

## CHAPTER VI

### FUNCTIONS AND STATUTES OF THE UNION

1. Useful addresses

The address of the Secretariat and members of the Executive Committee are noted, page 71.

2. History of the Union

A brief guide to the former Presidents, General Secretaries, etc... of the Union can be found in IB 55, pp. 6-7 & 61, p. 2.

3. IAU Representatives to other Organisations

The list of the triennium 1988-1991 is given on pp. 69 & 70 of this volume.

4. Services of the Union

A summary of the activities of the Union Services may be found in Transactions XVIIIB, pp. 387-393.

## 5. Rules for scientific meetings

### **General**

One of the essential tasks of the Union is to encourage circulation of ideas and to disseminate information internationally on current scientific results by organizing scientific meetings. Scientific Meetings are:

- a. Symposia
- b. Colloquia
- c. Regional Astronomy Meetings
- d. Commission Meetings and/or Joint Commission Meetings
- e. Joint Discussions during General Assemblies

Except in the case (c), each meeting has to be sponsored by one or more Commissions. For Symposia there should always be more than one Commission involved, a principal sponsoring Commission with other co-sponsoring Commissions. In the case (d), the Commission Organising Committee is the Organising Committee of the Meeting. In all other cases, a Scientific Organising Committee is (SOC) appointed by the Executive Committee (EC), with the advice of the proposer of the meeting.

The Chairman of the SOC is appointed by the EC. It may be the case that the President of the sponsoring Commission is proposed as Chairman of the SOC, but it is not necessarily so. A proposer may propose himself as Chairman of the SOC. It is the responsibility of the Chairman of the SOC to actively involve the Members of the SOC (who would normally be Members of the IAU - in multidisciplinary meetings such restriction may not be desirable) in defining the range of topics to be considered and in suggesting a wide range of possible contributors - in particular younger astronomers.

Symposia, Regional Meetings and Joint Discussions cover broader fields and have larger attendance, in general, than Colloquia. Proceedings of Symposia are published by the IAU in conjunction with a Publishing House. Whether or not Proceedings of Colloquia and Regional Meetings are published, and in what form, is decided by the SOC of each Colloquium and Regional Meeting. Colloquia and Commission Meetings deal with narrower topics and are usually shorter. Immediately following the end of an IAU Symposium, Colloquium or Regional Meeting, the Chairman of the SOC supplies a short summary of the Meeting for publication in the Information Bulletin.

Regional Meetings are proposed by a host organisation within the group of countries concerned. They should be confined to years when no IAU General Assembly is held. The EC can designate a meeting organised by a specific national or regional organisation to be a Regional Astronomy Meeting. Regional Meetings should embrace as wide a spectrum of astronomical disciplines as possible.

Proposals in respect of Symposia, Colloquia and Regional Meetings are addressed on the appropriate form to the EC through the Assistant General Secretary. Such proposals should be preceded by informal enquiries made at the earliest possible opportunity. Enquiries in respect of Symposia and Colloquia should be directed to the Assistant General Secretary in the first instance; in all other cases, the first approach should be to the General Secretary. The formal proposal should be accompanied or preceded by letters of support from the Presidents of sponsoring and co-sponsoring Commissions. The following information is essential to a proposal:

1. the title;
2. date and duration;
3. location;
4. sponsoring and co-sponsoring Commissions, other co-sponsoring scientific Unions;
5. suggested composition of the SOC. No more than ten names should be included, one of the names being proposed as Chairman. There should be several countries represented and one institution should not be represented by more than one person unless some other representation (e.g. a co-sponsoring Commission or relevant working group, etc.) is involved thereby. For Regional Meetings, the Assistant General Secretary is an ex-officio Member of the SOC and in these cases more than ten names can be included, if desired;
6. suggested Chairman and composition (or total number) of the proposed Local Organising Committee (LOC);
7. name and address for maintenance of contact;
8. the estimated number of participants;
9. the financial support expected from sources other than the IAU;
10. whether or not the maximum IAU financial support is requested;
11. the names of the proposed Editor, or Editors, of Proceedings, if these are to be published and their addresses;
12. the outline scientific programme;
13. a detailed account of why the proposed meeting is useful and necessary at the time proposed and its relationship with other meetings.

Participation in Symposia and Colloquia is by invitation of the Chairman of the SOC. Invitations may be sought by suitably qualified scientists who are Members of the IAU or who are resident in an Adhering Country. While Symposia and Colloquia must be open to all qualified to participate, it is recognised that there may be occasions where logistical limitation of total number attending may need to be imposed by the SOC.

It is essential that there be no restriction based on sex, race, colour, nationality, religious or political affiliation, imposed on bona fide scientists attending internationally supported scientific meetings, either by the organisers of Symposia and Colloquia, or, by authorities in the host country. Assurance that the ICSU Rules will be adhered to must be honoured. Failure to observe those Rules will normally result in withdrawal of IAU scientific sponsorship and financial support.

In the case of Regional Astronomy Meetings, invitation to attend is open to all interested astronomers of a particular geographical region (but not excluding other interested participants) for which invitations are primarily intended. The region will be outlined by the organisers in agreement with the EC.

### **Contents of meetings**

Commission Meetings held in the course of General Assemblies are of two kinds:

- . Business Meetings, including the organisation of specific projects, and
- . Scientific Meetings on specific subjects. Commission Meetings can be organised jointly by two or more Commissions in order to deal with a topic of mutual interest; alternatively, a Joint Discussion, with an agreed SOC, can cover a topic of wider interest. Proposals for Meetings of Commissions and Joint Discussions during a General Assembly are addressed to the General Secretary, who decides the time-table for such proposals.

The agenda of Scientific Meetings may consist of any or all of the following:

- Invited Review Papers,
- Invited Papers,
- Contributed Papers,
- Contributed Poster Papers,
- Video, Film,
- Discussions, including Panel Discussions.

#### Invited Review Papers

They should aim to be comprehensive reviews of major areas of interest to the Symposium, Colloquium, etc. Chairmen of the SOC have a responsibility to ensure that Invited Review Papers are truly comprehensive and only be published as such if adequate standards of breadth and depth are met.

#### Invited Papers

They are less comprehensive reviews than Invited Review Papers of a topic of recent and/or immediate scientific interest. In issuing an invitation to present an invited paper, the Chairman of the SOC should outline the scope of the work to be addressed. The SOC can include proposed contributed and poster papers in this category for extended publication if the scientific interest of the papers so warrant.

#### Contributed Papers

They are papers on a specific topic requested or accepted by the SOC, through the Chairman, for presentation in the main meeting.

#### Contributed Poster Papers

These are papers on a specific topic requested or accepted by the SOC, through the Chairman, for presentation in special poster sessions scheduled as a regular part of the meeting.

#### Film and Video

Facilities for the presentation of video material as well as film should be made available where possible.

#### Discussion

Discussion from the floor following papers is regarded as an essential form of communication. The use of Panel Discussions is not frequently exploited though it is recognised that such format has limited effective applicability. While discretion needs to be exercised, it would be reasonable to devote at least 1/3 of total meeting time to discussion.

The SOC, having decided on the subject(s) of the meeting, must then decide the general layout of the programme and the mode of, and balance between, Invited Review Papers, Invited Papers, Contributed Papers, including Posters, and Discussion, in the light of suggestions from membership of the SOC or EC. It can then proceed to decisions on the Invited Speakers, paying due regard to the distinctions between Invited Review and Invited Papers; the acceptance of a limited number of contributed papers for presentation within the meeting or as posters; the requirements to be expected for video or film presentation followed by the requirements for translation, recording of discussion, organisation of the secretariat, etc. in agreement with the LOC.

The SOC should pay particular regard to adequate provision for poster sessions if a feature of the proposed meeting. It is important that a limited number of posters are on display at any time (e.g. change of posters daily) and that presenters have adequate room in the neighbourhood of their poster stand. Each presenter should have a display board whose area should not be less than 1m x 0.7m. Adequate time to view posters should be set aside (posters are not the equivalent of an evening's entertainment) within the timetable of the meeting. Arrangements should be considered for a review of posters to be presented during the meeting.

### **Timetable and other commitments**

A timely presentation of proposals to the EC, taking into account the annual interval between EC meetings, is necessary if a satisfactory procedure of prior review is to be attained. The following types of notice are required:

- i. Preliminary notice must be received at the earliest possible date, so that the proposers can be informed of any similar proposals being made elsewhere. In the case of Regional Meetings, where the General Secretary receives proposals in the first instance, the outline proposal should normally be available 2-3 years in advance and contact maintained thereafter; in the case of all meetings ability to place adequate prior notice in the IAU Information Bulletin is a necessary service for IAU Members generally. This requirement implies at least one year between the time the meeting is proposed on the official proposal form and the time of the meeting.
- ii. A firm proposal, still subject to approval and minor alteration by the EC, must be received in time for details to be investigated by the Assistant General Secretary in respect of *inter alia*,
  - . composition of SOC,
  - . availability of IAU financial support,
  - . suitable nature and non-duplication of scientific content,
 prior to presentation for approval by the EC.

