

A TECHNIQUE TO GENERATE m -ARY FREE LATTICES FROM FINITARY ONES

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Introduction. Let m be an infinite regular cardinal. A poset L is called an m -lattice if and only if for all $X \subseteq L$ satisfying $0 < |X| < m$, $\bigwedge X$ and $\bigvee X$ exist.

This paper is a part of a sequence of papers, [5], [6], [7], [8], developing the theory of m -lattices. For a survey of some of these results, see [9].

The m -lattice $D(m)$ is described in [6]; γ denotes the zero and γ' the unit of $D(m)$. In particular, formulas for m -joins and meets are given. (We repeat the essentials of this description in Section 4.)

In [6] we proved the theorem stated below. Our proof was based on characterization of $F_m(P)$ (the free m -lattice on P) due to [1]; as a result, our proof was very computational.

In this paper, we shall present a non-computational proof. This proof relies on the description of $D(m)$ borrowed from [6], and on the finitary case: the description of the free lattice on H from [10]. (The proof in [6] does not rely on the finitary case.)

THEOREM. *The m -lattice $D(m) = \{\gamma, \gamma'\}$ is the free m -lattice on H .*

The universal algebraic background of the present proof is given in Section 1. Next, in Section 2, we generalize the concept of partial lattices to m -lattices. Some immediate applications of these results are presented in Section 3; these are applied in Section 5. $D(m)$ is described in Section 4. The proof of the theorem is given in Section 5.

1. Some universal algebraic lemmas. We recall some concepts from [3]. Let \mathbf{K} be a variety (equational class) of algebras of some finitary or infinitary type. For $\mathfrak{A} = \langle A; F \rangle \in \mathbf{K}$ and $H \subseteq A$, we define a *relative algebra* $\mathfrak{A} \upharpoonright H = \langle H; F \rangle$ of \mathfrak{A} as follows: if $f \in F$, $a_0, a_1, \dots \in H$ and $f(a_0, a_1, \dots) = a \in H$ in \mathfrak{A} , then (and only then) $f(a_0, a_1, \dots)$ is defined on H and equals a . A *partial \mathbf{K} -algebra* is defined as a relative algebra of some $\mathfrak{A} \in \mathbf{K}$. Let $\mathbf{K}(\tau)$ be the class of all algebras of type τ . Then a partial algebra of type τ is a partial $\mathbf{K}(\tau)$ -algebra, and vice versa.

If $\mathfrak{B} = \langle B; F \rangle$ is a partial algebra with the same type as that of \mathbf{K} , then $F(\mathfrak{B})$ denotes the free \mathbf{K} -algebra generated by \mathfrak{B} . The canonical map of \mathfrak{B}

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into $F(\mathfrak{B})$ is not necessarily one-to-one; if it is one-to-one, then it is an embedding of \mathfrak{B} into $F(\mathfrak{B})$. It is an isomorphism if and only if \mathfrak{B} is a partial \mathbf{K} -algebra; in this case, \mathfrak{B} is isomorphic to the relative algebra of $F(\mathfrak{B})$ on the image of B . The following lemma is obvious.

LEMMA 1. *Let \mathfrak{B} be a relative \mathbf{K} -algebra, $B \subseteq F(\mathfrak{B})$, and $B \subseteq C \subseteq F(\mathfrak{B})$. Let \mathfrak{C} be the relative algebra of $F(\mathfrak{B})$ on C . If \mathfrak{C} is generated by B , then $F(\mathfrak{B}) \cong F(\mathfrak{C})$ in the natural way.*

Let $\mathfrak{B} = \langle B; F \rangle$ be a partial algebra, $f \in F$, $a_0, \dots \in B$ such that $f(a_0, \dots)$ is not defined in \mathfrak{B} . We define a *one-point extension* \mathfrak{B}^p of \mathfrak{B} as follows: $B^p = B \cup \{p\}$; all partial operations are the same on \mathfrak{B} and \mathfrak{B}^p except that we add $\langle a_0, \dots \rangle$ to the domain of f , and $f(a_0, \dots) = p$.

The next lemma is again trivial.

LEMMA 2. *Let \mathfrak{B} be a partial \mathbf{K} -algebra and let \mathfrak{B}^p be a one-point extension of \mathfrak{B} . Then $F(\mathfrak{B}) \cong F(\mathfrak{B}^p)$ in the natural way.*

Note that, as a rule, \mathfrak{B}^p is not a partial \mathbf{K} -algebra.

Generalizing this construction, we can define \mathfrak{B}^P for a set of points P and for each $p \in P$, f_p , and $a_0^p, \dots \in B$.

An immediate consequence of Lemma 2 is the following:

LEMMA 3. *Assume that there is an $\mathfrak{A} \in \mathbf{K}$ and a homomorphism φ of \mathfrak{B}^P into \mathfrak{A} such that for all $a \in B$, $p_1, p_2 \in P$, $p_1 \neq p_2$, we have*

$$a\varphi \neq p_i\varphi, i = 1, 2 \quad \text{and} \quad p_1\varphi \neq p_2\varphi.$$

Then $F(\mathfrak{B}) \cong F(\mathfrak{B}^P)$ in the natural way.

