens revealed abundant phytoliths but only two

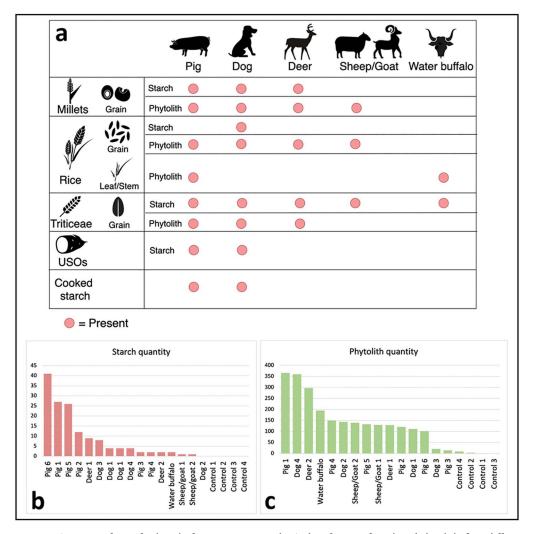


Figure 5. Summary of microfossil results from Kangjia animals. a) identification of starch and phytoliths from different types of animals; b) starch and c) phytolith quantity from calculus (figure by authors).

starch granules. One granule was classified as belonging to the Triticeae tribe, the other lacked diagnostic features. The phytolith assemblage included  $\eta$ -type phytolith skeletons and double-peak phytoliths, indicating the consumption of millet and rice grains. The presence of *Phragmites* bulliforms from one specimen suggests that reeds may also have been part of their diet. Overall, the starch and phytolith data suggest a mixed diet of  $C_3$  and  $C_4$  plants.

#### Deer and water buffalo

Deer and water buffalo primarily consumed wild plants from the local environment but also had access to domesticated crops. Calculus sampled from these animals includes phytoliths from grass inflorescences, leaves and stems. Among these, elongate dendritics (cf. Triticeae)

are relatively high in quantity, suggesting consumption of Triticeae grasses such as *Agropyron* sp. and *Elymus* sp., indigenous to northern China (Chen & Zhu 2006: 386–444), or domesticated wheat, which had been introduced into China during this period (Liu *et al.* 2017). Unexpectedly, microfossils of rice husk and millets were also found in the two deer samples, and bulliform phytoliths from rice leaf were found in the water buffalo (see Discussion).

#### Comparing microfossil data with stable isotope analysis

Previous stable isotope analysis from Kangjia revealed that  $C_4$  plants composed a substantial proportion of the diets of pigs and dogs, whereas ruminants such as deer, sheep/goat and water buffalo had mixed diets of  $C_3$  and  $C_4$  plants (Pechenkina *et al.* 2005). Our microfossil results support these findings and provide three new contributions. First, our results reveal some of the specific plants consumed by animals, moving beyond the general  $C_3/C_4$  plant categories. For example, the high  $\delta^{13}C$  value from bone collagen from Kangjia dogs, indicating a heavy reliance on  $C_4$  plants (Pechenkina *et al.* 2005), is supported by the presence of millet starches and phytoliths in the dental calculus of dog 3 in this study, along with microfossils from some  $C_3$  plants such as rice and USOs. Second, the presence of gelatinised starch granules in calculus from pigs and dogs provides the first direct evidence of the consumption of cooked plant foods by domestic animals in Neolithic China, most likely household refuse. Finally, the discovery of millet and rice microfossils in deer and water buffalo indicates that wild animals had access to domestic crops. These findings highlight how the Kangjia people actively managed domestic animals and influenced the subsistence of wild animals.

It is also important to note that interpreting microfossil data for ancient diets can be complex due to taphonomic issues, especially given the small sample sizes (Raviele 2011; Henry 2014). While our microfossil data reveal the presence of millet  $(C_4)$ , rice  $(C_3)$  and Triticeae  $(C_3)$  remains in pig and dog teeth, it is difficult to determine the relative contributions of these plant foods to the overall diets. Here, stable isotope data provide a useful complement to our findings. The high  $\delta^{13}$ C values for pigs and dogs at Kangjia suggest that  $C_4$  plants comprised about 65–85 per cent of their diet (Pechenkina *et al.* 2005: 1184). By combining the two sources of data, we can suggest that pigs and dogs were primarily provisioned with millet foods, with smaller contributions from other grasses such as rice and Triticeae.