Fully documented proposals are submitted by the Assistant General Secretary to the EC. The EC either accepts or rejects the proposals. Since the EC normally meets annually in August/September and since it is desirable that discussion of critical points takes place at a formal meeting, rather than by correspondence, the actual dates for preparing proposals should take into account the dates of the EC meetings. March-April is a suitable time for making firm proposals for symposia and colloquia. The Assistant General Secretary will not normally submit proposals received after July 1 to the next meeting of the EC.

If the EC accepts a proposal it decides the title, place, date, Chairman and Members of SOC, Chairman of LOC, financial support, Editor (in case of symposia) and the scientific topics. Normally all these decisions are in accord with the firm proposals made by the organisers, but it is sometimes necessary to make changes in order to ensure the international character and proper scientific coverage and to avoid duplication with other meetings. If a meeting is proposed with less than one year's notice, it may be rejected because there is no time available for such changes to be made. However, in the case of Colloquia only, a proposal can be accepted by the EC at between eight and twelve months' notice if it is deemed to meet the normal IAU requirements without alteration, provided always that one announcement in the IAU Information Bulletin (prepared in May and November, and published in June and January), will give five months' notice to IAU Members.

Presidents of related Commissions should be informed of the proposals by the organisers and invited to comment in their capacity as President.

The Chairman of the SOC,

1. invites participants to a Symposium or Colloquium after the meeting is accepted by the EC;
2. accepts or rejects requested invitations;
3. invites contributions and sets a deadline for submission of abstracts;
4. informs the Assistant General Secretary of the following:

at least five months before the meeting:

recommendations for all travel grants to participants whose countries of residence impose currency restrictions;

at least three months before the meeting:

recommendations for all other travel grants;

at least two months before the meeting:

detailed programme, choice of participants, local organisation, plus list of IAU grantees, plus sums, plus receipts;

within one month of the end of the meeting:

the final programme, list of actual participants; principal abstracts and supplies a short report of the meeting for inclusion in the Information Bulletin; final list of those awarded travel grants, the account of each award and the recipients signed receipts should also be forwarded.

The Assistant General Secretary should also be informed of any changes in the arrangements for, or programme of, a meeting.

### **Participation of the IAU in Joint Symposia**

The IAU is willing to participate in Symposia, jointly with other Members of the ICSU family, i.e. Unions or Inter-Union Committees, Working Groups, etc. either as a principal sponsor or as a co-sponsor, or in a minor way.

As a principal sponsor, the IAU organises a Symposium in accordance with its normal procedures, the proposal being made in consultation with other Unions. It invites the co-operation and participation with other Unions in the business of the SOC through representatives appointed by those Unions to the SOC. The IAU invites other Unions to discuss matters affecting the publication of Proceedings, but would normally arrange for publication of the Proceedings as one of the current IAU Symposium volumes.

The IAU would normally expect the other Unions to contribute financially towards the expenses of the Symposium.

As a co-sponsor, the IAU would expect to be invited to appoint a representative on the appropriate Organising Committee, to be consulted through that representative on all important questions, and to be kept informed on decisions on other matters; the IAU would accept a leading role where called upon to do so, and would, in principle, contribute towards the expenses. The IAU would expect to be consulted in regard to publication of the Proceedings.

The IAU suggests that Symposia organised jointly by one or more Unions should always be announced in the following terms e.g.

Organised by the IAU in co-operation with (e.g.) IUGG and COSPAR,  
or  
Organised by (e.g.) IUHPS in co-operation with the IAU.

In case of Colloquia or Regional Meetings, co-sponsorship can be proposed to the EC, who will decide upon acceptance or otherwise according to current resources and requirements, without specific restriction.

### **Financial considerations**

The EC allocates to each Symposium, Colloquium and Regional Meeting a limited sum of money which is meant as a "catalyst" to encourage other international organisations or national bodies to provide similar support. The maximum amount is specified in Swiss Francs, converted into other currencies, if necessary, according to current ICSU exchange rates. Colloquia receive smaller amounts than Symposia.

The IAU financial allocation is to be used principally for travel expenses of some of the participants. It can be used for partially supporting subsistence expenses of participants but in these cases should be limited to the nominal hotel or hostel charges rather than a per diem figure. If, following the conclusion of the meeting, some funds have not been allocated or have not been used, and the local organisation requires further funds to meet its expenses, the remaining IAU allocation can at the discretion of the General Secretary, be used to contribute towards local expenses.

While participants at IAU meetings are encouraged to use their best endeavours to obtain local, national or other international support for travel and subsistence, application can be made to the Chairman of the SOC for consideration for an IAU Travel Grant. An IAU Travel Grant application form is available to the SOC, but if different forms of application are in use to allocate other money, these forms can effectively replace the IAU travel grant forms. Having assessed the financial support available to applicants from other sources, the Chairman suggests to the Assistant General Secretary how the limited sum available could be distributed. This recommendation should reach the Assistant General Secretary not later than three months (five months for participants whose countries of residence impose currency restrictions) before the meeting, so that prospective recipients can suitably arrange their affairs. The Assistant General Secretary will arrange payment to recipients either direct, or in the form of bank drafts to the Chairman, LOC on an IAU account; arrangements to cash such drafts at a convenient place are the responsibility of the LOC.

IAU funds are intended to meet only a part of the travel and subsistence expenses of some participants; the Chairman of the SOC has to decide whether to help a moderate number of people with a small amount each, or to assist one or two principal participants appreciably. Either solution, or a compromise, is acceptable to the EC.

Expenses of the Secretariat of the Symposium, Colloquium or Regional Meeting are customarily met by organisations in the host country. Expenses of the LOC for receptions, excursions, buses, etc., are normally met either by the host municipality, national organisation, or institute, or by means of participants' contributions in the form of registration fees or itemized charges, or by a combination of these sources. For all IAU sponsored meetings, strenuous efforts should be made to keep registration fees and other charges to an absolute minimum.

## Local organisation

The original proposal for a Symposium, Colloquium or Regional Meeting includes a proposed name for approval by the EC for the Chairman of the LOC. Even before such approval is finalized, the proposed Chairman normally forms a LOC and informs the Chairman of the SOC of its composition.

Under the personal guidance of the Chairman, the LOC takes care of the smooth running of the meeting. It does not receive financial help from the IAU, the necessary expenses being met by the local funds or by contributions from the participants.

The requirements of local organisation are generally as follows:

1. Meeting Rooms suitable for the expected number of participants and for the presentation of scientific papers should be reserved.

Adequate space for poster sessions should be reserved. (Adequate space means sufficient separation of adjacent posters, determination and notification to presenters of display space, provision of a chair for presenter). It is important that the LOC make provision for a supply of pins, sticky tape, etc. for mounting poster material and for notification of participants of the time and venue of poster displays.

Arrangements should be made for the display of visual materials: overheads, slides, films and videos. Participants should be advised of the film and video standard(s) available at the meeting venue.

2. Arrangements should be made for the reproduction of participants' documents.
3. Sufficient secretarial and technical assistance should be secured, with careful attention to the requirement for projection equipment, microphones, tape recorders, etc.
4. In conjunction with the requirements of the SOC, arrangements should be made to record verbal discussion. Reliance on tape recordings is often unsatisfactory and providing each contributor with a sheet of paper on which to record or summarize his remarks is advisable.
5. Information on accommodation should be agreed with the Chairman of SOC and sent
  - . to the EC for acceptance, and
  - . to prospective participants in good time.
 Block reservations are often advisable.
6. All participants should be asked to send their wishes as regards accommodation, excursions and social events to the LOC.
7. Receptions and excursions can be organised during a free period within the meeting, or just before or after the meeting. A Guest Programme is usually welcome.
8. Participants should be informed of the reservations made for them and how to reach their hotel or the meeting rooms on arrival.
9. The LOC should provide a Preliminary and a Final Programme, including useful auxiliary information, to be distributed to each participant at the appropriate time. A list of Participants, produced on about the second day of the meeting, is also extremely valuable if it corresponds closely with those actually present.

## Publications of Symposium proceedings

The IAU believes that the Proceedings of Symposia are of general interest for a considerable period of time and that early publication in uniform style to a high standard is desirable. Publication and distribution have therefore been entrusted to a commercial publishing house.

The main responsibility of the IAU as joint publisher is the maintenance of a high standard of scientific value, originality and accuracy. The commercial publishing house has been contracted to ensure early publication and thereafter to take financial responsibility.

The EC, by approving the choice of Editor or Editors, places the main burden of maintaining the required scientific standard on one or two IAU Members on the understanding that they are familiar with the scientific matter of the Symposium and are persons with some experience in editorial tasks. The Editors receive no financial renumeration for their service to the Union.

It is essential that the Editor, or one of two Joint Editors, should have an excellent knowledge of the English language.

The Editor is responsible for the scientific value, the appearance and rapid delivery to the Publisher (usually within three months of the end of the Symposium) of the Proceedings.