Now let \mathfrak{A}_0 and \mathfrak{A}_1 be partial \mathbf{K} -algebras, $A_0 \cap A_1 = A_2$ such that \mathfrak{A}_2 as a relative algebra of \mathfrak{A}_0 is the same as \mathfrak{A}_2 as a relative algebra of \mathfrak{A}_1 . We shall say that \mathfrak{A}_0 and \mathfrak{A}_1 can be *strongly amalgamated over \mathfrak{A}_2* , if there is an algebra $\mathfrak{A}_3 \in \mathbf{K}$ of which both \mathfrak{A}_0 and \mathfrak{A}_1 are relative algebras and $A_0 \cap A_1 = A_2$ in \mathfrak{A}_3 .

LEMMA 4. *Let \mathfrak{A} be a partial \mathbf{K} -algebra, let $A' \subseteq A$, and let \mathfrak{A}' be the corresponding relative algebra of \mathfrak{A} . If \mathfrak{A} and $F(\mathfrak{A}')$ can be strongly amalgamated over \mathfrak{A}' , then the subalgebra $[A']$ of $F(\mathfrak{A})$ generated by A' is naturally isomorphic to $F(\mathfrak{A}')$.*

Proof. Let $\mathfrak{A}'' \in \mathbf{K}$ strongly amalgamate \mathfrak{A} and $F(\mathfrak{A}')$. Let φ be the extension of the identity map on A to a homomorphism of $F(\mathfrak{A})$ into \mathfrak{A}'' . Obviously, φ maps $[A']$ onto $F(\mathfrak{A}')$. We get an inverse map by the freeness of $F(\mathfrak{A}')$, and hence the isomorphism.

2. Partial m-lattices. It is clear that we can define a type of algebras such that m-lattices can be regarded as algebras of this type.

Let L be an m -lattice, $Q \subseteq L$, $Q \neq \emptyset$, and we restrict the \vee and \wedge of L to Q as follows: if $X \subseteq Q$, $0 < |X| < m$, and $x = \wedge X$ (formed in L) is in Q , then $\wedge X$ is defined in Q and $\wedge X = x$ in Q ; otherwise, $\wedge X$ is not defined; $\vee X$ is defined similarly. Then Q with \wedge and \vee is called a *partial m -lattice*; Q is a *relative m -sublattice* of L . (For $m = \aleph_0$, see [4] for a detailed discussion of partial lattices.)

The partial m -lattice Q is an example of an m -structure defined as follows. Given a partially ordered set P , we can make P into an (infinitary) partial algebra of the type of partial m -lattices as follows: we designate two families of subsets of P : \mathfrak{M} and \mathfrak{S} ; if $X \in \mathfrak{M}$, then $0 < |X| < m$ and $\inf X$ exists in P ; if $X \in \mathfrak{S}$, then $0 < |X| < m$ and $\sup X$ exists in P . We define \vee and \wedge on P as follows:

$$\wedge X = x \text{ if and only if } X \in \mathfrak{M} \text{ and } x = \inf X$$

$$\vee X = x \text{ if and only if } X \in \mathfrak{S} \text{ and } x = \sup X.$$

We denote this partial algebra by $\langle P, \mathfrak{M}, \mathfrak{S} \rangle$ and call it an *m -structure*. Note that for the same poset P , there are many m -structures on P .

Given an m -structure $\langle P, \mathfrak{M}, \mathfrak{S} \rangle$ and $I \subseteq P$, we call I an *ideal* if and only if $x, y \in P$, $x \leq y$, and $y \in I$ imply that $x \in I$; and $X \in \mathfrak{S}$, $X \subseteq I$ imply that $\sup X \in I$. For $X \subseteq P$, let $(X]_{\mathfrak{S}}$ denote the ideal generated by X ; if $X = \{x\}$ we write $(x]_{\mathfrak{S}}$ for $(\{x\}]_{\mathfrak{S}}$.

Observe that every partial m -lattice P is an m -structure, $\langle P, \mathfrak{M}, \mathfrak{S} \rangle$, in the natural way. The corresponding ideal concept is called *m -ideal*. The m -ideal generated by X will be denoted by $(X]_{\mathfrak{M}}$; if $X = \{x\}$, we write $(x]_{\mathfrak{M}}$ for $(\{x\}]_{\mathfrak{M}}$. If $|X| < m$, then the m -ideal $(X]_{\mathfrak{M}}$ is called *m -generated*.

LEMMA 5. *An m -structure $\langle P, \mathfrak{M}, \mathfrak{S} \rangle$ is a partial m -lattice if and only if the following conditions are satisfied:*

- (i) *For every $u, v \in P$, if $u \leq v$, then $\{u, v\} \in \mathfrak{M}$ and $\{u, v\} \in \mathfrak{S}$;*
- (ii) *For $X \subseteq P$, $0 < |X| < m$, if $(X]_{\mathfrak{S}} = (x]_{\mathfrak{S}}$, then $X \in \mathfrak{S}$; and dually for \mathfrak{M} .*

The proof of this lemma is analogous to the proof in the finitary case due to N. Funayama [2], see also Theorem 1.5.20 in [4]. The present formulation seems to be new even in the finitary case.