## Discussion

The late Longshan society underwent a diversification in food production technology. Wheat was introduced from western Eurasia and gradually integrated into local millet-dominated foodways (Liu *et al.* 2017). Rice arrived in the Central Plains as early as 8000 years ago (Liu *et al.* 2019; Zhao 2019; Zhong *et al.* 2020) and its cultivation intensified during the Longshan period (Weisskopf 2016; Deng & Qin 2017). Microfossils of millets, rice and Triticeae in animal calculus show that all these crops were also part of domestic animal diets (Figure 5a).

Ethnographic records indicate two arrangements of pig: intensive and extensive (Albarella et al. 2008; Price & Hongo 2020). Pigs under extensive management are allowed to forage their food and range freely around settlements, while pigs under intensive management are provisioned with household refuse or fodder and kept near or within households (Halstead & Isaakidou 2011). Isotopic values from Early Neolithic pigs excavated from settlements in the Central Plains are indicative of high C<sub>3</sub> plant consumption, suggesting the extensive form of pig husbandry (Dong & Yuan 2020). The Kangjia pigs, however, which consumed primarily crops and cooked foods, indicate an intensive husbandry system. This practice may have been widely adopted in the late Longshan. Pig remains from other contemporary settlements also show elevated carbon isotopic values consistent with C<sub>4</sub> plant food consumption, almost certainly millets (e.g. Wu et al. 2007; Chen et al. 2016).

The transition from extensive to intensive pig husbandry coincides with the rise in social inequality in the Yellow River region. Beginning around 7000 BP, settlements become increasingly segmented, with houses showing differential storage capacity and disparity in resource accumulation (Peterson & Shelach-Lavi 2010). Elite households, differential mortuary practices and competitive feasting appear together around 6000 BP. At Xipo, high concentrations of pig bones were recovered from pits associated with medium-sized houses (5800–5500 BP), suggesting pig feasting (Ma 2005: 102). Such large-scale pork feasts probably provided a means for emergent elites to gain social prestige and status. Competitive feasts, as recorded in ethnographic studies, may facilitate the shift from extensive to intensive pig husbandry (Dwyer 1996; Price & Hongo 2020).

Among our analysed pig samples, pig 6 was recovered from feature H71—a pit associated with ritual feasting. Compared to other pigs, the calculus of pig 6 contained the highest quantity of starch granules but a relatively low quantity of phytoliths (Figure 5b & c). The identified starch granules include millets, USOs and Triticeae grasses, of which 25 per cent exhibited evidence of gelatinisation. This suggests that pig 6 had a starch-rich diet, primarily consisting of cooked and dehusked grains. It is possible that this pig was specifically raised for sacrificial purposes such as ritual feasting, thus receiving special treatment. A similar pattern has been found at Xipo, where stable isotope analysis suggests that pigs were fed primarily with millet grains rather than stalks and leaves (Ma 2005; Pechenkina *et al.* 2005). These intensive feeding practices would have promoted faster weight gain, providing a greater supply of pork and facilitating more frequent or larger feasts (Noblet & van Milgen 2004).

Ethnographic and ethnohistoric studies document that pigs served important roles beyond subsistence, including ritual performance and feasting associated with the consolidation of social prestige (e.g. Rappaport 1968; Volkman 1985; Feil 1987). At Kangjia, most pits did not contain substantial quantities of animal bone, suggesting that meat was not a regular dietary component. Pork likely functioned as a private household resource primarily reserved for special occasions. The human–pig relationship at Kangjia likely held both biological and symbolic significance: humans provided pigs with fodder, while pigs provided humans with nutrition and served as a medium for social activities such as ritual feasting (Kim *et al.* 1994).

Dogs at Kangjia had a starch-rich diet similar to pigs, as indicated by the presence of millet, rice and tuber starches on their teeth. This dietary behaviour can be traced back at least several thousand years, with genetic analysis suggesting that genes related to starch digestion

evolved in dogs over 30 000 years ago (Wang et al. 2013). Dogs likely developed their ability to digest starch through scavenging human food waste, which played a crucial role in their domestication and cohabitation with humans (Axelsson et al. 2013). Early evidence of dog starch consumption in China comes from the Dadiwan site (7900–7200 cal BP), where the isotopic signatures of some dogs suggest that they consumed millet in quantities that could only have been provisioned for them by humans (Barton et al. 2009). While the origins of dog domestication in China remain unclear, sites such as Nanzhuangtou, Jiahu, Cishan and Dadiwan all reveal evidence of dog domestication between 6000 and 10 000 years ago (see summary by Liu & Chen 2012: 98). Notably, all these sites show evidence of millet and/or rice cultivation, suggesting a long-standing connection between dogs and humans in their shared consumption of starchy crops in ancient China.