The main editorial tasks are:

1. To inform participants in ample time before the meeting in what general form their contributions should be submitted and what arrangements have been made with the publisher for receipt of camera-ready copy. The number of printed pages available to each contributor should be determined in good time.
2. To inform the participants about IAU rules for publication of IAU Proceedings and to emphasize that any contributed papers must be refereed before acceptance for publication.
3. In advance of the Symposium, in close consultation with the SOC and LOC, to agree and arrange the precise details for recording and reporting the scientific discussion that takes place at the meeting. Difficulties in this respect must not cause undue delay in preparing for publication - it is better to sacrifice discussion rather than hold up publication.
4. To arrange with Members of the SOC for the refereeing of any contributed paper if an Editor is unable to do so.
5. To reduce the length of papers and discussion, to avoid duplication and to improve presentation where necessary.
6. To check whether IAU rules have been followed in each contribution and to arrange for re-typing if necessary.
7. To write the Introduction, Table of Contents, and obtain a Final Summary of the Symposium, maintaining uniformity with recent IAU Symposium Proceedings.
8. To maintain all necessary contact with the Publishing House, in accordance with current "Instructions for Editors" available from the Assistant General Secretary.

9. To maintain close contact with the Assistant General Secretary on all matters affecting progress of publication arrangements, especially keeping him informed of the material sent to the publishers and of any unexpected delays or alterations. In general, the Editor will not be able to allow time for substantial revision.

The length of IAU Symposium volumes should not exceed 500 printed pages. It would be the responsibility of the Symposium editor(s) to ensure that the page allocation for authors was planned before the Symposium and that authors are kept to their respective allocations.

The SOC will decide what (significant) fraction of the Symposium should be devoted to invited review and invited papers. The remainder would be devoted to contributed (including poster) papers. Recent experience shows that large numbers of contributed papers are being received and the SOC must make a decision on whether or not to accept a contributed paper for publication; if a SOC decides to publish contributed papers they should be published either in not more than two printed pages or as a one page abstract or a 1/2 page abstract or by title only.

Editors should refuse any tabular material, exceeding half a page. Tabular and graphical material must be contained within the total page allowance for all authors, in extenso algebraic derivations, lists of observations or other extended tabular or graphical material are inappropriate in a Symposium volume.

The SOC should accept the role of scientific referees in respect of invited review and invited papers. The editor should review each contributed and poster paper for relevance to the subject of the Symposium consulting SOC members where necessary.

While it is policy of the IAU that discussion should form an important part of all Symposia, it is up to each SOC to decide whether or not such discussion is published in the Symposium volume. If discussion is to be published it should be edited into a compact form and not be allowed to generate pages of low information content.

Editors must take steps to ensure the minimum number of blank pages for Symposium volumes (Attractive cartoons on pages devoid of science are not a remedy).

It is the policy of the IAU and that of their publishers to publish in camera-ready form. It is found that such a policy gives reasonable uniformity of appearance combined with speed of production. Authors will be sent camera-ready sheets, instructions on their use and the total amount of space available to them, by the publishers prior to the Symposium. The final camera-ready manuscripts should be sent to the Editor(s) either before the beginning of the Symposium or handed over at the Symposium. Papers not available to the Editor(s) in camera-ready form by the end of the Symposium will be deemed to have been withdrawn from publication.

In order to obtain a presentation that will indicate that the volumes of IAU Symposia form a series, it is requested that Editors adhere to the following recommendations:

The Title Page should include explicitly the words:

1. International Astronomical Union  
Union Astronomique Internationale
2. "The Symposium Title"

3. Proceedings of the "No." Symposium of the International Astronomical Union held in "place", "country", "date"

followed by, if appropriate, the words

"Organised by the IAU in cooperation with ....",

in which the list of organisations is limited to the Scientific Unions, the Scientific Committees and Inter-Union Commissions of ICSU. Participation of UNESCO will be acknowledged by the following wording at the foot of the Title Page:

"Published for the International Council of Scientific Unions with financial assistance from UNESCO".

4. "Editor(s)"  
"affiliation", "place", "country".

5. "Publisher"

#### Introduction

The Editor's introduction should mention circumstances of the organisation of the Symposium, and should list the supporting organisations and the Members of the Scientific and LOCs. It should express appreciation to those to whom it is due. The support of the IAU and other Unions, etc., should be recognised as well as that of other international, national, or local organisations.

Alphabetical Index of names and subject headings, with reference to the pagination are to be arranged in the camera-ready copy by the publisher(s).

Symposium volumes should be published 6 - 8 months after the Symposium.

Participants obtain some free reprints of their contributions and other copies can be ordered. All IAU Members can purchase Symposium volumes at reduced prices.

#### Publication of Colloquium Proceedings

The publication of IAU Colloquia should follow the same guidelines as for IAU Symposia. However, unless produced by the IAU publisher, the relevant manuscripts need not be in camera-ready form and some variation is allowed. Manuscript length is at the discretion of the SOC who have responsibility for the decision on whether or not to publish and the format of the publication. However, in order to facilitate archival retrieval all published IAU Colloquia proceedings must adopt the same form of Title Page. The Title Page should follow the same format as for Symposia replacing "Symposium" by "Colloquium" as appropriate i.e.

The Title Page should include explicitly

1. International Astronomical Union  
Union Astronomique Internationale
2. "Title of Colloquium"

3. Proceedings of the "No." Colloquium of the International Astronomical Union, held in "place", "country", "date"

followed by the words, if appropriate,

"Organised by the IAU in cooperation with ....",

in which the list of organisations is limited to the Scientific Unions, the Scientific Committees and Inter-Union Commissions of ICSU. Participation of UNESCO will be acknowledged by the following wording at the foot of the Title Page:

"Published for the International Astronomical Council of Scientific Unions with financial assistance from UNESCO".

4. "Editor(s)"  
"affiliation", "place", "country".
5. "Publisher"

#### **Publication of Regional Meetings Proceedings**

If it is decided to publish the proceedings of a Regional Meeting, the same guidelines as for an IAU Symposium or Colloquium should be followed as far as is practicable given the format of the meeting and the method of publication adopted.

In order to facilitate archival retrieval the Title Page should indicate explicitly below any other title of the meeting

"Proceedings of the ..... .... Regional Meeting of the International Astronomical Union, held  
in "place", "country", "date"

followed, if appropriate, by the words:

"Organised by the IAU in cooperation with ...."

6. **Statutes, By-Laws & Working Rules**

**STATUTES**

**I. Denomination, Objects and Domicile**

1. The International Astronomical Union (referred to as the Union) is a non-governmental organization, whose objects are :
  - (a) to develop astronomy through international co-operation,
  - (b) to promote the study and development of astronomy in all aspects,
  - (c) to further and safeguard the interests of astronomy.

2. The legal domicile of the Union is Brussels.

**II. Adherence to the Union**

3. The Union adheres to the International Council of Scientific Unions.

**III. Composition of the Union**

4. The Union is composed of :

- (a) full members (Adhering Countries)
- (b) associate members (Associate Countries)
- (c) individual members (Members).

**IV. Affiliated Organizations**

5. The Union may admit the affiliation of international non-governmental organizations which contribute to the development of astronomy.

**V. Adhering Countries**

6. Countries adhere to the Union

either :

- (a) through the organization by which they adhere to the International Council of Scientific Unions, or through a National Committee of Astronomy approved by that organization,
- or
- (b) if they do not adhere to the International Council of Scientific Unions, through a National Committee of Astronomy recognized by the Executive Committee of the Union.
  - (c) The Adhering Organizations and National Committees of Astronomy are referred to as adhering bodies.

7. Adherence of a country to the Union is approved, on the proposal of the Executive Committee, by the General Assembly ; it terminates if the country withdraws from the Union or if the country has not paid its dues for five years.

8. Adhering Countries are classified in categories. The number of categories shall be specified in the By-laws. A country requesting adherence shall specify the category in which it desires to be classed. The specification may be declined by the Executive Committee if the category proposed is manifestly inadequate.

## **VI. Associate Countries**

9. Countries that would like to join the Union while developing Astronomy in their territory may do so as Associate Members.
10. The Adhering Body for Associate Members may be the organization by which the country adheres to the International Council of Scientific Unions or through an institution of higher learning or a National Research Council.
11. Countries are accepted as Associate Members by the General Assembly, on the proposal of the Executive Committee, for a maximum interval of nine years, at the end of which they either become a full Member, or they resign from the Union.
12. During the probationary period, the Union, if asked by the Adhering Organization, may agree to help in the development of Astronomy in that country through the Visiting Lecturers' Programme and/or any other appropriate programme.

## **VII. Members**

13. Members are admitted to the Union by the Executive Committee, on the proposal of an adhering body referred to in article 6, with regard to their achievements in some branch of astronomy.