LEMMA 6. *For any m -structure $\langle P, \mathfrak{M}, \mathfrak{S} \rangle$, there exists a smallest partial m -lattice $\langle P; \wedge, \vee \rangle$ containing $\langle P, \mathfrak{M}, \mathfrak{S} \rangle$ in the obvious sense.*

Proof. This is clear from Lemma 5; first, we add to \mathfrak{M} and \mathfrak{S} the singletons and doubletons needed in (i) containing \mathfrak{M}_0 and \mathfrak{S}_0 . Then we add to \mathfrak{M}_0 and \mathfrak{S}_0 all subsets of P required by (ii), obtaining $\mathfrak{M}_1, \mathfrak{S}_1$. Now (ii) will have to be applied again to augment $\mathfrak{M}_1, \mathfrak{S}_1$. After at most $|P|^m$ steps we obtain $\bar{\mathfrak{M}}, \bar{\mathfrak{S}}$ satisfying (i) and (ii), hence $\langle P, \bar{\mathfrak{M}}, \bar{\mathfrak{S}} \rangle$ is the smallest partial m -lattice containing $\langle P, \mathfrak{M}, \mathfrak{S} \rangle$.

The next lemma follows from Lemmas 5 and 6.

LEMMA 7. *The free m-lattice generated by the m-structure $\langle P, \mathfrak{M}, \mathfrak{S} \rangle$ is isomorphic to the free m-lattice generated by the smallest partial m-lattice containing $\langle P, \mathfrak{M}, \mathfrak{S} \rangle$.*

Finally, we observe that when generating the free m-lattice, we can first generate the free lattice. Let \mathfrak{A} be a partial lattice and $F(\mathfrak{A})$ the free lattice generated by \mathfrak{A} . We make $F(\mathfrak{A})$ into an m-structure $\langle F(\mathfrak{A}), \mathfrak{M}, \mathfrak{S} \rangle$ as follows: \mathfrak{M} and \mathfrak{S} both consist of the nonempty finite subsets of $F(\mathfrak{A})$.

LEMMA 8. *The free m-lattice generated by \mathfrak{A} and by $\langle F(\mathfrak{A}), \mathfrak{M}, \mathfrak{S} \rangle$ are naturally isomorphic.*

In other words, we can form first finitary meets and joins freely, before we have to worry about infinitary meets and joins. The proof is obvious.

For a partial m-lattice \mathfrak{A} or an m-structure $\mathfrak{A} = \langle P, \mathfrak{M}, \mathfrak{S} \rangle$, the free m-lattice on \mathfrak{A} will be denoted by $F_m(\mathfrak{A})$. For a poset P , there is a smallest partial m-lattice $\mathfrak{B} = \langle P, \mathfrak{M}, \mathfrak{S} \rangle$; let $F_m(\mathfrak{B})$ denote the free m-lattice generated by it. Obviously, $F_m(\mathfrak{B})$ is the same as $F_m(P)$.

3. Chains and linear sums. Let Q be a chain. As the simplest application of the results of Sections 1 and 2, we determine the free m-lattice on Q . Observe that the finitary case is trivial.

Let $\tilde{Q} = Q \cup I \cup D$, where I is the set of nonprincipal m-generated ideals of Q ordered by \subseteq , D , is the set of nonprincipal m-generated dual ideals of Q ordered by \supseteq . We define the partial order on \tilde{Q} in the obvious way:

let $a \in Q$ and $b \in I$, $a \leq b$ means that $a \in b$, and $b \leq a$ means that $b \subseteq (a]$;

let $a \in Q$ and $b \in D$; we use the dual definition;

let $a \in I$ and $b \in D$; $a < b$ if and only if $x < y$ in Q for all $x \in I$ and $y \in D$;

$b < a$ if and only if $a \cap b \neq \emptyset$.

LEMMA 9. \tilde{Q} is an m-chain.

Proof. Let $X \subseteq \tilde{Q}$, $0 < |X| < m$. We show that $\vee X$ exists in \tilde{Q} . We can assume that $X \subseteq Q$, or $X \subseteq I$, or $X \subseteq D$. If $X \subseteq Q$, then let $a = (X]$. We show that $a = \vee X$ in \tilde{Q} . Indeed, if b is an upper bound of X in \tilde{Q} , and $b \in Q \cup I$, then $a \leq b$ is obvious; if $b \in D$, $b = [Y)$, $0 < |Y| < m$, in Q , then $x < y$ for all $x \in X$ and $y \in Y$, hence, $a < y$ for all $y \in Y$, implying that $a < b$.

If $X \subseteq I$, then $a = \cup (x \mid x \in I)$ is an m-generated ideal by the regularity of m. If a is nonprincipal, then $a \in I$ and a is obviously the least upper bound of X . If a is principal, $a = (a_0]$, $a_0 \in Q$, and a_0 is the least upper bound of X .

If $X \subseteq D$, we can assume that X has no largest element and X is well-ordered, $X = \{d_i \mid i < n\}$, where $n < m$ and $d_i < d_j$ (i.e., $d_i \supset d_j$) for $i < j$. For each $i < n$, choose $a_i \in d_i - d_{i+1}$. The ideal a of Q generated by the $a_i, i < n$, is m -generated, hence $a \in \tilde{Q}$. It is easily seen that a is the least upper bound of X in \tilde{Q} .