Besides animal husbandry, hunting and consumption of wild animals were significant in the Kangjia community. The presence of domestic crop microfossils in deer and water buffalo calculus suggests two possible scenarios. First, as human settlements grew and agriculture expanded, shrinking animal habitats compelled wild animals to forage closer to human settlements and agricultural fields, making them more vulnerable to human capture (Lander & Brunson 2018). Second, some deer were likely captured and subsequently raised within human-controlled environments. The microfossil assemblage from Kangjia deer shares similarities with that of sheep/goats, suggesting access to domestic fodder. Among the age-identifiable specimens (n = 21), only five were categorised as adults (23.8%; Liu 1994). This pattern is similar to that found at Jiangzhai, a Middle Neolithic site in the same region, indicating human control (Qi 1988). Deer was the most frequently consumed wild animal at Kangjia, with their bones discovered in sacrificial pits, residential structures and human burials. Deer scapulae, along with those from pigs and sheep/goats, were also used as oracle bones for divination purposes (SAIKT 1988, 1992; Liu 2004). The Kangjia people probably fostered a close relationship with deer due to their economic and symbolic value. Similar human-deer relationships have been identified at other prehistoric sites in the region (Liu et al. 2012; Dai et al. 2016).

#### **Conclusions**

Data presented here highlight the effectiveness of dental microfossil analysis in providing detailed information about animal diets, complementing stable isotope analyses. Animal teeth are among the most well-preserved, ubiquitous archaeological remains and dental calculus offers a protective structure for the preservation of food residues (Jin & Yip 2002). Therefore, this method can be applied to a wide range of both recently recovered and legacy collections of archaeological fauna, expanding our understanding of ancient animal management and dietary practices.

Our study of Kangjia indicates that animal management, agricultural production and ritual life were closely intertwined and played significant roles in shaping the social and economic landscape of the late Longshan period. As Figure 6 demonstrates, domestic animals such as pigs and dogs relied heavily on plant foods from agricultural production and household food preparation, indicating active human management of these species. Meanwhile, other animals such as deer, sheep/goat and water buffalo also had some access to agricultural

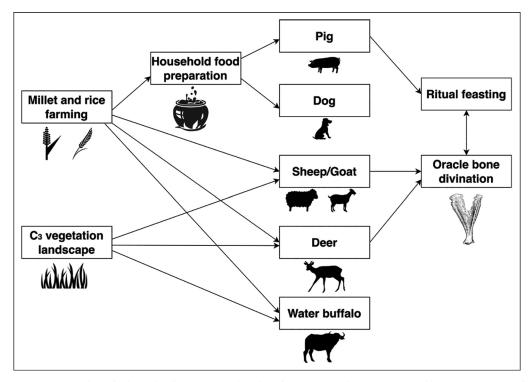


Figure 6. Hypothesised relationship between agricultural production, animal management and human economic and social activities at Kangjia based on microfossil analysis (figure by authors).

crops, likely influenced by factors such as human settlement expansion and changes in landuse practices. These animals were served as food and offerings in ritual sacrifice, feasts and divination activities, providing a means for certain families or individuals to negotiate for power and social prestige.

This research also contributes to the broader understanding of the role of animals in the emergence of social inequalities. Animals have been actively involved in various social contexts throughout history, integrated within diverse cultural systems (Arbuckle 2012). Human communities have utilised animals to generate economic surplus, establish status hierarchies and create symbolic significance through practices such as sacrifice and feasting (deFrance 2009). Our analysis of Kangjia animals adds to this picture, demonstrating that the emergence of social inequalities in the late Longshan was accompanied by intensive pig husbandry, possible control of wild animals and ritualised uses of animals. These practices gradually evolved into an elaborate ritual system, eventually setting the stage for the formation of Bronze Age states (Fiskesjö 2001; Campbell 2014).

#### Supplementary material

To view supplementary material for this article, please visit https://doi.org/10.15184/aqy. 2024.43.

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