## **VIII. General Assembly**

14. (a) The work of the Union is directed by the General Assembly of representatives of Adhering Countries and of Members. Each Adhering Country appoints a representative authorized to vote in its name.  
 (b) The General Assembly draws up By-laws governing the application of the Statutes.  
 (c) It appoints an Executive Committee to implement the decisions of the General Assembly, and to direct the affairs of the Union in the interval between meetings of two successive ordinary General Assemblies. The Executive Committee reports to the General Assembly. The General Assembly, in accepting the report of the Executive Committee, discharges it of liability.
15. (a) On questions concerning the administration of the Union, not involving its budget, voting at the General Assembly is by Adhering Country, each country having one vote. Adhering Countries which have not paid their annual contributions up to 31 December of the year preceding the General Assembly may not participate in the voting.  
 (b) On questions involving the budget of the Union, voting is similarly by Adhering Country, under the same conditions and with the same reservations as in article 15(a), the number of votes for each Adhering Country being one greater than the number of its category, as defined in article 8.  
 (c) Adhering Countries may vote by correspondence on questions on the agenda for the General Assembly.  
 (d) A vote is valid only if at least two thirds of the Adhering Countries having the right to vote by virtue of article 15(a) participate in it.  
 (e) Associate Countries have the right to vote only on questions concerning associate membership.
16. On scientific questions not involving the budget of the Union the Members of the Union each have one vote.
17. On all questions in articles 15 and 16, decisions are taken by an absolute majority of the votes cast. However, a decision to change the Statutes is only valid if taken with the approval of at least two thirds of the votes of the Adhering Countries having the right to vote by virtue of article 15(a).
18. A motion to change the Statutes can only be discussed if it appears, in specific terms, on the agenda for the General Assembly.

## **IX. Executive Committee**

19. The Executive Committee consists of the President of the Union, the President-elect, six Vice-Presidents, the General Secretary and the Assistant General Secretary elected by the General Assembly on the proposal of a Special Nominating Committee. The President-elect will normally become President of the succeeding Executive Committee.

## **X. Commissions of the Union**

20. The General Assembly forms Commissions for such purposes as it may decide.

## **XI. Legal Representation of the Union**

21. The General Secretary is the legal representative of the Union.

## **XII. Budget and Dues**

22. (a) For each ordinary General Assembly the Executive Committee prepares a budget proposal covering the period to the next ordinary General Assembly, together with the accounts of the Union for the preceding period. It submits these to the Finance Committee for consideration ; this Finance Committee consists of one member nominated by each adhering body and approved by the General Assembly. At its first meeting during the General Assembly, the Finance Committee elects a Chairman from among its members.
- (b) The Finance Committee examines the accounts of the Union from the point of view of responsible expenditure within the intent of the previous General Assembly, and it considers whether the proposed budget is adequate to implement the policy of the General Assembly, as interpreted by the Executive Committee. It submits reports on these matters to the General Assembly for approval of the account and decision on the budget.
- (c) Each Adhering Country pays annually to the Union a number of units of contribution according to its category. The number of units of contribution for each category shall be specified in the By-laws.
- (d) Associate Countries pay annually one unit of contribution.
- (e) The amount of the unit of contribution is determined by the General Assembly, on the proposal of the Executive Committee and with the advice of the Finance Committee.
- (f) The payment of contributions is the responsibility of the adhering bodies. The liability of each Adhering Country in respect of the Union is limited to the amount of that country's dues to the Union.
- (g) An Adhering Country that ceases to adhere to the Union resigns at the same time its rights to a share in the assets of the Union.

## **XIII. Dissolution of the Union**

23. The decision to dissolve the Union is only valid if taken with the approval of three quarters of the votes of the Adhering Countries having the right to vote by virtue of article 15(a).

**XIV. Emergency Powers**

24. If, through events outside the control of the Union, circumstances arise in which it is impracticable to comply with the provisions of these Statutes and of the By-laws drawn up by the General Assembly, the organs and officers of the Union, in the order specified below, shall take such actions as they deem necessary for the continued operation of the Union. Such action shall be reported to a higher authority immediately this becomes practicable until such time as an extraordinary General Assembly can be convened. The following is the order of authority :

The General Assembly ; an extraordinary General Assembly ; the Executive Committee in meeting or by correspondence ; the President of the Union ; the General Secretary ; or failing the practicability or availability of any of the above, one of the Vice-Presidents.

**XV. Final Clauses**

25. These Statutes enter into force on 2 August 1988.
26. The present Statutes are being published in French and English versions. In case of doubt, the French version is the only authority.

**BY - LAWS****I. Membership**

1. Applications of countries for adherence to the International Astronomical Union (referred to as the Union) are examined by the Executive Committee and submitted to the General Assembly for approval.
2. Proposed changes in the list of Members are, with due regard to the suggestions of the Presidents of Commissions, submitted for advice to the Nominating Committee, consisting of one representative of each Adhering Country designated by the appropriate adhering body, before decision by the Executive Committee.
3. Commissions may, with the approval of the Executive Committee, co-opt consultants whom they consider may contribute to their work. The adherence of consultants expires on the last day of the ordinary General Assembly next following their admission, unless renewed.
4. An affiliated organization may participate in the work of the Union as mutually agreed between the organization and the Executive Committee.

**II. General Assembly**

5. The Union meets in ordinary General Assembly, as a rule, once every three years. The place and date of the ordinary General Assembly unless determined by the General Assembly at its previous meeting, shall be fixed by the Executive Committee and communicated to the adhering bodies at least six months beforehand.
6. The President, with the consent of the Executive Committee, may summon an extraordinary General Assembly. He must do so at the request of one third of the Adhering Countries.
7. The agenda of business for each ordinary General Assembly is determined by the Executive Committee and is communicated to the adhering bodies at least four months before the first day of the meeting. It shall include the proposal of the Executive Committee in regard to the unit of contribution as called for in article 24.
8. (a) Any motion or proposal received by the General Secretary at least five months before the first day of an ordinary General Assembly, whether from an adhering body, from a Commission of the Union, or from an Inter-Union Commission on which the Union is represented, must be placed on the agenda.  
 (b) A motion or proposal concerning the administration or budget of the Union which does not appear on the agenda prepared by the Executive Committee, or any amendment to a motion that appears on the agenda, shall only be discussed with the prior approval of at least two thirds of the votes of Adhering Countries represented at the General Assembly and having the right to vote by virtue of Statute 15(a).
9. If there is doubt as to the administrative or scientific character of a question giving rise to a vote, the President determines the issue.
10. Where there is an equal division of votes, the President determines the issue.
11. The President may invite representatives of other organizations, scientists and young astronomers to participate in the General Assembly. Subject to the agreement of the

Executive Committee he may delegate this privilege concerning representatives of other organizations to the General Secretary, and concerning scientists and young astronomers to the adhering bodies.

### **III. Special Nominating Committee**

12. (a) Proposals for elections to the President of the Union, a President-elect, six Vice-Presidents, the General Secretary and the Assistant General Secretary are submitted to the General Assembly by the Special Nominating Committee. This consists of the President and past President of the Union, a member proposed by the retiring Executive Committee, and four members elected by the Nominating Committee from among twelve Members proposed by Presidents of Commissions. Other than the President and immediate past President, present and former members of the Executive Committee shall not serve on the Special Nominating Committee. No two members of the Special Nominating Committee shall belong to the same country.
- (b) The General Secretary and the Assistant General Secretary participate in the work of the Special Nominating Committee in an advisory capacity.
- (c) The Special Nominating Committee is appointed by the General Assembly to which it reports direct. It remains in office until the end of the ordinary General Assembly next following that of its appointment, and it may fill any vacancy occurring among its members.

### **IV. Officers and Executive Committee**

13. (a) The President of the Union remains in office until the end of the ordinary General Assembly next following that of his election ; the Vice-Presidents remain in office until the end of the second ordinary General Assembly following that of their election. They may not be re-elected immediately to the same offices.
- (b) The General Secretary and the Assistant General Secretary remain in office until the end of the ordinary General Assembly next following that of their election. Normally the Assistant General Secretary succeeds the General Secretary though both officers may be re-elected for another term.
- (c) The election takes place at the last session of the General Assembly, the names of the candidates proposed having been announced at a previous session.
14. The retiring President and the retiring General Secretary become advisers to the Executive Committee until the end of the ordinary General Assembly next following that of their retirement. They participate in the work of the Executive Committee and attend its meetings without voting right.
15. The Executive Committee may fill any vacancy occurring among its members. Any person so appointed remains in office until the next ordinary General Assembly.
16. The Executive Committee may draw up and publish Working Rules to implement the Statutes and By-laws.
17. The Executive Committee appoints the Union's representative to the International Council of Scientific Unions ; if not already an elected member of the Executive Committee, this representative will become its adviser.
18. (a) The General Secretary is responsible to the Executive Committee for not incurring expenditure in excess of the funds at his disposal.
- (b) An administrative office, under the direction of the General Secretary, conducts the correspondence, administers the funds, and preserves the archives of the Union.