By duality, $\wedge X$ also exists, hence \tilde{Q} is an m -chain.

LEMMA 10. \tilde{Q} is the free m -lattice on Q .

Proof. Let us define an m -structure on \tilde{Q} : let both \mathfrak{S} and \mathfrak{M} consist of all subsets X of \tilde{Q} with $0 < |X| < m$. This makes \tilde{Q} into an m -structure generated by Q as discussed in Lemma 2. The free m -lattice on Q is the same as the free m -lattice on this partial m -lattice on \tilde{Q} . However, the computations of Lemma 9 show that the smallest partial m -lattice on this m -structure is the m -chain \tilde{Q} . So we can apply Lemmas 7 and 8 to conclude that the m -chain \tilde{Q} is the free m -lattice on Q .

A similar application is to linear sums. Let Q be a chain and let $P_i, i \in Q$, be posets. Let \tilde{Q} denote the free m -lattice (chain) on Q . We now describe the free m -lattice on the linear sum P of the $P_i, i \in Q$.

LEMMA 11. For $i \in \tilde{Q}$, let us define the poset Q_i :

$Q_i = F_m(P_i)$ for $i \in Q$;

Q_i is a singleton for $i \in \tilde{Q} - Q$.

Then $F_m(P)$ is the linear sum of the $Q_i, i \in \tilde{Q}$.

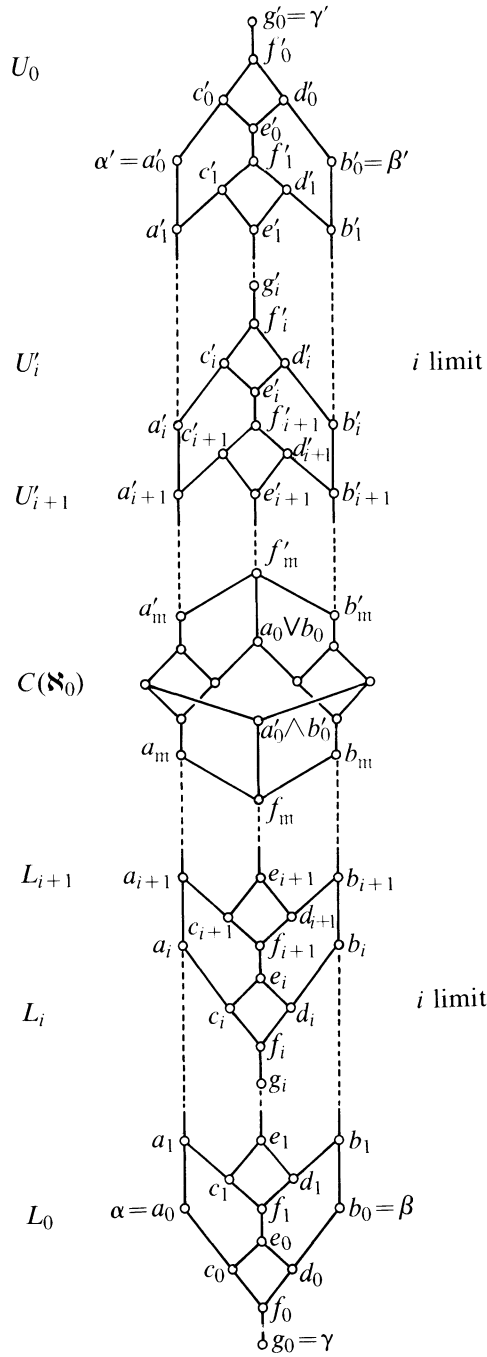
Proof. Let \tilde{P} stand for the linear sum of the $Q_i, i \in \tilde{Q}$. Then $P \subseteq \tilde{P}$. Let P^+ be the linear sum of the P_i for $i \in Q$ and the singleton Q_i for $i \in \tilde{Q} - Q$. We can argue as in Lemmas 9 and 10 (the special case that all $|P_i| = 1$), that the free m -lattice on P and P^+ are the same.

For each $i \in I$, we can use Lemma 4 to show that, in P^+ , we can replace P_i with $F_m(P_i)$. The resulting m -structure \mathfrak{B} has \tilde{P} as the underlying poset; \mathfrak{S} and \mathfrak{M} consist of all subsets $X \subseteq \tilde{P}$ satisfying $0 < |X| < m$, and $X \subseteq \tilde{Q}$ or $X \subseteq F_m(P_i)$ for some i . However, the smallest partial m -lattice containing \mathfrak{B} is the m -lattice \tilde{P} . We apply again Lemmas 7 and 8 to conclude that $\tilde{P} = F_m(P)$.

4. The m -lattice $D(m)$. Let m be a regular cardinal, $m > \aleph_0$. In this section, we sketch the definition of the complete lattice $D(m)$. For a more detailed description, see [6].