## V. Commissions

19. (a) The Commissions of the Union shall pursue the scientific objects of the Union by activities such as the study of special branches of astronomy, the encouragement of collective investigations, and the discussion of questions relating to international agreements or to standardization.
- (b) The Commissions of the Union shall prepare reports on the work with which they are concerned.
20. Each Commission consists of :
  - (a) a President and at least one Vice-President elected by the General Assembly on the proposal of the Executive Committee. They remain in office until the end of the ordinary General Assembly next following that of their election. They are not normally re-eligible,
  - (b) an Organizing Committee, whose members are appointed by the Commission subject to the approval by the Executive Committee. The Organizing Committee assists the President and Vice-President(s) in their duties. A Commission may decide that it needs no Organizing Committee,
  - (c) Members of the Union, appointed by the President, Vice-President(s) and the Organizing Committee, in consideration of their special interests ; their appointment is subject to the confirmation by the Executive Committee.
21. Between two ordinary General Assemblies, Presidents of Commissions may co-opt, from among Members of the Union, new members to the Organizing Committees and to the Commissions themselves.
22. Commissions draw up their own rules. Decisions within Commissions are taken according to the vote of their members, and they become effective once they are approved by the Executive Committee.

## VI. Adhering Bodies

23. The functions of the Adhering Bodies are to promote and co-ordinate, in their respective territories, the study of the various branches of astronomy, more especially in relation to their international requirements. They are entitled to submit to the Executive Committee motions for discussions by the General Assembly.

## VII. Finances

24. Each Adhering Country pays annually to the Union a number of units of contribution according to its category as follows :
 

Category as defined in Statute 8 :	1	2	3	4	5	6	7	8
Number of units of contribution :	1	2	4	6	10	14	20	30
25. The income of the Union is to be devoted to its objects, including
  - (a) costs of publication and expenses of administration ;
  - (b) the promotion of astronomical enterprises requiring international co-operation ;
  - (c) the contribution due from the Union to the International Council of Scientific Unions.
26. Funds derived from donations are used by the Union in accordance with the wishes expressed by the donors.

### **VIII. Publications**

27. The Union has the copyright to all materials printed in its publications, unless otherwise arranged.
28. Members of the Union are entitled to receive the publications of the Union free of charge or at reduced prices at the discretion of the Executive Committee taking due regard of the financial situation of the Union.

### **IX. Final Clauses**

29. These By-laws enter into force on 2 August 1988. They can be changed with the approval of an absolute majority of the votes of the Adhering Countries having the right to vote by virtue of Statute 15(a).
30. The present By-laws are being published in French and English versions. In case of doubt, the French version is the only authority.

## WORKING RULES

### I. Publications

1. The publications of the International Astronomical Union, approved in the budget by the General Assembly, are prepared by the Administrative Office of the Union.
2. Commissions of the Union may, with the approval of the Executive Committee, issue their publications independently.
3. The distribution of publications of the Union is decided, on the proposal of the General Secretary, by the Executive Committee.
4. Members may purchase the publications of the Union at reduced prices.

### II. Membership

#### A. Adhering Countries

5. Applications of countries for adherence to the Union are examined by the Executive Committee for
  - (a) the adequacy of the category in which the country wishes to be classified ;
  - (b) the present state and expected development of astronomy in the applying country ;
  - (c) the degree to which the prospective adhering body is representative of its country's astronomical activity.
6. Applications proposing an adequate annual contribution to the Union shall, with the recommendation of the Executive Committee, be submitted to the General Assembly for decision.

#### B. Members

7. Individuals proposed for Union membership should, as a rule, be chosen from among astronomers and scientists, whose activity is closely linked with astronomy taking into account
  - (a) the standard of their scientific achievement
  - (b) the extent to which their scientific activity involves research in astronomy
  - (c) their desire to assist in the fulfilment of the aims of the Union.
8. Young astronomers should be considered eligible for membership after they have shown their capability (as a rule Ph.D. or equivalent) of and experience (some years of successful activity) in conducting original research.
9. For full time professional astronomers the achievement in astronomy may consist either of original research or of substantial contributions to major observational programmes.
10. Others are eligible for membership only if they are making original contributions closely linked with astronomical research.

11. Eight months before an ordinary General Assembly, adhering bodies will be asked to propose new Members. The proposals should reach the General Secretary not later than five months before the first session of the General Assembly. Proposals received after the closing date will only be taken into consideration if the delay is justified by exceptional circumstances.
12. Each proposal shall be written separately. It should include the name, first names and postal address of the candidate, preferably that of his/her Institute or Observatory, his/her place and date of birth, the University and the year of his/her Ph.D. or equivalent title, his/her present occupation, titles and bibliographic data of two or three of his/her more important papers or publications, and details, if any, worthy to be considered by the Nominating Committee.
13. (a) Presidents of Union Commissions wishing to suggest new Members for admission should address their suggestions to the General Secretary five months before the first session of an ordinary General Assembly. The proposals should contain particulars as in article 12.  
 (b) The General Secretary notifies the adhering bodies in questions of such suggestions.
14. The General Secretary shall prepare two lists for the Nominating Committee.  
 (a) One containing the candidates proposed by the adhering bodies,  
 (b) the other containing those suggested by Presidents of Commissions, but not included among the proposals of adhering bodies.
15. The Nominating Committee prepares the final proposals for Union membership from the two lists as mentioned in article 14.
16. Adhering bodies should propose cancellation of Members who have left the field of astronomy for other interests, unless they continue to contribute to astronomy. Such proposals should be announced to the Member concerned and to the General Secretary.
17. The alphabetical list of Union Members will be published by the General Secretary in the Transactions of each ordinary General Assembly.

### **III. Commission Membership**

18. Members of Union Commissions are co-opted by Commissions. The rules governing the procedure of such co-option are drawn up by the Commissions themselves.
19. Commissions should choose, or approve of, Commission members taking into account their special interests, in particular their scientific activity in the appropriate fields of research and their contribution to the work of the Commission. They may,  
 (a) invite Members to become members of their Commission,  
 (b) remove members who have not contributed to the work of the Commission,  
 (c) accept or reject applications for membership from existing or proposed Members,  
 (d) suggest non-Members for election as Members, thus enabling them to become members of the Commission.
20. Members may not, as a rule, be members of more than three Commissions.

21. Members may apply for Commission membership by writing to the President of the Commission concerned. Such applications should only be made if the Member is actively engaged in the appropriate field of research and is prepared to contribute to the work of the Commission.
22. Members of Commissions may resign from a Commission by writing to its President.
23. Adhering bodies, in sending in their proposals for new Members, may also suggest one Commission for each candidate.
24. The General Secretary will record and analyse the list of members of Commissions ; if necessary he will try to resolve any outstanding anomalies.
25. The list of Commission members will be published by the General Secretary in the Transactions of each ordinary General Assembly.

#### **IV. Consultants**

26. Eligible as Consultants are non-astronomers in a position to further the interest in astronomy.
27. Proposals of Commissions for the approval of consultants should, as a rule, reach the General Secretary not later than five months before the first session of an ordinary General Assembly.
28. The General Secretary shall prepare a list of those proposed for admission as consultants and submit it to the Executive Committee for approval.
29. The Administrative Office will maintain an alphabetical list of consultants.
30. Consultants may participate in the meetings of the Union. They may have the right to vote in the respective Commission. They receive, free of charge, the Information Bulletin of the Union.

#### **V. Scientific Meetings**

31. The General Secretary shall publish rules for scientific meetings organized or sponsored by the Union.

#### **VI. External Contacts**

32. No dealings with third parties, attributable to the Union, shall be undertaken by any Member of the Union except on the authority of the General Secretary.
33. Representatives of the Union in other bodies, especially ICSU Committees and ICSU Inter-Union Committees, shall be appointed by the Executive Committee. Nominations are sought from Presidents of appropriate Commissions.
34. Expenses incurred by Representatives of the Union in other bodies will be reimbursed at the discretion of the General Secretary, within the provisions of the Budget Estimate adopted by the General Assembly. Representatives are required to obtain prior approval of the General Secretary before incurring such expenses.

#### **VII. General Assemblies**

35. The General Secretary distributes the budget prepared by the Executive Committee to National Committees of Astronomy and/or Adhering Organizations for comments eight months before the General Assembly.

**Statuts, règlements & directives****S T A T U T S****I. Dénomination, Buts et Domicile**

1. L'Union Astronomique Internationale (ci-après dénommée l'Union) est une organisation non-gouvernementale, qui a pour buts de :
  - (a) développer l'astronomie par la coopération internationale,
  - (b) encourager l'étude et le développement de l'astronomie sous tous ses aspects,
  - (c) servir et sauvegarder les intérêts de l'astronomie.
2. L'Union a son siège légal à Bruxelles.

**II. Affiliation de l'Union**

3. L'Union adhère au Conseil International des Unions Scientifiques.

**III. Membres de l'Union**

4. L'Union a pour membres :
  - (a) des personnes morales (Pays adhérents)
  - (b) des personnes morales associées (Pays associés)
  - (c) des membres individuels (Membres).