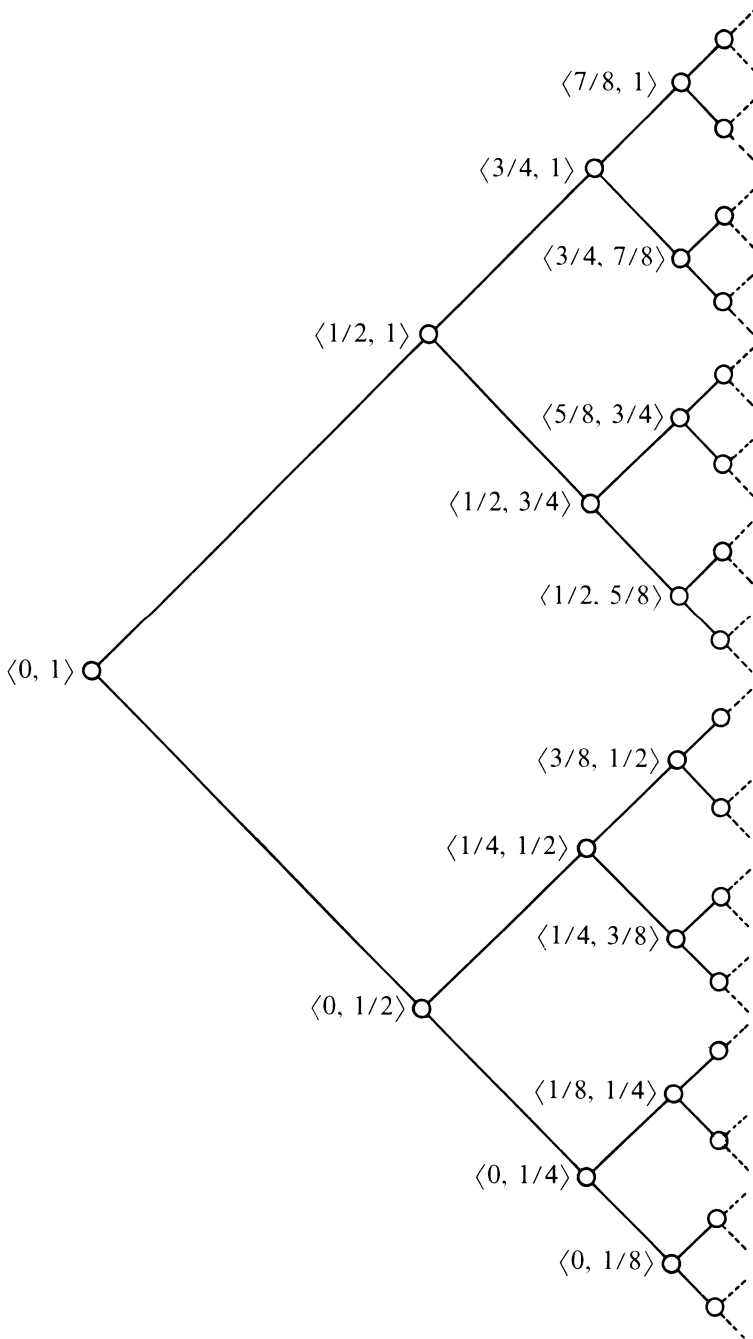
First, let $C(m)$ be the lattice of Figure 1.

For every successor ordinal $j < m$, there is a lower j -th level of 6 elements $L_j = \{a_j, b_j, c_j, d_j, e_j, f_j\}$, and for every limit ordinal $i < m$ (including $i = 0$), there is a lower i -th level of 7 elements $L_i = \{a_i, b_i, c_i, d_i, e_i, f_i, g_i\}$. These elements are ordered as shown in Figure 1. There is also an upper i -th level U_i for each $i < m$, defined dually and denoted by the same



The m-lattice $C(m)$

Figure 1



The lattice A
Figure 2

letters with primes. For convenience, we also label 6 elements of $C(m)$ with Greek letters: $\alpha = a_0, \alpha' = a'_0, \beta = b_0, \beta' = b'_0, \gamma = g_0, \gamma' = g'_0$.

$C(m) - \{\gamma, \gamma'\}$ is m -generated by $\alpha, \alpha', \beta, \beta'$.

The second building block of $D(m)$ is the lattice A of Figure 2, first described in [10]. Let J be the set of dyadic rationals r that satisfy $0 \leq r \leq 1$. Every $r \in J, r \neq 0$, has a unique representation, the *normal form*, $r = a \cdot 2^{-n}$, where a is an odd integer; n is the *order* or r ; in notation, $n = \text{ord}(r)$. By convention, $\text{ord}(0) = 0$.

We define A as a subset of J^2 with the product order:

$$A = \{ \langle r, s \rangle \mid r < s \text{ and } s - r = 2^n, n \geq \max \{ \text{ord}(r), \text{ord}(s) \} \}.$$

For $t \in J$, let us call the set of $a \in A$ of the form $\langle t, s \rangle$ the $x = t$ line in A , and define the $y = t$ line similarly. $\langle r, r + 2^{-\text{ord}(r)} \rangle$ is the largest element on the $x = r$ line, and $\langle s - 2^{-\text{ord}(s)}, s \rangle$ is the smallest element on the $y = s$ line.

Each $a \in A$ has a right upper cover a^* :

$$\langle r, s \rangle^* = \langle (r + s)/2, s \rangle.$$

Similarly, the left upper cover $^*\langle r, s \rangle$ exists and equals $\langle r, s + 2^{-\text{ord}(s)} \rangle$ when $\text{ord}(r) < \text{ord}(s)$.