**IV. Organisations Affiliées**

5. L'Union peut accepter l'affiliation d'organisations internationales non-gouvernementales qui contribuent au développement de l'astronomie.

**V. Pays Adhérents**

6. Les pays adhèrent à l'Union
 

soit :

  - (a) par l'intermédiaire de l'organisation par laquelle ils adhèrent au Conseil International des Unions Scientifiques, ou par l'intermédiaire d'un Comité National d'Astronomie approuvé par cette organisation,

soit :

  - (b) s'ils n'adhèrent pas au Conseil International des Unions Scientifiques, par l'intermédiaire d'un Comité National d'Astronomie reconnu par le Comité Exécutif de l'Union.
  - (c) Les Organisations ou Comités mentionnés à l'article 6(a) et les Comités Nationaux d'Astronomie mentionnés à l'article 6(b) sont dénommés ci-après organismes adhérents.
7. L'adhésion d'un pays à l'Union est proposée par le Comité Exécutif et approuvée par l'Assemblée Générale : elle prend fin si le pays se retire de l'Union ou si le pays n'a pas payé sa contribution durant cinq ans.
8. Les Pays Adhérents sont répartis en catégories. Le nombre des catégories est fixé par le Règlement. Un pays qui sollicite son adhésion indique la catégorie dans laquelle il désire

être classé. La proposition peut être refusée par le Comité Exécutif si la catégorie est manifestement inadéquate.

## VI. Pays Associés

9. Les pays souhaitant faire partie de l'Union tout en développant l'astronomie dans leur territoire peuvent le faire à titre de Membres Associés.
10. L'organisme adhérent d'un pays associé peut être soit l'organisation par l'intermédiaire de laquelle le pays adhère au Conseil International des Unions Scientifiques, soit une institution d'éducation supérieure ou un conseil scientifique national.
11. Les pays sont acceptés en qualité de Membres Associés par l'Assemblée Générale, sur proposition du Comité Exécutif, pour une période maximale de neuf ans au terme de laquelle ils deviennent membres à part entière, ou se retirent de l'Union.
12. Durant la période probatoire, l'Union peut accepter, à la requête de l'organisation adhérente, d'aider au développement de l'astronomie dans ce pays via le Programme de Professeurs Visiteurs et/ou de tout autre programme adéquat.

## VII. Membres

13. Les Membres sont admis dans l'Union par le Comité Exécutif, sur proposition de l'un des organismes adhérents mentionnés à l'article 6, en considération de leur activité dans une branche de l'astronomie.

## VIII. Assemblée Générale

14. (a) L'activité de l'Union est dirigée par l'Assemblée Générale des représentants des Pays Adhérents et des Membres. Chaque Pays Adhérent nomme un représentant autorisé à voter en son nom.  
 (b) L'Assemblée Générale rédige un Règlement qui précise les modalités d'application des Statuts.  
 (c) Elle nomme un Comité Exécutif chargé d'exécuter les décisions de l'Assemblée Générale, et d'administrer l'Union pendant la période séparant les réunions de deux Assemblées Générales ordinaires successives. Le Comité Exécutif rend compte de sa gestion à l'Assemblée Générale. L'Assemblée Générale, en acceptant le rapport du Comité Exécutif, le décharge de sa responsabilité.
15. (a) Sur les questions concernant l'administration de l'Union, sans implication budgétaire, le vote à l'Assemblée Générale a lieu par Pays Adhérent, chaque pays disposant d'une voix. Les Pays Adhérents qui ne sont pas à jour de leurs cotisations annuelles au 31 décembre de l'année précédant l'Assemblée Générale ne peuvent pas participer aux votes.  
 (b) Sur les questions engageant le budget de l'Union, le vote a lieu de même par Pays Adhérent, dans les conditions et avec les réserves prévues à l'article 15(a), le nombre de voix de chaque Pays Adhérent étant égal à l'indice de sa catégorie, définie conformément à l'article 8, augmenté d'une unité.  
 (c) Les Pays Adhérents peuvent voter par correspondance sur les questions figurant à l'ordre du jour de l'Assemblée Générale.  
 (d) Un scrutin n'est valable que si au moins deux tiers des Pays Adhérents disposant du droit de vote en vertu de l'article 15(a) y prennent part.  
 (e) Les Pays Associés ne peuvent voter que sur des questions concernant les Membres Associés.
16. Sur les questions scientifiques n'engageant pas le budget de l'Union, les Membres de l'Union disposent chacun d'une voix.
17. Sur toutes les questions prévues aux articles 15 et 16, les décisions sont prises à la majorité absolue des suffrages. Cependant, une décision de modification des Statuts n'est valable que si elle a été prise à la majorité des deux tiers des voix des Pays Adhérents qui disposent du droit de vote en vertu de l'article 15(a).

## **IX. Comité Exécutif**

19. Le Comité Exécutif se compose du Président de l'Union, du "Président-elect", de six Vice-Présidents, du Secrétaire Général et du Secrétaire Général Adjoint, élus par l'Assemblée Générale sur la proposition du Comité Spécial des Nominations. Le "Président-elect" deviendra normalement le Président du prochain Comité Exécutif.

## **X. Commissions de l'Union**

20. L'Assemblée Générale crée des Commissions en vue d'assurer la réalisation des buts qu'elle se propose.

## **XI. Représentation Légale de l'Union**

21. Le Secrétaire Général est le représentant légal de l'Union.

## **XII. Budget et Cotisations**

22. (a) Pour chaque Assemblée Générale ordinaire, le Comité Exécutif prépare un projet de budget pour la période à courir jusqu'à l'Assemblée Générale ordinaire suivante, ainsi que les comptes de l'Union pour la période précédente. Il les soumet au Comité des Finances pour examen ; ce Comité des Finances est composé de membres nommés par les organismes adhérents, à raison d'un membre par organisme, et il est approuvé par l'Assemblée Générale. Lors de sa première séance pendant l'Assemblée Générale, le Comité des Finances élit un Président parmi ses membres.
- (b) Le Comité des Finances examine les comptes de l'Union pour voir si les dépenses engagées ont été conformes aux vœux émis lors de la précédente réunion de l'Assemblée Générale et il s'assure que le budget proposé vise à la poursuite de la politique de l'Assemblée Générale, telle qu'elle est interprétée par le Comité Exécutif. Il présente des rapports sur ces questions qu'il soumet à l'Assemblée Générale pour approbation des comptes, et pour décision sur le budget.
- (c) Chaque Pays Adhérent verse annuellement à l'Union un nombre d'unités de cotisation qui est fonction de sa catégorie. Le nombre d'unités de cotisation pour chaque catégorie est fixé par le Règlement.
- (d) La cotisation annuelle des Pays Associés s'élève à une unité de contribution.
- (e) Le montant de l'unité de cotisation est fixé par l'Assemblée Générale, sur la proposition du Comité Exécutif et avec l'avis du Comité des Finances.
- (f) Le paiement des cotisations est à la charge des organismes adhérents. La responsabilité de chaque Pays Adhérent envers l'Union est limitée au montant des cotisations dues par ce pays à l'Union.
- (g) Un Pays Adhérent qui cesse d'adhérer à l'Union renonce de ce fait à ses droits sur l'actif de l'Union.

## **XIII. Dissolution de l'Union**

23. La décision de dissoudre l'Union n'est valable que si elle est prise à la majorité des trois quarts des voix des Pays Adhérents qui disposent du droit de vote en vertu de l'article 15(a).

**XIV. Dévolution de l'Autorité en Cas de Force Majeure.**

24. Si, par suite d'événements indépendants de la volonté de l'Union, des circonstances apparaissent qui rendent impossible le respect des clauses de ces Statuts et du Règlement établi par l'Assemblée Générale, les organes et membres du Comité Exécutif de l'Union, dans l'ordre fixé ci-dessous, prendront toutes dispositions qu'ils jugeront nécessaires pour la continuation du fonctionnement de l'Union. Ces dispositions devront être soumises à une autorité supérieure dès que cela deviendra possible, jusqu'à ce qu'une Assemblée Générale extraordinaire puisse être réunie. L'autorité est dévolue dans l'ordre ci-dessous :

l'Assemblée Générale ; une Assemblée Générale extraordinaire ; le Comité Exécutif, réuni ou par correspondance ; Le Président de l'Union ; Le Secrétaire Général ; ou, à défaut de la possibilité de recourir à l'une de ces autorités ou de leur disponibilité, un des Vice-Présidents.

**XV. Clauses Finales**

25. Ces Statuts entrent en vigueur le 2 Août 1988.
26. Les présents Statuts sont publiés en versions française et anglaise. En cas d'incertitude, la version française fait seule autorité.

**R E G L E M E N T****I. Les Membres de l'Union**

1. Les demandes d'adhésion des pays à l'Union Astronomique Internationale (ci-après dénommée l'Union) sont examinées par le Comité Exécutif et soumises à l'approbation de l'Assemblée Générale.
2. Les propositions de modifications de la liste des Membres sont, après examen attentif des suggestions des Présidents de Commissions, soumises pour avis au Comité des Nominations, composé d'un représentant de chaque Pays Adhérent désigné par l'organisme adhérent habilité, avant la décision du Comité Exécutif.
3. Les Commissions peuvent, avec l'approbation du Comité Exécutif, coopter des consultants qu'elles jugent en mesure d'apporter une contribution utile à leur travail. L'adhésion des consultants a pour terme le dernier jour de la première Assemblée Générale ordinaire qui suit leur admission, à moins qu'elle ne soit renouvelée.
4. Une organisation affiliée peut participer au travail de l'Union dans les conditions fixées par accord entre l'organisation et le Comité Exécutif.

**II. L'Assemblée Générale**

5. L'Union se réunit en Assemblée Générale ordinaire régulièrement une fois tous les trois ans. Si le lieu et la date de l'Assemblée Générale ordinaire n'ont pas été décidés lors de la précédente Assemblée Générale, ils sont fixés par le Comité Exécutif et communiqués aux organismes adhérents au moins six mois à l'avance.
6. Le Président peut convoquer, avec l'accord du Comité Exécutif, une Assemblée Générale extraordinaire. Il est tenu de le faire à la demande du tiers des Pays Adhérents.
7. L'Ordre du Jour de chaque Assemblée Générale ordinaire est arrêté par le Comité Exécutif et communiqué aux Organismes Adhérents au moins quatre mois avant le premier jour de la réunion. Il devra inclure la proposition du Comité Exécutif concernant le montant de l'unité de cotisation qui permet l'application de l'article 24.
8. (a) L'Ordre du Jour doit inclure toute motion ou proposition reçue par le Secrétaire Général au moins cinq mois avant le premier jour d'une Assemblée Générale ordinaire, qu'elle émane d'un organisme adhérent, d'une Commission de l'Union, ou d'une Commission mixte dans laquelle l'Union est représentée.  
 (b) Une motion ou proposition concernant l'administration ou le budget de l'Union qui ne figure pas à l'Ordre du Jour, préparé par le Comité Exécutif, ou tout amendement à une motion qui figure à l'Ordre du Jour, ne peut être discuté qu'avec l'accord préalable des deux tiers au moins des voix des Pays Adhérents représentés à l'Assemblée Générale et disposant du droit de vote en vertu de l'article 15(a) des Statuts.
9. S'il y a doute sur le caractère administratif ou scientifique d'une question donnant lieu à un vote, l'avis du Président est prépondérant.
10. En cas de partage égal des voix, le Président a voix prépondérante.
11. Le Président peut inviter des représentants d'autres organisations, des scientifiques et de jeunes astronomes à participer à l'Assemblée Générale. Avec l'accord du Comité Exécutif, il peut déléguer ce privilège au Secrétaire Général en ce qui concerne les représentants d'autres organisations, aux organismes adhérents en ce qui concerne les scientifiques et les jeunes astronomes.

### **III. Le Comité Spécial des Nominations**

12. (a) Les propositions pour les élections du Président de l'Union, du Président-elect, des six Vice-Présidents, du Secrétaire Général et du Secrétaire Général Adjoint sont soumises à l'Assemblée Générale par le Comité Spécial des Nominations. Ce Comité se compose du Président en fonction et du Président sortant, d'un membre proposé par le Comité Exécutif sortant et n'appartenant ni au Comité Exécutif actuel ni au Comité Exécutif précédent, et de quatre membres élus par le Comité des Nominations parmi douze membres proposés par les Présidents de Commissions. A l'exception du Président en fonction et du Président sortant, les membres actuels et les anciens membres du Comité Exécutif ne doivent pas faire partie du Comité Spécial des Nominations. Les membres du Comité Spécial des Nominations doivent tous appartenir à des pays différents.
- (b) Le Secrétaire Général et le Secrétaire Général Adjoint participent au travail du Comité Spécial des Nominations à titre consultatif.
- (c) Le Comité Spécial des Nominations est nommé par l'Assemblée Générale et est responsable directement devant elle. Il reste en fonction jusqu'à la fin de l'Assemblée Générale ordinaire qui suit immédiatement sa nomination, et il peut combler toute vacance survenant parmi ses membres.

### **IV. Le Comité Exécutif et ses Membres**

13. (a) Le Président de l'Union reste en fonction jusqu'à la fin de l'Assemblée Générale ordinaire qui suit immédiatement celle de son élection ; les Vice-Présidents restent en fonction jusqu'à la fin de la deuxième Assemblée Générale ordinaire qui suit celle de leur élection. Ils ne sont pas rééligibles immédiatement pour les mêmes fonctions.
- (b) Le Secrétaire Général et le Secrétaire Général Adjoint restent en fonction jusqu'à la fin de l'Assemblée Générale ordinaire qui suit immédiatement celle de leur élection. Normalement, le Secrétaire Général Adjoint succède au Secrétaire Général, mais l'un et l'autre peuvent être réélus aux mêmes fonctions pour une seconde période consécutive.
- (c) Les élections ont lieu au cours de la dernière réunion de l'Assemblée Générale, les noms des candidats proposés ayant été annoncés au cours d'une réunion antérieure.
14. Le Président sortant et le Secrétaire Général sortant deviennent conseillers du Comité Exécutif jusqu'à la fin de l'Assemblée Générale ordinaire qui suit immédiatement celle de la fin de leur mandat. Ils participent au travail du Comité Exécutif et assistent à ses réunions sans droit de vote.
15. Le Comité Exécutif peut combler toute vacance survenant en son sein. Toute personne ainsi nommée reste en fonction jusqu'à l'Assemblée Générale ordinaire suivante.
16. Le Comité Exécutif peut rédiger et publier des Directives pour expliciter les Statuts et le Règlement.
17. Le Comité Exécutif nomme le représentant de l'Union qui doit siéger au sein du Conseil International des Unions Scientifiques ; si ce représentant n'est pas déjà un membre élu du Comité Exécutif, il devient conseiller.
18. (a) Le Secrétaire Général est responsable auprès du Comité Exécutif des dépenses qu'il engage, qui ne doivent pas dépasser le montant des fonds mis à sa disposition.
- (b) Un bureau administratif, sous la direction du Secrétaire Général, est chargé de la correspondance, de la gestion des fonds de l'Union, et de la conservation des archives.

## V. Commissions

19. (a) Les Commissions de l'Union poursuivent les buts scientifiques de l'Union par des moyens tels que l'étude de domaines particuliers de l'Astronomie, l'encouragement de recherches collectives et la discussion de questions relatives aux accords internationaux et à la standardisation.
- (b) Les Commissions de l'Union établissent des rapports sur les sujets qui leur ont été confiés.
20. Chaque Commission se compose de :
  - (a) un Président et au moins un Vice-Président élus par l'Assemblée Générale sur la proposition du Comité Exécutif. Ils demeurent en fonction jusqu'à la fin de l'Assemblée Générale ordinaire qui suit immédiatement celle de leur élection. Ils ne sont pas normalement rééligibles,
  - (b) un Comité d'Organisation, dont les membres sont désignés par la Commission sous réserve de l'approbation du Comité Exécutif. Le Comité d'Organisation assiste le Président et le(s) Vice-Président(s) dans leur tâche. Une Commission peut décider qu'elle n'a pas besoin de Comité d'Organisation,
  - (c) des membres de l'Union, nommés par les Présidents, Vice-Président(s) et Comité d'Organisation, en considération de leurs spécialités ; leur désignation est soumise à confirmation par le Comité Exécutif.
21. Entre deux Assemblées ordinaires, les Présidents de Commissions peuvent coopter, parmi les Membres de l'Union, de nouveaux membres des Comités d'Organisation et des Commissions elles-mêmes.
22. Les Commissions rédigent leur propre règlement. Les décisions sont prises, à l'intérieur des Commissions, par un vote de leurs membres et elles deviennent d'application après approbation par le Comité Exécutif.

## VI. Organismes Adhérents

23. Le rôle des organismes adhérents est d'encourager et de coordonner, sur leurs territoires respectifs, l'étude des diverses branches de l'astronomie, particulièrement en ce qui concerne leurs besoins sur le plan international. Ils ont le droit de soumettre au Comité Exécutif des propositions pour discussion par l'Assemblée Générale.

## VII. Finances

24. Chaque Pays Adhérent verse à l'Union une cotisation annuelle, qui est un multiple de l'unité de cotisation en fonction de sa catégorie, comme suit :
 

Catégories définies conformément à l'article 8 des Statuts	:	1	2	3	4	5	6	7	8
Nombre respectif d'unités de cotisations	:	1	2	4	6	10	14	20	30
25. Les ressources de l'Union sont consacrées à la poursuite de ses buts, y compris :
  - (a) les frais de publication et les dépenses administratives ;
  - (b) l'encouragement des activités astronomiques qui nécessitent la coopération internationale ;
  - (c) la cotisation due par l'Union au Conseil International des Unions Scientifiques.
26. Les ressources provenant de dons sont utilisées par l'Union en tenant compte des vœux exprimés par les donateurs.