Let a and b be incomparable elements of A , with a to the left of b . The join of a and b is the least element on the y -line through a that is greater than b .

Finally, we define

$$B = \{ \langle r, s \rangle \mid \langle s, r \rangle \in A \},$$

a subset of J^2 . Clearly, B is a lattice and its diagram is obtained by reflecting Figure 2 about a vertical line.

Let I be the real interval $[0, 1]$, and recall that J denotes the subset of I consisting of dyadic rationals. For each $t \in J$, we take a copy C_t of $C(m)$, with bounds γ_t and γ'_t , and generators $\alpha_t, \alpha'_t, \beta_t, \beta'_t$. For each $t \in I$ which is not a dyadic rational, $C_t = \{\gamma_t, \gamma'_t\}$ is the two-element chain with $\gamma_t < \gamma'_t$. We define C as the linear sum of the $C_t, t \in I$. Since I is complete and each C_t is complete, C is a complete lattice.

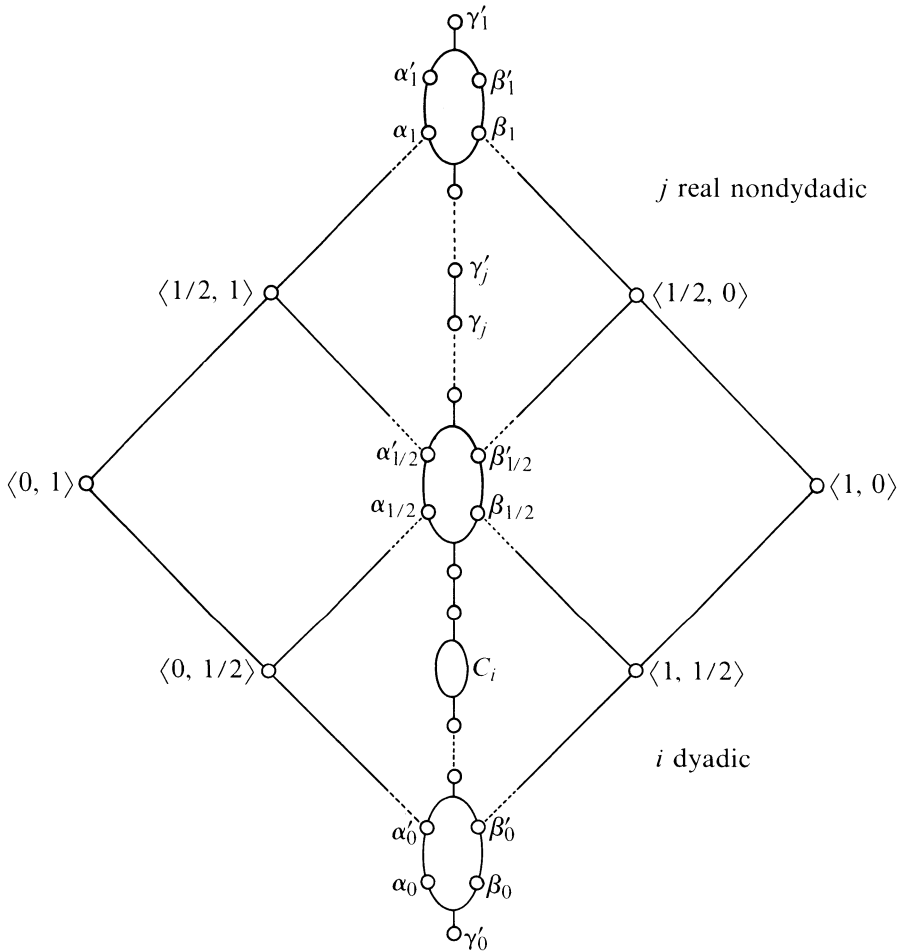
We define $D(m) = A \cup B \cup C$, partially ordered as follows (see Figures 3 and 4): Let

$$\langle r, s \rangle \in A, \langle t, u \rangle \in B, v \in I, p \in C;$$

$$\langle r, s \rangle < \langle t, u \rangle \text{ if and only if } s < u;$$

$$\langle r, s \rangle > \langle t, u \rangle \text{ if and only if } r > t;$$

$$\langle r, s \rangle < p \text{ if and only if } s < v \text{ holds, or } s = v \text{ and } \alpha_t \leq p \text{ hold;}$$



The m -lattice $D(m)$
Figure 3

$\langle r, s \rangle > p$ if and only if $r > v$ holds, or $r = v$ and $\alpha'_v \cong p$ hold;

$\langle t, u \rangle < p$ if and only if $t < v$ holds, or $t = v$ and $\beta_v \cong p$ hold;

$\langle t, u \rangle > p$ if and only if $u > v$ holds, or $u = v$ and $\beta'_v \cong p$ hold.

It is easily seen that $D(m)$ is a poset.

It is not difficult to show that $D(m)$ is a lattice, and that each of A , B , and C is a sublattice of $D(m)$. For $\langle r, s \rangle \in A$, $\langle t, u \rangle \in B$, $v \in I$, and $p \in C_v$, we give the formulas for joining pairs:

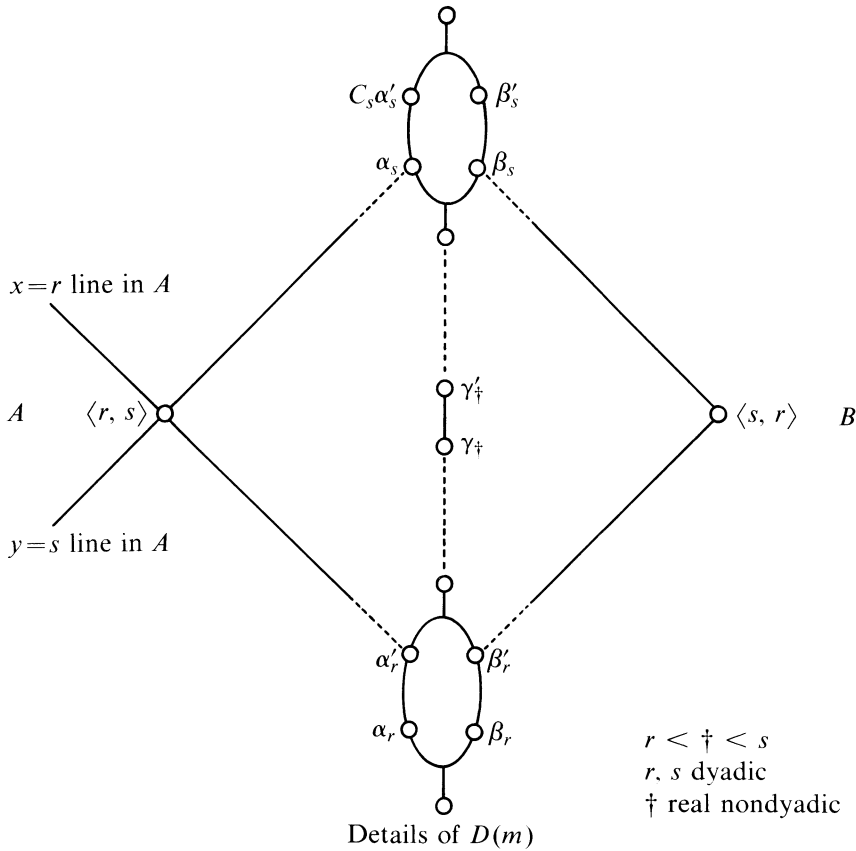


Figure 4

- (a) $\langle r, s \rangle \vee p$ is
 - (i) $\alpha_s \vee p \in C$, where the join is formed in C , if $s \leq v$;
 - (ii) $\langle r, s \rangle$, if $r > v$, or $r = v$ and $p \leq \alpha'_r$ in C_v ;
 - (iii) the least $\langle w, s \rangle$ such that $w > v$, if $r \leq v < s$ and $p \not\leq \alpha'_r$ in C_v ;
 - (iv) the least $\langle w, s \rangle$ such that $w \leq v$, if $r \leq v < s$ and $p \leq \alpha'_r$ in C_v ;
- (b) $\langle r, s \rangle \vee \langle t, u \rangle$ is
 - (i) $\langle t, u \rangle$, if $s < u$;
 - (ii) $\langle r, s \rangle$, if $t < r$;
 - (iii) the least $\langle w, s \rangle$ on the $y = s$ line in A such that $w > t$, if $s > t$;
 - (iv) the least $\langle t, w \rangle$ on the $x = t$ line in B such that $w > s$, if $s > t$;
 - (v) $\alpha_s \vee \beta_s$, if $s = t$, where the join is formed in C_s .

To show that $D(m)$ is a complete lattice, it suffices to find $\vee X$ for a nonempty subset X of A . (The formula is similar for B and we already know that C is complete.) Let X_1 and X_2 be the first and the second projections of X , and form $u = \vee X_1$ and $v = \vee X_2$ in I .

If $u < v$, then $v \in J$, and $\vee X$ is the least element of A on the $y = v$ line whose first coordinate is $\cong u$.

If $u = v$, then

$$\vee X = \begin{cases} \gamma_u & \text{if } u = v \text{ and } u \notin X_2; \\ \alpha_u & \text{if } u = v \text{ and } u \in X_2. \end{cases}$$

$D(m) - \{\gamma_0, \gamma'_1\}$ is m -generated by $\alpha_0, \beta_0, \langle 0, 1 \rangle, \langle 1, 0 \rangle, \alpha'_1, \beta'_1$.

5. $D(m)$ as an m -structure. Let $P = D(m) - \{\gamma_0, \gamma'_1\}$ be the partially ordered set underlying $D(m) - \{\gamma_0, \gamma'_1\}$.

For a dyadic rational i , $0 \leq i \leq 1$, let C_i^{fin} be the 16 element sublattice $C(\mathfrak{S}_0)$ of $C(m)$. Let

$$P_0 = A \cup B \cup C^{\text{fin}},$$

where C^{fin} is the union of all C_i^{fin} where i is a dyadic rational, $0 \leq i \leq 1$. We know that P_0 is a sublattice of $D(m)$. By [10], P_0 is the free lattice generated by

$$H = \{\alpha_0, \beta_0, \alpha'_1, \beta'_1, \langle 1, 0 \rangle, \langle 0, 1 \rangle\}.$$

By Lemma 7, $FL_m(H)$ is isomorphic to the free m -lattice generated by $\langle P_0, \underline{\text{Fin}}, \underline{\text{Fin}} \rangle$, where $\underline{\text{Fin}}$ is the family of finite nonempty subsets of P_0 .