### VIII. Publications

27. L'Union a la propriété littéraire de tous les textes imprimés dans ses publications, sauf accord différent.
28. Les Membres de l'Union ont le droit de recevoir les publications de l'Union gratuitement ou à prix réduit, à la discrétion du Comité Exécutif qui décide en fonction de la situation financière de l'Union.

### IX. Clauses Finales

29. Ce règlement entre en vigueur le 2 Août 1988. Il peut être modifié avec l'approbation de la majorité absolue des voix des Pays Adhérents qui disposent du droit de vote en vertu de l'article 15(a) des Statuts.
30. Le présent règlement est publié en versions française et anglaise. En cas d'incertitude, la version française fait seule autorité.

DIRECTIVES**I. Publications**

1. Les publications de l'Union Astronomique Internationale, approuvées dans le budget par l'Assemblée Générale, sont préparées par le Bureau Administratif de l'Union.
2. Les Commissions de l'Union peuvent, avec l'approbation du Comité Exécutif, avoir leurs propres publications.
3. Le Comité Exécutif décide, sur la proposition du Secrétaire Général, des modalités de distribution des publications de l'Union.
4. Les Membres de l'Union peuvent acquérir les publications de l'Union à un prix réduit.

**II. Appartenance à l'Union**A. Pays Adhérents

5. Les demandes d'adhésion à l'Union formulées par les pays sont examinées par le Comité Exécutif compte tenu des points suivants :
  - (a) justesse du choix de la catégorie dans laquelle le pays souhaite être classé ;
  - (b) situation actuelle de l'Astronomie dans le pays formulant la demande, et ses possibilités de développement ;
  - (c) mesure dans laquelle le futur organisme adhérent est représentatif de l'activité astronomique de son pays.
6. Les demandes proposant une contribution annuelle appropriée seront soumises pour décision à l'Assemblée Générale, avec la recommandation du Comité Exécutif.

B. Membres

7. Les personnes proposées pour devenir Membres de l'Union doivent en principe être choisies parmi des astronomes et des chercheurs dont les activités sont liées à l'astronomie, compte tenu de :
  - (a) la qualité de leur œuvre scientifique ;
  - (b) la mesure dans laquelle leur activité scientifique implique des recherches astronomiques ;
  - (c) leur désir de contribuer à la poursuite des buts de l'Union.
8. Les jeunes astronomes doivent être considérés comme pouvant devenir Membres de l'Union dès qu'ils ont fait la preuve de leur capacité (en principe par une thèse de doctorat ou son équivalent) et de leur aptitude (quelques années d'activité fructueuse) à mener une recherche personnelle.
9. Pour les astronomes professionnels, leur contribution à l'astronomie peut consister soit en des recherches personnelles, soit en une collaboration assidue à des programmes importants d'observations.
10. Les autres personnes ne peuvent devenir Membres de l'Union que si certains de leurs travaux originaux concernent étroitement la recherche astronomique.

11. Huit mois avant une Assemblée Générale ordinaire, il sera demandé aux organismes adhérents de proposer de nouveaux Membres. Les propositions devront parvenir au Secrétaire Général au moins cinq mois avant la première session de l'Assemblée Générale. Les propositions reçues après cette date limite ne seront prises en considération que si des circonstances exceptionnelles justifient le retard.
12. Chaque proposition du nouveau Membre doit être présentée séparément et indiquer le nom, les prénoms et l'adresse postale du candidat (de préférence celle de son Institut ou Observatoire), ses date et lieu de naissance, l'Université devant laquelle il a soutenu sa thèse ou le diplôme équivalent, la date de soutenance, la situation actuelle du candidat, les titres et renseignements bibliographiques de deux ou trois de ses articles ou publications les plus significatifs et, s'il y a lieu, tous les renseignements susceptibles d'être pris en considération par le Comité des Nominations.
13. (a) Les Présidents de Commissions qui désirent suggérer de nouveaux membres doivent adresser leurs suggestions au Secrétaire Général au moins cinq mois avant la première session d'une Assemblée Générale ordinaire. Les propositions devront fournir les mêmes renseignements que ceux mentionnés à l'article 12.  
 (b) Le Secrétaire Général fait part de ces suggestions aux organismes adhérents intéressés.
14. Le Secrétaire Général préparera deux listes pour le Comité des Nominations
  - (a) l'une contenant les noms des candidats proposés par les organismes adhérents,
  - (b) l'autre contenant les noms des candidats proposés par les Présidents de Commissions, mais qui ne sont pas déjà inclus dans les propositions des organismes adhérents.
15. A partir des deux listes mentionnées à l'article 14, le Comité des Nominations prépare les propositions définitives de nouveaux membres de l'Union.
16. Les organismes adhérents peuvent proposer la radiation de Membres ayant abandonné le domaine de l'astronomie pour d'autres activités, à moins qu'ils ne continuent à apporter une contribution à l'astronomie. Ces propositions doivent être portées à la connaissance du Secrétaire Général et du Membre concerné.
17. Le Secrétaire Général publiera la liste alphabétique des Membres de l'Union dans les Transactions de chaque Assemblée Générale ordinaire.

### **III. Membres des Commissions**

18. Les membres des Commissions de l'Union sont cooptés par les Commissions. Cette procédure est régie par des règles établies par les Commissions elles-mêmes.
19. Les Commissions devraient choisir, ou approuver, la liste des membres de leurs commissions compte tenu de la spécialité de ces personnes, en particulier de leur activité scientifique dans le domaine de recherche de la Commission, et de leur contribution au travail de la Commission. Elles peuvent
  - (a) inviter les Membres de l'Union à devenir membres de la Commission,
  - (b) radier les membres de la Commission qui n'ont pas contribué à son activité,
  - (c) accepter ou refuser les demandes présentées par des Membres de l'Union, ou par des personnes proposées comme tels, en vue d'appartenir à la Commission,
  - (d) suggérer l'élection comme Membres de l'Union de personnes n'y appartenant pas, ce qui leur permettrait alors de devenir membres de la Commission.

20. Les Membres de l'Union ne peuvent pas, en règle générale, appartenir à plus de trois Commissions.
21. Les membres de l'Union peuvent demander à être admis dans une Commission en écrivant au Président de cette Commission. Ils ne devraient faire cette demande que si leur propre activité rentre dans le cadre des recherches de la Commission et s'ils sont décidés à contribuer au travail de la Commission.
22. Les membres des Commissions peuvent se retirer d'une Commission en écrivant à son Président.
23. En envoyant leurs propositions de nouveaux Membres, les organismes adhérents peuvent également suggérer le choix d'une Commission pour chaque candidat.
24. Le Secrétaire Général enregistrera et analysera la liste des membres des Commissions ; si cela est nécessaire, il tentera de trouver une solution aux anomalies évidentes.
25. Le Secrétaire Général publiera la liste des membres des Commissions dans les Transactions de chaque Assemblée Générale ordinaire.

#### **IV. Consultants**

26. Peuvent être élus Consultants des personnes qui ne sont pas astronomes, mais qui sont susceptibles de servir les intérêts de l'astronomie.
27. Les Commissions doivent en principe envoyer, pour approbation, leurs propositions de consultants au Secrétaire Général au moins cinq mois avant la première session d'une Assemblée Générale ordinaire.
28. Le Secrétaire Général préparera une liste des personnes proposées comme consultants et la soumettra pour approbation au Comité Exécutif.
29. Le Bureau Administratif établira une liste alphabétique des consultants.
30. Les consultants peuvent participer aux réunions de l'Union. Ils peuvent avoir droit de vote dans leurs Commissions respectives. Ils reçoivent gratuitement le Bulletin d'Information de l'Union.

#### **V. Réunions Scientifiques**

31. Le Secrétaire Général publiera un règlement pour les réunions scientifiques organisées ou parrainées par l'Union.

#### **VI. Contacts Extérieurs**

32. Aucune relation avec des tiers, imputable à l'Union, ne sera entreprise par quiconque membre de l'Union, si ce n'est sous l'autorité du Secrétaire Général.
33. Les représentants de l'Union dans d'autres organisations, en particulier les Comités de l'ICSU et les Commissions Inter-Unions, seront désignés par le Comité Exécutif. Les noms sont proposés par les Présidents des Commissions concernées.
34. Les dépenses encourues par les représentants de l'Union dans d'autres organisations seront remboursées à la discrétion du Secrétaire Général, dans les limites du Budget adopté par l'Assemblée Générale. Les représentants sont priés d'obtenir l'accord préalable du Secrétaire Général avant d'engager ces dépenses.

## VII. Assemblées Générales

35. Huit mois avant l'Assemblée Générale, le Secrétaire Général envoie aux Comités Nationaux d'Astronomie et aux Organisations Adhérentes le budget préparé par le Comité Exécutif, pour commentaires.