Let P_1 be an extension of P_0 in the style of Lemma 3: We add to P_0 all $\alpha_i, \beta_i, \alpha'_i, \beta'_i, i \in J$; we define α_i as the m -join of the $y = i$ line in A ; $\alpha'_i, \beta_i, \beta'_i$ are defined analogously. To apply Lemma 3 we have to find an m -lattice where all these elements are distinct; of course, $D(m)$ does the trick.

Now we apply Lemma 4 to P_1 and C . By Lemma 4, $P = P_1 \cup C$ as an m -structure $\langle P, \mathfrak{M}, \mathfrak{S} \rangle$ generates the same free m -lattice as H . $\langle P, \mathfrak{M}, \mathfrak{S} \rangle$ is defined as follows:

1. All finite nonempty subsets of P_0 are in \mathfrak{M} and \mathfrak{S} .
2. The $y = i$ line in A is in \mathfrak{S} (and analogously for \mathfrak{M}).
3. All subsets X of C are in \mathfrak{S} and \mathfrak{M} provided that $0 < |X| < m$.

Now the crucial statement is:

LEMMA 12. *The smallest partial m -lattice containing $\langle P, \mathfrak{M}, \mathfrak{S} \rangle$ is the m -lattice: $D(m) - \{\gamma_0, \gamma'_1\}$.*

It is clear, by Lemma 8, that Lemma 12 implies the theorem since the free m -lattice generated by an m -lattice is an m -lattice.

Proof of Lemma 12. By duality and Lemma 5, it is sufficient to prove the following statement:

For every subset X of P with $0 < |X| < m$ and $a = \sup X$, we have $(X)_J = (a)$.

$A, B, A \cup B,$ and C are sublattices of P since all finite sets are in \mathfrak{M} and \mathfrak{S} . Thus, it is sufficient to verify the above statement in the following cases:

1. $X = \{x_1, x_2\}$, and x_1, x_2 are incomparable:
 - (a) $x_1 \in A, x_2 \in C$;
 - (b) $x_1 \in B, x_2 \in C$.
2. X is an infinite chain:
 - (a) $X \subseteq A$;
 - (b) $X \subseteq B$;
 - (c) $X \subseteq C$.

By the symmetry of $D(m)$, it is enough to consider (1a), (2a), and (2c). Of these, (2c) is trivial, since all such X are in \mathfrak{M} and \mathfrak{S} .

Case (1a). Let $x_1 = \langle r, s \rangle$ and $x_2 = p$ be given as in Section 4 in the description of the join in $D(m)$. We proceed by subcases (i)-(iv) corresponding to part (a) of the join definition.

(i) In this case, $s \leq v$. Let

$$\langle r, s \rangle = \langle r_1, s \rangle < \langle r_2, s \rangle < \dots$$

be the $y = s$ line in A . We prove by induction that

$$\langle r_i, s \rangle \in (\{ \langle r, s \rangle, p \}]_J = I.$$

This holds for $i = 1$ by definition. For $i = 2$, observe that $r_1 < r_2 < s$, hence $\alpha_{r_2} < p$, and all $\langle x, r_2 \rangle < \alpha_{r_2}$; thus all $\langle x, r_2 \rangle \in I$. Choose x so that $r_1 < x < r_2$ and $\langle x, r_2 \rangle \in A$. Then

$$\langle r_1, s \rangle \vee \langle x, r_2 \rangle = \langle r_2, s \rangle \quad \text{and}$$

$$\{ \langle r_1, s \rangle, \langle x, r_2 \rangle \} \in \mathfrak{S},$$

hence, $\langle r_2, s \rangle \in I$. The induction step is similar. By the definition of \mathfrak{S} (clause 2), and since $\{ \langle r_i, s \rangle \mid i = 1, 2, \dots \}$ is cofinite with the $y = s$ line,

$$\vee(\langle r_i, s \rangle \mid i = 1, 2, \dots) = \alpha_s,$$

hence

$$I = (\alpha_s \vee p]_{\mathfrak{S}},$$

as required.

(ii) does not define incomparable pairs of elements.

(iii) and (iv) are similar to (i) except we prove $\langle r_i, s \rangle \in I$ only up to the first i such that $r_i > v$, while in (iv) up to the first i with $r_i \geq v$.

Case (2a). Since A is a countable sublattice, we can assume that X is an ω chain:

$$\langle r_0, s_0 \rangle < \langle r_1, s_1 \rangle < \dots < \langle r_n, s_n \rangle < \dots$$

If there is an n , such that $s = s_n = s_{n+1} = \dots$, then obviously,

$$(X] = (\alpha_s]_{\mathfrak{S}}.$$

If there is no such n , then set $s = \bigvee s_i$. For every $u < s$, u dyadic, there is an i such that $u < r_i$, hence $\gamma_u \in (X]$. By the definition of \mathfrak{S} (clause 3),

$$\bigvee (\gamma_u \mid u < s) \in (X],$$

hence $\gamma_s \in (X]$. This proves that $(X] = (\gamma_s]$.

This completes the proof of Lemma 12.

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